

# Computers and Electronics in Agriculture

## Worker safety in agriculture 4.0: a new approach for mapping operator's vibration risk through Machine Learning activity recognition

--Manuscript Draft--

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<b>Corresponding Author:</b>	giuseppe aiello Palermo, Italy
<b>First Author:</b>	giuseppe aiello
<b>Order of Authors:</b>	giuseppe aiello pietro Catania mariangela vallone mario venticinque
<b>Abstract:</b>	<p>While being a fundamental driver of competitiveness in agroindustry, technological innovation has also introduced new critical elements related, for example, to the sustainability of the production processes as well as to the safety of workers. In such regard, the advent of the 4<sup>th</sup> industrial revolution (Agriculture 4.0) based on digitalization, is an unprecedented opportunity of rethinking the role of innovation in a new human-centric perspective. In particular, the establishment of an interconnected work environment and the augmentation of the operator's physical, sensorial, and cognitive capabilities, are two technologies which can be effectively employed for substantially improving the ergonomics and safety conditions on the workplace. This paper approaches such topic referring to the vibration risk, which is a well-known cause of work-related pathologies, and proposes an original methodology for mapping the risk exposure of the operators to the activities performed. A miniaturized wearable device is employed to collect vibration data, and the signals obtained are segmented in time windows and processed in order to extract the significant features. Finally, a machine learning classifier has been developed to recognize the worker's activity and to evaluate the related exposure to vibration risks. To validate the methodology proposed, an experimental analysis in real operating conditions has been finally carried out by monitoring the activities performed by a team of workers during harvesting operations. The results obtained demonstrate the feasibility and the effectiveness of the methodology proposed.</p>
<b>Suggested Reviewers:</b>	antonio padovano antonio.padovano@unical.it author of similar research (cited)  Hao Luo luohao@szu.edu.cn author of similar research (cited)  daniel Podgorski dapod@ciop.pl author of similar research (cited)  Adrien Malaisé adrien.malaise@inria.fr author of similar research (cited)

To Editorial Department of

**Computers and Electronics in  
Agriculture**

**Object: Cover Letter for the manuscript “Worker safety in agriculture 4.0: a new approach for mapping operator’s vibration risk through Machine Learning activity recognition”.**

Dear Editor,

I am submitting the manuscript entitled “Worker safety in agriculture 4.0: a new approach for mapping operator’s vibration risk through Machine Learning activity recognition”, for consideration of publication in Computers and Electronics in Agriculture. The manuscript discusses the application of machine learning methods for activity recognition and risk assessment in agriculture, and reports the results of experimental tests in olive harvesting.

The manuscript has not been published nor has it been ever submitted elsewhere.

Thank you for your consideration.

Giuseppe Aiello

## Highlights

- Recognize operator's activities through a machine learning classifier
- Assess Vibration risk in real time to enhance operator's safety
- Develop a lightweight wearable device for real time monitoring
- Validate the process in real conditions through experimental tests

# 1 **Worker safety in agriculture 4.0: a new approach for**

# 2 **mapping operator's vibration risk through Machine**

# 3 **Learning activity recognition**

## 4

## 5 **Abstract**

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19 related exposure to vibration risks. To validate the methodology proposed, an experimental analysis  
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21 team of workers during harvesting operations. The results obtained demonstrate the feasibility and  
22 the effectiveness of the methodology proposed.

23 **Keywords: Operator safety, vibration risk, machine learning, real time monitoring**

24

25 **1. Introduction**

26 In the last decade, the so-called 4<sup>th</sup> industrial revolution, or industry 4.0 has promoted a  
27 substantial renewal of industrial processes, based on the interconnection of the production resources  
28 and on the valorization of digital information. Promoted by significant investments from public  
29 institutions and private companies, this renewal process has grown to a global scale, originating new  
30 business models based on digital information to support and strengthen the value chain of enterprises  
31 (Strange and Zucchella, 2017). The disruptive potential of such revolution has spread beyond the  
32 boundaries of industrial manufacturing, encompassing and influencing all industry fields related to  
33 the provision of products and services. The agroindustry sector has embraced the digital revolution,  
34 recognizing the unprecedented opportunity it offers to provide a reliable response to the demands of  
35 our future society in terms of demographics, scarcity of natural resources, climate change, and food  
36 waste.

37 Differently from past innovations, mainly centered on the intensive exploitation of resources  
38 to increase yields and production rates, Agriculture 4.0 or Smart Farming (Lezoche et al., 2020, De  
39 Clercq et al. 2018, Rose and Chilvers, 2018) substantially relies on the employment of digital  
40 technologies for strengthening the value chain of agro-industrial enterprises. The next generation  
41 agroindustry systems, will hence exploit digitalization technologies, such as the Internet of Things,  
42 Cloud Computing, robotics, and Artificial Intelligence (AI) to redesign the value-creation processes  
43 through a substantial innovation in the interaction among the operators and the machines (Benos et  
44 al., 2020). In such regard, Agriculture 4.0 will also represent an epochal shift towards a human-centric  
45 view of the production environment, where the role of the operator will be reconsidered in a renewed  
46 approach towards ergonomics and safety, envisioning new technologies and methodologies aimed at  
47 preventing work-related health diseases. In the current agroindustry practice, indeed, ergonomics and  
48 safety are still frequently approached with standard worksheets filled by experts and processed with  
49 statistical tools, and rarely involving real time quantitative measurements. Considering the  
50 possibilities offered by the modern technologies, these approaches appear obsolete and somewhat

51 inadequate, in the general context of the smart production environment, particularly when high-  
52 demanding operations are involved, as frequently in agroindustry. The opportunities offered by new  
53 technologies in developing next generation human-machine interfaces are also of paramount  
54 importance in consideration of the ageing process that is affecting the agricultural workforce of many  
55 industrialized countries. The European population, in particular, is projected to grow from 507.2  
56 million in 2013 to 522.8 million in 2060, with the percentage of seniors (65 years or older) forecasted  
57 to grow by 10%, while the working age population is expected to drop by 9.4% (EC 2017). The  
58 impact the ageing process on operator's performance is currently a substantial concern for industrial  
59 and agricultural organization, as discussed for example by Calzavara et al. (2020) and Reed and  
60 Claunch (2015). In such regard, the recent advances in sensing technologies offer a substantial  
61 occasion for improving the health and safety conditions of the workplace. Such technologies are  
62 nowadays becoming popular and cheap devices can be easily found on the market (e.g. for fitness or  
63 medical purposes), although their employment in agro-industry context is still limited. Clearly, the  
64 development of such devices for safety purposes, not only involves a suitable hardware configuration,  
65 but it also requires the establishment of appropriate methodologies for measuring the workers'  
66 exposure to physical (e.g. vibrations) and cognitive (e.g. fatigue) hazards, their wellbeing status (e.g.  
67 the presence of stress markers in biological fluids), as well as the health and safety conditions of the  
68 workplace (e.g. wrong postures or the presence of dangerous substances).

69 This research, in particular, focuses on the health risks related to vibrations, which, according  
70 to Eurofound's (2016) sixth European working conditions survey (EWCS), affect an average of 20%  
71 workers in Europe (proportion of workers in EU28 exposed one-quarter of the time or more to  
72 vibration risks from hand tools and machinery in 2015) with Agriculture, Manufacturing and  
73 Construction being the most critical sectors. In such contexts, the vibrations originating from tools or  
74 machineries can cause occupational diseases such as the hand-arm vibration syndrome (HAVS).  
75 Therefore, national and international institutions have issued appropriate regulations to enforce  
76 surveillance actions and prevention measures, and to assign specific responsibilities to the

77 manufacturers, to the employers and also, partly, to the workers themselves. In particular, the  
78 machinery directive (2006/42/EC) requires the manufacturers to implement in their tools and  
79 equipment appropriate technical solutions aimed at reducing the vibration levels. The employers are  
80 in charge for monitoring risks in order to preserve the health of the operators, while the workers are  
81 responsible for using the tools according to the given instructions and to report the occurrence of  
82 unusually high vibration levels during their activity. Such prescriptions nowadays are applied only to  
83 a limited extent, not necessarily due to the negligence of the subjects involved, but rather because of  
84 the technical difficulties in measuring and assessing the risk exposure for workers, considering the  
85 lack of suitable real-time measurement instruments (Podgorski et al 2017, Bernal et al 2017).  
86 Consequently, the subjects involved are scarcely aware about the actual risk exposure of workers,  
87 and corrective actions are seldom triggered timely. It is hence necessary to provide organizations with  
88 adequate tools and systems capable of increasing their awareness about vibration hazards, thus  
89 introducing work breaks when necessary, restricting the operating time during the workday,  
90 scheduling the tasks in order to alternate the use of vibrating and non-vibrating tools and triggering  
91 safety measures when necessary.

92 The exposure to vibration risk is a main concern in agriculture, since vibrating tools such as  
93 tractors, shakers, harvesters, etc. are commonly employed in several operations. Mechanized fruit  
94 harvesting, for example, is a common practice in modern agri-food chains, due the substantial cost  
95 reduction it allows compared to manual harvesting. For example, the employment of hand-held  
96 harvesters or shakers equipped with electric or combustion engines in olive harvesting has been  
97 demonstrated to increase the field working capacity by even two to three times (Carrara et al., 2007)  
98 with a yield of 90-95%, (Sola-Guirado et al., 2014; Castillo-Ruiz et al., 2015; Bernardi et al., 2016).  
99 Portable harvesters however are a well-known cause health risks related to vibrations (Pascuzzi et al.,  
100 2009; Aiello et al, 2012), therefore their employment must be accurately scheduled, to avoid an  
101 excessive risks exposure of the workers involved. In such situations, the team of operators should  
102 hence be appropriately managed to maximize the efficiency of harvesting while complying with the

103 prescriptions of safety regulations for limiting the exposure to vibration risks. The vibration dose that  
104 each operator daily accumulates during his operations, can thus be regarded as a safety “budget”, that  
105 must be accurately allocated to achieve an optimum compromise between efficiency and safety  
106 (Aiello et al. 2019). A precise map of the operators’ risk exposure with respect to the operations  
107 performed could be a substantial information for the workers who could be aware of their level of  
108 risk exposure, but it could also substantially support the management in improving the schedules in  
109 order to prevent health risks for the workers.

110           Based on such premises, this paper aims at contributing to the existing literature in the context  
111 of ergonomics and safety in agroindustry, by targeting the following research objectives:

- 112       • evaluating the effectiveness of state-of-the-art machine learning methods for activity  
113       recognition in agroindustry and risk assessment;
- 114       • demonstrating the technical feasibility and the effectiveness of the current technologies in  
115       monitoring the workers’ exposure to vibration risks in real time.
- 116       • proposing a novel approach to occupational health and safety in agroindustry based on the  
117       digitalization of risk-related data.

118           In the reminder of the paper, after introducing the relevant scientific literature in section 2, a  
119 methodology based on the employment of digital sensor data and Machine Learning methods will be  
120 presented. In the 4<sup>th</sup> paragraph the effectiveness of the proposed approach is discussed based on  
121 experimental results obtained through in laboratory experiments. The conclusions then discussed in  
122 section 5, where also the managerial insights are highlighted.

123

## 124 **2. Literature review**

125           The studies about the interaction between the human operators and the machines can be traced  
126 back to the last century, when, the introduction of mechanization in agriculture brought a significant  
127 improvement in the cost-effectiveness of the production processes. The research, at that time, was  
128 mainly focused on the optimization of the performance of the machines, while the workers had to



129 adapt to the systems' processes. The shift towards a human-centric view of the work environment,  
130 emerged only at the end of the last century, when the principles of occupational health and safety  
131 were placed at the core of the ergonomics science, in a modern approach towards human-machine  
132 interaction. Industrial ergonomics is recognized nowadays as an important and scientifically  
133 consistent research topic, attracting a multidisciplinary research effort. Referring to the agro-  
134 industrial sector, the recent establishment of the Agriculture 4.0 paradigm (Lezoche et al., 2020, De  
135 Clercq et al. 2018, Rose and Chilvers, 2018), has enriched the scope of ergonomics with new  
136 technological and methodological elements, fostering the development of safer and healthier  
137 production operations. According to such view, the concept of the "Smart Operator" or "operator  
138 4.0", recently introduced (Longo et al 2020, Ruppert et al 2018, Romero et al 2017, Kong et al 2018)  
139 in the manufacturing industry with the objective of aligning and enhancing operators'  
140 capabilities/competencies with the new production environment, can be straightforwardly extended  
141 to the agroindustry sector. This evolution of the operator's role is supported by the methodological  
142 and technological advances promoted by the fourth industrial revolution, including wireless  
143 interconnection technologies (IoT), Big Data Analysis, and Artificial Intelligence, etc. The  
144 application of such technologies in conjunction with Human Activity Recognition (HAR)  
145 methodologies can open a wide landscape of new applications related to the analysis and  
146 classification of the operators' activities. HAR is a consolidated research topic focused on the  
147 automatic detection and recognition of the activities of a person or a group of persons through the  
148 analysis of relevant data related to their operations. The roots of HAR system can be traced in the  
149 activity theory, originally developed by the Russian psychologist Leontev (1978) in the 80's, which  
150 defines the fundamental theoretical reference framework for classifying human activities. The activity  
151 theory introduces a hierarchical structure where activities are described as an aggregation of actions,  
152 which, in turn, are understood as set of atomic steps named operations. The early efforts in the  
153 development of activity recognition systems begun with the formulation of suitable methodologies to  
154 recollect the structure of operators' activities from the analysis of data related to the operations

155 performed, fused with additional context information. Such approaches ultimately aimed at  
156 simulating the human ability of extracting significant elements from redundant or confused  
157 information, thus falling in the broader framework of machine learning (ML) methods. ML is a  
158 research field initiated in 1959 with the objective of developing computer systems with the ability to  
159 learn without being explicitly programmed (Samuel, 1959). Driven by the increase in computational  
160 capabilities offered by electronic calculators, the first practical applications of pattern recognition  
161 systems were mainly based on the stochastic discrimination of characteristic patterns in noisy datasets  
162 (Devijver and Kittler, 1982) such as texts, images or sounds. The integration with on-body sensing  
163 technologies started approximately 20 years later, with the studies of Randell and Muller (2000).  
164 Nowadays, after more than 50 years of research, ML has become an important interdisciplinary  
165 research area, involving sensor based and video based recognition systems. The original toolset of  
166 statistical methodologies has also enriched with more complex and computationally demanding  
167 techniques allowing for real-time analysis of complex patterns in big amounts of data. Modern ML  
168 methods can thus be distinguished into two broad classes, namely supervised learning, involving  
169 human expert's knowledge in a preliminary stage, and unsupervised learning where the reconstruction  
170 of an inherent structure of the data is entirely entrusted to the machine. The class of supervised  
171 machine learning methods typically includes regression (e.g. generalized linear models, support  
172 vector regression, decision trees, ensemble methods, trained neural networks) and classification  
173 techniques (e.g. support vector machines, k-nearest neighbor, discriminant analysis), while clustering  
174 (e.g. hierarchical, k-means, Hidden Markov Models) and association techniques belong to the class  
175 of unsupervised machine learning.

176         The proliferation of electronic sensing devices, in the last decade, promoted the spread of  
177 sensor based HAR systems in several fields including industry (Akhavian and Behzadan 2018),  
178 medicine (Patel et al 2012, Schrader et al., 2020), assisted living (Ghasemi and Pouyan,2016), etc.  
179 Recently, applications of HAR technology have also been proposed in the context of ergonomics and  
180 safety (Nath et al., 2018, Malaisè et al., 2019), where several typologies of body-mounted sensors

181 have been employed within automatic ergonomic assessment methods based on the classification of  
182 the activities performed by workers. In particular, a consistent body of scientific literature focuses on  
183 vibration-based activity recognition methods, exploiting the data gathered by accelerometers  
184 integrated into the workers' equipment. The first relevant results in such regard appeared at the  
185 beginning of the new millennium when Bao & Intille (2004) and Ravi et al (2005) formulated the  
186 activity recognition problem as a modern classification problem. A major paradigm shift occurred in  
187 the last decade due to the popularization of smartphones featuring powerful miniaturized  
188 accelerometers based on Micro-Electro-Mechanical Systems (MEMS). Several smartphone  
189 applications of vibration based HAR systems have thus appeared in the last years, with significant  
190 contributions also in the industrial context where vibration analysis has been employed for monitoring  
191 and classifying the activities of construction workers (see e.g. Akhavian and Behzadan 2016, Zhang  
192 et al, 2017).

193 While the technologies for activity monitoring are spreading in a pervasive manner, from a  
194 methodological point of view, the approaches reported in the literature generally refer to the  
195 classification of activities based on the recognition of specific patterns in the features extracted from  
196 vibration signals. In particular, such approaches mostly rely on heuristic handcrafted features, also  
197 known as shallow features, including significant statistics extracted from the raw signal (e.g., std,  
198 avg, mean, max, min, median, etc.) in the time domain (Bao, & Intille, 2004; Heinz, et al. 2003; Kern  
199 et al., 2003), or in the frequency domain (Krause et al., 2003, Nham et al., 2008). Classification  
200 methods, such as decision trees (Bao et al 2004, Mannini & Sabatini 2010), k-Nearest Neighbor (Ravi  
201 et al. 2005), and Support Vector Machines (Anguita et al, 2012), are then trained to identify different  
202 activities. An extensive survey on wearable sensor-based HAR can be found in (Lara and Labrador  
203 2013).

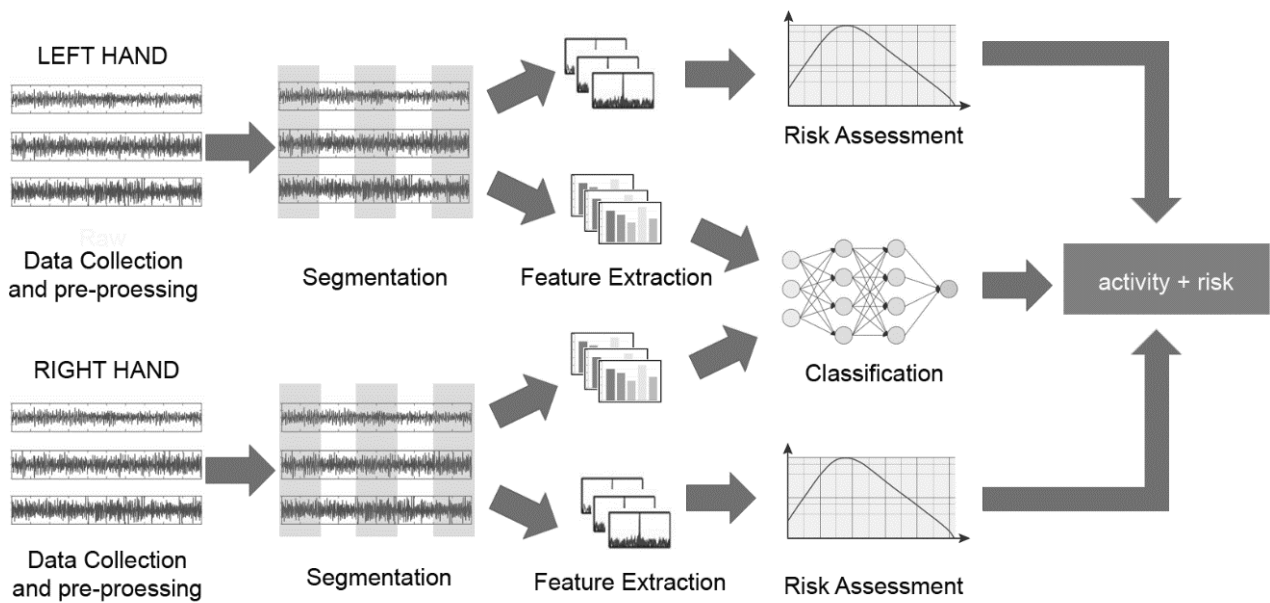
204 Coherently with the literature reported above, the research here proposed is based on the  
205 application of HAR technology in the context of agroindustry, with the objective of exploiting the  
206 information gathered by a smart sensing device worn by the operators as a part of their standard

207 equipment to improve the health and safety conditions of the workplace. A prototype device,  
208 developed specifically for this research, has been employed for gathering and analyzing the vibration  
209 data without hampering the activities normally performed by the workers, and to store the pre-  
210 processed information in a shared digital layer accessible by all the stakeholders involved in safety  
211 surveillance. The novelty of the approach proposed is related to the combination of the activity  
212 recognition methodology with a referenced real-time vibration risk assessment approach (Aiello et  
213 al. 2012). A real time mapping of the activities performed by the workers and their corresponding  
214 risk exposure can thus be obtained in order to promptly undertake preventive or corrective actions  
215 when dangerous situations are likely to occur. In particular, the EU Directive 2002/44/EC defines an  
216 “action value” as a threshold for triggering corrective actions, and “exposure limit” which, once  
217 reached, forces the worker to stop his activity. The average daily vibration is calculated according to  
218 the Standard EN ISO 5349-1 (2001), based on reference vibration levels generally provided by the  
219 tool manufacturers. This approach is however questionable as in fact the effective vibration intensity  
220 generated by mechanical machines largely depends upon several specific factors including,  
221 maintenance, operating conditions, etc. It is not unusual, hence, that the same tools generate  
222 substantially different vibrations when performing different tasks. A precise measurement of the  
223 actual vibration exposure should thus be employed to obtain more realistic values. This research is  
224 thus focused on the development of a novel system and related methodology to overcome the above  
225 discussed issues by simultaneously mapping the different tasks performed by an operator during its  
226 work shift, and the associated vibration dose, thus providing a reliable picture of the inherent  
227 operators’ exposure to safety risks in real time.

### 228 229 **3. Methodology**

230 This section discusses the methodology proposed for mapping the activities performed by a set of  
231 operators and at associating their corresponding risk exposure, in order to obtain an overall picture of  
232 the safety conditions of a team of workers performing open-field agricultural operations. The

233 methodology proposed is based on the exploitation of cloud interconnection functionalities and IoT  
 234 technologies, and can be subdivided into two main steps, namely: the recognition of the activities  
 235 performed by the operator through a ML classifier, and the assessment of the corresponding hand-  
 236 arm vibration risk exposure. The general framework of the methodology proposed is depicted in fig.  
 237 1, while the specific phases are discussed in detail below. The general framework refers to the open-  
 238 field operations performed by a team of workers in the agricultural and forest sector by means of  
 239 machineries and vibrating tools (e.g. harvesting, pruning, cutting, etc.). During operations, the tools  
 240 employed generate vibrations that are transmitted to the operator's hand-arm system, thus originating  
 241 a substantial risk exposure to vibrations. As depicted in figure 1, according to the framework  
 242 proposed, such vibrations are gathered into two separate data-streams by means of two accelerators  
 243 fixed to the wrists of each operator, and processed in order to recognize the activity performed and to  
 244 associate the corresponding risk exposure.



245  
 246  
 247  
 248

Fig. 1- general framework of the approach proposed

### 249 **3.1 Recognition and Classification of worker's activity in agriculture**

250 The classification of the activities performed by agricultural workers is based on the analysis  
251 of the vibration signals, acquired by a sensor system worn by the operator, through the  
252 implementation of a typical Activity Recognition Chain (ARC) of HAR systems (see e.g. Bulling et  
253 al 2014). According to this approach, during the initial data collection step, the input vibration data  
254 gathered by a sensing device are validated, pre-processed and subdivided into time-segments of fixed  
255 length. After segmentation, a set of relevant features is extracted from each data segment and fed into  
256 a machine learning classifier for categorization into a set of pre-established classes. In this research,  
257 data collection has been performed by means of a wearable prototype device attached to the waist of  
258 the operator and cable connected to two advanced tri-axial accelerometers, fixed to his wrists. The  
259 tri-axial acceleration data are then instantly recorded for each hand, thus obtaining two distinct data  
260 streams, each one consisting of the timestamped X,Y,Z accelerations values. The volume of the data  
261 generated, and the consequent computational effort required for processing, is strictly related to the  
262 polling frequency, which must be therefore accurately defined considering the technical limitations  
263 of the hardware employed. After data gathering, the pre-processing step involves all the operations  
264 required to transform the measurements into valid input data, suitable for the subsequent feature  
265 recognition process. The data gathered can in fact be affected by several inconsistencies such as  
266 artifacts deriving from partial reading, out-of-range readings, electro-magnetic noise and  
267 interferences in the data transfer. Corrupted data are repaired, thus obtaining regular triplets stored  
268 into time-stamped vectors. The subsequent step involves the subdivision of the vibration data streams  
269 into time-windows of fixed length, with the aim of reducing the computational effort required for  
270 extracting the relevant features. Processing the overall datasets may in fact result in significant delays,  
271 particularly when real time applications are performed through embedded systems with limited  
272 hardware capabilities (Ravi et al 2016), as in the case here considered. The length of the segments  
273 thus generated must appropriately established considering the specific application, being generally  
274 recognized that longer time windows improve the accuracy of the recognition process but result in

275 increased computational effort for feature extraction. A detailed discussion on such topic can be found  
 276 in Banos et al. (2014), however referring to the analysis of vibration levels, Preece et al. (2009) and  
 277 Dehghani et al. (2019) observed that existing studies generally do not consider time segments  
 278 exceeding 10s, with polling frequencies mostly varying between 20Hz and 100Hz. Such values  
 279 appear quite limiting nowadays, since modern CPUs easily allow to process bigger amounts of data  
 280 in short times. The sensing device employed in this study, for example allowed to seamlessly process  
 281 data segments of 40 secs in (quasi) real time at a frequency up to 1600 readings per second. Once the  
 282 gathered data have been segmented, a feature extraction procedure has been implemented to  
 283 transform the raw-data segments into a restricted set of numerical values. The feature extraction  
 284 process is thus essentially a dimensionality reduction process, aimed at mapping the original data-  
 285 sets into a feature-space through appropriate numerical methods. The choice of an appropriate set of  
 286 meaningful features is of critical importance in this phase to preserve the inherent knowledge for an  
 287 accurate classification process, while unnecessary features only increase the computational effort  
 288 without significantly improving the final result. In the case here considered, similarly with several  
 289 referenced works a set of seven time domain features have been extracted for each axis in each  
 290 window (table 1), involving statistical attributes frequently employed in the literature (see e.g. Erdas  
 291 et al. 2016) such as mean, standard deviation, as well as envelope metrics such as maximum and  
 292 minimum, root mean square, skewness, kurtosis of the signal.

293

<b>Feature name</b>	<b>Formula</b>
Mean	$\bar{x} = \sum_{x_t \in W} \frac{x_t}{N}$
Standard deviation	$\sigma = \sqrt{\frac{\sum_{t=1}^N (x_t - \bar{x})^2}{N}}$
Maximum	$Min = \text{mtn}\{x_t\}_{x_t \in W}$
Minimum	$Max = \text{max}\{x_t\}_{x_t \in W}$

Root Mean Square	$RMS = \sqrt{\sum_{x_t \in W} \frac{x_t^2}{N}}$
skewness	$S = \frac{1}{N} \left[ \frac{\sum_{t=1}^N (x_t - \bar{x})^3}{\sigma} \right]^3$
Kurtosis	$K = \frac{1}{N} \left[ \frac{\sum_{t=1}^N (x_t - \bar{x})^4}{\sigma} \right]^4$

Table 2 – Time domain Features extracted for activity recognition,  $W$ =generic data window,  $x_t$  generic data value belonging to the segment.

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297 Finally, the last step of the activity recognition chain consists in the classification process, aimed at  
298 discriminating the segments into one (binary classification) or more (multiclass classification)  
299 categories. The classification problem here considered has been approached by means of the well-  
300 known K-Nearest Neighbor (KNN) classifier, which assigns each new instance to a specific class  
301 according to its distance from the k most similar instances already classified. A crucial role on the  
302 accuracy of the classification is played by the establishment of a suitable distance metric and by the  
303 number of neighbors (k) considered. The k parameter influences the shape of the decision boundary,  
304 with small values resulting in a higher influence of noise on the classification, and large values  
305 substantially increasing the computational effort. Given the lack of appropriate optimization  
306 approaches, this value is generally established empirically by a trial and error.

307

### 308 3.2 Risk evaluation

309 Besides the classification of the operator's activities the methodology proposed involves the  
310 evaluation of the hand-arm risk exposure associated to the operations performed by the operator. For  
311 such purpose the procedure established consists in analyzing the vibration data after the segmentation  
312 step according to the guidelines provided in ISO 5349-1 (2001) and ISO 5349-2 (2001), which relate  
313 the vibration dose transmitted to the operator's hands to the root-mean square (rms) frequency-  
314 weighted acceleration value. The vibration spectrum must thus be extracted from the raw acceleration



315 data by means of Fast Fourier Transformation (FFT), and analyzed in 1/3 octave bands. Subsequently,  
316 the root mean squared (rms) intensity in each band is calculated and multiplied by an appropriate  
317 weighting factor related to the corresponding physiological effect. The frequency weighted  
318 acceleration can thus be calculated according to the following equation:

319

$$320 \quad a_{hw(x,y,z)} = \left[ \sum_{j=1}^n (W_j \cdot a_{w,j(x,y,z)})^2 \right]^{\frac{1}{2}} \quad (1)$$

321

322 where  $a_{w,j}$  is the acceleration measured in the one-third octave band in  $m s^{-2}$ , and  $W_j$  is the weighting  
323 factor of the corresponding one-third-octave band.

324

325 The evaluation of vibration exposure in accordance with ISO 5349 is then obtained as the root-sum-  
326 of-squares (vibration total value) of the three component values:

327

$$328 \quad a_{hw} = \sqrt{a_{hw(x)}^2 + a_{hw(y)}^2 + a_{hw(z)}^2} \quad (2)$$

329

330 where  $a_{hw(x)}$ ,  $a_{hw(y)}$ ,  $a_{hw(z)}$  are the frequency-weighted acceleration values for the single axes.

331

332 The vibration exposure threshold finally depends upon the magnitude of the total vibration value and  
333 the daily exposure expressed in terms of the 8-hour energy-equivalent acceleration or frequency-  
334 weighted total vibration value:

335

$$336 \quad A(8) = a_{hw} \sqrt{\frac{T}{T_0}} \quad (3)$$

337

338 where  $T$  is the total daily duration of the exposure (s), and  $T_0$  is the reference duration of 8 h.

339 In real time vibration risk evaluation, an effective approach to ease the calculation is to consider an  
340 equivalent vibration score (VS) factor, calculated according to eq. 4.

341

$$342 \quad VS = a_{hw} \cdot T^2 \quad (4)$$

343

344 The employment of the vibration score allows to update the worker's risk exposure level at each new  
345 data segment acquired, and the assessment of the vibration exposure of the operator can thus be  
346 undertaken by comparing the instantaneous vibration score with the action threshold and maximum  
347 allowable dose, coherently calculated according to eq. 5a and 5b (Aiello et al., 2019).

348

$$349 \quad VS^{action} = 8 \cdot 5^2 = 200 \quad (5a)$$

$$350 \quad VS^{maximum} = 8 \cdot 2.5^2 = 50 \quad (5b)$$

351

#### 352 **4. Experimental analysis**

353 To validate the proposed methodology, an experimental analysis has been carried out by  
354 monitoring a team of operators involved in a mechanized olive harvesting task, with the objective of  
355 recognizing the activities performed and determining the related risk exposure. The vibration signals  
356 streams generated during the activities performed by the workers have been gathered by means of the  
357 wearable device previously mentioned which is based on the Raspberry PI4 "system-on-chip"  
358 platform and features a 1.5 GHz 64-bit quad core ARM Cortex-A72 processor, 4GB RAM, WLAN  
359 and full gigabit ethernet interface card, integrated in a single board. The wearable device employed  
360 is the evolution of a previous system (Aiello et al., 2012) with increased computational capability and  
361 improved sensing capabilities provided by the high-performance Bosch BMI160 accelerometer. The  
362 BMI160 is a small low noise 16-bit Inertial Measurement Unit (IMU) designed for battery-driven  
363 devices, featuring a sensitivity configurable between  $\pm 2g$  and  $\pm 16g$  and a maximum Output data Rate  
364 (ODR) of 1.6 kHz. The device is powered by a 20000 mAh Li-po rechargeable battery and connected

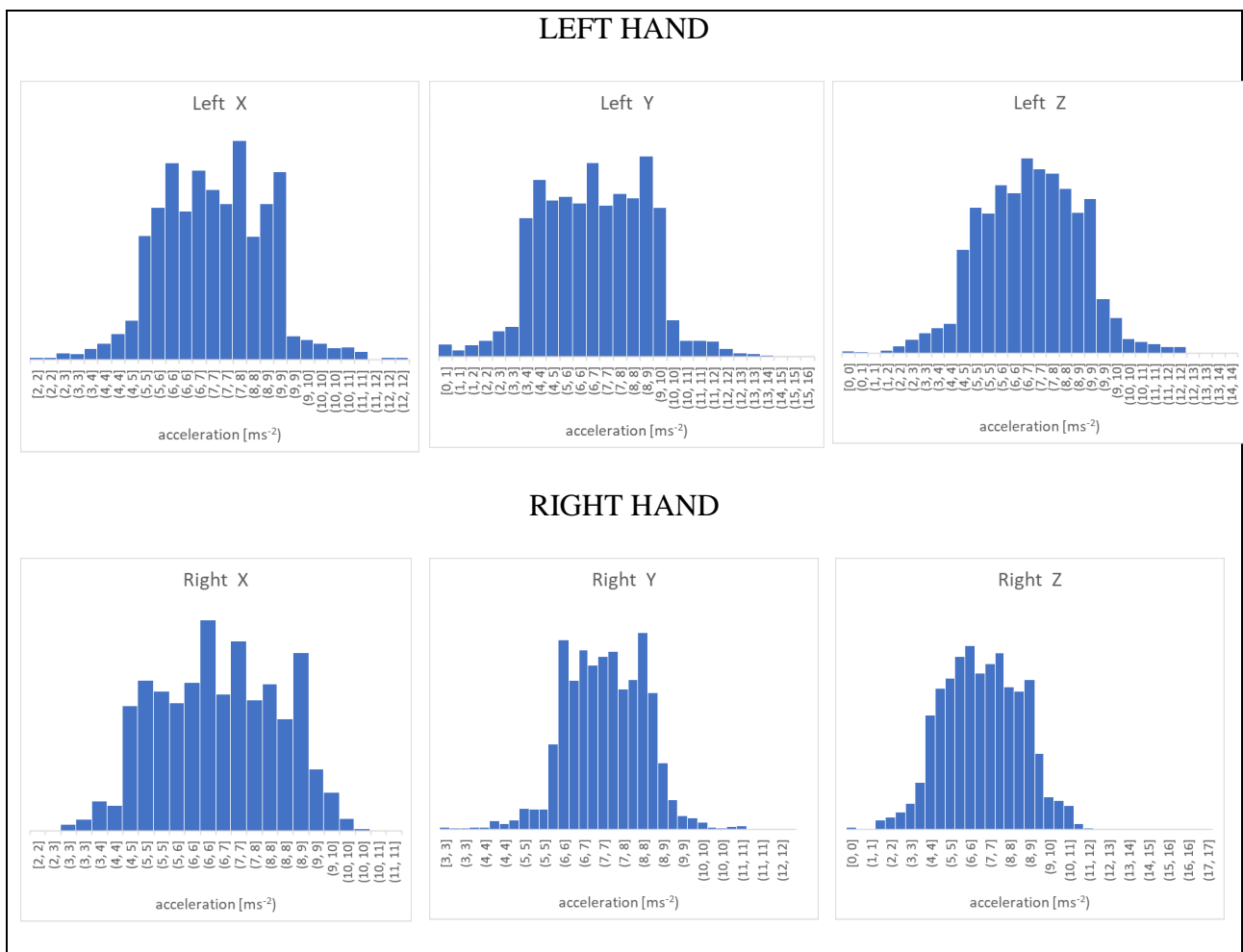
365 to a WiFi network, which enables the communication with a centralized system. The vibration signals,  
366 acquired with a sensitivity of  $\pm 8g$  and at a frequency of 1600 Hz during 3 hours of open-field  
367 operations performed by a team of workers, have been segmented into windows of 40 seconds  
368 containing 64000 values each, and stored in the local memory of the device. The olive harvesting  
369 operations monitored during the field tests, generally involved 15 to 20 catches per tree, with an  
370 average duration of 5.5 seconds per catch (Aiello et al. 2019). The overall harvesting time for each  
371 tree thus amounts at 10 – 15 minutes, with less than 2 minutes of actual shaking time (catch phases)  
372 and the remaining period involving auxiliary operations related to the movements of the operator and  
373 the re-positioning of the tool (no-catch phases). In such conditions, and assuming an exposure time  
374 limit of approximately 30 min, each operator is expected to harvest approximately 15 trees, in around  
375 3 hours of activity. The experimental analysis aims at validating such values through real time  
376 observations.

377 The pre-processing and feature extraction activities are performed locally on the wearable device, as  
378 well as the risk assessment calculations. The system is thus structured according to a decentralized  
379 architecture, with the wearable devices capable of classifying 99 segments per hour per hand. Such  
380 decentralized solution is highly scalable and previous studies (Aiello et al., 2017) have demonstrated  
381 its effectiveness in monitoring the risk exposure of several workers simultaneously.

382 Concerning the classification process, the supervised k-nn approach proposed involves a preliminary  
383 characterization of the vibration phenomena originated during the catch and no-catch phases. The  
384 results of such preliminary characterization are given in the following figures 2 and 3, where the 6  
385 histograms of the absolute X,Y and Z acceleration values for both hands are reported. It can be  
386 observed that, due to the different models of shakers employed during the olive harvesting operations,  
387 the catch and no-catch phases originate significantly different vibration phenomena, with a more  
388 regular pattern during the no-catch phases where the acceleration values are distributed in an interval  
389 between 3 and 10  $m/s^2$  with two little tails. The acceleration values gathered during the catch phases,  
390 contrarily, show a much less regular shape, with significantly higher acceleration intervals and a right

391 tail reaching the cut-off value of the accelerometer (8g). The different characteristics of the vibration  
 392 phenomena, and the consequent substantially different shapes of the histograms, are the main reasons  
 393 for the establishment of a recognition procedure based on a set of time domain features, involving the  
 394 basic descriptors of the histogram shape.

395 A subset of 500 instances (segments) generated during the tests has been preliminarily classified into  
 396 catch (“C”) and no-catch (“NC”) activities, through a human-supervised process. Subsequently, to  
 397 assess the performance of the classifier, 25 unique NC activity segments and 25 unique C activity  
 398 segments were randomly selected thus obtaining a set of 50 instances. Such set was then randomly  
 399 subdivided into a training and a validation set of 40 and 10 instances, respectively and fed into the k-  
 400 nn classifier. Such random sampling process was iterated 20 times, thus performing 1000 overall  
 401 classifications with different training sets.



402 Fig 2 - Vibration histograms related to the no-catch activities

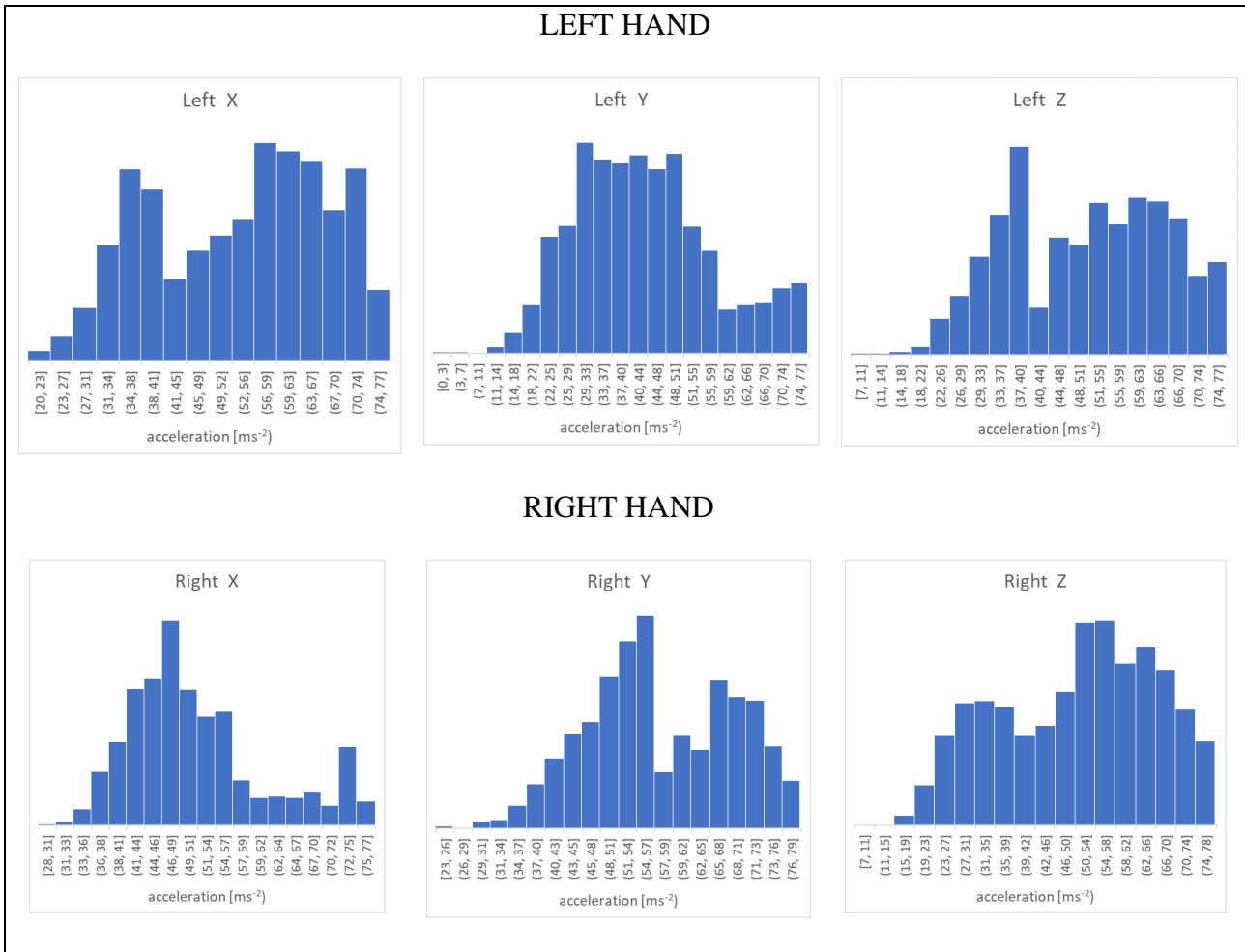


Fig 3 - Vibration histograms related to the catch activities

404

405

406 The accuracy of the classification has then finally evaluated according to the parameters reported in

407 the following eq. 6 to 9.

$$408 \text{ Accuracy} = \frac{\# \text{correct classifications}}{\# \text{dataset dimension}} = \frac{TP+TN}{TP+FP+TN+FN} \quad (6)$$

$$409 \text{ Sensitivity} = \frac{TP}{TP+FN} \quad (7)$$

$$410 \text{ Precision} = \frac{TP}{TP+FP} \quad (8)$$

$$411 F - \text{score} = 2 \frac{\text{Precision} \times \text{Sensitivity}}{\text{Precision} + \text{Sensitivity}} \quad (9)$$

412

413 Where *TP* (true positive) is the number of samples correctly attributed to the C class and *TN* (true  
414 negative) is the number of instances correctly attributed to the NC class. *FP* (False Positives), and  
415 *FN* (false negatives) are referred to misclassified instances. The classification results. given in table  
416 2, were thus obtained considering different values of k (5, 7, and 9), in order to analyze the influence  
417 of this parameter on the accuracy of the classification, and to choose the optimum value.

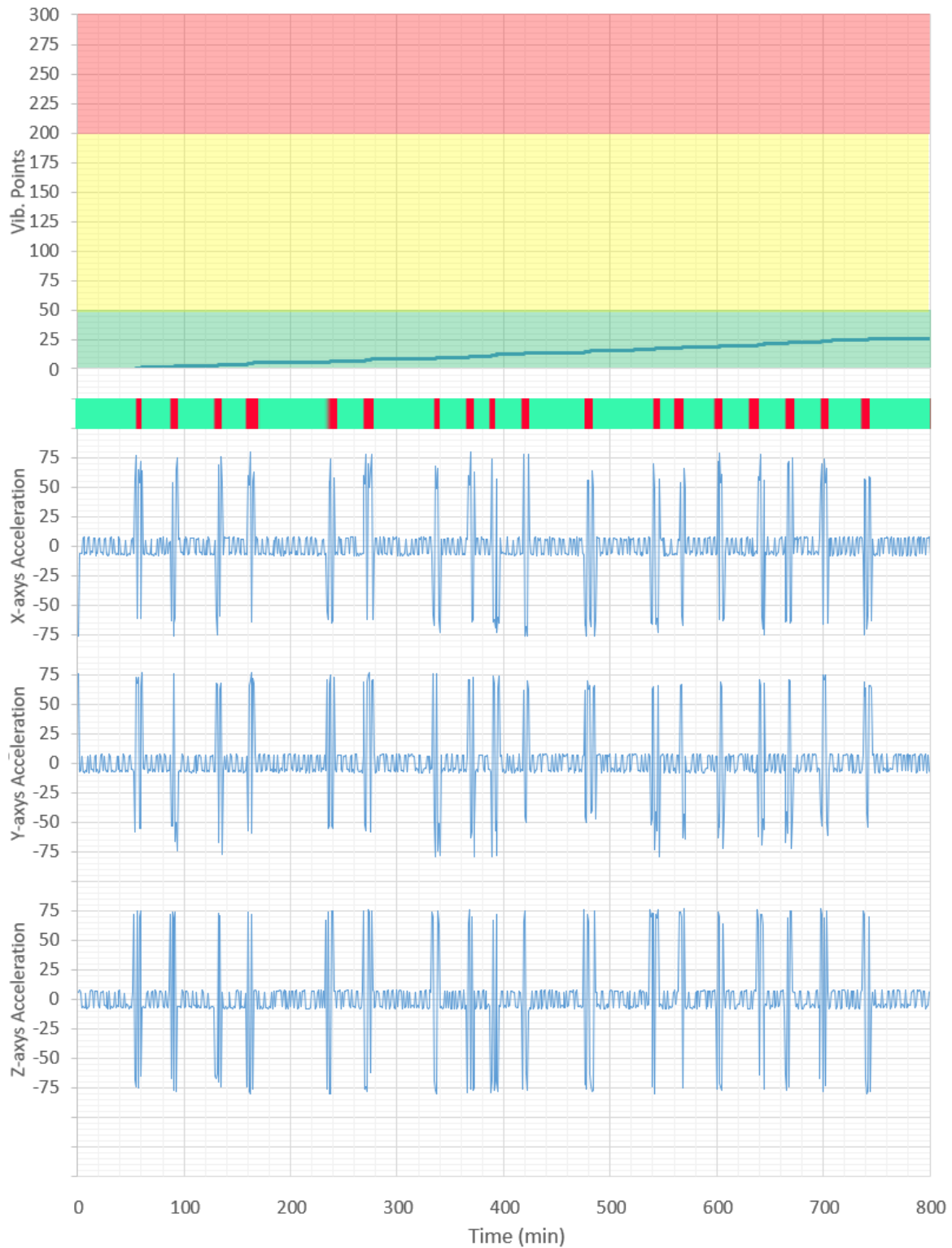
run #	K=9				K=7				K=5			
	Accuracy	Sensitivity	Arecision	F-Score	Accuracy	Sensitivity	Arecision	F-Score	Accuracy	Sensitivity	Arecision	F-Score
1	90%	100%	75%	0,86	100%	100%	100%	1,00	90%	100%	75%	0,86
2	90%	100%	80%	0,89	90%	100%	50%	0,67	100%	100%	100%	1,00
3	100%	100%	100%	1,00	100%	100%	100%	1,00	100%	100%	100%	1,00
4	90%	100%	80%	0,89	100%	100%	100%	1,00	100%	100%	100%	1,00
5	100%	100%	100%	1,00	100%	100%	100%	1,00	100%	100%	100%	1,00
6	90%	100%	80%	0,89	90%	100%	83%	0,91	100%	100%	100%	1,00
7	100%	100%	100%	1,00	90%	100%	88%	0,93	90%	100%	75%	0,86
8	100%	100%	100%	1,00	100%	100%	100%	1,00	100%	100%	100%	1,00
9	100%	100%	100%	1,00	100%	100%	100%	1,00	100%	100%	100%	1,00
10	100%	100%	100%	1,00	100%	100%	100%	1,00	100%	100%	100%	1,00
11	100%	100%	100%	1,00	100%	100%	100%	1,00	90%	100%	83%	0,91
12	100%	100%	100%	1,00	100%	100%	100%	1,00	100%	100%	100%	1,00
13	100%	100%	100%	1,00	100%	100%	100%	1,00	100%	100%	100%	1,00
14	100%	100%	100%	1,00	100%	100%	100%	1,00	90%	100%	86%	0,92
15	100%	100%	100%	1,00	100%	100%	100%	1,00	100%	100%	100%	1,00
16	90%	100%	75%	0,86	90%	100%	83%	0,91	100%	100%	100%	1,00
17	100%	100%	100%	1,00	100%	100%	100%	1,00	100%	100%	100%	1,00
18	100%	100%	100%	1,00	100%	100%	100%	1,00	100%	100%	100%	1,00
19	90%	100%	83%	0,91	100%	100%	100%	1,00	90%	100%	75%	0,86
20	100%	100%	100%	1,00	100%	100%	100%	1,00	100%	100%	100%	1,00
	<b>97%</b>	<b>100%</b>	<b>94%</b>	<b>0,97</b>	<b>98%</b>	<b>100%</b>	<b>96%</b>	<b>0,98</b>	<b>98%</b>	<b>100%</b>	<b>95%</b>	<b>0,97</b>

Table 2 performance of the classifier.

418  
419 The results show that the classifier achieves an extremely good performance level, with an accuracy  
420 between 97% and 98%, a sensitivity of 100%, a precision ranging between 94% and 96% and an F-  
421 score between 97% and 98%. Additionally, the classification errors were all false positives, while no  
422 false negatives were reported. In addition, increasing the value of k from 5 to 9 did not significantly  
423 affect the performance of the classifier. With such results, the performance of the classifier can be  
424 considered fairly good for the purposes of the research.

425 Finally, the vibration risk associated to the activities has been evaluated by performing a FFT  
426 transform of the vibration signals in each segment, and calculating the weighted average vibration  
427 factor, considering the ISO weighting curve. Subsequently, the vibration score (VS) has been  
428 calculated according to eq. 4, and the overall map of the single operations performed and  
429 corresponding worker's exposure to vibration risk during the execution of a complex task has thus  
430 been constructed. Such result is depicted in the following fig. 4 where the vibration streams of the  
431 right-hand X, Y and Z axes are given (down sampled to 1 Hz frequency), together with the real time  
432 evaluation of the vibration points, and the segments identified as catch activities are indicated in red  
433 and the segments related to no-catch activities are indicated in green. The data are referred to a task  
434 involving 18 catches performed in approx. 800 secs, with an overall catch time determined by the  
435 activity classifier equal to approx. 100 secs, and a risk exposure of approx. 25 points.

436



437

438 Fig 4 – Map of the activities performed during a task and corresponding worker’s exposure to  
 439 vibration risk.

440 While encouraging results are being achieved in the application of machine learning classifiers in the  
 441 context of ergonomics and safety in high-risk contexts such as construction and industrial



442 manufacturing (see e.g. Akhavian 2016), the application of such methodology coupled with a risk  
443 assessment method in agro-industrial context is a novel and challenging research field. The  
444 peculiarity of the machines employed as well as the execution of open filed operations in isolated  
445 contexts, arise specific difficulties in agroindustry compared to their industrial counterparts.  
446 Nevertheless, the research proposed demonstrated that modern technologies are mature enough to  
447 develop miniaturized integrated devices capable of providing sufficiently precise data, while the KNN  
448 algorithm has revealed a robust and versatile classifier, combining a good accuracy with a limited  
449 computational effort.

450

## 451 **5. Conclusions**

452 Safety and wellbeing are a primary concern in the agroindustry sector, where operators are  
453 still frequently performing their activities in an uncomfortable, stressful, or dangerous environment.  
454 Furthermore, with the advent of agriculture 4.0 new challenges in the context of human-machine  
455 interaction arise, posing ergonomics and safety on the workplace at the core of a substantial  
456 multidisciplinary scientific debate. In addition, such problem assumes a critical relevance in  
457 consideration of the ageing phenomenon which affects most of the organizations in industrialized  
458 countries, with the consequent reduction of the operators' physical and cognitive capabilities.

459 This research proposes a new methodology for preventing musco-skeletal pathologies related  
460 to hand-arm vibrations in the agricultural sector. The approach proposed is based on state-of-the-art  
461 sensing technologies and machine-learning methods for automatically mapping the activities  
462 performed by the operators and evaluating the actual vibration dose received. In particular the study  
463 demonstrates the effectiveness of employing a k-nn classifier for recognizing the workers' activities  
464 through the features extracted from the vibration signals gathered during their operations, and the  
465 possibility of obtaining a realistic map of their corresponding risk exposure. A wearable device has  
466 been developed for the purposes of this research, capable of transmitting relevant information about  
467 the workers' safety conditions taking advantage of IoT technologies in an interconnected work

468 environment. The system and the methodology have been validated in real field tests involving a team  
469 of workers performing aided olive harvesting tasks. The results obtained demonstrate the  
470 effectiveness of the methodology proposed with an overall accuracy of the classifier up to 98%. With  
471 such performance level, not only the system can be an effective tool to increase the workers'  
472 awareness about the safety condition of the workplace, but it can also support the surveillance activity  
473 of the managers suggesting appropriate preventive and corrective actions. The proposed research  
474 ultimately demonstrates the possibility of providing enterprises with new and more effective systems  
475 for monitoring the operators' activities, in order to enhance the health and safety condition of the  
476 work environment and to prevent the occurrence of work-related pathologies.

477 Further developments will involve the introduction of a multi-class classifiers to extend the  
478 capabilities of the system, to the recognition of a higher number of activities typically performed in  
479 the agroindustry sector. Finally, the implementation of predictive analytics can improve the decision  
480 processes related to workforce scheduling and task assignment.

481

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485

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- 634
- 635

### **Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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