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Valorisation of microalga *Chlorella* sp. into furans in the presence of $Nb₂O₅$ catalysts

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ABSTRACT

Despite the interest in niobia-based catalysts and the importance of biomass valorisation, studies on these catalysts typically utilize model substrates like simple sugars. In this study, a series of niobium oxide-based catalysts was prepared for the application in aqueous phase catalytic conversion of sugars extracted from *Chlorella* sp*.* microalga into value-added furans. The solid catalysts were firstly characterized by various techniques including X-ray diffraction analysis (XRD), scanning electron microscopy (SEM), thermogravimetric analysis (TGA), Raman and X-ray photoelectron (XPS) spectroscopy as well as low-temperature N_2 physisorption. Moreover, the acidity of the catalysts was assessed by using the temperature-programmed NH₃ desorption (NH₃-TPD), by titration of water suspended catalyst with NaOH solution, and by P-bearing molecular probes loaded catalysts through ³¹P and ¹H solid-state nuclear magnetic resonance (NMR) techniques. Herein, we focused on the catalytic transformation of *Chlorella* sp. and glucose solution as model molecule into furans. The best Nb₂O₅ catalysts for valorizing *Chlorella* sp. into furans exhibited a larger number of Brønsted acid sites, achieving conversion yields to 5-HMF and furfural of ca. 20–22 % with respect to the extracted sugars from algae. The results showed a discernible dependence of the conversion yields to 5-HMF and furfural on catalyst acidity, specific surface area, and the presence of the Brønsted acid sites. Conversely, when using the glucose solution as substrate is concerning, the highest yield to 5-HMF was reached by using a catalyst that showed also the presence of Lewis acid sites. A systematic investigation of the structure–activity relationships in niobium oxide application for aqueous phase dehydration using real biomass substrates to obtain furanic derivatives has not been documented thus far. Therefore, the current research is significant as it demonstrates the feasibility of transforming the carbohydrate content in microalgal biomass into furans by identifying the best catalyst to use.

1. Introduction

Exploration of the alternative and sustainable feedstocks has recently attracted substantial attention because of the shortage, high cost and environmental impact of fossil resources [\[1\].](#page-12-0) Biomass, which is available in large amounts and includes all animals, plants, and microorganisms, is an important part of renewable energy [\[2\]](#page-12-0). In particular, microalgae are quite attractive as a renewable biomass with a wide range of applications [\[3\].](#page-13-0) 5-hydroxymethylfurfural (5-HMF) is one of the top value-added bio-based platform chemicals produced from lignocellulosic biomass or biomass-derived hexose sugars (glucose and fructose) by an acid-catalysed dehydration reaction [\[4](#page-13-0)–6]. Furanic derivatives, such as 5-HMF and furfural, obtained from renewable biomass-derived carbohydrates have potential to be sustainable substitutes for petroleum-based building blocks used in production of fine chemicals and plastics such as 2,5-furandicarboxylic acid (FDCA), used

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to produce bio-based polymers [\[7\]](#page-13-0). Among other valuable chemicals that can be obtained in the similar processes one can find furfural (2 furaldehyde), an important precursor for various valuable chemical and polymers, synthesized by the xylose (a C5 sugar) dehydration [\[8\]](#page-13-0) and 2,5-dimethylfuran (DMF), a promising biofuel due to its energy density, 40 % higher than that of ethanol [\[9\]](#page-13-0).

Acidic catalysts can effectively promote biomass transformation to 5- HMF [\[4,5\]](#page-13-0). Many catalytic approaches have been developed for the synthesis of 5-HMF from fructose [\[5\]](#page-13-0), albeit fructose is an expensive starting material, so its practical application is unrealistic. Alternatively, glucose is an abundant low-cost carbohydrate that could be used for the production of 5-HMF. Nevertheless, the synthesis of 5-HMF is more selective from ketohexoses than from aldohexoses [\[10\]](#page-13-0), which is probably due to the stable structure of glucose that is also the cause of low yields of its conversion [\[11\]](#page-13-0) as observed in most of the literature reports [\[12\]](#page-13-0).

Unlike fructose, which can be dehydrated directly to 5-HMF, glucose conversion requires an additional previous step of isomerization to fructose [\[5,13](#page-13-0)–15]. Indeed, the conversion of glucose to 5-HMF is carried out via the isomerization of glucose to fructose in the presence of enzymes or Lewis acid catalytic sites, followed by a dehydration reaction over Brønsted sites [\[13,14\]](#page-13-0) (see Scheme 1). Consequently, in order to find an economical and environmentally feasible industrial process for the production of 5-HMF, an efficient direct conversion from glucose would be most beneficial. During the conversion of glucose to 5-HMF, several side reactions decrease the selectivity to 5-HMF [\[4\].](#page-13-0) These are also indicated in Scheme 1 and include: (i) cross-condensation of glucose with the reaction products; (ii) self-condensation of 5-HMF, leading to the formation of soluble or insoluble oligomers/polymers denominated as humins; (iii) and rehydration of 5-HMF (forming levulinic and formic acids). Different strategies have been attempted to increase the 5-HMF yield, including the use of organic or biphasic solvents as a reaction medium as well as homogeneous or heterogeneous catalysts [\[4\]](#page-13-0). The use of organic solvents has been found to enhance the effectiveness of the process. Indeed, the conversion of biomass to 5-HMF has been observed to be lower in aqueous medium than in the presence of organic solvents or biphasic systems, that can improve the selectivity to 5-HMF by suppressing side reactions $[16,17]$. However, the catalytic system becomes costly and environmentally unfriendly. Besides, glucose is strongly hydrophilic and the glucose coming from the hydrolysis of cellulose or polysaccharides contains water and thus, from this perspective, water is the most desirable, low-cost and eco-friendly solvent for glucose conversion.

The use of a large number of heterogeneous solid acid catalysts, including resins (such as Amberlyst-15), zeolites, niobic acid, zirconia, heteropolyacids, metal phosphates, mesoporous silica and carbon-based catalysts is well documented in the literature for selective synthesis of 5- HMF from glucose under different reaction conditions [\[4,15\]](#page-13-0). Among these catalysts $Nb₂O₅$ stands out as abundant and cheap material with

water-tolerant and tunable acid-base properties showing promising performance in sugar conversion reactions [\[18,19\].](#page-13-0) Indeed, niobic acid (hydrated niobium pentoxide ($Nb₂O₅·nH₂O$)) as well as niobium pentoxide ($Nb₂O₅$), a typically nontoxic solid oxide, exhibit strong redox ability displaying both Lewis acid sites and Brønsted acid sites [\[20,21\]](#page-13-0), which, as explained above, are needed for the isomerization of glucose to fructose and for the subsequent dehydration of fructose, respectively.

Most of the studies focused on catalytic production of 5-HMF published to date are limited to the use of model monosaccharides as substrates, while for practical purposes the direct conversion of available biomass substrates is indispensable. This, however, is much more challenging because the decomposition of the biomass feedstock strongly depends on the interactions between cellulose, hemicellulose, and lignin. Microalgae are unicellular photosynthetic microorganisms that can fix $CO₂$ in organic compounds. The use of microalgae as biomass has several advantages as they are more efficient in performing photosynthesis and grow more rapidly than land plants, they can grow in nonarable lands (not competing with edible biomasses) and are used in wastewaters remediation $[22]$. Furthermore, microalgal biomass may be used in pharmaceutical and cosmetic industries, thanks to its content of high-value bio compounds such as carotenoids, proteins and lipids [\[23,24\].](#page-13-0)

Although cultivating microalgae with the only intent to extract the carbohydrate fraction may be not economically sustainable, microalgae already employed for wastewater treatment are an ideal source of carbohydrates for finally obtaining furanic derivatives. Microalgal biomass catalytic conversion to furanic products involves its degradation to carbohydrates. However, the microalgal cell wall is a complex structure and many difficulties arise in releasing the carbohydrates from its matrix, in order to make them available for obtaining furanic products. Furtherly, the process implies the hydrolysis of polysaccharides to hexoses and, hence, their isomerization to fructose on Lewis acid sites and its successive dehydration to 5-HMF over Brønsted acid sites [\[25,26\].](#page-13-0)

Despite the interest in niobia-based catalysts and the importance of biomass valorisation, to the best of our knowledge, a systematic study of the structure–activity relationships in niobium oxide application for aqueous phase dehydration using actual algal biomass substrates to obtain furanic derivatives has not yet been reported. In this work, a set of niobia materials has been prepared and thoroughly characterized. The acidity of the materials was evaluated using temperature-programmed $NH₃$ desorption (NH₃-TPD) technique, but also applying P-bearing probe molecule-assisted solid-state NMR method enabling acidity assessment of non-thermally treated catalysts allowing for the distinction between Lewis and Brønsted acid sites. The glucose dehydration has been used as model reaction to study its conversion to fructose and subsequently to 5-HMF. Eventually, the microalgal biomass was used as real substrate to obtain 5-HMF and furfural. A previous treatment, in

Scheme 1. Reaction scheme for the formation of 5-HMF by the Lewis acid-promoted isomerization of glucose to fructose and Brønsted catalyzed dehydration of the latter. The scheme also shows the formation of the side products of this process.

homogeneous or heterogeneous conditions, was applied to release the carbohydrates from the algae cells and a successive heterogeneous catalytic reaction was carried out in the presence of $Nb₂O₅$ materials in order to transform the carbohydrates into 5-HMF and furfural. The obtained results suggest that, apart from the optimization of the set-up conditions, the characteristic of the catalysts that mostly affect their activity versus *Chlorella* sp. valorization in terms of conversion yield to furans is their total amount of acid sites. In particular, the best catalysts are those presenting the highest number of Brønsted acid sites. In the presence of these catalysts, conversion yields to 5-HMF and furfural of ca. 20–22 % with respect to the sugars extracted from the algae were obtained.

2. Experimental

2.1. Preparation of the catalysts

A set of niobic acid (Nb2O5⋅n H2O) catalysts was prepared by using NbCl5 as precursor. 5 g of the precursor was dissolved in 10 mL of ethanol and the pH was increased by adding 200 mL of NH3 aqueous solution (0.3 mol L^{-1}) to the resulting yellow solution, as reported in a previous study [\[27\].](#page-13-0) This procedure leads to a white precipitate of Nb₂O₅⋅nH₂O, which was washed repeatedly and separated by centrifugation, and eventually dried at 120 °C. It was labelled as $Nb₂O₅-T$. Alternatively, 5 g of NbCl₅ was suspended in 200 mL of water and the resulting precipitate aged under stirring for 3 h and then filtered, washed several times with water until neutral pH and dried overnight at 120 °C. The white precipitate was labelled as $Nb₂O₅$ -E. A third material was synthesized via hydrothermal synthesis. In this case, $NbCl₅ (0.5 g)$ was dissolved in 20 mL of water containing nitric acid (0.5 mL HNO₃ 65 %), then H_2O_2 (10 mL 30 % v/v) was added to the prepared solution to react with the chloride ions by an oxidation–reduction process, forming $Cl₂$ and $O₂$, resulting in a yellow solution (at pH \approx 0.5) for the presence of the water-soluble niobium peroxo-complex $[Nb(O_2)_4]^3$ species as before reported [\[28\].](#page-13-0) The solution was then autoclaved at 120 ℃ for 24 h and the resulting white solid filtered, washed several times with water and then dried at 100 $^{\circ}$ C. The obtained solid, named Nb₂O₅-B, was also annealed at 300 or 500 °C for 2 h (heating ramp of 10 °C min⁻¹), resulting in powders labelled as Nb₂O₅-B-300 and Nb₂O₅-B-500. Two commercial solids were also tested for the sake of comparison, i.e. niobic acid (commercial name HY-340) kindly provided by Companhia Brasileira de Metalurgia e Mineração (CBMM) and Nb₂O₅ Sigma Aldrich (labelled in the following as $Nb₂O₅-SA$).

All other chemicals were purchased from Sigma Aldrich with assay of 99.99 % and used as received without any further purification. Water used throughout the experiments was purified by means of a Milli-Q system.

2.2. Materials characterisation

Bulk and surface characterizations were carried out in order to investigate the structural, textural and physicochemical properties of the powders. Powder XRD patterns were registered in an X'pert PANanalytical diffractometer (step size of 0.0167◦ and scan speed of 1.28◦ min^{-1}), using a Ni-filtered Cu-Kα radiation source and PixCel1D (tm) detector.

The specific surface areas (SSA), pore volume and pore size of the materials were determined from N_2 adsorption–desorption isotherms using a Micromeritics ASAP2020 system. Before analysis, the samples were degassed under vacuum at 100 ◦C for 12 h, then the measurement was performed at liquid nitrogen temperature (-196 °C). The low pretreatment temperature was applied, in order not to modify the properties of non-calcined materials. The Brunauer-Emmett–Teller (BET) method was used to calculate the SSA. Mettler Toledo TGA/SDTA851 was used to investigate the thermal decomposition of the $Nb₂O₅$ samples under an O₂ flow of 50 mL min⁻¹ in the temperature range 25 to 1000 °C

with a heating rate of 10 $^{\circ} \text{C min}^{-1}$. Scanning electron microscopy (SEM) was performed using a FEI Quanta 200 ESEM microscope, operating at 20 kV on specimens upon which a thin layer of gold had been evaporated.

Transmission Electron Microscopy (TEM) was performed using a Hitachi HD-2700 apparatus at an acceleration potential of 200 kV.

Raman spectra were recorded on powdered samples packed into sample cups. Spectra were registered by using a Renishaw in-via Raman spectrometer equipped with an integrated microscope and with a charged-coupled device (CCD)camera. A He/Ne laser operating at 632.8 nm was used as the exciting source. The power of the laser used was 15 % of the maximum value that was around 300 mW. Three different measures on the same sample were carried out in different positions on the specimen to confirm the homogeneity and reproducibility of the measure. X-ray photoemission spectroscopy (XPS) analysis of the powdered catalysts was performed on a SPECS spectrometer equipped with a Phoibos 100 hemispherical electron energy analyser. The spectra were acquired at pressure below 10^{-7} Pa using a monochromatic Al K_α Xray source operated at a voltage of 14.00 KV and a power of 175 W. The photo-excited electrons were analysed in constant pass energy mode, using pass energy of 50 and 10 eV for the survey and the high-resolution core level spectra, respectively. The spectra were recorded at a take-off angle of 90 \degree . As the Nb₂O₅ catalysts are electrical insulators, a net positive charge built up on their surface upon photoemission of electrons, which was compensated with an electron flood gun.

The acidic properties of the prepared catalysts in terms of Brønsted and Lewis acid sites were studied by NH3-TPD that were performed using a Micromeritics Autochem 2950 instrument equipped with an ultraviolet gas analyser (ABB, Limas 11). Before starting the ammonia adsorption experiment, the sample was pre-treated in He flow at 200 ◦C for 30 min. After cooling to room temperature, a stream of 5 % $NH₃/He$ (30 mL min⁻¹) was flowed over the sample for 1 h. To remove physically adsorbed ammonia, the sample was purged in flowing He (100 mL min⁻¹) at 100 °C for 1 h, then, cooled down to room temperature. Ammonia desorption was monitored with the above-mentioned ABB, UV gas analyser (the concentration of ammonia (ppm) was registered in the flow with the step of one second), under He flow (30 mL min⁻¹) heating up to 600 °C (rate of 10 °C min⁻¹). At 600 °C the ammonia was totally desorbed and the acquisition of data was stopped. Before the gas detection system, a cold trap was used to condense any water desorbed from the sample. The ammonia concentration profiles, ppm of $NH₃$ desorbed g^{-1} s⁻¹ under He flow were plotted versus time and temperature and the total amount of desorbed NH₃ [mmol NH₃ g^{-1}] was then calculated by the integration of the curves.

The total acidic strength was determined also by titration with 0.01 M NaOH (aq) as previously reported for acidic solids [\[27\].](#page-13-0) In a typical experiment, 0.1 g of solid was added to 25 mL of deionized water. The resulting suspension was allowed to equilibrate under stirring for 24 h and thereafter it was titrated by dropwise addition of 0.01 M NaOH solution using phenolphthalein as pH indicator.

Further investigations on the acidity of the samples were carried out by magic-angle spinning (MAS) solid-state NMR (ssNMR). Trimethylphosphine oxide (TMPO) adsorption on the catalytic material was performed to obtain ${}^{31}P$ and ${}^{1}H$ ssNMR spectra. TMPO-loaded samples were prepared using modified procedure reported in the literature [\[29,30\]](#page-13-0). In this work, 40 mg of TMPO was dissolved in 5 mL of dry dichloromethane in a nitrogen-filled glove box under a constant N_2 flow followed by the addition of 60 mg of the corresponding niobium oxide sample that had not undergone additional dehydration. After stirring for 4 h under N_2 , the solvent was evaporated under vacuum and the sample was left for drying under vacuum for at least 14 h. Finally, the sample was packed in a 4 mm zirconia rotor in a N_2 glove box prior to ssNMR analysis. SSNMR is widely used to determine nature and strength of acid sites in oxides where TMPO is used as P-bearing probe molecule $[31-33]$. ¹H MAS ssNMR spectra of the niobium oxide samples were acquired on a Bruker Avance III 400 and 700 spectrometers operating at B_0 field of 9.4 and

16.4 T corresponding to ¹H Larmor frequencies of 400.1 and 700.1 MHz, respectively. 31P MAS and cross-polarization (CPMAS) ssNMR spectra were acquired on a Bruker Avance III 400 spectrometer corresponding to ^{31}P Larmor frequency of 162 MHz. Experiments were performed on a double- and triple resonance 4 mm Bruker MAS probe, except for some 1 1 H MAS measurements, in which a double resonance 1.9 mm Bruker MAS probe was used. Samples were packed into 1.9 or 4 mm zirconia rotors using Vespel and Kel-F caps, respectively. Chemical shifts were quoted in ppm using the following secondary references: solid adamantane (1.85 ppm) and solid $\text{Na}_4\text{P}_2\text{O}_7$ (-2.09 ppm for the most shielded resonance) for 1 H and 31 P, respectively. In case of 1.9 mm probe, 1 H single-pulse experiments were acquired at a spinning rate of 40 kHz using a 90◦ pulse of 2.2 μs corresponding to a radiofrequency (rf) field strength of 113 kHz. When using 4 mm double-resonance/tripleresonance probes (the results for the triple-resonance probe are indicated in parenthesis), 1 H-decoupled 31 P MAS NMR spectra were acquired at a spinning rate of 15 kHz using a pulse length of 3.25 (2.97) μs corresponding to a rf field strength of 77 (84) kHz, employing a 60° $(40°)$ flip angle. In all cases, a recycle delay (RD) of 15 s was used. Twodimensional (2D) ${}^{1}H-{}^{31}P$ heteronuclear correlation (HETCOR) NMR spectra were acquired using a ¹H 2.75 (3.25) µs pulse length (90° flip angle) corresponding to a rf of 91 (77) kHz. The cross-polarization step was performed using a contact time of 5000 (8000) μs with a 70–100 % Ramp shape on the $^1\mathrm{H}$ channel and a square shaped pulse of 49 (37) kHz on the 31P channel, a RD of 1.5 s and a spinning rate of 15 kHz. A SPINAL-64 decoupling scheme was used with pulse length of 6.0 (5.5) μs at a rf field strength of 79 (82) kHz. 74 (134) t1 points with at least 1 k scans each were recorded along the indirect dimension.

The concentrations of Nb and P elements present for all TMPOloaded niobium oxide samples were determined by inductively coupled plasma - optical emission spectrometry (ICP-OES).

2.3. Heterogeneous catalytic activity: Hydrothermal conversion of glucose

Typically, 24 mL of a 2.3 mM glucose aqueous solution (414 ppm) and 0.024 g of catalyst were introduced in the autoclave reactor. A stainless-steel autoclave hydrothermal reactor (Tefic Biotech Co. Limited, Xian, China) with a 50 mL PTFE chamber was used. The autoclave was introduced into a thermostatic preheated synthetic oil bath placed on a hot magnetic stirrer. Once the oil temperature reached the established value (180 ◦C), the reactor was immersed in the bath and the reaction time started. The reactor was maintained under stirring for 1 h. Then, it was quickly cooled down to room temperature in a water bath. After that, the suspension was filtered using $0.2 \mu m$ membranes (CA, Millipore) to separate the catalyst and the solution aliquot was analysed. The compounds in the reaction mixture were identified and quantified by means of a Thermo Scientific Dionex Ultimate 3000 HPLC equipped with a Diode Array and a Refractive Index detectors. A REZEK ROA Organic acid H^+ Phenomenex column was used with an aqueous 2.5 mM H_2SO_4 solution as eluent with a flow rate of 0.6 mL min⁻¹. Retention times and UV spectra of the compounds were compared with those of standards purchased from Sigma-Aldrich with a purity *>*99 %. Commercial standards of 5-HMF, furfural, glucose and fructose were used in order to identify and confirm their presence in the reacting medium and to build the calibration curves for the calculation of their concentrations in reaction mixtures.

The performance of $Nb₂O₅$ solid acid catalysts in the glucose isomerization/dehydration reactions was evaluated in terms of conversion (X) of the hexose, selectivity (S) to fructose and 5-HMF and yield (Y) of 5-HMF, which were defined as follows:

$$
X = \frac{[glucose]_i - [glucose]_f}{[glucose]_i} \bullet 100
$$
 (1)

$$
S_{fructose} = \frac{[fructose]_f}{[glucose]_i - [glucose]_f} \bullet 100
$$
 (2)

$$
S_{HMF} = \frac{[HMF]_f}{[glucose]_i - [glucose]_f} \bullet 100
$$
 (3)

$$
Y_{HMF} = \frac{[HMF]_f}{[glucose]_i} \bullet 100 \tag{4}
$$

where [glucose]_i and [glucose]_f are the initial and the final molar concentrations of glucose, respectively, and [fructose] $_f$ and [HMF] $_f$ are the molar concentrations of fructose and 5-HMF, respectively, at the end of the experiment.

2.4. Algal growth and analysis of the carbohydrate content of the biomass

Microalgae *Chlorella sp*. Pozzillo was previously isolated from Sicilian littoral [\[22\]](#page-13-0). The strain was kept in liquid medium where a commercial fertilizer (Spray-feed, Pavoni) diluted in water was employed at the concentration of 3 gL^{-1} . A pre-culture of the microalgae was set up by inoculating 10 mL of sample from a culture flask in 100 mL of fresh medium. When cells were in late exponential phase (after about 10 cultivation days), 10 mL of the cell suspension was used to inoculate the medium containing cultivation flask (growth medium cultivations) or sewage (first and second cultivations). The algae were cultivated for 15 days under a photon flux density of about 200 µmol m⁻² s⁻¹ in 1 L Erlenmeyer flasks placed in an oscillating incubator (Corning Lse) at 100 rpm. Light intensity (in the range of wavelengths 400–700 nm) was measured with a Delta Ohm-HD 9021 quantometer equipped with a Photosynthetic Active Radiation (PAR-) probe (Delta Ohm LP 9021 PAR). After the batch cultivation, the biomass was harvested by centrifugation and frozen in liquid nitrogen and freeze-dried for 24 h in a bench lyophiliser (FreeZone 2.5 L, LABCONCO, US). The biomass obtained from several cultivations was collected together and homogenised.

For the quantification of total carbohydrates in the algae the National Renewable Energy Laboratory (NREL) protocol was used [\[34\]](#page-13-0). In particular, 20 mg of freeze-dried (lyophilized) biomass were weighted in a glass tube and 250 μL of $H₂SO₄$ 72 % were added. The mixture was vortexed and kept for 1 h at 30 ◦C. After that, 7 mL of water were added to adjust the H_2SO_4 concentration to 4 % and the glass tube was tightly closed and placed in an autoclave for 1 h at 121 ◦C. After that, the suspension was filtered through 0.2 µm membranes (CA, Millipore) and the obtained sugars (glucose and fructose) were analysed by means of the same HPLC apparatus described earlier in section 2.3.

2.5. Heterogeneous catalytic activity: Hydrothermal conversion of Chlorella sp.

An amount of 40 mg of the lyophilized *Chlorella* sp. was dispersed in 24 mL of water and the suspension was placed in the same autoclave reactor that was used for the glucose transformation. The catalytic experiments were performed both in homogeneous and heterogeneous regimes, by employing either HCl (0.7, 2, and 7.0 % wt) or $Nb₂O₅$ (1 g L⁻ ¹). Moreover, selected experiments were carried out in presence of $SiO₂$ beads (10 g L^{-1} , Riedel-de Haen; 0.2–0.5 mm size). Reaction conditions such as time and temperature, along with catalysts/biomass mass ratio were varied, in order to assess their effect on the reaction outcome and optimise the performance of the system.

Further experiments were carried out in two stages. The first aimed at the extraction of sugars from the biomass and the second one at the transformation of the sugars obtained into furans. In the first step, 40 mg of biomass in 24 mL of water and 240 mg of $SiO₂$ beads were placed in the autoclave and kept for 2 h at 140 or 180 ◦C. For selected runs, acetic acid was added to reach pH 4. The second step was performed in the presence of $Nb₂O₅$ at 180 $°C$. The experiments were carried out during different reaction times. In all cases, the reactor was cooled down at the end of the reaction and the suspension filtered and analysed by HPLC as described in [section 2.3](#page-3-0). The course of the reaction, both in homogeneous and heterogeneous conditions, was evaluated in terms of total yield (Y_F) to furans (5-HMF as majority and furfural as minority observed species) from the sugars contained in the algae:

$$
Y_{F} = \frac{[HMF]_f + [furfural]_f}{[total sugar in biomass]} \bullet 100
$$
 (5)

where ([HMF] $_f$ and [furfural] $_f$ are the concentrations of the products measured in solution after the reaction, and [total sugar in biomass] is the total concentration of sugars from the algae matrix quantified by the NREL protocol.

3. Results and discussion

3.1. Solid catalyst characterization

In order to investigate the crystalline structure of the prepared materials, XRD patterns were registered. All as-prepared niobic acid samples and the commercial HY-340 niobium oxide show typical patterns of amorphous materials as demonstrated in Fig. 1. The calcination of the hydrothermally synthesized Nb₂O₅ at 500 °C (Nb₂O₅-B-500) yields a crystalline niobium pentoxide, whose diffraction pattern coincides with that of the commercial $Nb₂O₅$ -SA and corresponds to the lowtemperature orthorhombic $Nb₂O₅$ crystal phase (#1840 ICSD). Unlike the commercial niobium oxide (65 nm), the home-prepared $Nb₂O₅$ -B-500 has a much smaller crystallite size (17 nm) [\(Table 1](#page-5-0)).

The preparation techniques used in this work to obtain niobium oxides or niobic acid greatly affect the textural properties of the resultant materials. The sample of niobium oxide prepared by precipitation with ammonia ($Nb₂O₅$ -T) shows the lowest surface area and meso- and micro-pore volumes among all home-prepared materials with values of 85 $\text{m}^2 \text{ g}^{-1}$ and 0.06 $\text{cm}^3 \text{ g}^{-1}$, respectively ([Fig. 2](#page-5-0)A, [Table 1\)](#page-5-0). In contrast, the sample Nb₂O₅-E [\(Fig. 2A](#page-5-0)) follows an isotherm of type I(b), typical for microporous solids [\[35\].](#page-13-0) In addition, this sample possesses high specific surface area of 323 m² g⁻¹ ([Table 1\)](#page-5-0). Upon calcination of the asprepared niobic acid, the $Nb₂O₅$ -B sample series reveal clear evolution of mesoporosity ([Fig. 2C](#page-5-0)). The isotherm of hydrothermally prepared $Nb₂O₅$ -B most likely can be assigned to a mixed I(b) and IV(a) type with barely distinguishable hysteresis loop [\(Fig. 2B](#page-5-0)). The presence of micropores in this material is accompanied by mesopores of small size (3 nm) accounting for their total pore volume of 0.16 cm³ g⁻¹ ([Table 1](#page-5-0)). Although the thermal treatment of this material (up to 300 \degree C) is not sufficient to convert it into a nonporous crystalline oxide, it greatly affects its textural properties. The isotherm of hydrothermally prepared

niobia samples subsequently calcined at 300 $°C$ (Nb₂O₅-B-300) assumes the shape more typical of mesoporous solids (type IV(a) with an H2(a) type hysteresis) ([Fig. 2B](#page-5-0)). As the result, this sample exhibited narrowly distributed mesopores [\(Fig. 2](#page-5-0)C). Further thermal treatment of $Nb₂O₅$ -B at higher temperature (500 ◦C) leads to crystalline oxide phase (adsorption isotherm of type II) with low SSA of 50 m² g⁻¹ and reduced pore volume [\(Table 1\)](#page-5-0). The mesopores, whose presence is indicated by the hysteresis loop at high P/P_0 , are large and have a broad size distribution, most likely attributed to the interparticle porosity ([Fig. 2](#page-5-0)C, [Table 1](#page-5-0)).

Thermogravimetric analysis of the commercial $Nb₂O₅$ -SA sample shows almost complete absence of mass loss up to 1000 ◦C, which agrees with its highly crystalline nature (Figs. 1 and 3). The other commercial material, the amorphous HY-340, shows a significant mass loss of about 15 % in the low-temperature range up to 300 ◦C that is most likely attributed to release of water ([Fig. 3](#page-5-0)). All the as-prepared samples $Nb₂O₅-T$, $Nb₂O₅-E$, $Nb₂O₅-B$ also show the loss of water upon heating up to 300 ◦C accounting for 22 %, 26 % and 23 % of mass loss, respectively, indicating the hydrated state of these oxides. The calcination of the $Nb₂O₅$ -B material gradually eliminates the bonded water and turns this amorphous oxide into a crystalline solid with almost negligible mass loss of about 3% for Nb₂O₅-B-500 [\(Fig. 3](#page-5-0)).

The various catalysts tested in this work show very different morphologies ([Fig. 4\)](#page-6-0). As far as the home prepared samples are concerned, the catalyst $Nb₂O₅-T$ consists of agglomerates of particles with dimensions less than 8 nm. For the catalyst $Nb₂O₅$ -E, it is not possible to identify the size of the particles constituting the agglomerates, as they appear fused together. Both the non-calcined and calcined (300 and 500 \degree C) Nb₂O₅-B materials, did not show significant differences in their morphologies, as depicted in [Fig. 4](#page-6-0). In all three cases, the catalysts appear to consist of agglomerates of particles ranging from ca. 25 to 30 nm in particle size. The only difference is that the delineation of the agglomerate shapes is more defined as the calcination temperature increases, reaching dimensions up to about 600 nm. In contrast, the commercial sample ($Nb₂O₅$ -SA) seems to consist of very well-defined particles (crystals) having broad size distribution. For example, the particle sizes that can be seen in [Fig. 4](#page-6-0) range from ca. 28 to ca. 140 nm. On the other hand, the HY-340 sample appears to form nanosized agglomerates that are not well-defined, whose dimensions vary between 11 and 16 nm.

In order to confirm or not the results on the crystallite and/or particles size and the porosity of the niobic acid samples found through the XRD and adsorption/desorption isotherms of N_2 studies, respectively, a TEM investigation was carried out on some selected samples. It was decided to perform this investigation on $Nb₂O₅$ -B, $Nb₂O₅$ -B-300 and $Nb₂O₅$ -B-500 because in this way was also possible to study the evolution of niobic acid samples by the thermal treatment at two different temperatures.

TEM images of the selected samples are presented in [Fig. 5](#page-6-0). The noncalcined niobic acid material, $Nb₂O₅$ -B, is composed of filamentous aggregates of smaller particles of amorphous nature, according to the XRD data [\(Fig. 5](#page-6-0)(A, D)). Upon calcination the material's morphology suffers certain transformations. At first, after being treated at 300 \degree C, the Nb₂O₅ sample loses the filament-like appearance and the presence of separate small particles becomes evident ([Fig. 5](#page-6-0)(B, E)). Finally, after the calci-nation at 500 °C [\(Fig. 5\(](#page-6-0)C, F)) the formation of $Nb₂O₅$ crystallites in the range of 10–24 nm is observed corroborating the results obtained from the XRD analysis ([Table 1\)](#page-5-0). The TEM observations are in accord with the XRD and SSA analysis results showing the oxide crystallization and the particles growth that is accompanied by the loss of SSA. Additionally, it is revealed that the porosity detected by the N_2 adsorption experiments ([Fig. 2, Table 1\)](#page-5-0) most likely is due to the interparticle voids.

Raman spectroscopy was employed to further investigate the structural features of the catalysts as it offers high sensitivity for phase analysis. The Raman spectra ([Fig. 6](#page-7-0)) of all amorphous niobic oxides and niobic acids (Nb₂O₅-T, Nb₂O₅-E, Nb₂O₅-B, Nb₂O₅-B-300 and HY-340)

Textural properties of the prepared and commercial catalysts.

^a mean crystallite size calculated by Scherrer equation using (001) reflection of T-Nb₂O₅ phase.

^b calculated from the adsorbed N₂ amount at P/P₀ = 0.99.

^c calculated using Dubinin-Radushkevich model.

^d

Fig. 2. Adsorption-desorption isotherms of N₂ at 77 K registered for the niobium oxide samples (A-B) with BJH pore size distribution (C). Filled and open symbols represent the adsorption and the desorption branches, respectively.

Fig. 3. Thermogravimetric analysis of the synthesized and commercial $Nb₂O₅$ samples. Measurements were carried out under an O_2 flow of 50 mL min⁻¹ in the temperature range 25 to 1000 °C with a heating rate of 10 °C min⁻¹.

show a broad band centred at about 650 – 670 $\rm cm^{-1}.$ This vibration band is assigned to the symmetric stretching mode of distorted niobia polyhedra and reflects the distribution of NbO_6 , NbO_7 and NbO_8 , in amorphous $Nb₂O₅$ oxide or niobic acid. In these structures, each Nb atom is surrounded by six or seven oxygens, forming distorted octahedra or bipyramids, which are joined by edge or corner sharing [36–[39\]](#page-13-0). The heat treatment of the amorphous niobia increases the Nb–O bond order, thus resulting in the blue-shift of this band (to higher wavenumbers up to 690–700 cm⁻¹ [\[40\]\)](#page-13-0). The vibrational bands around 700 cm⁻¹ reveal

the presence of the $Nb₂O₅$ orthorhombic T-phase in the calcined materials ($Nb₂O₅$ -B-500 and $Nb₂O₅$ -SA) thus agreeing with the results obtained by the XRD analysis. A group of weak and broad bands in the lowwavenumber region (200–300 cm^{-1}) is assigned to the bending vibrational modes of the Nb–O–Nb linkages in the T-Nb₂O₅ structure $[41]$.

XPS analysis [\(Fig. 7](#page-7-0)) revealed that all the materials showed Nb 3d bands consistent with Nb state in crystalline $Nb₂O₅$ (maxima of the Nb $3d_{5/2}$ spin–orbit components at binding energy of 207.5 eV $[42]$). The full-width at half-height for Nb $3d_{5/2}$ peaks is also equivalent for all materials within experimental error (1.0–1.1 eV) except for the case of Nb2O5-B, that shows a wider band (1.4 eV), suggesting a chemical environment around Nb atoms at the surface that is similar in all the catalysts, although slightly more heterogeneous for Nb_2O_5-B .

3.1.1. Acidic properties

The acidic properties of the thermally treated $Nb₂O₅$ -B catalysts (i.e., Nb2O5-B-300 and Nb2O5-B-500 materials) were evaluated by NH3-TPD experiments carried out from room temperature up to 600 ◦C under helium flow. According to the literature $[43]$ NH₃ desorption in the range 200–250 ◦C corresponds to weak acid sites, between 250 and 350 ◦C to medium acid site strengths and above 350 ◦C assigned to strong acid sites. [Fig. 8](#page-7-0) displays the process of desorption of $NH₃$ from the catalyst surface as a function of the temperature. [Table 2](#page-8-0) lists the acidity values derived from the $NH₃-TPD$ study in terms of the total amount of desorbed NH₃ [mmol NH₃⋅g⁻¹]. The NH₃⋅TPD profile of Nb2O5-B-500 is characterized by a very broad peak covering almost the entire window of temperature, 200–500 ◦C, with an area corresponding to 1.38 mmol $NH_3·g^{-1}$. The peak of NH₃ desorption centred at around 330 ◦C is attributed to weak and medium acid sites. Conversely, strong acid sites were mainly detected in the Nb₂O₅-B sample calcined at 300 \degree C, Nb₂O₅-B-300, by the presence of a strong desorption peak at 395 °C. The total acidity of this sample accounts for 1.71 mmol NH₃⋅ g^{-1} being the highest amongst the studied materials. Moreover, some weak

Fig. 4. SEM micrographs of the catalysts. The name of the catalyst is depicted in each picture.

Fig. 5. TEM images of the prepared samples taken at different magnifications: Nb₂O₅-B (A, D), Nb₂O₅-B-300 (B, E) and Nb₂O₅-B-500 (C, F).

and medium acid sites also contribute to the overall acidity as can be concluded from the shoulder presented between 200 and 300 ◦C.

 $Nb₂O₅$ -T displays a similar NH₃ desorption profile as that of Nb₂O₅-B-300. The maximum of ammonia desorption for $Nb₂O₅$ -T is at around 365 ◦C and the concentration of total acid sites for such sample is 1.59 mmol NH₃⋅ g^{-1} . Moreover, the TPD curve of Nb₂O₅−T at 200–300 °C depicts a more pronounced shoulder than for $Nb₂O₅$ -B-300, indicating that for $Nb₂O₅$ -T, the weak and medium acid sites contribute to the overall acidity at higher extent. Regarding the commercial HY-340 sample, it can be observed that the temperature peak of the NH₃ desorption curve is approximately at 345 ◦C and the concentration of total acid sites resulted of 1.50 mmol NH₃⋅ g^{-1} . However, this peak is much narrower compared to that of the previous two samples. This fact suggests a tighter distribution of acidic sites in the HY-340 compared to those present in the home-prepared samples.

Finally, very poor acidity was detected for $Nb₂O₅$ -SA as observed by the very weak and broad desorption curve, corresponding to only 0.03 mmol NH₃⋅ $\rm{g}^{-1}.$

Unfortunately, the NH₃-TPD measurements for samples $Nb₂O₅$ -B and $Nb₂O₅$ -E were not reproducible and then they are not reported in [Fig. 8](#page-7-0). This lack of reproducibility is likely due to the high acidity observed with the titration method (see [Table 2](#page-8-0)) and the significant amount of water released during heating (see TGA).

For the sake of comparison, acidity strength was also determined by titration of the powders suspended in water with NaOH (0.01 M) solution. The concentration of acid sites of the catalysts are summarized in [Table 2.](#page-8-0) Such measurements evidenced the higher acidity of the noncalcined $Nb₂O₅$ samples with respect to both, the one calcined at 500 °C and the commercial $Nb₂O₅$ -SA powder, that resulted the least acidic material.

The results obtained by the two above-mentioned techniques are in good agreement, confirming the higher content of acid sites in the $Nb₂O₅$ -T, $Nb₂O₅$ -B-300 and HY-340 compared to $Nb₂O₅$ -B-500 and $Nb₂O₅$ -SA. The slightly higher acidity, measured by $NH₃$ -TPD, for the $Nb₂O₅$ -B-300 compared to the $Nb₂O₅$ -T sample can be attributed to the presence of Lewis acid sites not detectable by NaOH titration.

Atomic-level insight into the distribution of Lewis and Brønsted acid sites in the niobia materials can be obtained by solid-state NMR investigation as discussed ahead.

The ¹H spectra of assorted bare $Nb₂O₅$ samples studied in this work are reported in [Fig. 9\(](#page-8-0)A). The resonance near 5.5 ppm is ascribed to the bridging OH-groups and residual adsorbed water [\[44\]](#page-13-0). A decrease of this resonance can be noticed with the increase of the treatment temperature. Not surprisingly, this peak is barely distinguishable for the commercial $Nb₂O₅-SA$, which is in the agreement with XRD data thus confirming its high crystallinity degree [\(Fig. 1](#page-4-0)) and, consequently, low

Fig. 6. Raman spectra of the synthesized and commercial catalysts.

Fig. 7. Normalised, background-subtracted Nb 3d XPS core level spectra of the catalysts. The components of the spin–orbit doublet have been labelled for clarity.

number of OH-groups and coordinated water molecules should be expected. This fact is also confirmed by the TGA study ([Fig. 3\)](#page-5-0), which indicates a negligible mass loss up to 1000 ◦C in the case of the latter sample. 1 H resonances appearing between 0.5 and 1.5 ppm correspond to the terminal OH-groups [\[44,45\]](#page-13-0). Interestingly, the most resolved peaks in this area arise from the $Nb₂O₅$ -B and $Nb₂O₅$ -B-300 samples, ([Fig. 9](#page-8-0)(A) inset) although the overall intensities are low comparing to the 5.5 ppm peak. [Fig. 9](#page-8-0)(B) shows the $^1\mathrm{H}$ spectra of the prepared samples loaded with the TMPO probe molecule where new resonances appear. The most intense peak at 1.5 ppm is attributed to the protons of the

Fig. 8. NH3-TPD curves of the prepared catalysts registered as a function of the temperature.

TMPO methyl groups.

Chemical nature of active sites in the prepared oxides were assessed by ³¹P (CP)MAS using TMPO as a local probe molecule for acid sites. ¹Hdecoupled 31P MAS and 31P CPMAS NMR spectra of the synthesised catalysts are shown in [Fig. 9.](#page-8-0) The ^{31}P resonances at 39 and 42 ppm are

Table 2

Acidic properties of prepared catalysts as determined by TPD-NH₃ and by NaOH $(0.01 M)$ titration.

Catalyst	Total acid sites [mmol] $NH_3 \cdot g^{-1}$]	Acid capacities by NaOH titration [mmol $H^+ \cdot g^{-1}$]
$Nb2O5-T$	1.59	0.750
$Nb2O5$ -E		1.69
$Nb2O5-B$	-	1.92
$Nb2O5-B-$ 300	1.71	0.635
$Nb2O5-B-$ 500	1.38	0.160
$Nb2O5-SA$	0.03	0.156
HY-340	1.50	0.694

attributed to crystalline TMPO (non-adsorbed TMPO) reflecting the fact that an excess of TMPO was introduced into the system, and thus implying that all acid sites in the niobium oxide sample were saturated [\[46\]](#page-13-0). It is known that when reacting with the Brønsted acid sites of oxides, TMPO converts into its protonated state (TMPOH⁺) exhibiting $31p$ resonances at higher chemical shifts, typically above 60 ppm. A

broad resonance observed for the Nb_2O_5-B and $Nb_2O_5-B-300$ near 60–65 ppm is usually assigned to the presence of Brønsted acid sites of medium strength as observed in other works on niobium oxides systems [\[29,30\].](#page-13-0) Whereas ${}^{31}P$ MAS spectra of Nb₂O₅-B-500 reveals significantly reduced intensity in this ppm range most likely due to the dehydration occurring during the calcination at high temperature resulting in the loss of SSA and acid sites (Fig. 10(A)). ${}^{31}P$ CPMAS spectrum of Nb₂O₅-B-500, unlike the cases of $Nb₂O₅$ -B and $Nb₂O₅$ -B-300, revealed signals of low intensity near 60 ppm (Fig. 10(B)) suggesting that there are either fewer protons in the vicinity of $31p$ or increased mobility either from the acid site moieties or from the TMPO molecules. Interestingly, slight shift towards higher ppm (64 ppm) is observed for the $Nb₂O₅$ -B-300 indicating the presence of stronger Brønsted acid sites with respect to those observed in the case of $Nb₂O₅-B$ sample [\[47\]](#page-13-0). Furthermore, one can clearly observe the reduction of the relative intensity of the resonance at 64 ppm after the calcination at 500 ◦C indicating the overall decrease in acid sites amount (see [Table 3](#page-9-0)). These results are in accordance with the results obtained by NH3-TPD reported in [Fig. 8](#page-7-0) and Table 2. Whereas the $Nb₂Os-B-300$ compared to the as-synthesized sample exhibited similar ratio at 62 ppm proving that the thermal treatment at 300 ◦C does not affect the amount of acid sites. Combining ssNMR and ICP techniques

Fig. 9. ¹H MAS NMR spectra of bare niobium oxide samples non-calcined and calcined at different temperatures with the 0.4–1.9 ppm magnified range on the Inset (A) and loaded with 1.4–2.1 mmol (TMPO)/gsample (B) recorded at 700 and 400 MHz using a MAS rate of 40 and 15 kHz, respectively. In panel (A) the spectrum of Nb2O5-SA sample is also reported for the sake of comparison.

Fig. 10. ¹ H-decoupled 31P MAS (A) and 31P CPMAS (B) NMR spectra of niobium oxide samples non-calcined and calcined at different temperatures loaded with 1.4–2.1 mmol (TMPO)/ $g_{\rm sample}$ recorded at 400 MHz using a MAS rate of 15 kHz.

Table 3

Relative intensities (%) obtained from the deconvolution of the ¹H-decoupled ³¹P MAS spectra [\(Fig. 11\)](#page-10-0) of the Nb₂O₅ samples loaded with TMPO and the total amount of acid sites.

Sample	$31P$ resonances [ppm]	Relative intensity (%)	Total acid sites by TMPO [mmol TMPO \cdot g ⁻¹]
$Nb2O5 - B$	62	23.1	0.48
	42	58.7	
	39	18.2	
$Nb_2O_5-B-300$	62	26.5	0.42
	42	25.3	
	39	48.2	
$Nb_2O_5-B-500$	62	3.2	0.05
	42	80.3	
	39	16.5	

(ICP results are presented in Table 4, spectra deconvolution is shown in [Fig. 11\)](#page-10-0) it is possible to quantify the concentration of acid sites in solids. Table 3 reports the obtained results, which are comparable with those obtained by means of NH3-TPD and NaOH titration [\(Table 2\)](#page-8-0) as well as with those presented earlier in literature [\[33\]](#page-13-0).

 1 H $-{}^{31}$ P 2D HETCOR experiments [\(Fig. 12\)](#page-10-0) were conducted to elucidate the nature of the acid sites, i.e., Brønsted vs Lewis, observed upon TMPO adsorption. All $\rm^{31}P$ resonances exhibit a correlation peak with $\rm ^1H$ resonances ranging from 0 to 2 ppm, assigned to the autocorrelation with the methyl groups of TMPO. The correlation of the peak at 62 ppm on ${}^{31}P$ spectra with a ${}^{1}H$ resonance at 14.5 ppm was found only for the Nb_2O_5-B sample [\(Fig. 12\(](#page-10-0)A)). Usually, ¹H resonances higher than 10 ppm indicate the presence of protonated $TMPOH⁺$ species, H-bonded to the conjugate base of a Brønsted acid site $[48]$. In the case of Nb₂O₅-B-300 (Fig. $12(B)$), the reason explaining the absence of this correlation is most likely the conversion of Brønsted acid sites to Lewis ones due to the partial oxide dehydration during the thermal treatment. While the interaction with a Brønsted acid site is H-mediated, the interaction with a Lewis acid site occurs by the direct interaction between TMPO and an Nb site, thus not resulting in a high $^1\mathrm{H}$ chemical shifts correlation typical of H-bonded species. For the $Nb₂O₅$ -B-500 the significant reduction of the peak area at around 62–64 ppm was observed suggesting the reduced amount of total acid sites in this sample comparing to $Nb₂O₅$ -B-300 and Nb_2O_5 -B. Moreover, the correlation with 1H signals at high chemical shift values was not detectable, as shown in [Fig. 12](#page-10-0) (C), thus, it gives us grounds to suggest that the overall acidity of this material is due to the presence of Lewis acid sites. The rational behind the acid sites being converted to Lewis upon sample temperature treatment is also supported elsewhere [\[49\],](#page-13-0) where a resonance near 62 ppm observed on the 31P spectra of TMPO-loaded zeolite was ascribed to external Lewis acid sites.

While the overall amount of the acid sites in $Nb₂O₅$ -B and $Nb₂O₅$ -B-300 measured from the integration of the ${}^{31}P$ peak at around 62–64 ppm is comparable (Table 3), the 1 H- 31 P HETCOR spectra suggest a distinct nature of acid sites, i.e., Brønsted vs Lewis. This fact, as we will discuss in the next section concerning the catalytic activity of the samples, indicates that the presence of Lewis acid sites plays a crucial role in the glucose conversion to 5-HMF.

3.2. Heterogeneous catalytic activity: Glucose valorisation

Preliminary runs towards the optimization of experimental conditions revealed that the best glucose to 5-HMF conversion is reached when the reaction is carried out at 180 ℃ for 1 h in the presence of 24

Table 4 Results of elemental analysis by ICP-OES (in mass %).

Sample	Nb [%]	P [%]
$Nb2O5-B$	46	6.4
$Nb2O5 - B-300$	54	4.9
$Nb2O5 - B-500$	57	4.4

mL of 2.3 mM glucose aqueous solution containing 1 g L^{-1} of Nb₂O₅ catalyst. [Table 5](#page-10-0) compiles the glucose conversion, the selectivity to fructose and to 5-HMF and yield of 5-HMF in the presence of various catalysts.

A perusal of the results reported in [Table 5](#page-10-0) evidenced that the highest conversion of glucose was obtained in the presence of Nb_2O_5-B , whereas $Nb₂Os-B-300$ was the catalyst exhibiting the highest reaction selectivity and yield to 5-HMF. It is worth noting that these two materials are among those exhibiting the strongest acidity (see NH3-TPD, titration and NMR studies) and the higher SSA. Moreover, the presence of Lewis acid sites in the $Nb₂O₅$ -B-300 sample (as evidenced by the NMR study), favoring the isomerization of glucose into fructose, could be the reason for the larger selectivity, to 5-HMF formation, observed in the presence of this material. The higher content of Lewis acidity in $Nb₂Os-B-300$ also explains its increased 5-HMF yields over $Nb₂O₅$ -B-500. A further catalytic run, in the presence of $Nb₂O₅-B-300$, was performed by using a glucose aqueous solution containing ca. 270 ppm of chloride ions (from ammonium chloride) that simulate the salt content of the algae dispersion. The presence of chlorides decreased the glucose conversion, albeit the selectivity to 5-HMF remained almost constant, hence decreasing the glucose to 5-HMF reaction yield. It is interesting to note that at the same time, the selectivity to fructose increased from 4 to 18 %. These results suggest that the presence of chloride ions not only reduce glucose conversion, but it also inhibits the formation of by-products other than fructose and 5-HMF which, however, have not been detected by HPLC.

3.3. Chlorella sp. Valorisation

3.3.1. Carbohydrate content of the Chlorella sp.

The carbohydrate content in the algae was estimated with the methodology described in the NREL protocol [\[34\]](#page-13-0). The percentage of the sugars content in the lyophilized algae biomass measured by the NREL procedure was 12 %. Consequently, in order to determine the yield towards the furan products obtained in all the hydrothermal reactions reported in this work for the *Chlorella* sp. valorisation (see equation [\(5\)](#page-4-0), this figure was considered as the maximum amount of sugars derived from the biomass.

3.3.2. Homogeneous and heterogeneous catalytic valorisation of the carbohydrate content of Chlorella sp.

The microalgae biomass was catalytically treated under hydrothermal conditions in both homogeneous and heterogeneous regimes. The results were compared by considering the yield towards furans by equation [\(5\)](#page-4-0). As already discussed in the introduction section, algae upgrading is much more complex than the glucose transformation to 5- HMF, because it requires a first cell membrane degradative step to convert the microalgal biomass, in which the carbohydrates are released in solution. Further steps are the hydrolysis of the polysaccharides to hexoses, essentially glucose, and, hence, its isomerization to fructose on Lewis acid sites followed by dehydration to 5-HMF over Brønsted acid sites. The results of preliminary experiments, carried out with 40 mg of lyophilized *Chlorella* sp. in 24 mL of aqueous suspension, are reported in

Fig. 11. Deconvolution of the ¹H-decoupled ³¹P MAS NMR spectra of niobium oxide samples calcined at different temperatures loaded with 1.4–2.1 mmol (TMPO)/ gsample recorded at 400 MHz using a MAS rate of 15 kHz.

Fig. 12. Two-dimensional (2D) ¹H-³¹P heteronuclear correlation (HETCOR) NMR spectra of Nb₂O₅ samples loaded with 1.4–2.1 mmol (TMPO)/g (sample). A) $Nb₂O₅$ -B; (B) $Nb₂O₅$ -B-300 and (C) $Nb₂O₅$ -B-500.

^a Experiment carried out in the presence of 270 ppm of chloride anions.

[Table 6](#page-11-0) in terms of yield of furans (5-HMF as majority and furfural as minority observed species). In the absence of catalyst at 120 ◦C for 6 h, no formation of furans was observed [\(Table 6](#page-11-0), entry 1). Conversely, the presence of HCl (homogeneous catalyst) at 140–160 ◦C and different reaction times, gave rise to modest yields in furans ([Table 6](#page-11-0), entries 2–6). Due to the low algae conversion, probably due to the difficulty to release sugars in solution, further experiments were performed by adding $SiO₂$ beads to the suspension to increase the mechanical damage of the cells with the expectation of favouring this preliminary step. Unfortunately, in any case, the yield to furans were still very low ([Table 6,](#page-11-0) entries 7–12). Finally, the heterogeneous conversion of *Chlorella sp.* was performed in the presence of the Nb₂O₅-T catalyst, both in the absence and in the presence of the $SiO₂$ beads ([Table 6,](#page-11-0) entries 13 to 21). The presence of only $Nb₂O₅$ -T at 120 °C for 2 or 4 h gave negligible yields of the products, probably due to the lack of release of sugars from the biomass. The additional presence of $SiO₂$ pellets,

together with the Nb₂O₅ catalyst, did not change the yield in furans. In any case, the yields were still very low even for long reaction times. It is likely that the difficult release of sugars in solution, as indirectly confirmed by some tests [\(Table 6](#page-11-0), entries 18–21) at different temperatures, could be the cause of the low yields of furans.

The results reported in [Table 6](#page-11-0) indicate that in order to transform the carbohydrates contained in the algal biomass into furans, the process needs two different steps. The first one aimed at the release and hydrolysis of carbohydrates from the algae to give sugars (essentially glucose) and the second relates to the catalytic conversion of sugars into furans. The first step was then carried out by treating the aqueous suspension of *Chlorella* sp. for 2 h at 140 °C in the presence of SiO₂ beads. After the filtration, the solution underwent a second treatment, at 180 ◦C for 1 h, in the presence of the various $Nb₂O₅$ catalysts. The obtained yields in furans [\(Fig. 13\(](#page-11-0)A)), were still very low though. Consequently, an optimization of both stages was performed. The first step gave the maximum release of carbohydrates from the algae at 180 ◦C for 2 h in the presence of acetic acid ($pH = 4$) and of $SiO₂$ beads. The optimization of the second step was performed at 180 °C in the presence of $Nb₂O₅$ -T by changing the reaction time. As shown in [Fig. 13](#page-11-0)(B), the maximum yield to furans was reached after 10 h of reaction, while for longer reaction times it decreased, probably due to polymerization reactions (see [Scheme 1](#page-1-0)). It is important to underline that in order to test the stability of the catalyst, after the run conducted for 16 h ($Fig. 13(B)$), the Nb₂O₅-T powder was washed three times with hot water in order to clean its surface. Then a second run of 10 h was carried out and subsequently the catalyst was washed again and a third test of 10 h was performed with the same $Nb₂O₅-T$ powder. These tests showed an excellent stability of the material, which at the third cycle showed a lowering of the maximum furans yield of approximately 8 %, probably due to the loss of

Table 6

Total yield (Y_F) to 5-HMF and furfural from sugars contained in algae for experiments carried out with *Chlorella sp*. under different experimental conditions.

Fig. 13. Total yield to 5-HMF and furfural for runs carried out in two stages (A) the first one in the presence of only SiO₂ (2 h at 140 °C), and the second in the presence of Nb₂O₅ (1 h at 180 °C); (B) the first one in the presence of acetic acid (pH = 4) and SiO₂ (2 h at 180 °C), and the second in the presence of Nb₂O₅-T at 180 ℃ by varying the reaction time; and (C) the first one as in (B) but the second stage was carried out in the absence (control test) or in the presence of the various Nb2O5 materials at 180 ◦C for 10 h.

small quantities of catalyst that inevitably occurs during the washing process.

After the optimization of the second step, performed in the presence

of $Nb₂O₅-T$, also the activity of the other catalysts was tested under the same condition (Fig. 13(C)). Only the $Nb₂O₅-B-500$ sample, was not tested because compared to the other $Nb₂O₅$ -B samples, it resulted in much lower activity in the glucose conversion tests to 5-HMF (see [Table 5\)](#page-10-0) in agreement also with ref. [\[50\].](#page-13-0) The catalyst recycling tests were performed also in the presence of the other catalysts and in [Fig. 13](#page-11-0) (C) the oscillation of the result obtained is shown for each material.

For all runs, the quantity of *Chlorella* sp. was 40 mg in 24 mL of water. The amounts of $Nb₂O₅$ and $SiO₂$ beads were 24 mg and 240 mg, respectively. The perusal of [Fig. 13](#page-11-0)(C) indicates that $Nb₂O₅-T$, -E, -B and HY-340 show similar performances in terms of furans yields (essentially 5-HMF), whereas $Nb₂O₅$ -B-300 and $Nb₂O₅$ -SA exhibited lower activities. These results can be attributed to the higher acidity exhibited by the non-calcined solids with respect to the calcined ones including $Nb₂O₅$ -SA that showed moreover a very low specific surface area.

Comparing the results in terms of 5-HMF yield, obtained from the synthetic glucose solution with those achieved starting from *Chlorella* sp. algae, it appears evident that in some cases the total performance of the catalysts dramatically changes. For instance, $Nb₂O₅$ -B-300 exhibited the highest yield to furans from glucose and conversely one of the lowest values of yield from *Chlorella* sp. On the other hand, the HY-340 showed an opposite behavior. It is possible to observe that the best catalysts for the *Chlorella* sp. valorization to furans are those presenting the highest number of Brønsted acid sites. On the contrary, for the conversion of glucose to 5-HMF, the presence of both Lewis and Brønsted sites in the catalyst seems to be essential. Indeed, Lewis acid sites are fundamental for the isomerization of glucose to fructose and Brønsted sites are needed for the dehydration of the latter into 5-HMF. The acid properties studied by ssNMR indicates that $Nb₂O₅-B-300$ is the sample with the greatest amount of Lewis sites, therefore, justifying the higher yield of 5-HMF starting from a glucose solution. Instead, when *Chlorella* sp. water suspension was the starting material after the first stage (dedicated to the release of carbohydrates present in the algae and their hydrolysis), part of them remained in the form of polysaccharides whose hydrolysis to hexoses and pentoses is favored by the presence of Brønsted acids. Consequently, the most acidic catalysts are those capable to better hydrolyze the residual polysaccharides to monosaccharides that can be transformed to furans with the highest yield.

4. Conclusions

A set of home prepared and commercial Nb₂O₅ catalysts were used for the valorisation of carbohydrates contained in the microalga *Chlorella* sp. to obtain added value products as 5-HMF and furfural. Glucose served as model substrate to study the behaviour of the different $Nb₂O₅$ materials and optimise the reaction conditions for its conversion to fructose and subsequently to 5-HMF. Preliminary tests were carried out on *Chlorella* sp. under homogeneous or heterogeneous conditions, yielding low furan yields. These low yields were attributed to the complex structure of the cell wall. To optimize the valorization process of microalga *Chlorella* sp. into furans, a two-step reaction was employed, in which the first step facilitated not only the release of carbohydrates into the aqueous solution but also their partial hydrolysis to hexoses and pentoses. This first step was followed by the heterogeneous catalytic reaction in the presence of $Nb₂O₅$, obtaining a significant increase of the yield to furans up to the 22 % with respect to the total sugars extracted from algae. The employed catalysts were thoroughly characterized by employing various techniques. The findings revealed a clear correlation between conversion yields to 5-HMF and furfural and catalyst acidity, specific surface area, and the existence of Lewis and Brønsted acid sites. While $Nb₂O₅$ -B-300, the catalyst presenting the highest amount of Lewis acid sites, proved to be the best material for glucose transformation into 5-HMF, the catalysts showing the highest number of Brønsted acid sites appeared to be the best ones for the transformation of the complex substrate *Chlorella* sp. Therefore, this study demonstrates the feasibility of the process of conversion into furans of *Chlorella* sp. in the presence of $Nb₂O₅$, an eco-friendly catalyst, along with an indication on the choice of the optimal catalyst when working with complex matrices substrates.

CRediT authorship contribution statement

Serena Lima: Conceptualization, Data curation, Formal analysis, Investigation, Methodology, Writing – original draft, Writing – review $\&$ editing, Supervision. Elisa I. García-López: Conceptualization, Investigation, Methodology, Supervision, Writing – original draft, Writing – review & editing. **Igor Krivtsov:** Investigation, Writing – original draft. **Marina Ilkaeva:** Conceptualization, Data curation, Investigation, Writing – review & editing. **Carlos Bornes:** Data curation, Investigation. **Luís Mafra:** Data curation, Funding acquisition, Writing – original draft. **Leonarda F. Liotta:** Data curation, Formal analysis, Investigation, Writing – original draft, Writing – review & editing. **Silvia Villar-Rodil:** Investigation, Writing – original draft. **Juan I. Paredes:** Investigation, Writing – original draft. **Giuseppe Marcì:** Conceptualization, Data curation, Formal analysis, Investigation, Supervision, Writing – original draft, Writing – review & editing, Project administration. **Francesca Scargiali:** Data curation, Funding acquisition, Supervision, Writing – original draft.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability

Data will be made available on request.

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