Minimizing membrane bioreactor environmental footprint by multiple objective optimization

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17   **Abstract**

18   An integrated model for membrane bioreactors (MBR) was employed in view of the management

19   optimization of an MBR biological nutrient removal (BNR) pilot plant in terms of operational costs

20   and direct greenhouse gases emissions. The influence of the operational parameters (OPs) on

21   performance indicators (PIs) was investigated by adopting the Extended-FAST sensitivity analysis

22   method. Further, a multi-objective analysis was performed by applying the Technique for Order of

23   Preference by Similarity to Ideal Solution (TOPSIS). The results show-up that the sludge retention

24   time is the OP mostly affecting all the investigated PIs. By applying the set of optimal OPs, there was

25   a reduction of 48% and 10% of the operational costs and direct emissions, respectively.

26

27   **Keywords:** Mathematical modelling optimization, multi-objective analysis, wastewater treatment

28   plant, greenhouse gases, membrane fouling.

29

1. Introduction

The aim of this paper is to describe methods to minimize the environmental footprint for membrane bioreactors (MBR). Several parameters will influence the footprint, such as effluent quality, operational cost, energy consumption and greenhouse gas (GHG) emissions. Consequently, the minimization has to be addressed by using multicriteria optimization, where the various influencing factors can be weighted in different ways. The aim of the study is to obtain operating strategies that will reduce the environmental footprint.

Wastewater treatment plants (WWTP) are focusing new challenges and are moving towards new frontiers which include complying with increased wastewater discharge standards, reducing greenhouse gas (GHG) emissions, minimizing operational and capital cost for the treatment facilities, increasing effective energy management, using more compact systems and reducing the WWTP footprint (Sweetapple et al., 2014; Bozkurt et al., 2016). Indeed, WWTPs are shifting from being "end-of-the-pipe" solutions to resource recovery sites (Puyol et al., 2016). GHG emissions are mainly generated in the biological processes, some of them occurring from the process reactions (direct emissions – DE), and others from electricity consumption (indirect emissions - IE) (IPCC, 2013). The main emitted GHGs are carbon dioxide (CO_2), methane (CH_4) and nitrous oxide (N_2O) (Mannina et al., 2016). Among the GHGs, N_2O is of special interest due to its great global warming potential (GWP) and the high capacity to deplete the ozone layer (IPCC et al., 2007; Mannina et al., 2018). An accurate quantification of GHG emissions is an important step to reduce process footprint. Plant land occupation is an essential factor to consider, and it is suggested that membrane bioreactors (MBR) adoption as a viable solution to meet lower effluent demands and reduced space requirements (Judd, 2010; Atasanova et al., 2017). Mathematical models are powerful tools to quantify GHG emissions, comparing different WWTP design and operational strategies (Mannina et al., 2016). Several mathematical models have been proposed in literature for accounting GHG emissions ranging from empirical simplified to mechanistic approaches (Pocquet et al., 2016; Spérandio et al., 2016).

However, only few mathematical models quantify GHG emission from MBRs (Mannina et al., 2018). MBRs differ from conventional activated sludge systems (CASs) and CAS results cannot easily be translated to MBR operations (Judd, 2010; Mannina et al., 2018). Consequently, MBR footprint optimization requires dedicated studies. As an illustration of the multiple criteria problem, a reduction of the airflow rate in the aerobic reactor, for minimizing the energy consumption, may increase the N_2O emissions because of incomplete nitrification (Flores-Alsina et al., 2014). The identification of the interrelationship between operational conditions and direct (i.e., N_2O, CH_4 and CO_2) and indirect (i.e., energy consumption) GHG emissions represents a key issue in reducing the environmental footprint (Mannina et al., 2017). Multi-objective optimization is aiming to cope with competing criteria that will influence the footprint. Such a tool can help decision makers on obtaining a deeper perception of necessary trade-offs between conflicting operational strategies (Sweetapple et al., 2014; Wang and Rangaiah, 2017). Maere et al. (2011) compared several control and operational strategies to optimize MBR operation. Authors found effective results for the MBR operation optimization by employing closed loop aeration (based on a fixed dissolved oxygen concentration inside the aerobic reactor) rather than open loop. Indeed, by implementing the closed loop aeration, a reduction of the operational costs by 13-17% was obtained (Maere et al., 2011). Despite useful insights gained by Maere et al. (2011), the results were obtained using an ideal membrane (i.e., neglecting the interplay between physical and biological processes). Therefore, the results may not be directly applicable to full scale MBR systems. Sweetapple et al. (2014) presented a study on a multi-objective optimisation, for a CAS system, taking into account GHGs, effluent quality and operational costs. Different problem formulations were explored to identify the most effective approach and the optimal set of parameters for plant operation. Main conclusions were that GHG emissions could be substantially reduced without increasing operational costs (Sweetapple et al., 2014). Another multi-objective optimization for a CAS system was carried out by Long et al. (2019). The authors applied Monte Carlo simulations to optimize costs and reduce pollution from an industrial WWTP. Their study was applied for pre-treatment, centralized and reclaimed wastewater facilities and the results showed how

81 pollutant level and operational costs were related. Their results confirmed the importance to apply

82 multiple objectives to balance costs and pollution. The fact that many criteria, such as energy

83 reduction, membrane fouling, and GHG emission, are influenced in different directions, which

84 motivate the use of multiple criteria optimization. This has been clearly demonstrated for CAS

85 systems (see e.g., Flores-Alsina et al., 2014). Even if multi-objective optimization has been applied

86 for CAS system, there are no studies presented for MBR system, to the authors' knowledge, whereas

87 minimizing MBR environmental footprint considering multiple objectives is highly desired.

88 In this paper an integrated MBR mathematical model was adapted to a University of Cape Town

89 (UCT)-MBR pilot-plant (Mannina et al., 2018). The influence of five operational parameters on ten

90 performance indicators has been explored. Multi-objective optimization analysis has been used to

91 find the trade-off between plant performance and cost.

92

93 **2. Material and methods**

94 **2.1 Mathematical model description and application**

95 The MBR integrated model described in (Mannina et al., 2018) is applied here. The model consists

96 of biological (Mannina et al., 2018) and physical (Mannina et al., 2011) sub-models. The biological

97 sub-model is described by 116 parameters and 25 state variables. The model includes nitrogen

98 transformation considering two- step nitrification and four-step denitrification processes (Pocquet et

99 al., 2016; Hiatt and Grady, 2008).

100 In the first nitrification step, the model considers the ammonia (NH_4) oxidation into nitrite (NO_2) by

101 means of ammonia-oxidizing bacteria (AOB). The second step describes oxidation of NO_2 into NO_3

102 by means of nitrite-oxidizing bacteria (NOB). In the first step incomplete ammonia oxidation is

103 incorporated. This may lead to the formation of intermediate products, such as hydroxylamine

104 (NH$_2$OH) and nitric oxide (NO). Furthermore, incomplete oxidation of NH$_2$OH into NO$_2$ with the

105 accumulation of NO, and further reduction into N$_2$O is also included in the model.

106 The model takes into account that phosphorus accumulating organisms (PAOs) and heterotrophic

107 non-PAO biomass (OHO) contribute under anoxic conditions to the four-step denitrification. This

108 includes: (i) reduction of NO$_3$ to NO$_2$; (ii) reduction of NO$_2$ to NO; (iii) reduction of NO to N$_2$O; and

109 (iv) reduction of N$_2$O to N$_2$. The incomplete reduction of N$_2$O into N$_2$ leading to N$_2$O accumulation

110 and emission (Mannina et al., 2018) is part of the model.

111 The biological sub-model evaluates the total GHG emissions (both in terms of N$_2$O and CO$_2$) as the

112 sum of direct and indirect emissions.

113 The physical sub-model is characterized by 6 parameters and 2 state variables. Overall, four key

114 processes occurring during the membrane physical filtration are taken into account (Mannina et al.,

115 2011): (i) cake layer formation during the filtration and backwashing phases; (ii) partial organic

116 matter removal in the cake layer; (iii) chemical oxygen demand (COD) removal due to the physical

117 retention effect of the membrane as a barrier (pre-filter effect); and (iv) membrane fouling.

118 Biological and physical sub-models are highly interrelated as a result of total suspended solid (TSS)

119 and soluble microbial products (SMP) interactions. Further details regarding the MBR integrated

120 model can be found in Mannina et al., (2011, 2018).

121 The model has been applied to a UCT- MBR pilot plant, consisting of anaerobic (62 L), anoxic (102

122 L) and aerobic (221 L) reactors in series. The solid-liquid separation phase was accomplished by an

123 ultrafiltration hollow fiber membrane module (PURON® Triple Bundle Demo Module with a

124 nominal pore size of 0.03 μm and a membrane area of 1.4 m^2) located inside the aerated MBR reactor

125 (Mannina et al., 2018). An oxygen depletion reactor (ODR) was installed between the MBR and the

126 anoxic reactors to reduce the amount of oxygen recycled with the flow rate (Q$_{RAS}$ = 80 L h^{-1}). For a

127 more detailed description of the pilot plant we refer to Mannina et al. (2018).

2.2 Sensitivity Analysis

Sensitivity analysis has been applied to evaluate the model accuracy and calibration. The Extended-FAST (E-FAST) method (Saltelli et al., 2004), a widespread method based on the variance decomposition theorem, has been applied. In accordance to the method, two sensitivity indices for each i-th model factor must be calculated: the first-order effect index (S_i) and the total-effect index (S_{Ti}). S_i assesses the contribution of the i-th parameter to the variance of the model output [Var(Y)] without considering the interaction among the model parameters. S_{Ti} is calculated to evaluate the contributions from high order interactions (Jing et al., 2018). Thus, the difference between S_{Ti} and S_i represents the interaction among the model parameters.

The E-FAST method requires n x N_R simulations, where n is the number of parameters and N_R is the number of runs per model parameter and varies from 500 to 1000 (Saltelli et al., 2004).

2.3 Multi-objective optimization method

A major challenge in multi-objective optimization is to find the weights of the various components of the multi-criteria. To define what is "best" is a subjective decision, made by the modeller. For the model optimization, the TOPSIS method has been adopted (Wang and Rangaiah, 2017). This method will select as the optimal solution (among *m* solutions), the one having the smallest Euclidean distance from the ideal solution among *m* solutions (A^+, known as positive - ideal solution) and the largest Euclidean distance from the negative – ideal solution among *m* solutions (A^-).

By selecting the A^+ and A^- solutions the modeller will define the performance indicators adopted as objective function (OF) for the system under study. The ideal solution represents the combination of the best value of OFs. Conversely, the negative - ideal solution represents the combination of the worst value of OFs.

150   The TOPSIS method is based on the evaluation of a normalized OF (f_{ij}) matrix (F_{ij}), computed by

151   dividing each (f_{ij}) to the square root of the squared sum of all the f_{ij}. Then the F_{ij} is weighed

152   depending on the influence of each OF (f_{ij}).

153    $$F_{ij} = \frac{f_{ij}}{\sqrt{\sum_{i=1}^{m} f_{ij}^2}} \qquad\qquad [1]$$

154   where m represents the number of solutions for each OF and n the number of the OFs.

155

156   The TOPSIS procedure consists on 5 -steps (Hwang and Yoon, 1981). In the first step, the

157   normalized objective matrix (F_{ij}) (m rows X n columns) related to each solution (i) of each OF (j)

158   (f_{ij}) is composed according to Equation 1. The m solutions represent the non-dominated solutions.

159   In the second step, the normalized objective matrix (v_{ij}) is calculated by multiplying each column of

160   objective matrix (F_{ij}) with its weight (w_j), in accordance to Equation 2.

161

162    $$v_{ij} = F_{ij} \, X \, w_j \qquad\qquad [2]$$

163

164   In the third step, the best and the worst values of each OF (j) is evaluated.

165   Considering the objectives that require to be maximized, the best value (v_j^+) is the largest value within

166   the related columns of matrix v_{ij}. Conversely, for the OFs that have to be minimized, the best value

167   (v_j^+) is the smallest value within the related columns of matrix v_{ij}.

168   The worst objective value that requires maximization (v_j^-) is the smallest value within the related

169   columns of matrix v_{ij}. For the OFs that have to be minimized, the worst value (i.e., v_j^-) is the largest

170   value within the related columns of matrix v_{ij}.

In the fourth step, the Euclidean distance between each solution and the ideal and negative -ideal solution is calculated.

The distance to positive ideal solution (S_{i+}) is calculated according to Equation 3.

$$S_{i+} = \sqrt{\sum_{j=1}^{n}(v_{ij} - v_j^+)^2} \quad i = 1, 2, 3, \ldots, m \qquad [3]$$

Similarly (S_{i-}) is evaluated by Equation 4:

$$S_{i-} = \sqrt{\sum_{j=1}^{n}(v_{ij} - v_j^-)^2} \quad i = 1, 2, 3, \ldots, m \qquad [4]$$

In the final step, the closeness of each optimal solution is calculated according to Equation 5.

$$C_i = \frac{S_{i-}}{S_{i-}+S_{i+}} \qquad [5]$$

The solution having the largest C_i represents the optimal solution.

Further applications of the TOPSIS method can be found in (Wang and Rangaiah, 2017).

2.4 Performance Indicators

Ten Performance Indicators (PIs) were considered for the sensitivity and the multi-objective optimization analysis: Operational Costs (OC); Effluent Fine (EF); Effluent Quality Index (EQI) for

190   both liquid (EQI$_{LIQ}$) and gas (EQI$_{GAS}$) flows; oxygen-to-total-Kjeldahl-nitrogen ratio (RON); ratio

191   nitrate-ammonia (R$_{NAT}$); CO_2 and N_2O emissions; and direct (DE) and indirect (IE) GHG emissions.

192   The OC (€/treated volume) is calculated by adapting the cost function proposed by Vanrolleghem

193   and Gillot (2002) to the case of MBR. Specifically, the cost is calculated as the sum of three terms

194   (Guerrero et al., 2011): costs related to the chemical consumption for membrane cleaning (CC, as €/

195   treated volume), energy demand (eD, €/) and effluent fine (EF) related to pollutants discharge (in

196   accordance with Italian regulations), as expressed in Equation (6):

197

198   $$OC = eD \cdot \gamma_e + CC + EF \qquad\qquad\qquad [6]$$

199

200   where γ_e represents the cost per kWh. Italian rates are 0.21 € / kWh.

201   The energy demand eD (kWh) is calculated as:

202

203   $$eD = P_w + P_{eff} + P_s \qquad\qquad\qquad [7]$$

204

205   where P$_w$, P$_{eff}$ and P$_s$ represent the energy consumption for the air blowers, permeate extraction and

206   the recycle pumps, respectively. P$_w$ and P$_{eff}$ have been calculated according to literature (Mannina &

207   Cosenza, 2013; 2015):

208   $$P_w = \frac{wRT}{29.7(0.283)e}\left[\left(\frac{p_2}{p_1}\right)^{0.283} - 1\right] \qquad\qquad [8]$$

209   where P_w [kW] is the power requirement for each blower, w is the mass flow of air [kg s^{-1}], R is the

210   gas constant for air [8.314 kJ kmol^{-1} K^{-1}], T is the absolute temperature [K], p$_1$ and p$_2$ are the absolute

211 inlet and outlet pressures [atm], respectively. The constant 29.7 is a conversion to metric units, 0.283

212 is a constant for air, e is the blower efficiency (common range 0.7–0.9).

213 The power requirement (in kW) for the permeate extraction pump is

$$P_{eff} = \frac{1}{t_1 - t_0} \int_{t_0}^{t_1} \frac{TMP \cdot Q_{eff}(t)}{3600 \cdot \eta} dt \qquad [9]$$

215 where, TMP [kPa] is the trans-membrane pressure, Q_{eff} [m^3 h^{-1}] is the effluent flow rate, t_0 and t_1 are

216 the initial and the final times, respectively, of pump operation, and η is the permeate pump efficiency.

217 The energy consumption for the recycle pumps (P_s) has been calculated as (Metcalf & Eddy, 2003):

218

219 $P_s = \frac{1}{t_1 - t_0} \int 0.04 \cdot (Q_{R1} \cdot 0.06 + Q_{R2} \cdot 0.06 + Q_{WAS} \cdot 0.06) \cdot dt$ [10]

220 Where Q_{R1} is the recycled flow rate from the anoxic to anaerobic tank, Q_{R2} the flow rate from the

221 aerobic to MBR tank, and Q_{WAS} the waste sludge flow rate, respectively.

222 The effluent fine EF has been evaluated according to Mannina & Cosenza (2013; 2015). The

223 membrane cleaning cost CC has been calculated considering a typical membrane cleaning protocol

224 that includes a chemical solution composed of 500 ppm of NaOCl and 2,000 ppm of citric acid, with

225 a cost of 0.48€ per chemical cleaning. For the pilot-plant considered in this work, the CC were

226 activated only when the transmembrane pressure (TMP) reached a value higher than 60kPa. The

227 threshold value of 60kPa is suggested by the membrane manufacturer.

228 The EQI (kg/treated volume) represents the mass of pollutants discharged throughout the evaluation

229 period (Mannina & Cosenza, 2015). EQI$_{LIQ}$ has been calculated according to:

230

231 $EQI_{LIQ} = \frac{1}{T \cdot * \, 1000} \int_{t0}^{t1} (\beta_{COD} \cdot COD_e + \beta_{SNH4} \cdot S_{NH4e} + \beta_{SNO3} \cdot S_{NO3e} + \beta_{SN2O} \cdot S_{N2Oe} + \beta_{SPO} \cdot S_{POe}) \cdot$

232 $Q_{eff} \, dt$ [11]

where β_i are the weighting factors of the effluent concentrations and are attributed for each single soluble component of the effluent (i = chemical oxygen demand - COD_e, ammonia - S_{NH4e}, nitrate - S_{NO3e}, nitrous oxide - S_{N2Oe} and phosphate - S_{POe}). The following weighting factors were used (Mannina & Cosenza, 2013): $\beta_{COD}=1$, $\beta_{NH}=20$, $\beta_{NO3}=20$, $\beta_{N2O}=50$ and $\beta_{PO}=50$. $Qeff$ is the effluent flow rate, T is the simulation period, 1000 is the conversion factor from g m^{-3} to kg m^{-3}, t_0 and t_1 represent the initial and the final simulation time, respectively.

The calculation of EQI was updated to consider gaseous emissions (EQI_{GAS}). Applying the same concept of the EQI_{LIQ}, the EQI_{GAS} has been calculated as:

$$EQI_{GAS} = \frac{1}{T \cdot * \ 1000} \int_{t0}^{t1} (\beta_{CO2} \cdot Offgas_{CO2} + \beta_{SN2O} \cdot Offgas_{N2O}) \cdot Q_{offgas} \, dt \qquad [12]$$

where the terms are similar to Equation 11. However, $Offgas_{CO2}$ and $Offgas_{N2O}$ describe the gas emitted as CO_2 and N_2O. The β_i values are defined for each GHG ($\beta_{N2O}=50$ and $\beta_{CO2}=50$), and Q_{offgas} is the gas flow rate. RON indicates the amount of oxygen supplied by the aeration system (i.e., within the aerobic reactor) versus the influent Total Kjeldahl Nitrogen (TKN). The PI allows to quantify the rate of oxygen consumed to oxidize the influent TKN. The PI indicates the aeration regime of the treatment plant consisting on the amount of air supplied to the aerobic reactor (Vangsgaard et al., 2012). RON has been calculated according to (Boiocchi et al., 2017a):

$$RON = \frac{\sum_{i=1}^{n} k_L a_{AER,i} \cdot V_{AER,i} \cdot (SO_{2,SAT,AER,i} - SO_{2,AER,i})}{Q_{in} \cdot S_{NH,in}} \qquad [13]$$

where $k_La_{AER,i}$ is the oxygen mass transfer coefficient of the aerated tank i; $V_{AER,i}$ is the volume of the i-th aerated tank; $S_{O2,SAT}$ is the oxygen saturation concentration; $S_{O2,AER,i}$ is the DO concentration

12

256   inside the i-th aerated tank; Q_{IN} is the inlet flow rate fed to the biological zone; and $TKN_{,in}$ is the inlet

257   TKN fed to the biological zone.

258   R_{NAT} is the ratio between the nitrate produced and ammonia depleted in an aerobic zone and is an

259   indicator of the degree of complete nitrification (Boiocchi et al., 2017b):

260

261    $$R_{NAT} = \frac{NO_{3,OUT,AER}^- - NO_{3,IN,AER}^-}{NH_{4,IN,AER}^+ - NO_{4,OUT,AER}^+}$$ [14]

262

263   where $S_{NO3,IN,AER}$ and $S_{NO3,OUT,AER}$ represent the influent and effluent NO_3 concentration of the aerobic

264   tank, respectively. $S_{NH4,IN,AER}$ and $S_{NH4,OUT,AER}$ denote the influent and effluent NH_4 concentrations of

265   the aerobic tank, respectively. R_{NAT} indicates the amount of ammonia being oxidized by the AOB

266   and converted into nitrate in the aerobic zone. When all the AOB-produced NO_2 are oxidized by NOB

267   (i.e., forming NO_3) (complete nitrification), R_{NAT} will be equal to one. However, R_{NAT} larger than

268   one is expected as there are additional processes (e.g., biomass decay and additional organic nitrogen

269   release through ammonification) contributing to enhance the organic nitrogen concentrations within

270   the aerobic tank. R_{NAT} indicates if the N_2O production is due to the low AOB activity (Boiocchi et

271   al., 2017b).

272   The emissions of CO_2 ($kgCO_2 \cdot m^{-3}$) and N_2O ($kgN_2O \cdot m^{-3}$) are evaluated by considering their stripping

273   from the liquid phase to the gas phase according to (Mannina et al., 2018). The total direct emissions

274   (DE, $kgCO_{2,eq}$ m^{-3}) are calculated as the sum of the N_2O and CO_2 emissions. Since N_2O has a GWP

275   265 times higher than that of CO_2, N_2O emission is multiplied by 265.

276   Indirect emissions (IE, $kgCO_{2,eq}$ m^{-3}) are calculated multiplying eD by $\gamma_{CO,}$ (equal to 0.245 $kgCO_{2eq}$

277   /kWh) representing the specific CO_2 emission due to the energy consumption (EIA, 2009).

278

2.5 Operational parameter values

The E-FAST method (Saltelli et al., 2004) has been applied to assess the influence of the following operational parameters on the PI, with respect to the benchmark scenario: sludge retention time - SRT, air flow rate in the aerobic reactor - $Q_{air,AER}$, air flow rate in the MBR - $Q_{air,MBR}$, the recycle ratio from the anoxic to the anaerobic reactor - R_{QR1}, and the recycle ratio from the aerobic to the anoxic reactor - R_{QR2}.

Table 1 summarizes the value of each operational parameter, its investigated variation range and the references. $Q_{air,MBR}$ was changed according to the manufacturer's suggestion. The minimum value of $Q_{air,AER}$ should allow a dissolved oxygen concentration to exceed 0.5 mg L^{-1} (Metcalf, & Eddy (2003)). The maximum value of $Q_{air,AER}$ has been twice the benchmark scenario one.

<Here Table 1>

The E-FAST method was applied with N_R (=5) simulations per parameter value, and consequently 5,000 model simulations were executed. The purpose was to evaluate the outputs of the modelling application and sensitivity analysis over ten performance indicators, related to the operational costs, energy demand, oxygen consumption, nitrification efficiency, effluent and gas quality and GHG emissions.

The TOPSIS method has been applied considering the ten aforementioned performance indicators as the objective function (OF) to be optimized. All the performance indicators, except R_{NAT} (that was maximized), have been minimized during the TOPSIS method application. The same weight (w_j) (equal to 0.1) has been adopted for all the OFs.

3. Results and Discussion

3.1 Sensitivity Analysis

302   The values of the first-order effect index (S_i) and the total-effect index (S_{Ti}) and the difference

303   between them are summarized in Table 2.

304   **<Here Table 2>**

305

306   The sum of each S_i for all ten performance indicators ranged between 0.91 and 0.99. Since the sum

307   of S_i is close to 1, it is reasonable to conclude that the investigated parameters are non-correlated and

308   performance indicators are not additive (Saltelli et al., 2004). Therefore, a few interactions among the

309   investigated parameters are expected. This statement is also confirmed by the sum of S_{Ti}, which is

310   always close to 1.0. This latter result suggests that there is a very low interaction between the

311   parameters.

312   Figure 1 presents the Extended-FAST results for each performance indicator. The results related to

313    $Q_{air,MBR}$ are not reported in the figure, as it was shown that this parameter has a negligible influence

314   on the PIs (i.e., S_i, S_{Ti} and S_{Ti}-S_i are $<10^{-2}$). Further details regarding $Q_{air,MBR}$ are reported in the

315   following sections.

316   **<Here Figure 1>**

317

318   Figure 1 demonstrates that SRT has the highest influence on the PIs, with S_i close to 1.0 for $Offgas_{N2O}$,

319    $Offgas_{CO2}$, DE, EQI_{GAS}, EQI_{LIQ} e EF (Figure 1a). SRT influences the results of R_{NAT} and RON, but

320   with a minor intensity with respect to the other indicators (for R_{NAT}, S_i = 0.60 and S_{Ti} = 0.68; for

321   RON, S_i = 0.73 and S_{Ti} = 0.75). $Offgas_{N2O}$ increases with SRT (up to 0.66 10^{-2} $kgCO_{2,eq}$ m^{-3}) due to

322   the increase of the autotrophic bacteria activities. At high SRTs biomass endogenous decay rate

323   dominates since most carbon has been oxidized. This will limit the denitrification rate, thus

324   contributing to N_2O emissions (Boiocchi et al., 2017b). $Offgas_{CO2}$ increases with an increase of SRT.

This increase is most evident for SRT values ranging between 10 and 25 days ($Offgas_{CO2}$ increases from 0.35 to 0.50 10^{-2} $kgCO_2$ m^{-3}) due to the increase of the biomass activity. Further increase of the SRT leads to the inert biomass accumulation inside the system (Judd, 2010). Therefore, for SRT values higher than 25 days, the $Offgas_{CO2}$ is quite stable and equal to 0.55 10^{-2} $kgCO_2$ m^{-3}. The DE and EQI_{GAS} follow the same trend of the individual GHG emissions previously presented, being more influenced by the N_2O emissions due to its higher GWP. The difference between both PIs in this case is that DE represents the amount of GHG emitted, while EQI_{GAS} represents the potential of the WWTP to emit GHG. SRT also influences the results of EF and EQI_{LIQ}, due to the higher capability of the system to support nitrification. The $Q_{air,AER}$ variation strongly influences IE and OC (Figure 1b). Specifically, the variation of $Q_{air,AER}$ influences the energy consumption, which is the main contributor for both IE and OC.

R_{QR1} (Figure 1c) exerts a smaller influence over the PIs when compared to SRT and $Q_{air,AER}$. A similar result was obtained for R_{QR2} (Figure 2d), which slightly influences RON, EF and EQI_{LIQ}. Figure 2 shows the variation of RON and R_{NAT} with SRT, R_{QR1} and R_{QR2}.

<Here Figure 2>

RON increases (from 4.04 to 5.90 gO_2 gNH_4^{-1}) with the increase of SRT and R_{QR2} (Figure 2a, Figure 2b). The increase of the SRT leads to the increase of nitrification with the consequent rise of the amount of oxygen consumed and RON. The increase of R_{QR2} reduces the oxygen concentration within the aerobic reactor, thus causing an increase of RON.

The R_{NAT} will increase together with the SRT (Figure 2c). The reason is that it allows an increase of the nitrification rate, i.e. a higher amount of nitrate has been produced. The increase of R_{QR1} leads to the decrease of R_{NAT} (Figure 2d) since the inlet nitrate load to the aerobic reactor decreases. It is

16

348   caused by the increase of the combined oxygen concentration recycled from the anoxic to the

349   anaerobic reactor. Therefore, most of the PAOs activity in the anaerobic reactor (turned anoxic) is as

350   denitrifiers. Consequently, the nitrate concentration in the following reactors will be reduced.

351   To understand the role of SRT on GHG emissions, the spatial distribution of $Offgas_{N2O}$ within each

352   reactor of the investigated MBR plant is shown in Figure 3. Data of Figure 2 consider three values of

353   SRT (10, 25 and 50 days). Furthermore, $Q_{air,AER} = 35$ $m^3.d^{-1}$, $Q_{air,MBR} = 15$ $m^3.d^{-1}$, $R_{QR1} = 0.8$, and

354    $R_{QR2} = 6.2$.

355   **\<Here Figure 3\>**

356   From Figure 3 it is noted that the N_2O emissions from the anaerobic (Figure 3a) and anoxic (Figure

357   3b) reactors are lower than that of the other reactors. These emissions are related to the heterotrophic

358   activities (PAO and heterotrophic non-PAO) while incomplete denitrification takes place.

359   The AOB and NOB are the major contributors to N_2O emissions (Boiocchi et al., 2017a), which can

360   be observed by the increase of the $Offgas_{N2O}$ from the aerobic reactor (Figure 3c). The aerated reactors

361   are the major contributors of $Offgas_{N2O}$ within the MBR plant (Ribera-Guardia et al., 2019), followed

362   by the MBR reactor (Figure 3d). The emissions from the MBR reactor are mostly due to the stripping

363   of N_2O in gas form from its related dissolved component (Massara et al., 2018).

364   The emissions for SRT equal to 10 days were negligible, mainly due to the lower AOB and NOB

365   activities at low SRT values. The N_2O emissions are related to both the nitrification and denitrification

366   processes, which are less pronounced (especially the nitrification) at low SRT values. For SRT values

367   higher than 10 days allows a more complete nitrification, enhancing the probability of N_2O formation

368   by the AOB. As mentioned before, the high SRT also favours the processes related to the

369   heterotrophic microorganisms (e.g., phosphorus removal and denitrification), which also contributes

370   to the N_2O formation pathways.

The influence of SRT on the growth of AOB and the NO_2 production within the aerobic reactor reported in Figure 4.

Figure 4a shows that AOB concentrations will decrease due to the low AOB growth rate at low SRT. For higher SRT values the Figure 4b, 4c), the AOB growth increases so that the AOB concentrations will increase. This will cause the NO_2 concentration to accumulate in the aerobic reactor. Similar results were obtained by Massara et al. (2017).

<Here Figure 4>

Figure 3 and Figure 4 suggest that a low concentration of AOB biomass leads to a low dissolved concentration of NH_2OH or NO and, consequently, the N_2O emission is negligible. This also means that the growth of NOB, heterotrophic non-PAOs and PAOs is compromised, negatively affecting nutrient removal. This emphasizes that the SRT is the model parameter having the largest influence on the most performance indicators.

3.2 Multi-objective optimization and performance assessment

In Table 3 the results of the five investigated operational parameters for the optimal and benchmark solution are displayed. The optimal solution shows an increase of the SRT value (from 35 to 49 days), a decrease of R_{QR1} (from 1 to 0.54), an increase of R_{QR2} (from 5 to 6.4), a substantial decrease of $Q_{air,AER}$ (from 22 to 11 m^3 d^{-1}), a slight decrease of $Q_{air,MBR}$ (from 14.4 to 14.2 m^3 d^{-1}).

<Here Table 3>

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Table 4 summarizes the results for each OF related to the benchmark and the optimal solution. The TOPSIS application allowed to optimize seven of the ten OFs (in grey in Table 4). The substantial reduction of the $Q_{air,AER}$ value contributed to reducing the optimal OC to almost half compared to the benchmark solution (from 1.05 to 0.59 € m^{-3}), due to the reduced energy consumption. Since the IE are mainly related to energy consumption, a substantial reduction of IE occurred as well (from 1.12 to 0.57 $kgCO_{2,eq}$ m^{-3}). Energy savings of this magnitude, 48% of the OCs due to the aeration and IE, is naturally of major interest. Note that the dissolved oxygen concentration in the aerobic reactor is not limiting the nitrification process (always >1.5 mgO_2 L^{-1}), despite the low $Q_{air,AER}$ value.

<Here Table 4>

The optimal solution achieved a 10% reduction of DE (Table 4), mainly caused by the reduction of $Offgas_{N2O}$ compared to the benchmark (from 0.57 to 0.50 $kgCO_{2,eq}$ m^{-3}). This result seems to contradict the trend shown in Figure 3 where the $Offgas_{N2O}$ concentration increases with the increase of SRT. However, the results in Figure 3 have been obtained for a higher $Q_{air,AER}$ value (around 30 m^3 d^{-1}) than that of the optimal solution. Consequently, since the $Offgas_{N2O}$ concentration depends on $Q_{air,AER}$ value (lower $Q_{air,AER}$ reduce the stripping effect) the results of the optimal solution have been influenced by the lower $Q_{air,AER}$ value.

Table 4 illustrates that a slight increase of EF (from 0.09 to 0.1 € m^{-3}) and EQI_{LIQ} (from 14.7 to 15.6 kg m^{-3}) occurred for the optimal solution. The increase of EQI_{LIQ} is caused by a slight increase (around 10%) of effluent ammonia concentration; conversely, a substantial decrease in terms of S_{PO} concentration in the effluent occurred for the optimal solution (from 1.5 mg L^{-1} to 0.4 mg L^{-1}). The reason is the increased hydraulic retention time (HRT) inside the anaerobic reactor, due to the

413   decreased R_{QR1} (from 1 to 0.54). A lower HRT of the anaerobic reactor allowed a major anaerobic

414   phosphate release and a subsequently uptake from PAOs in the aerobic and anoxic conditions.

415   A value of R_{NAT} less than 1.0 gNO_3 gNH_4^{-1} represents a good balance between AOB and NOB. This

416   value was obtained for the optimal solution, confirming the low nitrification efficiency for the system

417   under study (Boiocchi et al., 2017b), which has also influenced the N_2O formation during the

418   nitrification/denitrification processes.

419   RON increased from 3.50 to 5.79 gO_2 gNH_4^{-1} mainly due to reduction of the oxidized ammonia in

420   the optimal solution (Table 4). The higher RON (5.79 gO_2 gNH_4^{-1}) of the optimal solution is in

421   agreement with the related lower $Offgas_{N2O}$ concentration. Indeed, according to Boiocchi et al.

422   (2017a), for high value of RON (>5.0 gO_2 gNH_4^{-1}), the NOB activity increases as well; the AOB and

423   the heterotrophic biomass denitrification producing N_2O decreases due to the low NO_2 availability,

424   consequently N_2O decreases. The decrease of $Offgas_{N2O}$ also caused up to 8% reduction in EQI_{GAS}

425   compared to the benchmark solution (from 60.1 to 55.60 kg m^{-3} Figure 5 displays the average gaseous

426    $Offgas_{N2O}$ concentration per reactor and the average total DE.

Figure 5 shows that the major $Offgas_{N2O}$ concentration occurred in the MBR in both solutions. This is due to the higher aeration rate of the MBR compared to aerobic reactors. The result is in agreement with Mannina et al (2017) finding the highest N_2O flux from the MBR reactor. The second major emitter is the aerobic reactor, emphasizing the role of the aeration in the $Offgas_{N2O}$ concentration. Only negligible $Offgas_{N2O}$ and DE emission were found from the anaerobic and anoxic reactors, since the greater part of N_2O has been produced during the nitrification (Figure 5).

Figure 5a demonstrates the average $Offgas_{N2O}$ concentration and DE for all the plant reactors is smaller for the optimal solution than for the benchmark solution. The $Offgas_{N2O}$ concentration emitted from the MBR reduced from 0.45 to 0.38 10^{-2} $kgCO_{2eq}$ m^{-3}, and from the aerobic reactor from 0.075 to 0.06 10^{-2} $kgCO_{2eq}$ m^{-3}. Similar results were obtained for the DE, since it is mainly related to $Offgas_{N2O}$. DE for to the MBR decreased from 0.48 to 0.4 10^{-2} $kgCO_{2eq}$ m^{-3}, and for the aerobic reactor from 0.095 to 0.86 10^{-2} $kgCO_{2eq}$ m^{-3} (Figure 5b).

<Here Figure 5>

Figure 6 illustrates results obtained from the TOPSIS application for some OF. The trend of the non-dominated solutions, representing all the solutions obtained for the 5,000 simulations, has been reported for R_{NAT} *vs* $Offgas_{N2O}$, $Offgas_{N2O}$ *vs* $Offgas_{CO2}$, and DE *vs* R_{NAT}. Optimal and benchmark solutions, (Table 3) are also indicated in Figure 6.

Data of Figure 6a show that few solutions enable the increase of R_{NAT} (which should be maximized) at low total $Offgas_{N2O}$. This result is mainly related to the R_{NAT} value that is lower than 0.25 gNO_3 gNH_4^{-1}; this value corresponds to an $Offgas_{N2O}$ concentration lower than 0.37 10^{-2} $kgCO_{2eq}$ m^{-3} that is typical of the AOB inhibition condition (Baiocchi et al., 2017a-b). The corresponding $Offgas_{N2O}$ concentration value is low due to the negligible nitrification and consequently to the denitrification. Since there is a direct relationship between N_2O emission and DE, the increase of R_{NAT} leads to the increase of DE (Figure 6c). However, since no R_{NAT} value close to 1.0 gNO_3 gNH_4 has been obtained,

439 it can be confirmed that insufficient nitrification occurred inside the system for the all solutions.

440 Further investigations with the use of a wider range for the assessed operational conditions may obtain

441 better nitrification results and, consequently, lower $Offgas_{N2O}$ and DE at the highest R_{NAT}.

442 **\<Here figure 6\>**

443 **4. Conclusions**

444 The sludge retention time is the key operational parameter affecting mainly the direct emissions; the

445 results show that direct emissions increase with sludge retention time mainly due to the nitrous oxide

446 concentration in the off-gas increases (up to $0.66 \ 10^{-2} \ kgCO_{2,eq} \ m^{-3}$). Further, increasing sludge

447 retention time (from 10 to 50 days) enhances the nitrification thanks to a higher concentration of

448 autotrophic microorganisms. The multi-objective optimization approach is practical and feasible to

449 be adopted both by modelers and by operators even for complex integrated membrane bioreactor

450 models.

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