

## PROPER $K$ -BALL-CONTRACTIVE MAPPINGS IN $C_b^m[0, \infty)$

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ABSTRACT. In this paper we deal with the Banach space  $C_b^m[0, \infty)$  of all  $m$ -times continuously derivable, bounded with all derivatives up to the order  $m$ , real functions defined on  $[0, +\infty)$ . We prove, for any  $\varepsilon > 0$ , the existence of a new proper  $k$ -ball-contractive retraction with  $k < 1 + \varepsilon$  of the closed unit ball of the space onto its boundary, so that the Wośko constant  $W_\gamma(C_b^m[0, \infty))$  is equal to 1.

### 1. Introduction

Given a Banach space  $X$ , we denote by  $B(X) = \{x \in X : \|x\| \leq 1\}$  the closed unit ball and by  $S(X) = \{x \in X : \|x\| = 1\}$  the unit sphere in  $X$ . It is well known that in any infinite-dimensional Banach space  $X$  there is a retraction from  $B(X)$  onto  $S(X)$ , that is, a continuous mapping  $R : B(X) \rightarrow S(X)$  such that  $Rx = x$  for  $x \in S(X)$ . Moreover such a retraction can be chosen to be Lipschitzian [5] with  $\|Rx - Ry\| \leq k_0\|x - y\|$ , for some universal constant  $k_0$ . The optimal retraction problem, considered for the first time in [20], consists in the evaluation, in a given Banach space  $X$ , of the constant  $k_0(X)$  which is the infimum of all  $k$  for which there exists a retraction of  $B(X)$  onto  $S(X)$  being Lipschitz with constant  $k$ . The problem has found a large interest in the literature. It is known  $k_0(X) \geq 3$  for every space  $X$ . For evaluation of the constant in some specific Banach spaces we refer, among others, to results in [2, 6, 15, 23, 25, 26] and to the surveys on the subject [14, 21, 22].

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In this paper we are interested in the analogous problem which arises when we consider another metric property, namely measure of noncompactness, of the above retractions. Throughout we will consider  $\gamma$  to be the *Hausdorff measure of noncompactness*, i.e. for  $A \subseteq X$  bounded,  $\gamma(A)$  is the infimum of all  $\varepsilon > 0$  such that  $A$  has a finite  $\varepsilon$ -net in  $X$ . We recall that the set function  $\gamma$  satisfies the following properties, for  $A, B \subseteq X$  bounded,  $K \subseteq X$  precompact and  $\lambda \in \mathbb{R}$ :

- (i)  $\gamma(A) = 0$  if and only if  $A$  is precompact;
- (ii)  $\gamma(\overline{\text{co}}A) = \gamma(A)$  (convex closure invariance);
- (iii)  $\gamma(A \cup B) = \max\{\gamma(A), \gamma(B)\}$  (maximum property);
- (iv)  $\gamma(A + K) = \gamma(A)$  (compact perturbations);
- (v)  $\gamma(\lambda A) = |\lambda|\gamma(A)$  (homogeneity);
- (vi)  $\gamma([0, 1] \cdot A) = \gamma(A)$  (absorption invariance).

A continuous mapping  $T : M \subset X \rightarrow X$  is said to be *k-ball-contractive* if  $\gamma(TA) \leq k\gamma(A)$  for bounded  $A \subseteq M$ , and the  $\gamma$ -norm,  $\gamma(T)$ , of  $T$  is defined by

$$\gamma(T) = \inf\{k \geq 0 : \gamma(TA) \leq k\gamma(A) \text{ for bounded } A \subseteq M\}.$$

We will also consider  $\omega(T) = \sup\{k \geq 0 : \gamma(TA) \geq k\gamma(A) \text{ for bounded } A \subseteq M\}$ , which is called the *lower  $\gamma$ -norm* of  $T$ , the main reason is that  $\omega(T) > 0$  implies  $T$  to be a *proper* mapping. Now the optimal retraction problem for *k*-ball-contractive mappings concerns the evaluation (see [4]) of the Wośko constant

$$W_\gamma(X) = \inf\{k \geq 1 : \exists \text{ a retraction } R : B(X) \rightarrow S(X) \text{ with } \gamma(R) \leq k\}.$$

The constant  $W_\gamma(X)$  has been estimated in many Banach spaces  $X$  [3, 7, 10, 12, 13, 16, 27, 28]. In some spaces it has been proved  $W_\gamma(X) = 1$ , and in some cases the value 1 has been achieved [10, 12] with the construction of a 1-ball-contractive retraction. Actually it is an open problem whether or not  $W_\gamma(X) = 1$  in any Banach space. The estimate of  $W_\gamma(X)$ , by means of retractions, eventually proper, leads to useful results for applications as, for instance, applications to theorems of Birkhoff-Kellogg type (see [3, 8, 9, 11, 17, 24]).

In [13] we have proved  $W_\gamma(C^m[0, 1]) = 1$ , being  $C^m[0, 1]$  the Banach space of all  $m$ -times continuously derivable real functions defined on  $[0, 1]$ , by constructing for any  $\varepsilon > 0$  a proper *k*-ball-contractive retraction, with  $k < 1 + \varepsilon$ . Here we succeed to prove the same result in the Banach space  $C_b^m[0, +\infty)$ . In [13] we have followed a general scheme to construct a 1-ball-contractive mapping from the closed unit ball of  $C^m[0, 1]$  into itself and obtaining a retraction as the normalization of a compact perturbation of such a mapping. In the present framework we need a new original approach, which besides requires quite more technical proofs for intermediate results. We construct for any  $p \in \mathbb{N}$  a mapping  $Q_p$  defined on  $B(C_b^m[0, +\infty))$  taking values in  $C_b^m[0, +\infty)$  which is  $(1 + \varepsilon_p)$ -ball-contractive for some  $\varepsilon_p > 0$  with  $\lim_{p \rightarrow \infty} \varepsilon_p = 0$ . After this we are in a position, for each  $p \in \mathbb{N}$ , to construct retractions, which will depend on some

$u > 0$ , normalizing compact perturbations of  $Q_p$ . In such a way for any  $\varepsilon > 0$  we can find a proper  $k$ -ball-contractive retraction, corresponding to some  $p \in \mathbb{N}$  and  $u > 0$ , with  $k < 1 + \varepsilon$ , which, in turn, gives  $W_\gamma(C_b^m[0, \infty)) = 1$ . The paper is meant as a continuation of the research presented in [13].

## 2. The auxiliary function $\tilde{f}_{p,a}$ and the mapping $Q_p$

We denote by  $C_b^m := C_b^m[0, +\infty)$  ( $m \geq 1$ ) the Banach space of all  $m$ -times continuously derivable, bounded with all derivatives up to the order  $m$ , functions  $f : [0, +\infty) \rightarrow \mathbb{R}$ , with the norm

$$\|f\|_m = \max\{\|f^{(s)}\|_\infty : s = 0, 1, \dots, m\},$$

where, as usual,  $f^{(0)} = f$  and  $\|\cdot\|_\infty$  denotes the supremum norm. For a given compact interval  $J \subset \mathbb{R}$  we denote by  $C^m(J)$  the Banach space of  $m$ -times continuously derivable real functions defined on  $J$ , always endowed with the  $\|\cdot\|_m$ -norm. Let  $p \in \mathbb{N}$  be given. For  $f \in C_b^m$  and  $a \in [1, 2]$ , we introduce the function  $f_{p,a} \in C^m([1 - 1/\sqrt[p]{a}, 1])$  defined in the following way

$$f_{p,a}(t) = \frac{1}{\sqrt[p]{a^m}} f(1 + \sqrt[p]{a}(t-1)) + \sum_{j=0}^{m-1} \frac{f^{(j)}(1)}{j!} \left(1 - \frac{1}{\sqrt[p]{a^{m-j}}}\right) (t-1)^j,$$

whose derivatives are

$$f_{p,a}^{(s)}(t) = \frac{1}{\sqrt[p]{a^{m-s}}} f^{(s)}(1 + \sqrt[p]{a}(t-1)) + \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left(1 - \frac{1}{\sqrt[p]{a^{m-j}}}\right) (t-1)^{j-s},$$

for  $s = 0, 1, \dots, m-1$ , and

$$f_{p,a}^{(m)}(t) = f^{(m)}(1 + \sqrt[p]{a}(t-1)).$$

Next we define the auxiliary function  $\tilde{f}_{p,a} \in C_b^m$ , an extension of  $f_{p,a}$ , by setting

$$\tilde{f}_{p,a}(t) = \begin{cases} \frac{1}{m!} f^{(m)}(0) \left(t - 1 + \frac{1}{\sqrt[p]{a}}\right)^m & \\ + \sum_{j=1}^m \frac{1}{(m-j)!} f_{p,a}^{(m-j)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) \left(t - 1 + \frac{1}{\sqrt[p]{a}}\right)^{m-j} & \text{if } t \in \left[0, 1 - \frac{1}{\sqrt[p]{a}}\right) \\ f_{p,a}(t) & \text{if } t \in \left[1 - \frac{1}{\sqrt[p]{a}}, 1\right] \\ f(t) & \text{if } t \in (1, +\infty). \end{cases}$$

Notice that  $\tilde{f}_{p,1} = f$ . Moreover,

$$\tilde{f}_{p,a}^{(s)}(t) = \begin{cases} \frac{1}{(m-s)!} f^{(m)}(0) \left(t - 1 + \frac{1}{\sqrt[p]{a}}\right)^{m-s} & \\ + \sum_{j=1}^{m-s} \frac{1}{(m-s-j)!} f_{p,a}^{(m-j)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) \left(t - 1 + \frac{1}{\sqrt[p]{a}}\right)^{m-s-j} & \text{if } t \in \left[0, 1 - \frac{1}{\sqrt[p]{a}}\right) \\ f_{p,a}^{(s)}(t) & \text{if } t \in \left[1 - \frac{1}{\sqrt[p]{a}}, 1\right] \\ f^{(s)}(t) & \text{if } t \in (1, +\infty), \end{cases}$$

for  $s = 0, 1, \dots, m-1$ , and

$$\tilde{f}_{p,a}^{(m)}(t) = \begin{cases} f^{(m)}(0) & \text{if } t \in \left[0, 1 - \frac{1}{\sqrt[p]{a}}\right) \\ f_{p,a}^{(m)}(t) & \text{if } t \in \left[1 - \frac{1}{\sqrt[p]{a}}, 1\right] \\ f^{(m)}(t) & \text{if } t \in (1, +\infty). \end{cases}$$

Let us observe that, for  $f \in C_b^m$ , the norms  $\|\tilde{f}_{p,a}^{(m)}\|_\infty$  and  $\|f^{(m)}\|_\infty$  coincide.

Now, given  $p \in \mathbb{N}$ , making use of the auxiliary mapping  $\tilde{f}_{p,a}$ , we define the mapping  $Q_p : B(C_b^m) \rightarrow C_b^m$  setting for  $f \in B(C_b^m)$

$$(2.1) \quad Q_p f(t) = \tilde{f}_{p,a}(t) \quad \text{for } a = \frac{2}{1 + \|f\|_m} \quad \text{and } t \in [0, +\infty).$$

### 3. Technical results on auxiliary functions of the type $\tilde{f}_{p,a}$

We begin this section with the following lemma which gives estimates, given  $f \in C_b^m$ , of the norm  $\|\tilde{f}_{p,a}\|_m$ . To this end, for  $p \in \mathbb{N}$  we put

$$(3.1) \quad C_p = 1 - \frac{1}{\sqrt[p]{2}} + \left(1 + (m-1) \left(1 - \frac{1}{\sqrt[p]{2^m}}\right)\right) \left(1 + (m-1) \left(1 - \frac{1}{\sqrt[p]{2}}\right)\right)$$

and

$$(3.2) \quad D_p = 1 - m \left(1 - \frac{1}{\sqrt[p]{2^m}}\right),$$

we observe that  $D_p$  is positive for large enough  $p$ , and moreover

$$\lim_{p \rightarrow +\infty} C_p = \lim_{p \rightarrow +\infty} D_p = 1.$$

LEMMA 3.1. *Let  $p \in \mathbb{N}$ , then*

$$(3.3) \quad D_p \|f\|_m \leq \|\tilde{f}_{p,a}\|_m \leq C_p \|f\|_m,$$

for all  $f \in C_b^m$  and for all  $a \in [1, 2]$ .

PROOF. Let  $p \in \mathbb{N}$  and  $f \in C_b^m$ . Being the result obvious when  $a = 1$ , we assume all along this proof  $a \in (1, 2]$  to be arbitrarily fixed. At first, we prove the right inequality of (3.3). To this end we will show

$$(3.4) \quad \|\tilde{f}_{p,a}^{(s)}\|_\infty \leq C_p \|f\|_m, \quad \text{for } s = 0, 1, \dots, m.$$

Since  $\|\tilde{f}_{p,a}^{(m)}\|_\infty = \|f^{(m)}\|_\infty$ , we immediately have

$$(3.5) \quad \|\tilde{f}_{p,a}^{(m)}\|_\infty \leq \|f\|_m.$$

Assume now  $s \in \{0, 1, \dots, m-1\}$ , then

$$(3.6) \quad \|\tilde{f}_{p,a}^{(s)}\|_\infty = \max \left\{ \max_{t \in \left[0, 1 - \frac{1}{\sqrt[p]{a}}\right]} |\tilde{f}_{p,a}^{(s)}(t)|, \|\tilde{f}_{p,a}^{(s)}\|_\infty, \sup_{t \in (1, +\infty)} |f^{(s)}(t)| \right\}.$$

Let us consider first  $\|f_{p,a}^{(s)}\|_\infty$ , we have

$$\begin{aligned}
\|f_{p,a}^{(s)}\|_\infty &= \max_{t \in [1 - \frac{1}{\sqrt[p]{a}}, 1]} |f_{p,a}^{(s)}(t)| \\
&\leq \max_{t \in [1 - \frac{1}{\sqrt[p]{a}}, 1]} \left[ \frac{1}{\sqrt[p]{a^{m-s}}} |f^{(s)}(1 + \sqrt[p]{a}(t-1))| + \sum_{j=s}^{m-1} |f^{(j)}(1)| \left(1 - \frac{1}{\sqrt[p]{a^{m-j}}}\right) \right] \\
&\leq \frac{1}{\sqrt[p]{a^{m-s}}} \max_{t \in [0,1]} |f^{(s)}(t)| + \|f\|_m \sum_{j=s}^{m-1} \left(1 - \frac{1}{\sqrt[p]{a^{m-j}}}\right) \\
&\leq \left[ \frac{1}{\sqrt[p]{a^{m-s}}} + \sum_{j=s}^{m-1} \left(1 - \frac{1}{\sqrt[p]{a^{m-j}}}\right) \right] \|f\|_m.
\end{aligned}$$

From the latter inequality we obtain at once

$$(3.7) \quad \|f_{p,a}^{(m-1)}\|_\infty \leq \|f\|_m,$$

while in the case  $s = 0, 1, m-2$  we can write

$$(3.8) \quad \|f_{p,a}^{(s)}\|_\infty \leq \left[ 1 + \sum_{j=s+1}^{m-1} \left(1 - \frac{1}{\sqrt[p]{a^{m-j}}}\right) \right] \|f\|_m.$$

Thus we have found, for  $s = 0, 1, m-1$ ,

$$(3.9) \quad \|f_{p,a}^{(s)}\|_\infty \leq \left[ 1 + (m-1) \left(1 - \frac{1}{\sqrt[p]{2^m}}\right) \right] \|f\|_m.$$

Next let  $t \in [0, 1 - \frac{1}{\sqrt[p]{a}}]$ , then we have

$$\begin{aligned}
|\tilde{f}_{p,a}^{(s)}(t)| &= \left| \frac{1}{(m-s)!} f^{(m)}(0) \left(t - 1 + \frac{1}{\sqrt[p]{a}}\right)^{m-s} \right. \\
&\quad \left. + \sum_{j=1}^{m-s} \frac{1}{(m-s-j)!} f_{p,a}^{(m-j)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) \left(t - 1 + \frac{1}{\sqrt[p]{a}}\right)^{m-s-j} \right| \\
&\leq \left(1 - \frac{1}{\sqrt[p]{a}}\right)^{m-s} \|f\|_m + \sum_{j=1}^{m-s} \left| f_{p,a}^{(m-j)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) \right| \left(1 - \frac{1}{\sqrt[p]{a}}\right)^{m-s-j}.
\end{aligned}$$

Thus using (3.9) we obtain

$$\begin{aligned}
|\tilde{f}_{p,a}^{(s)}(t)| &\leq \left[ \left(1 - \frac{1}{\sqrt[p]{a}}\right)^{m-s} + \left(1 + (m-1) \left(1 - \frac{1}{\sqrt[p]{2^m}}\right)\right) \sum_{j=1}^{m-s} \left(1 - \frac{1}{\sqrt[p]{a}}\right)^{m-s-j} \right] \|f\|_m \\
&\leq \left[ 1 - \frac{1}{\sqrt[p]{2}} + \left(1 + (m-1) \left(1 - \frac{1}{\sqrt[p]{2^m}}\right)\right) \left(1 + (m-1) \left(1 - \frac{1}{\sqrt[p]{2}}\right)\right) \right] \|f\|_m,
\end{aligned}$$

that is,

$$(3.10) \quad \max_{t \in \left[0, 1 - \frac{1}{\sqrt[p]{a}}\right]} \left| \tilde{f}_{p,a}^{(s)}(t) \right| \leq C_p \|f\|_m.$$

Looking at (3.9), we observe that  $1 + (m-1) \left(1 - \frac{1}{\sqrt[p]{2^m}}\right) < C_p$ . Therefore (3.9) and (3.10), taking into account that  $\sup_{t \in (1, +\infty)} |f^{(s)}(t)| \leq \|f\|_m$ , imply

$$\left\| \tilde{f}_{p,a}^{(s)} \right\|_{\infty} \leq C_p \|f\|_m,$$

for all  $s = 0, 1, \dots, m-1$ . The latter, together with (3.5), gives us the right inequality of (3.3), with  $C_p \geq 1$  and  $\lim_{p \rightarrow +\infty} C_p = 1$ .

Now, we prove the left inequality of (3.3). By the definition of  $\|\cdot\|_m$  there exists  $s \in \{0, 1, \dots, m\}$  such that

$$(3.11) \quad \|f\|_m = \|f^{(s)}\|_{\infty}.$$

If (3.11) holds with  $s = m$ , then we have

$$(3.12) \quad \|f\|_m = \|f^{(m)}\|_{\infty} = \left\| \tilde{f}_{p,a}^{(m)} \right\|_{\infty} \leq \left\| \tilde{f}_{p,a} \right\|_m,$$

and we are done. When  $s$ , satisfying (3.11), is in  $\{0, 1, \dots, m-1\}$  we have two cases, either  $\|f^{(s)}\|_{\infty}$  coincides with  $\sup_{t \in (1, +\infty)} |f^{(s)}(t)|$  or with  $\max_{t \in [0, 1]} |f^{(s)}(t)|$ . If  $\|f^{(s)}\|_{\infty} = \sup_{t \in (1, +\infty)} |f^{(s)}(t)|$ , then we immediately obtain

$$(3.13) \quad \|f\|_m = \|f^{(s)}\|_{\infty} = \sup_{t \in (1, +\infty)} |f^{(s)}(t)| \leq \left\| \tilde{f}_{p,a}^{(s)} \right\|_{\infty}.$$

In the second case, when  $\|f^{(s)}\|_{\infty} = \max_{t \in [0, 1]} |f^{(s)}(t)|$ , let us observe first that

$$(3.14) \quad \left| \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left(1 - \frac{1}{\sqrt[p]{a^{m-j}}}\right) (t-1)^{j-s} \right| \leq (m-1) \left(1 - \frac{1}{\sqrt[p]{2^m}}\right) \|f\|_m.$$

Indeed,

$$\begin{aligned} & \left| \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left(1 - \frac{1}{\sqrt[p]{a^{m-j}}}\right) (t-1)^{j-s} \right| \\ & \leq \|f\|_m \sum_{j=s}^{m-1} \left(1 - \frac{1}{\sqrt[p]{a^{m-j}}}\right) \leq (m-1) \left(1 - \frac{1}{\sqrt[p]{a^m}}\right) \|f\|_m \\ & \leq (m-1) \left(1 - \frac{1}{\sqrt[p]{2^m}}\right) \|f\|_m. \end{aligned}$$

Then, using (3.14), we have

$$\begin{aligned}
\left\| \tilde{f}_{p,a}^{(s)} \right\|_{\infty} &\geq \max_{t \in \left[1 - \frac{1}{\sqrt[p]{a}}, 1\right]} \left| f_{p,a}^{(s)}(t) \right| \geq \max_{t \in \left[1 - \frac{1}{\sqrt[p]{a}}, 1\right]} \left[ \left| \frac{1}{\sqrt[p]{a^{m-s}}} f^{(s)}(1 + \sqrt[p]{a}(t-1)) \right| \right. \\
&\quad \left. - \left| \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left(1 - \frac{1}{\sqrt[p]{a^{m-j}}}\right) (t-1)^{j-s} \right| \right] \\
&\geq \frac{1}{\sqrt[p]{2^m}} \|f^{(s)}\|_{\infty} - (m-1) \left(1 - \frac{1}{\sqrt[p]{2^m}}\right) \|f\|_m \\
&\geq \left[ \frac{1}{\sqrt[p]{2^m}} - (m-1) \left(1 - \frac{1}{\sqrt[p]{2^m}}\right) \right] \|f\|_m = D_p \|f\|_m.
\end{aligned}$$

The latter, together with (3.12) and (3.13), gives the left inequality of (3.4), and this completes the proof.  $\square$

The following corollary is, actually, a reformulation of Lemma 3.1.

**COROLLARY 3.2.** *Let  $p \in \mathbb{N}$ , then there exists  $\varepsilon_p > 0$  such that*

$$(3.15) \quad (1 - \varepsilon_p) \|f\|_m \leq \left\| \tilde{f}_{p,a} \right\|_m \leq (1 + \varepsilon_p) \|f\|_m,$$

for all  $f \in C_b^m$  and for all  $a \in [1, 2]$ , with  $\lim_{p \rightarrow \infty} \varepsilon_p = 0$ .

**REMARK 3.3.** The case of the Banach space  $C_b[0, +\infty)$  of all continuous and bounded functions  $f : [0, +\infty) \rightarrow \mathbb{R}$  endowed with the supremum norm is studied in [7], where it is proved the existence, for every  $\varepsilon > 0$ , of a  $(1 + \varepsilon)$ -ball-contractive retraction, so that  $W_{\gamma}(C_b[0, +\infty)) = 1$ . Here we reduce to the space  $C_b[0, +\infty)$  by allowing  $m = 0$ . Then given  $p \in \mathbb{N}$ ,  $a \in [1, 2]$  and  $f \in C_b[0, +\infty)$  we can write

$$\tilde{f}_{p,a}(t) = \begin{cases} f(0) & \text{if } t \in \left[0, 1 - \frac{1}{\sqrt[p]{a}}\right) \\ f(1 + \sqrt[p]{a}(t-1)) & \text{if } t \in \left[1 - \frac{1}{\sqrt[p]{a}}, 1\right] \\ f(t) & \text{if } t \in (1, +\infty), \end{cases}$$

hence  $\|\tilde{f}_{p,a}\|_{\infty} = \|f\|_{\infty}$ , for any  $p \in \mathbb{N}$ . This implies that we can consider  $\tilde{f}_{1,a}$  as auxiliary function, then if we follow the main steps of the present paper, we will obtain again  $W_{\gamma}(C_b[0, +\infty)) = 1$ , but by means of proper retractions different from those constructed in [7].

**REMARK 3.4.** Let us notice that the case of the Banach space  $C^m[0, 1]$  can be deduced, from the present setting, restricting every mapping to the interval  $[0, 1]$ . Then again we would obtain  $W_{\gamma}(C^m[0, 1]) = 1$ , but by means of proper retractions different from those constructed in [13].

The following example shows that the inequality  $\|\tilde{f}_{p,a}\|_m \leq \|f\|_m$ , differently from [7] and [13], cannot be obtained in Lemma 3.3.

EXAMPLE 3.5. Let  $f \in C_b^1$  be defined as follows

$$f(t) = \begin{cases} -t^3 + 2t^2 - t + 1 & \text{if } t \in [0, 1] \\ 1 & \text{if } t \in (1, +\infty). \end{cases}$$

Then  $f(0) = f(1) = 1$ ,  $f'(0) = -1$  and  $\|f\|_\infty = \|f'\|_\infty = 1$ . Consequently

$$\begin{aligned} \left| \tilde{f}_{k,a}(0) \right| &= \left| f'(0) \left( -1 + \frac{1}{\sqrt[p]{a}} \right) + f_{p,a} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \right| \\ &= \left| f'(0) \left( -1 + \frac{1}{\sqrt[p]{a}} \right) + \frac{1}{\sqrt[p]{a}} f(0) + f(1) \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \right| \\ &= \left| \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \|f\|_\infty + \frac{1}{\sqrt[p]{a}} \|f\|_\infty + \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \|f\|_\infty \right| \\ &= \left( 2 - \frac{1}{\sqrt[p]{a}} \right) \|f\|_1. \end{aligned}$$

Therefore we obtain

$$\|\tilde{f}_{p,a}\|_1 \geq \|\tilde{f}_{k,a}\|_\infty \geq \sup_{t \in [0, 1 - \frac{1}{\sqrt[p]{a}}]} \left| \tilde{f}_{k,a}(t) \right| \geq \left( 2 - \frac{1}{\sqrt[p]{a}} \right) \|f\|_1,$$

that is,  $\|\tilde{f}_{p,a}\|_1 > \|f\|_1$ , which is our assert. More in general, it suffices  $f \in C_b^1$  satisfies:  $f(0) = f(1) = \|f\|_\infty$ ,  $f'(0) = -\|f\|_\infty$  and  $\|f'\|_\infty \leq \|f\|_\infty$  (which implies  $\|f\|_1 = \|f\|_\infty$ ) to infer  $\|\tilde{f}_{p,a}\|_1 > \|f\|_1$ , as well.

The example can be suitably modified to carry out the case  $m > 1$ .

The following result shows that indeed the mapping  $Q_p$ , for  $p$  large, maps the unit ball into itself.

PROPOSITION 3.6. *The mapping  $Q_p$ , for sufficiently large  $p \in \mathbb{N}$ , maps  $B(C_b^m)$  into itself.*

PROOF. Let  $f \in B(C_b^m)$ . Put  $\|f\|_m = w$ , so  $w \in [0, 1]$  and  $Q_p f(t) = \tilde{f}_{p, \frac{2}{1+w}}(t)$ , for  $t \in [0, +\infty)$ . We have to show  $\|Q_p f\|_m \leq 1$ , for sufficiently large  $p \in \mathbb{N}$ , which in view of (3.5) means to prove

$$(3.16) \quad \left\| \tilde{f}_{p, \frac{2}{1+w}}^{(s)} \right\|_\infty \leq 1 \quad \text{for } s = 0, 1, \dots, m-1.$$

Having in mind (3.6), at first we consider  $\left\| f_{p, \frac{2}{1+w}}^{(s)} \right\|_\infty$  and rewrite (3.7) and (3.8) for  $a = \frac{2}{1+w}$ . So on the one hand

$$(3.17) \quad \left\| f_{p, \frac{2}{1+w}}^{(m-1)} \right\|_\infty \leq w.$$

On the other hand, for  $s = 0, 1, m-2$ , we have

$$(3.18) \quad \left\| f_{p, \frac{2}{1+w}}^{(s)} \right\|_\infty \leq w \left[ 1 + \sum_{j=s+1}^{m-1} \left( 1 - \sqrt[p]{\left( \frac{1+w}{2} \right)^{m-j}} \right) \right]$$



and, in such a case, we set

$$(3.19) \quad \varphi_{p,s}(w) = w \left[ 1 + \sum_{j=s+1}^{m-1} \left( 1 - \sqrt[p]{\left(\frac{1+w}{2}\right)^{m-j}} \right) \right].$$

Then  $\varphi_{p,s}(0) = 0$ ,  $\varphi_{p,s}(1) = 1$  and

$$\varphi'_{p,s}(w) = 1 + \sum_{j=s+1}^{m-1} \left( 1 - \sqrt[p]{\left(\frac{1+w}{2}\right)^{m-j}} \right) - \frac{w}{1+w} \sum_{j=s+1}^{m-1} \frac{m-j}{p} \sqrt[p]{\left(\frac{1+w}{2}\right)^{m-j}}.$$

As the last term goes to zero for  $p \rightarrow \infty$ , uniformly with respect to  $w$ , we have that for  $p$  sufficiently large  $\varphi'_{p,s}(w) > 0$  for all  $w \in [0, 1]$ . Therefore, for such  $p$ 's,  $0 \leq \varphi_{p,s}(w) \leq 1$  for all  $w \in [0, 1]$ , which together with (3.17) gives  $\|f_{p, \frac{2}{1+w}}^{(s)}\|_{\infty} \leq 1$ , for all  $s = 0, 1, \dots, m-1$ .

To prove (3.16), going back to (3.6), now we consider  $\max_{t \in [0, 1 - \frac{1}{\sqrt[p]{a}}]} |\tilde{f}_{p,a}^{(s)}(t)|$ .

Let  $t \in [0, 1 - \sqrt[p]{\frac{1+w}{2}}]$ , then

$$\begin{aligned} |\tilde{f}_{p, \frac{2}{1+w}}^{(s)}(t)| &\leq w \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s} \\ &\quad + \sum_{j=1}^{m-s} \left| f_{p, \frac{2}{1+w}}^{(m-j)} \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right) \right| \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-j}. \end{aligned}$$

From the latter, we deduce  $|\tilde{f}_{p, \frac{2}{1+w}}^{(m-1)}(t)| \leq w \left[ 2 - \sqrt[p]{\frac{1+w}{2}} \right]$ , therefore, for  $p$  sufficiently large,  $|\tilde{f}_{p, \frac{2}{1+w}}^{(m-1)}(t)| \leq 1$ . On the other hand, for  $s = 0, 1, \dots, m-2$ ,

$$\begin{aligned} |\tilde{f}_{p, \frac{2}{1+w}}^{(s)}(t)| &\leq w \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s} \\ &\quad + \left| f_{p, \frac{2}{1+w}}^{(m-1)} \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right) \right| \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-1} \\ &\quad + \sum_{j=2}^{m-s} \left| f_{p, \frac{2}{1+w}}^{(m-j)} \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right) \right| \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-j}, \end{aligned}$$

hence, using (3.17) and (3.18), the last together with (3.19), we can write

$$\begin{aligned}
\left| \tilde{f}_{p, \frac{2}{1+w}}^{(s)}(t) \right| &\leq w \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s} + w \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-1} \\
&\quad + \sum_{j=2}^{m-s} \varphi_{p, m-j}(w) \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-j} \\
&= \varphi_{p, s}(w) + w \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s} + w \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-1} \\
&\quad + \sum_{j=2}^{m-s-1} \varphi_{p, m-j}(w) \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-j}.
\end{aligned}$$

Set

$$\begin{aligned}
\psi_{p, s}(w) &= \varphi_{p, s}(w) + w \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s} + w \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-1} \\
&\quad + \sum_{j=2}^{m-s-1} \varphi_{p, m-j}(w) \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-j}.
\end{aligned}$$

Then  $\psi_{p, s}(0) = 0$  and  $\psi_{p, s}(1) = 1$ . Computing the derivative

$$\begin{aligned}
\psi'_{p, s}(w) &= \varphi'_{p, s}(w) + \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s} + \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-1} \\
&\quad - w \left( \frac{1+w}{2} \right)^{\frac{1}{k}-1} \frac{1}{2p} \left[ (m-s) \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-1} \right. \\
&\quad \left. + (m-s-1) \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-2} \right] + \sum_{j=2}^{m-s} \varphi'_{p, m-j}(w) \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-j} \\
&\quad - \left( \frac{1+w}{2} \right)^{\frac{1}{k}-1} \frac{1}{2p} \sum_{j=2}^{m-s-1} \varphi_{p, m-j}(w) (m-s-j) \left( 1 - \sqrt[p]{\frac{1+w}{2}} \right)^{m-s-j-1}.
\end{aligned}$$

As in the previous case, it can be seen that for  $p$  sufficiently large  $\psi'_{p, s}(w) > 0$  for all  $w \in [0, 1]$ , which implies  $\left| \tilde{f}_{p, \frac{2}{1+w}}^{(s)}(t) \right| \leq 1$ . Since  $Q_p f(t) = f(t)$  for  $t \in [1, +\infty)$ , we infer  $Q_p f \in B(C_b^m)$  for any  $p$  sufficiently large, as claimed.  $\square$

Before Lemma 3.8, which will allow us to deduce the continuity of the function  $Q_p$ , we need the following lemma.

**LEMMA 3.7.** *Let  $p \in \mathbb{N}$  be given. Let  $f \in C_b^m$  and assume  $\{a_n\}$  to be a sequence in  $[1, 2]$  such that  $a_n \rightarrow a$ , as  $n \rightarrow +\infty$ . Then, for any  $s \in \{0, 1, \dots, m\}$*

we have

$$(3.20) \quad \left| \tilde{f}_{p,a_n}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) - \tilde{f}_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \right| \rightarrow 0$$

and

$$(3.21) \quad \left| \tilde{f}_{p,a_n}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) - \tilde{f}_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \right| \rightarrow 0,$$

as  $n \rightarrow +\infty$ .

PROOF. We will prove (3.20). To calculate the term  $\tilde{f}_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right)$  we will take into account that

$$1 - \frac{1}{\sqrt[p]{a_n}} \in \left[ 1 - \frac{1}{\sqrt[p]{a}}, 1 \right] \text{ if } a \leq a_n, \quad \text{and} \quad 1 - \frac{1}{\sqrt[p]{a_n}} \in \left[ 0, 1 - \frac{1}{\sqrt[p]{a}} \right] \text{ if } a_n \leq a.$$

Assume first  $s = m$ . Then  $\tilde{f}_{p,a_n}^{(m)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) = f^{(m)}(0)$ , and

$$\begin{aligned} \tilde{f}_{p,a}^{(m)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) &= f_{p,a}^{(m)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) = f^{(m)} \left( 1 - \sqrt[p]{\frac{a}{a_n}} \right), & \text{if } a \leq a_n, \\ \tilde{f}_{p,a}^{(m)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) &= f^{(m)}(0), & \text{if } a_n \leq a, \end{aligned}$$

thus (3.20), trivial in the case  $a_n \leq a$ , follows by the continuity of  $f^{(m)}$  in the case  $a \leq a_n$ . We consider now the case  $s \in \{0, 1, \dots, m-1\}$ . On the one hand

(3.22)

$$\begin{aligned} \tilde{f}_{p,a_n}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) &= f_{p,a_n}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \\ &= \frac{1}{\sqrt[p]{a_n^{m-s}}} f^{(s)}(0) + \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left( 1 - \frac{1}{\sqrt[p]{a_n^{m-j}}} \right) \left( -\frac{1}{\sqrt[p]{a_n^{m-j}}} \right)^{j-s}. \end{aligned}$$

Assume first  $a \leq a_n$ , we have

(3.23)

$$\begin{aligned} \tilde{f}_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) &= f_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \\ &= \frac{1}{\sqrt[p]{a^{m-s}}} f^{(s)} \left( 1 + \sqrt[p]{\frac{a}{a_n}} \right) + \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left( 1 - \frac{1}{\sqrt[p]{a^{m-j}}} \right) \left( -\frac{1}{\sqrt[p]{a^{m-j}}} \right)^{j-s}. \end{aligned}$$

Thus, using (3.22) and (3.23), we find

$$\begin{aligned} &\left| \tilde{f}_{p,a_n}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) - \tilde{f}_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \right| \leq \\ &\left| \frac{1}{\sqrt[p]{a_n^{m-s}}} f^{(s)}(0) - \frac{1}{\sqrt[p]{a^{m-s}}} f^{(s)} \left( 1 - \sqrt[p]{\frac{a}{a_n}} \right) \right| + \|f\|_m \sum_{j=s}^{m-1} \left| -\frac{1}{\sqrt[p]{a^{m-j}}} + \frac{1}{\sqrt[p]{a_n^{m-j}}} \right|, \end{aligned}$$

where the right-hand side of the latter inequality goes to zero, as  $n \rightarrow +\infty$  due to the hypothesis that  $a_n \rightarrow a$  and the continuity of  $f^{(s)}$ , so (3.20) follows in the case under consideration.

Now, for  $a_n \leq a$  we have

$$(3.24) \quad \begin{aligned} \tilde{f}_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) &= \frac{1}{(m-s)!} f^{(m)}(0) \left( -\frac{1}{\sqrt[p]{a_n}} + \frac{1}{\sqrt[p]{a}} \right)^{m-s} \\ &\quad + \sum_{j=1}^{m-s} \frac{1}{(m-s-j)!} f_{p,a}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \left( -\frac{1}{\sqrt[p]{a_n}} + \frac{1}{\sqrt[p]{a}} \right)^{m-s-j} \\ &= \frac{1}{(m-s)!} f^{(m)}(0) \left( -\frac{1}{\sqrt[p]{a_n}} + \frac{1}{\sqrt[p]{a}} \right)^{m-s} \\ &\quad + \sum_{j=1}^{m-s-1} \frac{1}{(m-s-j)!} f_{p,a}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \left( -\frac{1}{\sqrt[p]{a_n}} + \frac{1}{\sqrt[p]{a}} \right)^{m-s-j} - f_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right), \end{aligned}$$

where

$$f_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) = \frac{1}{\sqrt[p]{a^{m-s}}} f^{(s)}(0) + \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left( 1 - \frac{1}{\sqrt[p]{a^{m-j}}} \right) \left( -\frac{1}{\sqrt[p]{a}} \right)^{j-s}.$$

Using (3.22) and (3.24) we obtain

$$\begin{aligned} &\left| \tilde{f}_{p,a_n}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) - \tilde{f}_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \right| \\ &\leq |f^{(m)}(0)| \left| -\frac{1}{\sqrt[p]{a_n}} + \frac{1}{\sqrt[p]{a}} \right|^{m-s} + \sum_{j=1}^{m-s-1} \left| f_{p,a}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \right| \left| -\frac{1}{\sqrt[p]{a_n}} + \frac{1}{\sqrt[p]{a}} \right|^{m-s-j} \\ &\quad + \left| \frac{1}{\sqrt[p]{a_n^{m-s}}} f^{(s)}(0) + \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left( 1 - \frac{1}{\sqrt[p]{a_n^{m-j}}} \right) \left( -\frac{1}{\sqrt[p]{a_n}} \right)^{j-s} \right. \\ &\quad \left. - \frac{1}{\sqrt[p]{a^{m-s}}} f^{(s)}(0) + \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left( 1 - \frac{1}{\sqrt[p]{a^{m-j}}} \right) \left( -\frac{1}{\sqrt[p]{a}} \right)^{j-s} \right| \\ &\leq \|f\|_m \left| -\frac{1}{\sqrt[p]{a_n}} + \frac{1}{\sqrt[p]{a}} \right|^{m-s} + \sum_{j=1}^{m-s-1} \|f_{p,a}^{(m-j)}\|_\infty \left| -\frac{1}{\sqrt[p]{a_n}} + \frac{1}{\sqrt[p]{a}} \right|^{m-s-j} \\ &\quad + \|f\|_m \left| \frac{1}{\sqrt[p]{a_n^{m-s}}} - \frac{1}{\sqrt[p]{a^{m-s}}} \right| + \|f\|_m \sum_{j=s}^{m-1} \left| -\frac{1}{\sqrt[p]{a_n^{m-j}}} + \frac{1}{\sqrt[p]{a^{m-j}}} \right|. \end{aligned}$$

Set  $C'_p = 1 + (m-1) \left(1 - \frac{1}{\sqrt[p]{2^m}}\right)$ , then in view of (3.9) we obtain

$$\begin{aligned} \left| \tilde{f}_{p,a_n}^{(s)} \left(1 - \frac{1}{\sqrt[p]{a_n}}\right) - \tilde{f}_{p,a}^{(s)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) \right| &\leq \|f\|_m \left| -\frac{1}{\sqrt[p]{a_n}} + \frac{1}{\sqrt[p]{a}} \right|^{m-s} \\ &+ C'_p \|f\|_m \sum_{j=1}^{m-s-1} \left| -\frac{1}{\sqrt[p]{a_n}} + \frac{1}{\sqrt[p]{a}} \right|^{m-s-j} \\ &+ \|f\|_m \left| \frac{1}{\sqrt[p]{a_n^{m-s}} - \frac{1}{\sqrt[p]{a^{m-s}}}} \right| + \|f\|_m \sum_{j=s}^{m-1} \left| -\frac{1}{\sqrt[p]{a_n^{m-j}} + \frac{1}{\sqrt[p]{a^{m-j}}}} \right| \end{aligned}$$

and we get (3.20) since the right-hand side of the above inequality goes to zero, due to the fact that  $a_n \rightarrow a$ , as  $n \rightarrow +\infty$ . The proof of (3.21) is similar, so the proof is complete.  $\square$

LEMMA 3.8. *Let  $p \in \mathbb{N}$  be given. Let  $f \in C_b^m$ , and  $\{a_n\}$  a sequence in  $[1, 2]$  such that  $a_n \rightarrow a$ , as  $n \rightarrow +\infty$ . Then*

$$\lim_{n \rightarrow +\infty} \|\tilde{f}_{p,a_n} - \tilde{f}_{p,a}\|_m = 0.$$

PROOF. Let  $p \in \mathbb{N}$  be fixed. The assert for  $f = 0$  is immediate, so we assume  $f \in C_b^m$  and  $f \neq 0$ . We prove, for any  $s \in \{0, 1, \dots, m\}$ ,

$$(3.25) \quad \left\| \tilde{f}_{p,a_n}^{(s)} - \tilde{f}_{p,a}^{(s)} \right\|_{\infty} \rightarrow 0, \quad \text{as } n \rightarrow +\infty,$$

and this will give the thesis. Let  $\varepsilon > 0$  be given. Preliminarily, since  $f^{(s)}$  is uniformly continuous on  $[0, 1]$ , we find  $\delta > 0$  such that, for any  $s \in \{0, 1, \dots, m\}$ ,

$$(3.26) \quad |f^{(s)}(t_1) - f^{(s)}(t_2)| \leq \varepsilon$$

for  $t_1, t_2 \in [0, 1]$  and  $|t_2 - t_1| \leq \delta$ .

To prove (3.25), we will evaluate  $\left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a}^{(s)}(t) \right|$  separately in each of the following cases:

- (i)  $t \in [0, 1 - 1/\sqrt[p]{a_n}] \cap [0, 1 - 1/\sqrt[p]{a}]$ ;
- (ii) either  $t \in [1 - 1/\sqrt[p]{a}, 1 - 1/\sqrt[p]{a_n}]$  if  $a \leq a_n$ , or  $t \in [1 - 1/\sqrt[p]{a_n}, 1 - 1/\sqrt[p]{a}]$  if  $a_n \leq a$ ;
- (iii)  $t \in [\max\{1 - 1/\sqrt[p]{a}, 1 - 1/\sqrt[p]{a_n}\}, 1]$ ;
- (iv)  $t \in (1, +\infty)$ .

For  $s = m$ , the evaluation of  $\left| \tilde{f}_{p,a_n}^{(m)}(t) - \tilde{f}_{p,a}^{(m)}(t) \right|$  will be almost immediate:

(i) and (iv) For  $t \in ([0, 1 - 1/\sqrt[p]{a_n}] \cap [0, 1 - 1/\sqrt[p]{a}]) \cup (1, +\infty)$  we have

$$(3.27) \quad \left| \tilde{f}_{p,a_n}^{(m)}(t) - \tilde{f}_{p,a}^{(m)}(t) \right| = 0.$$

(ii) Assume  $a \leq a_n$  and let  $t \in [1 - 1/\sqrt[p]{a}, 1 - 1/\sqrt[p]{a_n}]$ . Choose  $\bar{n} \in \mathbb{N}$  such that  $\left| 1 - \sqrt[p]{a/a_n} \right| \leq \delta$  for  $n > \bar{n}$ . Let  $n > \bar{n}$ , then we obtain

$$(3.28) \quad \left| \tilde{f}_{p,a_n}^{(m)}(t) - \tilde{f}_{p,a}^{(m)}(t) \right| = \left| f^{(m)}(0) - f^{(m)}(1 + \sqrt[p]{a}(t-1)) \right| \leq \varepsilon$$

as in view of (3.26), denoting  $t_1 = 0$  and  $t_2 = 1 + \sqrt[p]{a}(t-1)$ , we have

$$|t_2 - t_1| = |1 + \sqrt[p]{a}(t-1)| \leq \left|1 - \sqrt[p]{\frac{a}{a_n}}\right| \leq \delta.$$

The case  $a_n \leq a$  similar.

(iii) Let  $t \in [\max\{1 - 1/\sqrt[p]{a}, 1 - 1/\sqrt[p]{a_n}\}, 1]$ , then  $1 - t < 1$ . Choose  $\bar{n} \in \mathbb{N}$  such that  $|\sqrt[p]{a} - \sqrt[p]{a_n}| \leq \delta$  for  $n > \bar{n}$ . Thus, for  $n > \bar{n}$ , we find

$$(3.29) \quad \left| \tilde{f}_{p,a_n}^{(m)}(t) - \tilde{f}_{p,a}^{(m)}(t) \right| = \left| f^{(m)}(1 + \sqrt[p]{a_n}(t-1)) - f^{(m)}(1 + \sqrt[p]{a}(t-1)) \right| \leq \varepsilon,$$

since, as before by (3.26), denoting  $t_1 = 1 + \sqrt[p]{a_n}(t-1)$  and  $t_2 = 1 + \sqrt[p]{a}(t-1)$ , we have

$$|t_2 - t_1| = |(\sqrt[p]{a} - \sqrt[p]{a_n})(t-1)| \leq |\sqrt[p]{a} - \sqrt[p]{a_n}| \leq \delta.$$

Then (3.27), (3.28), (3.29) and the arbitrariness of  $\varepsilon$  imply (3.25) when  $s = m$ .

Now we assume  $s \in \{0, 1, \dots, m-1\}$  and again we examine separately each of the cases (i) – (iv):

(i) Let  $t \in [0, 1 - 1/\sqrt[p]{a_n}] \cap [0, 1 - 1/\sqrt[p]{a}]$ . Then we have

$$\begin{aligned} \tilde{f}_{p,a}^{(s)}(t) &= \frac{1}{(m-s)!} f^{(m)}(0) \left( t - 1 + \frac{1}{\sqrt[p]{a}} \right)^{m-s} \\ &\quad + \sum_{j=1}^{m-s} \frac{1}{(m-s-j)!} f_{p,a}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \left( t - 1 + \frac{1}{\sqrt[p]{a}} \right)^{m-s-j}, \end{aligned}$$

and analogous formula gives  $\tilde{f}_{p,a_n}^{(s)}(t)$ , so that, adding and subtracting

$$f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \left( t - 1 + \frac{1}{\sqrt[p]{a}} \right)^{m-s-j},$$

inside the summation sign, we obtain

$$\begin{aligned} \left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a}^{(s)}(t) \right| &\leq \frac{1}{(m-s)!} \left| f^{(m)}(0) \right| \left| \left( t - 1 + \frac{1}{\sqrt[p]{a_n}} \right)^{m-s} - \left( t - 1 + \frac{1}{\sqrt[p]{a}} \right)^{m-s} \right| \\ &\quad + \sum_{j=1}^{m-s} \frac{1}{(m-s-j)!} \left| f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \left( t - 1 + \frac{1}{\sqrt[p]{a}} \right)^{m-s-j} \right. \\ &\quad \quad \left. - f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \left( t - 1 + \frac{1}{\sqrt[p]{a}} \right)^{m-s-j} \right| \\ &\quad + \sum_{j=1}^{m-s} \frac{1}{(m-s-j)!} \left| f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \left( t - 1 + \frac{1}{\sqrt[p]{a}} \right)^{m-s-j} \right. \\ &\quad \quad \left. - f_{p,a}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \left( t - 1 + \frac{1}{\sqrt[p]{a}} \right)^{m-s-j} \right| \end{aligned}$$

$$\begin{aligned}
&\leq \frac{1}{(m-s)!} \left| f^{(m)}(0) \right| \left| \left( t-1 + \frac{1}{\sqrt[p]{a_n}} \right)^{m-s} - \left( t-1 + \frac{1}{\sqrt[p]{a}} \right)^{m-s} \right| \\
&+ \sum_{j=1}^{m-s} \frac{1}{(m-s-j)!} \left| f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \right| \left| \left( t-1 + \frac{1}{\sqrt[p]{a_n}} \right)^{m-s-j} - \left( t-1 + \frac{1}{\sqrt[p]{a}} \right)^{m-s-j} \right| \\
&+ \sum_{j=1}^{m-s} \left| f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) - f_{p,a}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \right| \left| t-1 + \frac{1}{\sqrt[p]{a}} \right|^{m-s-j}
\end{aligned}$$

Let us notice that, due to the fact that  $\max \{ t-1 + 1/\sqrt[p]{a}, t-1 + 1/\sqrt[p]{a_n} \} \leq 1$ , we have (we will apply it for  $i = m-s$  and  $i = m-s-j$ )

$$\left| \left( t-1 + \frac{1}{\sqrt[p]{a_n}} \right)^i - \left( t-1 + \frac{1}{\sqrt[p]{a}} \right)^i \right| \leq \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right| i.$$

Consequently we have

$$\begin{aligned}
&\left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a}^{(s)}(t) \right| \\
&\leq \|f\|_m \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right| + \sum_{j=1}^{m-s} \left| f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \right| \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right| \\
&\quad + \sum_{j=1}^{m-s} \left| f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) - f_{p,a}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \right| \\
&\leq \|f\|_m \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right| + \sum_{j=1}^{m-s} \left| f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \right| \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right| \\
&\quad + \sum_{j=1}^{m-s} \left( \left| f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) - f_{p,a}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \right| \right. \\
&\quad \left. + \left| f_{p,a}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) - f_{p,a}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \right| \right).
\end{aligned}$$

Looking at the last term of the above chain of inequalities we see that it does not depend on  $t$  and goes to zero, as  $n \rightarrow +\infty$ . Indeed, the first addend  $\|f\|_m \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right| \rightarrow 0$  since by hypothesis  $a_n \rightarrow a$  as  $n \rightarrow +\infty$ . Using Lemma 3.1 we have

$$\sum_{j=1}^{m-s} \left| f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) \right| \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right| \leq (m-s) C_p \|f\|_m \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right|,$$

which again goes to zero as  $n \rightarrow +\infty$ . For the third addend we have, by Lemma 3.7,

$$\lim_{n \rightarrow +\infty} \sum_{j=1}^{m-s} \left| f_{p,a_n}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a_n}} \right) - f_{p,a}^{(m-j)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \right| = 0,$$

and, by the continuity of  $f_{p,a}^{(m-j)}$  at the point  $\left(1 - \frac{1}{\sqrt[p]{a}}\right)$ ,

$$\lim_{n \rightarrow +\infty} \sum_{j=1}^{m-s} \left| f_{p,a}^{(m-j)} \left(1 - \frac{1}{\sqrt[p]{a_n}}\right) - f_{p,a}^{(m-j)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) \right| = 0.$$

Therefore we obtain, as desired,

$$\max_{[0, 1-1/\sqrt[p]{a_n}] \cap [0, 1-1/\sqrt[p]{a}]} \left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a}^{(s)}(t) \right| \rightarrow 0, \quad \text{as } n \rightarrow +\infty.$$

(ii) We assume  $a \leq a_n$  and  $t \in [1 - 1/\sqrt[p]{a}, 1 - 1/\sqrt[p]{a_n}]$ . We can write

(3.30)

$$\begin{aligned} \left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a}^{(s)}(t) \right| &\leq \left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a_n}^{(s)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) \right| \\ &\quad + \left| \tilde{f}_{p,a_n}^{(s)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) - \tilde{f}_{p,a}^{(s)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) \right| + \left| \tilde{f}_{p,a}^{(s)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) - \tilde{f}_{p,a}^{(s)}(t) \right|. \end{aligned}$$

We look, separately at each of the three terms of the right-hand side of (3.30).

In view of Lemma 3.7, the second term goes to zero, i.e.

$$\left| \tilde{f}_{p,a_n}^{(s)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) - \tilde{f}_{p,a}^{(s)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) \right| \rightarrow 0, \quad \text{as } n \rightarrow \infty.$$

Looking at the first term we have:

$$\begin{aligned} \tilde{f}_{p,a_n}^{(s)}(t) &= \frac{1}{(m-s)!} f^{(m)}(0) \left( t - 1 + \frac{1}{\sqrt[p]{a_n}} \right)^{m-s} \\ &\quad + \sum_{j=1}^{m-s} \frac{1}{(m-s-j)!} f_{p,a_n}^{(m-j)} \left(1 - \frac{1}{\sqrt[p]{a_n}}\right) \left( t - 1 + \frac{1}{\sqrt[p]{a_n}} \right)^{m-s-j}, \end{aligned}$$

$$\begin{aligned} \tilde{f}_{p,a_n}^{(s)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) &= \frac{1}{(m-s)!} f^{(m)}(0) \left( \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right)^{m-s} \\ &\quad + \sum_{j=1}^{m-s} \frac{1}{(m-s-j)!} f_{p,a_n}^{(m-j)} \left(1 - \frac{1}{\sqrt[p]{a_n}}\right) \left( \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right)^{m-s-j} \end{aligned}$$

and

$$\left| t - 1 + \frac{1}{\sqrt[p]{a_n}} \right| \leq \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right|.$$

Therefore, also in view of Lemma 3.1, we have

$$\begin{aligned} \left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a_n}^{(s)} \left(1 - \frac{1}{\sqrt[p]{a}}\right) \right| &\leq 2 |f^{(m)}(0)| \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right|^{m-s} \\ &\quad + 2 \sum_{j=1}^{m-s-1} \frac{1}{(m-s-j)!} \left| f_{p,a_n}^{(m-j)} \left(1 - \frac{1}{\sqrt[p]{a_n}}\right) \right| \left| \frac{1}{\sqrt[p]{a}} - \frac{1}{\sqrt[p]{a_n}} \right|^{m-s-j} \\ &\leq 2 |f^{(m)}(0)| \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right|^{m-s} + (m-s-1) C_p \|f\|_m \left| \frac{1}{\sqrt[p]{a_n}} - \frac{1}{\sqrt[p]{a}} \right|^{m-s-j} \end{aligned}$$



which shows that the first term of the right-hand side of (3.30) goes to 0, as  $n \rightarrow \infty$ , independently on  $t$ .

As for the third term, since

$$\begin{aligned} \tilde{f}_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) &= f_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) \\ &= \frac{1}{\sqrt[p]{a^{m-s}}} f^{(s)}(0) + \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left( 1 - \frac{1}{\sqrt[p]{a^{m-j}}} \right) \left( -\frac{1}{\sqrt[p]{a}} \right)^{j-s}, \end{aligned}$$

$$\begin{aligned} \tilde{f}_{p,a}^{(s)}(t) &= f_{p,a}^{(s)}(t) \\ &= \frac{1}{\sqrt[p]{a^{m-s}}} f^{(s)}(1 + \sqrt[p]{a}(t-1)) - \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left( 1 - \frac{1}{\sqrt[p]{a^{m-j}}} \right) (t-1)^{j-s}, \end{aligned}$$

we have

$$\begin{aligned} \left| \tilde{f}_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) - \tilde{f}_{p,a}^{(s)}(t) \right| &\leq \left| f^{(s)}(0) - f^{(s)}(1 + \sqrt[p]{a}(t-1)) \right| \\ &\quad + \sum_{j=s+1}^{m-1} \frac{|f^{(j)}(1)|}{(j-s)!} \left| 1 - \frac{1}{\sqrt[p]{a^{m-j}}} \right| \left| \left( -\frac{1}{\sqrt[p]{a}} \right)^{j-s} - (t-1)^{j-s} \right|. \end{aligned}$$

Then, due to the fact that

$$\left| \left( -\frac{1}{\sqrt[p]{a}} \right)^{j-s} - (t-1)^{j-s} \right| \leq \left| t-1 + \frac{1}{\sqrt[p]{a}} \right| (j-s) \leq \left| \frac{1}{\sqrt[p]{a}} - \frac{1}{\sqrt[p]{a_n}} \right| (j-s),$$

we infer

$$\begin{aligned} &\left| \tilde{f}_{p,a}^{(s)} \left( 1 - \frac{1}{\sqrt[p]{a}} \right) - \tilde{f}_{p,a}^{(s)}(t) \right| \\ &\leq \left| f^{(s)}(0) - f^{(s)}(1 + \sqrt[p]{a}(t-1)) \right| + \|f\| \left| \frac{1}{\sqrt[p]{a}} - \frac{1}{\sqrt[p]{a_n}} \right| (m-s-1). \end{aligned}$$

Therefore, using the hypothesis  $a_n \rightarrow a$  as  $n \rightarrow \infty$  and the uniform continuity of  $f^{(s)}$ , as in (3.28), we obtain

$$\max_{[1-1/\sqrt[p]{a}, 1-1/\sqrt[p]{a_n}]} \left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a}^{(s)}(t) \right| \rightarrow 0 \quad \text{as } n \rightarrow +\infty.$$

The case  $a_n \leq a$  and  $t \in [1-1/\sqrt[p]{a_n}, 1-1/\sqrt[p]{a}]$  can be carried out similarly.

(iii) Let  $t \in [\max\{1-1/\sqrt[p]{a}, 1-1/\sqrt[p]{a_n}\}, 1]$ , ( $1-t < 1$ ) then

$$\begin{aligned} \tilde{f}_{p,a_n}^{(s)}(t) &= f_{p,a_n}^{(s)}(t) \\ &= \frac{1}{\sqrt[p]{a_n^{m-s}}} f^{(s)}(1 + \sqrt[p]{a_n}(t-1)) + \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left( 1 - \frac{1}{\sqrt[p]{a_n^{m-j}}} \right) (t-1)^{j-s} \end{aligned}$$

and analogous formula gives  $\tilde{f}_{p,a}^{(s)}(t)$ . So we have

$$\begin{aligned} & \left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a}^{(s)}(t) \right| \\ & \leq \left| \frac{1}{\sqrt[p]{a_n^{m-s}}} f^{(s)}(1 + \sqrt[p]{a_n}(t-1)) - \frac{1}{\sqrt[p]{a^{m-s}}} f^{(s)}(1 + \sqrt[p]{a}(t-1)) \right| \\ & + \left| \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left(1 - \frac{1}{\sqrt[p]{a_n^{m-j}}}\right) (t-1)^{j-s} - \sum_{j=s}^{m-1} \frac{f^{(j)}(1)}{(j-s)!} \left(1 - \frac{1}{\sqrt[p]{a^{m-j}}}\right) (t-1)^{j-s} \right| \end{aligned}$$

and adding and subtracting  $\frac{1}{\sqrt[p]{a_n^{m-s}}} f^{(s)}(1 + \sqrt[p]{a_n}(t-1))$  we get

$$\begin{aligned} & \left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a}^{(s)}(t) \right| \leq \left| \frac{1}{\sqrt[p]{a_n^{m-s}}} f^{(s)}(1 + \sqrt[p]{a_n}(t-1)) - \frac{1}{\sqrt[p]{a^{m-s}}} f^{(s)}(1 + \sqrt[p]{a_n}(t-1)) \right| \\ & + \left| \frac{1}{\sqrt[p]{a_n^{m-s}}} f^{(s)}(1 + \sqrt[p]{a_n}(t-1)) - \frac{1}{\sqrt[p]{a^{m-s}}} f^{(s)}(1 + \sqrt[p]{a}(t-1)) \right| \\ & + \sum_{j=s}^{m-1} \frac{|f^{(j)}(1)|}{(j-s)!} |t-1|^j \left| \frac{1}{\sqrt[p]{a_n^{m-j}}} - \frac{1}{\sqrt[p]{a^{m-j}}} \right| \\ & \leq \|f\|_m \left| \frac{1}{\sqrt[p]{a_n^{m-s}}} - \frac{1}{\sqrt[p]{a^{m-s}}} \right| + \left| f^{(s)}(1 + \sqrt[p]{a_n}(t-1)) - f^{(s)}(1 + \sqrt[p]{a}(t-1)) \right| \\ & + \|f\|_m \sum_{j=s}^{m-1} \left| \frac{1}{\sqrt[p]{a_n^{m-j}}} - \frac{1}{\sqrt[p]{a^{m-j}}} \right|. \end{aligned}$$

Now, using the hypothesis  $a_n \rightarrow a$  as  $n \rightarrow \infty$  and the uniform continuity, as in (3.29) of  $f^{(s)}$ , we obtain

$$\max_{[\max\{1-1/\sqrt[p]{a}, 1-1/\sqrt[p]{a_n}\}, 1]} \left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a}^{(s)}(t) \right| \rightarrow 0 \quad \text{as } n \rightarrow +\infty.$$

(iv) If  $t > 1$  we have  $\left| \tilde{f}_{p,a_n}^{(s)}(t) - \tilde{f}_{p,a}^{(s)}(t) \right| = 0$ .

The proof is complete.  $\square$

#### 4. The mapping $Q_p$

In this section, first we prove that, for  $p \in \mathbb{N}$ , the mapping  $Q_p$  is  $C_p$ -ball-contractive, with  $C_p$  given in (3.1). In other words, in view of Corollary 3.2, there exists  $\varepsilon_p > 0$ , with  $\lim_{p \rightarrow \infty} \varepsilon_p = 0$ , such that  $Q_p$  is  $(1+\varepsilon_p)$ -ball-contractive. Then we prove that  $Q_p$ , at least for large  $p$ , has positive lower  $\gamma$ -norm.

PROPOSITION 4.1. *For any  $p \in \mathbb{N}$ , the mapping  $Q_p$  is  $C_p$ -ball-contractive.*

PROOF. Let  $\{f_n\}$  be a sequence in  $B(C_b^m)$  and  $f$  a function in  $B(C_b^m)$  such that  $\|f_n - f\|_m \rightarrow 0$ , as  $n \rightarrow +\infty$ . Then, by definition of  $Q_p$ ,

$$\|Q_p f_n - Q_p f\|_m = \|(\tilde{f}_n)_{p,a_n} - \tilde{f}_{p,a}\|_m,$$

for  $a_n = \frac{2}{1+\|f_n\|_m}$  and  $a = \frac{2}{1+\|f\|_m}$ , so that  $a_n \in [1, 2]$  for each  $n \in \mathbb{N}$ ,  $a \in [1, 2]$  and  $a_n \rightarrow a$ , as  $n \rightarrow +\infty$ . Since by the hypothesis and Lemma 3.8 we have

$$\begin{aligned} \|(\tilde{f}_n)_{p,a_n} - \tilde{f}_{p,a}\|_m &\leq \|(\tilde{f}_n)_{p,a_n} - \tilde{f}_{p,a_n}\|_m + \|\tilde{f}_{p,a_n} - \tilde{f}_{p,a}\|_m \\ &= \|(\tilde{f}_n - \tilde{f})_{p,a_n}\|_m + \|\tilde{f}_{p,a_n} - \tilde{f}_{p,a}\|_m \\ &\leq C_p \|f_n - f\|_m + \|\tilde{f}_{p,a_n} - \tilde{f}_{p,a}\|_m \rightarrow 0, \end{aligned}$$

we obtain that the mapping  $Q_p$  is continuous. To conclude we have to show that for  $M \subseteq B(C_b^m)$

$$\gamma(Q_p M) \leq C_p \gamma(M).$$

First we observe that for  $\varphi \in C_b^m$  the subset  $A_{p,\varphi} = \{\tilde{\varphi}_{p,a} : a \in [1, 2]\}$  of  $C_b^m$  is compact. Indeed, if  $\{\tilde{\varphi}_{p,a_n}\}$  is a sequence of elements in  $A_{p,\varphi}$  and  $\{a_{n_k}\}$  a subsequence of  $\{a_n\}$  which is convergent, say to  $a$ , then by Lemma 3.8 we have  $\|\tilde{\varphi}_{p,a_{n_k}} - \tilde{\varphi}_{p,a}\|_m \rightarrow 0$ . Now let  $\alpha > \gamma(M)$ . Let  $\{\varphi_1, \dots, \varphi_l\}$  be an  $\alpha$ -net for  $M$  in  $C_b^m$ . Then the set  $A_k = \bigcup_{i=1}^l A_{p,\varphi_i}$  is a compact subset of  $C_b^m$ . Thus, given  $\delta > 0$  we choose a  $\delta$ -net  $\{\psi_1, \dots, \psi_p\}$  for  $A_k$  in  $C_b^m$ .

For  $g \in Q_p M$  arbitrarily fixed, let  $f \in M$  such that  $Q_p f = g$ . Then let  $i \in \{1, \dots, l\}$  be such that  $\|f - \varphi_i\|_m \leq \alpha$  and  $j \in \{1, \dots, p\}$  be such that

$$\|(\tilde{\varphi}_i)_{p,a} - \psi_j\|_m \leq \delta, \quad \text{for } a = \frac{2}{1+\|f\|_m}.$$

Then by Lemma 3.1 we obtain

$$\begin{aligned} \|g - \psi_j\|_m &= \|Q_p f - \psi_j\|_m = \|\tilde{f}_{p,a} - \psi_j\|_m \\ &\leq \|\tilde{f}_{p,a} - (\tilde{\varphi}_i)_{p,a}\|_m + \|(\tilde{\varphi}_i)_{p,a} - \psi_j\|_m \\ &\leq C_p \|f - \varphi_i\|_m + \delta \leq C_p \alpha + \delta, \end{aligned}$$

that is,  $\gamma(Q_p M) \leq C_p \alpha + \delta$ . The arbitrariness of  $\delta$  gives the desired result  $\gamma(Q_p M) \leq C_p \gamma(M)$ .  $\square$

Our next aim is to prove  $\frac{D_p}{m+1} \gamma(M) \leq \gamma(Q_p M)$ , for  $M \subseteq B(C_b^m)$ . To this end, given  $p \in \mathbb{N}$ ,  $g \in C_b^m$  and  $a \in [1, 2]$  we introduce  $g^{p,a} : [0, +\infty) \rightarrow \mathbb{R}$ , in such a way to have  $g^{p,a} \in C_b^m$ , by setting

$$g^{p,a}(t) = \begin{cases} \sqrt[p]{a^m} g \left(1 + \frac{1}{\sqrt[p]{a}}(t-1)\right) + \sum_{j=0}^{m-1} \frac{g^{(j)}(1)}{j!} \left(1 - \sqrt[p]{a^{m-j}}\right) (t-1)^j & \text{if } t \in [0, 1] \\ g(t) & \text{if } t \in (1, +\infty). \end{cases}$$

Computing the derivatives

$$(g^{p,a})^{(s)}(t) = \begin{cases} \sqrt[p]{a^{m-s}} g^{(s)} \left(1 + \frac{1}{\sqrt[p]{a}}(t-1)\right) + \sum_{j=s}^{m-1} \frac{g^{(j)}(1)}{(j-s)!} \left(1 - \sqrt[p]{a^{m-j}}\right) (t-1)^{j-s} & \text{if } t \in [0, 1] \\ g^{(s)}(t) & \text{if } t \in (1, +\infty), \end{cases}$$

for  $s \in \{0, 1, \dots, m-1\}$  and

$$(g^{p,a})^{(m)}(t) = \begin{cases} g^{(m)}\left(1 + \frac{1}{\vartheta^a}(t-1)\right) & \text{if } t \in [0, 1] \\ g^{(m)}(t) & \text{if } t \in (1, +\infty). \end{cases}$$

We need the following lemma.

LEMMA 4.2. *Let  $p \in \mathbb{N}$ . Let  $f \in B(C_b^m)$ ,  $g \in C_b^m$  and  $a \in [1, 2]$ . Then*

$$\left\| \tilde{f}_{p,a} - (\widetilde{g^{p,a}})_{p,a} \right\|_m \leq (m+1) \left\| \tilde{f}_{p,a} - g \right\|_m.$$

PROOF. Let  $p \in \mathbb{N}$ . Let  $f \in B(C_b^m)$ ,  $g \in C_b^m$  and  $a \in [1, 2]$ . Let us write explicitly  $(\widetilde{g^{p,a}})_{p,a}$ , we have

$$(\widetilde{g^{p,a}})_{p,a}(t) = \begin{cases} \sum_{i=0}^m \frac{1}{i!} g^{(i)}\left(1 - \frac{1}{\vartheta^a}\right) \left(t - 1 + \frac{1}{\vartheta^a}\right)^i & \text{if } t \in \left[0, 1 - \frac{1}{\vartheta^a}\right] \\ g(t) & \text{if } t \in \left(1 - \frac{1}{\vartheta^a}, +\infty\right). \end{cases}$$

Moreover, for  $s = 1, \dots, m$ , we have

$$(4.1) \quad (\widetilde{g^{p,a}})_{p,a}^{(s)}(t) = \begin{cases} \sum_{i=0}^{m-s} \frac{1}{i!} g^{(s+i)}\left(1 - \frac{1}{\vartheta^a}\right) \left(t - 1 + \frac{1}{\vartheta^a}\right)^i & \text{if } t \in \left[0, 1 - \frac{1}{\vartheta^a}\right] \\ g^{(s)}(t) & \text{if } t \in \left(1 - \frac{1}{\vartheta^a}, +\infty\right), \end{cases}$$

which, in particular, for  $s = m$  reduces to

$$(\widetilde{g^{p,a}})_{p,a}^{(m)}(t) = \begin{cases} g^{(m)}\left(1 - \frac{1}{\vartheta^a}\right) & \text{if } t \in \left[0, 1 - \frac{1}{\vartheta^a}\right] \\ g^{(m)}(t) & \text{if } t \in \left(1 - \frac{1}{\vartheta^a}, +\infty\right). \end{cases}$$

To prove the thesis we will show that, for  $s = 0, 1, \dots, m$ ,

$$\left\| \tilde{f}_{p,a}^{(s)} - (\widetilde{g^{p,a}})_{p,a}^{(s)} \right\|_\infty \leq (m+1) \left\| \tilde{f}_{p,a} - g \right\|_m.$$

???

Since, for each  $s$ ,

$$\left\| \tilde{f}_{p,a}^{(s)} - (\widetilde{g^{p,a}})_{p,a}^{(s)} \right\|_\infty = \left\{ \max_{t \in \left[0, 1 - \frac{1}{\vartheta^a}\right]} \left| \tilde{f}_{p,a}^{(s)}(t) - (\widetilde{g^{p,a}})_{p,a}^{(s)}(t) \right|, \sup_{t \in \left(1 - \frac{1}{\vartheta^a}, +\infty\right)} \left| \tilde{f}_{p,a}^{(s)}(t) - g^{(s)}(t) \right| \right\},$$

it suffices to prove

$$(4.2) \quad \max_{t \in \left[0, 1 - \frac{1}{\vartheta^a}\right]} \left| \tilde{f}_{p,a}^{(s)}(t) - (\widetilde{g^{p,a}})_{p,a}^{(s)}(t) \right| \leq (m+1) \left\| \tilde{f}_{p,a} - g \right\|_m.$$

Let us consider first the case  $s = m$ . Since, for  $t \in \left[0, 1 - \frac{1}{\sqrt[p]{a}}\right]$ ,  $\tilde{f}_{p,a}^{(m)}(t) = f^{(m)}(0) = \tilde{f}_{p,a}^{(m)}\left(1 - \frac{1}{\sqrt[p]{a}}\right)$ , we have

$$\begin{aligned} \max_{t \in \left[0, 1 - \frac{1}{\sqrt[p]{a}}\right]} \left| \tilde{f}_{p,a}^{(m)}(t) - (\widetilde{g^{p,a}})_{p,a}^{(m)}(t) \right| &= \left| \tilde{f}_{p,a}^{(m)}\left(1 - \frac{1}{\sqrt[p]{a}}\right) - g^{(m)}\left(1 - \frac{1}{\sqrt[p]{a}}\right) \right| \\ &\leq \left\| \tilde{f}_{p,a}^{(m)} - g^{(m)} \right\|_{\infty} \leq \left\| \tilde{f}_{p,a} - g \right\|_m, \end{aligned}$$

hence (4.2) holds. Next let  $s \in \{0, 1, \dots, m-1\}$ . Let  $t \in \left[0, 1 - \frac{1}{\sqrt[p]{a}}\right]$ . Then

$$\begin{aligned} \tilde{f}_{p,a}^{(s)}(t) &= \frac{1}{(m-s)!} f^{(m)}(0) \left(t - 1 + \frac{1}{\sqrt[p]{a}}\right)^{m-s} \\ &\quad + \sum_{j=1}^{m-s} \frac{1}{(m-s-j)!} f_{p,a}^{(m-j)}\left(1 - \frac{1}{\sqrt[p]{a}}\right) \left(t - 1 + \frac{1}{\sqrt[p]{a}}\right)^{m-s-j}. \end{aligned}$$

Since  $f^{(m)}(0) = f_{p,a}^{(m)}\left(1 - \frac{1}{\sqrt[p]{a}}\right)$  and  $f_{p,a}^{(s)}\left(1 - \frac{1}{\sqrt[p]{a}}\right) = \tilde{f}_{p,a}^{(s)}\left(1 - \frac{1}{\sqrt[p]{a}}\right)$ , for all  $s$ , we can write

$$\tilde{f}_{p,a}^{(s)}(t) = \sum_{j=0}^{m-s} \frac{1}{(m-s-j)!} \tilde{f}_{p,a}^{(m-j)}\left(1 - \frac{1}{\sqrt[p]{a}}\right) \left(t - 1 + \frac{1}{\sqrt[p]{a}}\right)^{m-s-j}.$$

Moreover, changing the summation index (letting  $j = m - s - i$ ) in (4.1) we can write

$$(\widetilde{g^{p,a}})_{p,a}^{(s)}(t) = \sum_{j=0}^{m-s} \frac{1}{(m-s-j)!} g^{(m-j)}\left(1 - \frac{1}{\sqrt[p]{a}}\right) \left(t - 1 + \frac{1}{\sqrt[p]{a}}\right)^{m-s-j}.$$

Therefore we obtain

$$\begin{aligned} \max_{t \in \left[0, 1 - \frac{1}{\sqrt[p]{a}}\right]} \left| \tilde{f}_{p,a}^{(s)}(t) - (\widetilde{g^{p,a}})_{p,a}^{(s)}(t) \right| &\leq \sum_{j=0}^{m-s} \left| \tilde{f}_{p,a}^{(m-j)}\left(1 - \frac{1}{\sqrt[p]{a}}\right) - g^{(m-j)}\left(1 - \frac{1}{\sqrt[p]{a}}\right) \right| \\ &\leq \sum_{j=0}^{m-s} \left\| \tilde{f}_{p,a}^{(m-j)} - g^{(m-j)} \right\|_{\infty} \leq (m+1) \left\| \tilde{f}_{p,a} - g \right\|_m. \end{aligned}$$

Hence (4.2) is proved and the proof is complete.  $\square$

Now given  $p \in \mathbb{N}$ , let  $D_p$  as given in (3.2), then we have

PROPOSITION 4.3. *Let  $p \in \mathbb{N}$ . Given  $M \subseteq B(C_b^m)$  we have*

$$(4.3) \quad \frac{D_p}{m+1} \gamma(M) \leq \gamma(Q_p M).$$

*In particular, the following estimate of the lower Hausdorff measure of noncompactness  $\omega(Q_p)$  of  $Q_p$  holds:*

$$\omega(Q_p) \geq \frac{D_p}{m+1}.$$

PROOF. Let  $p \in \mathbb{N}$ ,  $M \subseteq B(C_b^m)$  and  $\eta > \gamma(Q_p M)$ . Fix an  $\eta$ -net  $\{\lambda_1, \dots, \lambda_q\}$  for  $Q_p M$  in  $C_b^m$ . Similarly as in Lemma 3.8 it can be proved that given  $\lambda \in C_b^m$  and a sequence  $\{a_n\}$  in  $[1, 2]$  such that  $a_n \rightarrow a$ , then  $\|\lambda^{p, a_n} - \lambda^{p, a}\|_m \rightarrow 0$ . Then we have that  $A^{p, \lambda_i} = \{\lambda_i^{p, a} : a \in [1, 2]\}$  is a compact subset of  $C_b^m$  and therefore  $A^p = \bigcup_{i=1}^q A^{p, \lambda_i}$  is a compact set in  $C_b^m$ . Hence, given  $\delta > 0$  we choose a  $\delta$ -net  $\{\xi_1, \dots, \xi_r\}$  for  $A^p$  in  $C_b^m$ .

Now let  $f \in M$ . Fix  $i \in \{1, \dots, q\}$  such that  $\|Q_p f - \lambda_i\|_m \leq \eta$ . Since  $(\lambda_i)^{p, \frac{2}{1+\|f\|_m}}$  is in  $A^p$ , we can choose  $j \in \{1, \dots, r\}$  such that  $\|(\lambda_i)^{\frac{2}{1+\|f\|_m}} - \xi_j\|_m \leq \delta$ . Then, also in view of Lemma 3.1

$$\begin{aligned} \|f - \xi_j\|_m &\leq \|f - (\lambda_i)^{p, \frac{2}{1+\|f\|_m}}\|_m + \|(\lambda_i)^{p, \frac{2}{1+\|f\|_m}} - \xi_j\|_m \\ &\leq \frac{1}{D_p} \left\| \tilde{f}_{p, \frac{2}{1+\|f\|_m}} - \widetilde{((\lambda_i)^{p, a})}_{p, \frac{2}{1+\|f\|_m}} \right\|_m + \delta. \end{aligned}$$

By Lemma 4.2 we have

$$\left\| \tilde{f}_{p, \frac{2}{1+\|f\|_m}} - \widetilde{((\lambda_i)^{p, a})}_{p, \frac{2}{1+\|f\|_m}} \right\|_m \leq (m+1) \|\tilde{f}_{p, \frac{2}{1+\|f\|_m}} - \lambda_i\|_m,$$

hence we obtain

$$\begin{aligned} \|f - \xi_j\|_m &\leq \frac{m+1}{D_p} \left\| \tilde{f}_{p, \frac{2}{1+\|f\|_m}} - \lambda_i \right\|_m + \delta \\ &= \frac{m+1}{D_p} \|Q_p f - \lambda_i\|_m + \delta \leq \frac{m+1}{D_p} \eta + \delta. \end{aligned}$$

Therefore  $\gamma(M) \leq ((m+1)/D_p) \eta + \delta$ , so that

$$\frac{D_p}{m+1} \gamma(M) \leq \eta + \frac{D_p}{m+1} \delta,$$

which by the arbitrariness of  $\delta$  gives (4.3). Thus the proof is complete.  $\square$

## 5. The mapping $P_{u,p}$

For  $p \in \mathbb{N}$  and  $u > 0$ , we define  $P_{u,p} : B(C_b^m) \rightarrow C_b^m$  by setting

$$(P_{u,p} f)(t) = \begin{cases} -\frac{u}{(m+1)!} \left( t - 1 + \sqrt{\frac{1+\|f\|_m}{2}} \right)^{m+1} & \text{if } t \in \left[ 0, 1 - \sqrt{\frac{1+\|f\|_m}{2}} \right] \\ 0 & \text{if } t \in \left( 1 - \sqrt{\frac{1+\|f\|_m}{2}}, +\infty \right). \end{cases}$$

We observe that if  $f$  and  $g \in B(C_b^m)$  and  $\|f\|_m = \|g\|_m$  we have  $P_{u,p} f = P_{u,p} g$ , in particular  $P_{u,p} f$  coincides with the null function if  $\|f\|_m = 1$ .

Clearly  $P_{u,p} f \in C_b^m$ , and for  $s = 0, 1, \dots, m$  we have

$$(P_{u,p} f)^{(s)}(t) = \begin{cases} -\frac{u}{(m+1-s)!} \left( t - 1 + \sqrt{\frac{1+\|f\|_m}{2}} \right)^{m+1-s} & \text{if } t \in \left[ 0, 1 - \sqrt{\frac{1+\|f\|_m}{2}} \right] \\ 0 & \text{if } t \in \left( 1 - \sqrt{\frac{1+\|f\|_m}{2}}, +\infty \right). \end{cases}$$

LEMMA 5.1. Let  $p \in \mathbb{N}$  and  $u > 0$ . Let  $\{f_n\}$  be a sequence in  $B(C_b^m)$  and  $f \in B(C_b^m)$  such that  $\|f_n\|_m \rightarrow \|f\|_m$ , then

$$\|P_{u,p}f_n - P_{u,p}f\|_m \rightarrow 0.$$

PROOF. We will show that for each  $s = 0, 1, \dots, m$  we have

$$(5.1) \quad \|(P_{u,p}f_n)^{(s)} - (P_{u,p}f)^{(s)}\|_\infty \rightarrow 0,$$

To this end, fix  $s \in \{0, 1, \dots, m\}$  and  $\varepsilon > 0$ . Find  $\bar{n}$  such that for all  $n \geq \bar{n}$  we have

$$\left| \sqrt[p]{\frac{1+\|f\|_m}{2}} - \sqrt[p]{\frac{1+\|f_n\|_m}{2}} \right| \leq \frac{\varepsilon}{u}.$$

Let  $n \geq \bar{n}$ . We will prove

$$(5.2) \quad \left| (P_{u,p}f_n)^{(s)}(t) - (P_{u,p}f)^{(s)}(t) \right| \leq \varepsilon, \quad \text{for all } t \in [0, +\infty).$$

If  $t \in \left[0, 1 - \sqrt[p]{\frac{1+\|f\|_m}{2}}\right] \cap \left[0, 1 - \sqrt[p]{\frac{1+\|f_n\|_m}{2}}\right]$ , then

$$\begin{aligned} & \left| (P_{u,p}f_n)^{(s)}(t) - (P_{u,p}f)^{(s)}(t) \right| \\ & \leq u \left| \left( t - 1 + \sqrt[p]{\frac{1+\|f_n\|_m}{2}} \right)^{m+1-s} - \left( t - 1 + \sqrt[p]{\frac{1+\|f\|_m}{2}} \right)^{m+1-s} \right| \\ & \leq u \left| \sqrt[p]{\frac{1+\|f_n\|_m}{2}} - \sqrt[p]{\frac{1+\|f\|_m}{2}} \right| (m+1-s) \leq u \frac{\varepsilon}{u} = \varepsilon. \end{aligned}$$

Assume now  $\|f\|_m \leq \|f_n\|_m$  and  $t \in \left[1 - \sqrt[p]{\frac{1+\|f_n\|_m}{2}}, 1 - \sqrt[p]{\frac{1+\|f\|_m}{2}}\right]$ , then

$$\begin{aligned} \left| (P_{u,p}f_n)^{(s)}(t) - (P_{u,p}f)^{(s)}(t) \right| & \leq u \left| t - 1 + \sqrt[p]{\frac{1+\|f\|_m}{2}} \right|^{m+1-s} \\ & \leq u \left| \sqrt[p]{\frac{1+\|f_n\|_m}{2}} - \sqrt[p]{\frac{1+\|f\|_m}{2}} \right|^{m+1-s} \\ & \leq u \left| \sqrt[p]{\frac{1+\|f_n\|_m}{2}} - \sqrt[p]{\frac{1+\|f\|_m}{2}} \right| \leq u \frac{\varepsilon}{u} = \varepsilon. \end{aligned}$$

If we assume  $\|f_n\|_m \leq \|f\|_m$  and  $t \in \left[1 - \sqrt[p]{\frac{1+\|f\|_m}{2}}, 1 - \sqrt[p]{\frac{1+\|f_n\|_m}{2}}\right]$ , then in a similarly way we find

$$\left| (P_{u,p}f_n)^{(s)}(t) - (P_{u,p}f)^{(s)}(t) \right| \leq \varepsilon.$$

Since for  $t \in \left(\max \left\{ 1 - \sqrt[p]{\frac{1+\|f\|_m}{2}}, 1 - \sqrt[p]{\frac{1+\|f_n\|_m}{2}} \right\}, +\infty\right)$  we have

$$(P_{u,p}f_n)^{(s)}(t) = (P_{u,p}f)^{(s)}(t) = 0,$$

the proof is complete.  $\square$

PROPOSITION 5.2. *Let  $u > 0$ . The mapping  $P_{u,p}$  is compact.*

PROOF. Let  $\{f_n\}$  be a sequence in  $B(C_b^m)$  and  $f \in B(C_b^m)$  such that  $\|f_n - f\|_m \rightarrow 0$ . Then  $\|f_n\|_m \rightarrow \|f\|_m$ , and Lemma 5.1 implies that  $P_{u,p}$  is continuous.

Now we prove that the mapping  $P_{u,p}$  is sequentially-compact. To this end let  $\{g_n\}$  be a sequence in  $P_{u,p}(B(C_b^m))$ . For each  $n \in \mathbb{N}$  fix  $h_n \in B(C_b^m)$  such that  $g_n = P_{u,p}h_n$ . Passing, if necessary, to a subsequence, we may assume without loss of generality that  $\|h_n\|_m \rightarrow c \in [0, 1]$ . Now we choose  $h \in B(C_b^m)$  such that  $\|h\|_m = c$  so that  $\|h_n\|_m \rightarrow \|h\|_m$ . Set  $g := P_{u,p}h$ . Since  $\|g_n - g\|_m = \|P_{u,p}h_n - P_{u,p}h\|_m$ , Lemma 5.1 implies  $\|g_n - g\|_m \rightarrow 0$ , as desired.  $\square$

## 6. The retraction $R_{u,p}$

Let  $p \in \mathbb{N}$ . Let  $u > 0$  be arbitrarily fixed. We define  $T_{u,p} : B(C_b^m) \rightarrow C_b^m$ , by setting

$$T_{u,p} = Q_p + P_{u,p}.$$

The mapping  $T_{u,p}$ , being a compact perturbation of  $Q_p$ , is  $C_p$ -ball-contractive. Our first step is that of proving that  $\inf_{f \in B(C_b^m)} \|T_{u,p}f\|_m > 0$  (next Proposition 6.2). To this end, preliminarily let us consider the function  $h_{u,p} : [0, 1] \rightarrow \mathbb{R}$ , defined by

$$h_{u,p}(c) = \frac{u}{2} \left( 1 - \sqrt[p]{\frac{1+c}{2}} \right) - c, \quad \text{for } c \in [0, 1].$$

Since  $h_{u,p}(0)h_{u,p}(1) < 0$  and  $h_{u,p}$  is strictly decreasing on  $[0, 1]$ , there exists a unique solution  $c_{u,p} \in (0, 1)$  of the equation

$$(6.1) \quad c = \frac{u}{2} \left( 1 - \sqrt[p]{\frac{1+c}{2}} \right).$$

Observe that, for any fixed  $p$ , we have

$$(6.2) \quad \lim_{u \rightarrow +\infty} c_{u,p} = 1.$$

Moreover, the following lemma holds true.

LEMMA 6.1. *Let  $p \in \mathbb{N}$  and  $u > 0$ . Given  $f \in B(C_b^m)$ , if*

$$\|f\|_m \leq c_{u,p}$$

where  $c_{u,p} \in (0, 1)$  is the unique solution of the equation

$$c = \frac{u}{2} \left( 1 - \sqrt[p]{\frac{1+c}{2}} \right),$$

then we have

$$\max \left\{ -\|f^{(m)}\|_\infty + u \left( 1 - \sqrt[p]{\frac{1+\|f\|_m}{2}} \right), \|f^{(m)}\|_\infty \right\} \geq c_{u,p}.$$



PROOF. Let  $p \in \mathbb{N}$ . Let  $u > 0$ . Then, for every  $c \in [0, 1]$ , we define the auxiliary function  $\varphi_{c,p} : [0, c] \rightarrow \mathbb{R}$  by setting

$$\varphi_{c,p}(x) = -x + u \left( 1 - \sqrt[p]{\frac{1+c}{2}} \right), \quad \text{for } x \in [0, c].$$

Further, we set

$$\xi_{u,p} = \max\{c : c \in [0, 1] \text{ and } \varphi_{c,p}(x) \geq x \text{ for } x \in [0, c]\}.$$

Since, for every  $c \in [0, 1]$ , the function  $\varphi_{c,p}$  is decreasing on  $[0, c]$ , we have that  $\xi_{u,p} = c_{u,p}$ . Then, for every  $c \in [0, c_{u,p}]$  the function  $\psi_{c,p} : [0, 1] \rightarrow \mathbb{R}$  defined by

$$\psi_{c,p}(x) = \max\{x, \varphi_{c,p}(x)\} = \max \left\{ x, -x + u \left( 1 - \sqrt[p]{\frac{1+c}{2}} \right) \right\}$$

satisfies

$$(6.3) \quad \min_{x \in [0, c]} \psi_{c,p}(x) \geq c_{u,p}.$$

Now let  $f \in B(C_b^m)$  with  $\|f\|_m \leq c_{u,p}$ . Then the result follows by (6.3) considering  $c = \|f\|_m$  and setting  $x = \|f^{(m)}\|_\infty$ .  $\square$

Having in mind the constant  $D_p$  given in (3.2), without loss of generality we may assume  $D_p > 0$ . We prove the following result.

PROPOSITION 6.2. *Let  $p \in \mathbb{N}$ ,  $u > 0$  and  $f \in B(C_b^m)$ . Then*

$$\|T_{u,p}f\|_m \geq D_p c_{u,p}.$$

PROOF. Fix  $p \in \mathbb{N}$  and  $u > 0$ . Let  $f \in B(C_b^m)$ . Assume first  $\|f\|_m \leq c_{u,p}$ . We have

$$\begin{aligned} \|T_{u,p}f\|_m &\geq \|(T_{u,p}f)^{(m)}\|_\infty = \sup_{t \in [0, +\infty)} |(T_{u,p}f)^{(m)}(t)| \\ &= \max \left\{ \max_{t \in [0, 1 - \sqrt[p]{\frac{1+\|f\|_m}{2}}]} \left| f^{(m)}(0) - u \left( t - 1 + \sqrt[p]{\frac{1+\|f\|_m}{2}} \right) \right|, \right. \\ &\quad \left. \max_{t \in [1 - \sqrt[p]{\frac{1+\|f\|_m}{2}}, 1]} \left| f^{(m)} \left( 1 + \sqrt[p]{\frac{2}{1+\|f\|_m}} (t - 1) \right) \right|, \sup_{t \in (1, +\infty)} |f^{(m)}(t)| \right\} \\ &\geq \max \left\{ f^{(m)}(0) + u \left( 1 - \sqrt[p]{\frac{1+\|f\|_m}{2}} \right), \max_{t \in [0, 1]} |f^{(m)}(t)|, \sup_{t \in (1, +\infty)} |f^{(m)}(t)| \right\} \\ &\geq \max \left\{ -\|f^{(m)}\|_\infty + u \left( 1 - \sqrt[p]{\frac{1+\|f\|_m}{2}} \right), \|f^{(m)}\|_\infty \right\}. \end{aligned}$$

Thus, in view of Lemma 6.1 we obtain  $\|T_{u,p}f\|_m \geq c_{u,p}$ .

Now assume  $c_{u,p} \leq \|f\|_m \leq 1$ , and let  $s \in \{0, \dots, m\}$  such that  $\|f\|_m = \|f^{(s)}\|_\infty$ .

We distinguish two cases, that is, whether or not  $s = m$ . In the first case,  $s = m$ ,

we have

$$\begin{aligned} \|T_{u,p}f\|_m &\geq \|(T_{u,p}f)^{(m)}\|_\infty \\ &\geq \max \left\{ \max_{t \in \left[1 - \sqrt{\frac{1+\|f\|_m}{2}}, 1\right]} \left| f^{(m)} \left( 1 + \sqrt{\frac{2}{1+\|f\|_m}}(t-1) \right) \right|, \sup_{t \in (1, +\infty)} |f^{(m)}(t)| \right\} \\ &= \|f^{(m)}\|_\infty = \|f\|_m \geq c_{u,p}. \end{aligned}$$

In the case in which  $s \in \{0, \dots, m-1\}$ , if  $\|f^{(s)}\|_\infty = \sup_{t \in (1, +\infty)} |f^{(s)}(t)|$  we have

$$\begin{aligned} \|T_{u,p}f\|_m &\geq \|(T_{u,p}f)^{(s)}\|_\infty \\ &\geq \sup_{t \in (1, +\infty)} |f^{(s)}(t)| = \|f^{(s)}\|_\infty = \|f\|_m \geq c_{u,p}. \end{aligned}$$

Finally, always in the case  $s \in \{0, \dots, m-1\}$ , if  $\|f^{(s)}\|_\infty = \max_{t \in [0, 1]} |f^{(s)}(t)|$  we have

$$\begin{aligned} \|T_{u,p}f\|_m &\geq \|(T_{u,p}f)^{(s)}\|_\infty \\ &\geq \max_{t \in \left[1 - \sqrt{\frac{1+\|f\|_m}{2}}, 1\right]} \left| f_{p, \frac{2}{1+\|f\|_m}}^{(s)}(t) \right|. \end{aligned}$$

Therefore using Lemma 3.1

$$\|T_{u,p}f\|_m \geq D_p \|f\|_m \geq D_p c_{u,p},$$

and this completes the proof.  $\square$

We are now in a position to prove our main result.

**THEOREM 6.3.** *For any  $\varepsilon > 0$  there exists a proper  $k$ -ball-contractive retraction of the closed unit ball  $B(C_b^m)$  onto  $S(C_b^m)$  with  $k < 1 + \varepsilon$ , so that  $W_\gamma(C_b^m) = 1$ .*

**PROOF.** Given  $u > 0$ , in view of Proposition 6.2, we have  $\|T_{u,p}f\|_m > 0$  so we can define a retraction  $R_{u,p} : B(C_b^m) \rightarrow S(C_b^m)$  by setting

$$R_{u,p}f = \frac{1}{\|T_{u,p}f\|_m} T_{u,p}f.$$

Let now  $M \subseteq B(C_b^m)$ . Since  $P_{u,p}$  is a compact mapping, from Proposition 4.1 and Proposition 4.3 it follows that

$$(6.4) \quad \frac{D_p}{m+1} \gamma(M) \leq \gamma(T_{u,p}M) \leq C_p \gamma(M).$$

Moreover by the definition of  $R_{u,p}$  and by Proposition 6.2 we get

$$R_{u,p}M \subseteq \left[ 0, \frac{1}{D_p c_{u,p}} \right] \cdot T_{u,p}M.$$

Therefore using the property of absorption invariance of  $\gamma$  and the right hand side of (6.4) we infer

$$\gamma(R_{u,p}M) \leq \frac{C_p}{D_p c_{u,p}} \gamma(M),$$

this means that the retraction  $R_{u,p}$  is  $k_{u,p}$ -ball-contractive with  $k_{u,p} = C_p/(D_p c_{u,p})$ . On the other hand from Lemma 3.1 and the definition of  $P_{u,p}$  we get

$$\|T_{u,p}f\|_m \leq \|Q_p f\|_m + \|P_{u,p}f\|_m \leq C_p + \frac{u}{2}$$

for all  $f \in B(C_b^m)$ , and so we have

$$T_{u,p}M \subseteq \left[0, C_p + \frac{u}{2}\right] \cdot R_{u,p}M.$$

Therefore we get

$$\gamma(T_{u,p}M) \leq \left(C_p + \frac{u}{2}\right) \gamma(R_{u,p}M),$$

and from the left hand side of (6.4)

$$\frac{D_p}{m+1} \left(C_p + \frac{u}{2}\right)^{-1} \gamma(M) \leq \gamma(R_{u,p}M).$$

The latter inequality implies

$$\omega(R_{u,p}) \geq \frac{D_p}{m+1} \left(C_p + \frac{u}{2}\right)^{-1},$$

consequently  $\omega(R_{u,p}) > 0$  for every  $u > 0$ , so that  $R_{u,p}$  is a proper retraction.

Now given  $\varepsilon > 0$ , since

$$\lim_{u \rightarrow \infty} \frac{C_p}{D_p c_{u,p}} = 1,$$

we can find  $\bar{u} > 0$  such that  $k_{\bar{u}} < 1 + \varepsilon$ . Then letting  $k = k_{\bar{u}}$  we see that  $R_{\bar{u},k}$  is the desired proper  $k$ -ball-contractive retraction.  $\square$

Finally, we apply the result of this paper to consider the formulation of Birkhoff-Kellogg type theorems in  $C_b^m$ . Let us recall that Guo in [18, Lemma 1], proved that if a completely continuous operator  $A : \bar{\Omega} \rightarrow X$ , defined on the closure  $\bar{\Omega}$  of a bounded open subset  $\Omega$  of an infinite-dimensional Banach space  $(X, \|\cdot\|)$ , satisfies the Birkhoff-Kellogg condition  $\inf_{f \in \partial\Omega} \|Af\| > 0$  and  $Af \neq \lambda f$  for  $f \in \partial\Omega$  and  $0 < \lambda \leq 1$ , then the Leray-Schauder degree  $\deg(I - A, \Omega, 0) = 0$ . In [11] the result of Guo has been extended to  $k$ -ball-contractive operators, under a condition,  $\inf_{f \in \partial\Omega} \|Af\| > kW_\gamma(X) \sup_{f \in \partial\Omega} \|f\|$ , depending on the Wośko constant of the space, and considering the Nussbaum fixed point index  $\text{ind}(A, \Omega)$  of  $A$  on  $\Omega$  (see [1]), which in the case of completely continuous operator agrees with Leray-Schauder degree. In particular, from [11, Theorem 3.2], being  $W_\gamma(C_b^m) = 1$ , we have the following result in  $C_b^m$ .

**THEOREM 6.4.** *Let  $\Omega$  be a bounded open set in  $C_b^m$ , with  $0 \in \Omega$ , and let  $A : \bar{\Omega} \rightarrow C_b^m$  be a  $k$ -ball-contractive operator with  $k < 1$ , satisfying*

$$(6.5) \quad \inf_{f \in \partial\Omega} \|Af\| > k \sup_{f \in \partial\Omega} \|f\|$$

*and  $Af \neq \lambda f$  for  $f \in \partial\Omega$  and  $k < \lambda \leq 1$ , then  $\text{ind}(A, \Omega) = 0$ .*

Notice that condition (6.5) in  $C_b^m$ , as well in any space in which the Wośko constant is 1, is optimal, indeed if  $A : B(C_b^m) \rightarrow C_b^m$  is defined by  $Af = -kf$  with  $k < 1$ , then  $\inf_{f \in \partial B(C_b^m)} \|Af\| > 0$ , and  $f \in \partial B(C_b^m)$  implies  $Af \neq \lambda f$  for  $\lambda > 0$ , but  $\text{ind}(A, B(C_b^m) \setminus \partial B(C_b^m)) = 1$ . Now we state the results on the existence of eigenvalues and eigenvectors and on the extension of Guo's domain compression and expansion fixed point theorem ([19]) to  $k$ -ball-contractions (cf. [11, Corollary 3.5] and [11, Corollary 3.7], respectively).

**THEOREM 6.5.** *Let  $\Omega$  be a bounded open set in  $C_b^m$ , with  $0 \in \Omega$ , and let  $A : \overline{\Omega} \rightarrow C_b^m$  be a  $k$ -ball-contractive operator (for any  $k > 0$ ), satisfying*

$$\inf_{f \in \partial \Omega} \|Af\| > k \sup_{f \in \partial \Omega} \|f\|.$$

*Then there exist  $\lambda > k$  and  $f_\lambda \in \partial \Omega$  such that  $\lambda f_\lambda = Af_\lambda$ , and also there exist  $\mu < -k$  and  $f_\mu \in \partial \Omega$  such that  $\mu f_\mu = Af_\mu$ .*

**THEOREM 6.6.** *Let  $\Omega_1$  and  $\Omega_2$  bounded open sets in  $C_b^m$ , such that  $0 \in \Omega_1$  and  $\overline{\Omega}_1 \subset \Omega_2$ . Let  $A : \overline{\Omega}_2 \rightarrow C_b^m$  be a  $k$ -ball-contractive operator, with  $k < 1$ . Suppose that one of the following groups of conditions holds*

$$\left\{ \begin{array}{ll} \inf_{f \in \partial \Omega_1} \|Af\| > k \sup_{x \in \partial \Omega_1} \|f\| \\ \|Af\| \geq \|f\| & f \in \partial \Omega_1 \\ \|Af\| \leq \|f\| & f \in \partial \Omega_2 \end{array} \right. ,$$

or

$$\left\{ \begin{array}{ll} \inf_{f \in \partial \Omega_2} \|Af\| > k \sup_{f \in \partial \Omega_2} \|f\| \\ \|Af\| \geq \|f\| & f \in \partial \Omega_2 \\ \|Af\| \leq \|f\| & f \in \partial \Omega_1. \end{array} \right.$$

*Then  $A$  has at least a fixed point in  $\overline{\Omega}_2 \setminus \Omega_1$ .*

For details and analogous results for condensing operators, we refer to [11].

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