



Four Solutions for Fractional p -Laplacian Equations with Asymmetric Reactions

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Abstract. We consider a Dirichlet type problem for a nonlinear, nonlocal equation driven by the degenerate fractional p -Laplacian, whose reaction combines a sublinear term depending on a positive parameter and an asymmetric perturbation (superlinear at positive infinity, at most linear at negative infinity). By means of critical point theory and Morse theory, we prove that, for small enough values of the parameter, such problem admits at least four nontrivial solutions: two positive, one negative, and one nodal. As a tool, we prove a Brezis-Oswald type comparison result.

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1. Introduction

Nonlinear elliptic partial differential equations with asymmetric nonlinearities are usually written in the form

$$Lu = f(x, u) \text{ in } \Omega,$$

with several boundary conditions, where L is some elliptic operator, and $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ is a nonlinear reaction with qualitatively different behaviors as the second variable tends to $\pm\infty$, respectively. Typically, such asymmetric behavior can be exploited to prove, via variational or topological methods, the existence of multiple solutions to the equation.

The study of such asymmetric problems, to our knowledge, dates back to the work of Motreanu, Motreanu and Papageorgiou [29, 30], and was then developed by several authors considering a wide range of semilinear or quasilinear equations with Dirichlet, Neumann, or even Robin boundary conditions. We recall the results of [6, 19, 26, 34, 36].

The present paper is devoted to the study of the following Dirichlet type problem:

$$\begin{cases} (-\Delta)_p^s u = \lambda|u|^{q-2}u + g(x, u) & \text{in } \Omega \\ u = 0 & \text{in } \Omega^c. \end{cases} \tag{1.1}$$

Here $\Omega \subset \mathbb{R}^N$ ($N \geq 2$) is a bounded domain with $C^{1,1}$ boundary $\partial\Omega$, $s \in (0, 1)$, $p \geq 2$ are s.t. $ps < N$, and the leading operator is the degenerate fractional p -Laplacian, defined for all $u : \mathbb{R}^N \rightarrow \mathbb{R}$ smooth enough and $x \in \mathbb{R}^N$ by

$$(-\Delta)_p^s u(x) = 2 \lim_{\varepsilon \rightarrow 0^+} \int_{B_\varepsilon^c(x)} \frac{|u(x) - u(y)|^{p-2}(u(x) - u(y))}{|x - y|^{N+ps}} dy \tag{1.2}$$

(which for $p = 2$ reduces to the linear fractional Laplacian up to a dimensional constant $C(N, s) > 0$, see [13]). The reaction in (1.1) is the sum of two terms. The first, depending on a real parameter $\lambda > 0$, is a $(p - 1)$ -sublinear power of the unknown, i.e., $q \in (1, p)$. The second is a Carathéodory mapping $g : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ subject to a global subcritical growth condition and combining a $(p - 1)$ -linear or superlinear behavior near 0 with an asymmetric behavior at $\pm\infty$, namely, $g(x, t)$ is $(p - 1)$ -superlinear at ∞ and at most $(p - 1)$ -linear at $-\infty$.

Elliptic equations driven by linear nonlocal operators (whose prototype is the fractional Laplacian) were first studied via variational methods in [38,39], while regularity theory has its ground in [37], giving rise to a wide literature (we refer the reader to the monograph [27]). In the quasilinear case $p \neq 2$, things are obviously more involved. The eigenvalue problem for $(-\Delta)_p^s$ was first studied in [25], variational methods for equations with several types of reactions were established in [18], Hölder regularity of weak solutions was studied in [20,21] (for $p > 2$), maximum and comparison principles were proved in [10,23], equivalence between Sobolev and Hölder minimizers of the energy functional was proved in [22], and a detailed study of sub- and supersolutions was performed in [15]. Existence results for the fractional p -Laplacian with asymmetric reactions were obtained in [17,35], while closely related problems were studied in [1–3,7,11,40]. For a more detailed discussion, we refer to the surveys [28,33].

Our approach to problem (1.1) is variational, inspired by [30]. We encode weak solutions as critical points of a C^1 energy functional Φ_λ , defined on a convenient fractional Sobolev space and depending on $\lambda > 0$. Due to the presence of the asymmetric perturbation, Φ_λ has no definite asymptotic behavior, so we define two truncated functionals Φ_λ^\pm whose critical points coincide with the positive and negative solutions of (1.1), respectively. We prove that, for all $\lambda > 0$ small enough, Φ_λ^+ has at least two nonzero critical points, one given by the mountain pass theorem and a local minimizer. Besides, for all $\lambda > 0$, Φ_λ^- contributes at least one global minimizer. So we have three nontrivial constant sign solutions (Theorem 3.5).

Pushing forward our analysis, we see that, under slightly more restrictive hypotheses, for even smaller values of $\lambda > 0$, problem (1.1) admits a smallest positive solution and a biggest negative solution (an idea that was

first introduced in [9]). So, we truncate again the reaction introducing a new energy functional Φ_λ , which turns out to have one more critical point of mountain pass type (in the sense of Hofer [16]), taking values between the extremal constant sign solutions. Finally, by a Morse theoretic argument we show that such critical point is not 0, hence it turns out to be a nodal (sign-changing) solution of (1.1). Thus, we conclude that (1.1) admits at least four nontrivial solutions for all $\lambda > 0$ small enough (Theorem 4.6).

In proving the existence of the smallest positive solution, we do not apply (as usual in such cases, see [30]) the strong comparison principle of [23], since it requires rather restrictive assumptions on the data p, s . Instead, we present a special comparison result for sub-supersolutions under a monotonicity condition, inspired by the classical Brezis-Oswald work [5] (see [12, 24, 32] for other versions). We believe that such comparison result (stated in Theorem 2.8 below) can be useful also in different frameworks.

Our result represents an application of classical methods in nonlinear analysis combined with the recently established theory for the fractional p -Laplacian (mainly the results of [10, 15, 22]). To our knowledge, this is the first multiplicity result for a fractional order problem with asymmetric reaction, even in the linear case $p = 2$.

The paper has the following structure: in Sect. 2 we collect some preliminary results on fractional p -Laplace equations and prove a comparison result; in Sect. 3 we prove the existence of two positive and a negative solutions; and in Sect. 4 we prove the existence of extremal constant sign solutions and of a nodal solution.

Notation: For any $A \subset \mathbb{R}^N$ we shall set $A^c = \mathbb{R}^N \setminus A$. For any two measurable functions $u, v : \Omega \rightarrow \mathbb{R}$, $u \leq v$ will mean that $u(x) \leq v(x)$ for a.e. $x \in \Omega$ (and similar expressions). The positive (resp., negative) part of u is denoted u^+ (resp., u^-). Every function u defined in Ω will be identified with its 0-extension to \mathbb{R}^N . If X is an ordered Banach space, then X_+ will denote its non-negative order cone. The open and closed balls, respectively, centered at u with radius $\rho > 0$ will be denoted $B_\rho(u), \overline{B}_\rho(u)$. For all $r \in [1, \infty]$, $\|\cdot\|_r$ denotes the standard norm of $L^r(\Omega)$ (or $L^r(\mathbb{R}^N)$, which will be clear from the context). Moreover, C will denote a positive constant (whose value may change case by case).

2. Preliminaries

In this section, for the reader's convenience, we recall some basic results about the general Dirichlet problem for the degenerate fractional p -Laplacian (some also hold in the singular case $p \in (1, 2)$):

$$\begin{cases} (-\Delta)_p^s u = f(x, u) & \text{in } \Omega \\ u = 0 & \text{in } \Omega^c, \end{cases} \tag{2.1}$$

where Ω, p, s are as in the Introduction and f satisfies the following hypotheses:

\mathbf{H}_0 $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ is a Carathéodory function, and there exist $c_0 > 0$, $r \in (p, p_s^*)$ s.t. for a.e. $x \in \Omega$ and all $t \in \mathbb{R}$

$$|f(x, t)| \leq c_0(1 + |t|^{r-1}).$$

By p_s^* we denote the critical fractional Sobolev exponent, namely, $p_s^* = Np/(N - ps)$. Also, for all $(x, t) \in \Omega \times \mathbb{R}$ we set

$$F(x, t) = \int_0^t f(x, \tau) \, d\tau.$$

We provide problem (2.1) with a variational structure, following [15]. For all measurable $u : \mathbb{R}^N \rightarrow \mathbb{R}$ define the Gagliardo seminorm

$$[u]_{s,p} = \left[\iint_{\mathbb{R}^N \times \mathbb{R}^N} \frac{|u(x) - u(y)|^p}{|x - y|^{N+ps}} \, dx \, dy \right]^{\frac{1}{p}}.$$

We define the fractional Sobolev spaces

$$\begin{aligned} W^{s,p}(\mathbb{R}^N) &= \{u \in L^p(\mathbb{R}^N) : [u]_{s,p} < \infty\}, \\ W_0^{s,p}(\Omega) &= \{u \in W^{s,p}(\mathbb{R}^N) : u = 0 \text{ in } \Omega^c\}, \end{aligned}$$

the latter being a uniformly convex, separable Banach space under the norm $\|u\| = [u]_{s,p}$, with dual space $W^{-s,p'}(\Omega)$ (see [13]). The embedding $W_0^{s,p}(\Omega) \hookrightarrow L^q(\Omega)$ is continuous for all $q \in [1, p_s^*]$ and compact for all $q \in [1, p_s^*)$. For any $u \in W_0^{s,p}(\Omega)$ we can define $(-\Delta)_p^s u \in W^{-s,p'}(\Omega)$ by setting for all $v \in W_0^{s,p}(\Omega)$

$$\langle (-\Delta)_p^s u, v \rangle = \iint_{\mathbb{R}^N \times \mathbb{R}^N} \frac{|u(x) - u(y)|^{p-2}(u(x) - u(y))(v(x) - v(y))}{|x - y|^{N+ps}} \, dx \, dy.$$

The definition above agrees with (1.2) when $u \in \mathcal{S}(\mathbb{R}^N)$. By [15, Lemma 2.1], $(-\Delta)_p^s : W_0^{s,p}(\Omega) \rightarrow W^{-s,p'}(\Omega)$ is a monotone, continuous, $(S)_+$ -operator. Besides, the following inequality holds for all $u, v \in W_0^{s,p}(\Omega)$ as an immediate consequence of Hölder’s inequality:

$$\langle (-\Delta)_p^s u, v \rangle \leq \|u\|^{p-1} \|v\|. \tag{2.2}$$

Since the mapping $t \mapsto t^+$ is Lipschitz, for all $u \in W_0^{s,p}(\Omega)$ we have $u^\pm \in W_0^{s,p}(\Omega)$, but in general

$$\|u\|^p \neq \|u^+\|^p + \|u^-\|^p,$$

unlike in the case of the classical Sobolev space $W_0^{1,p}(\Omega)$. The following lemma illustrates some simple properties of positive and negative parts, which will be used in our arguments:

Lemma 2.1. *Let $u \in W_0^{s,p}(\Omega)$, then:*

- (i) $\|u^\pm\| \leq \|u\|$;
- (ii) $\|u^\pm\|^p \leq \langle (-\Delta)_p^s u, \pm u^\pm \rangle$.

Proof. We only deal with u^+ (the argument for u^- is analogous). Set

$$A_+ = \{x \in \mathbb{R}^N : u(x) > 0\}, \quad A_- = A_+^c.$$

Then we have

$$\begin{aligned}
 \|u^+\|^p &= \iint_{\mathbb{R}^N \times \mathbb{R}^N} \frac{|u^+(x) - u^+(y)|^p}{|x - y|^{N+ps}} \, dx \, dy \\
 &= \iint_{A_+ \times A_+} \frac{|u(x) - u(y)|^p}{|x - y|^{N+ps}} \, dx \, dy + \iint_{A_+ \times A_-} \frac{u(x)^p}{|x - y|^{N+ps}} \, dx \, dy \\
 &\quad + \iint_{A_- \times A_+} \frac{u(y)^p}{|x - y|^{N+ps}} \, dx \, dy \\
 &\leq \iint_{A_+ \times A_+} \frac{|u(x) - u(y)|^p}{|x - y|^{N+ps}} \, dx \, dy + \iint_{A_+ \times A_-} \frac{(u(x) - u(y))^p}{|x - y|^{N+ps}} \, dx \, dy \\
 &\quad + \iint_{A_- \times A_+} \frac{(u(y) - u(x))^p}{|x - y|^{N+ps}} \, dx \, dy \\
 &\leq \iint_{\mathbb{R}^N \times \mathbb{R}^N} \frac{|u(x) - u(y)|^p}{|x - y|^{N+ps}} \, dx \, dy \\
 &= \|u\|^p,
 \end{aligned}$$

which proves (i). Besides, by [2, Lemma A.2] (with $g(t) = G(t) = t^+$) we have for all $a, b \in \mathbb{R}$

$$|a - b|^{p-2}(a - b)(a^+ - b^+) \geq |a^+ - b^+|^p.$$

So we have

$$\begin{aligned}
 \langle (-\Delta)_p^s u, u^+ \rangle &= \iint_{\mathbb{R}^N \times \mathbb{R}^N} \frac{|u(x) - u(y)|^{p-2}(u(x) - u(y))(u^+(x) - u^+(y))}{|x - y|^{N+ps}} \, dx \, dy \\
 &\geq \iint_{\mathbb{R}^N \times \mathbb{R}^N} \frac{|u^+(x) - u^+(y)|^p}{|x - y|^{N+ps}} \, dx \, dy = \|u^+\|^p,
 \end{aligned}$$

which proves (ii). □

A function $u \in W_0^{s,p}(\Omega)$ is a (weak) solution of problem (2.1) if for all $\varphi \in W_0^{s,p}(\Omega)$

$$\langle (-\Delta)_p^s u, \varphi \rangle = \int_{\Omega} f(x, u)\varphi \, dx.$$

Similarly, we say that u is a (weak) supersolution of (2.1) if for all $\varphi \in W_0^{s,p}(\Omega)_+$

$$\langle (-\Delta)_p^s u, \varphi \rangle \geq \int_{\Omega} f(x, u)\varphi \, dx.$$

The definition of a (weak) subsolution is analogous. For short, in such cases, we will say that u satisfies weakly in Ω

$$(-\Delta)_p^s u = (\geq, \leq) f(x, u).$$

If u is a subsolution and v is a supersolution s.t. $u \leq v$ in Ω , we say that (u, v) is a sub-supersolution pair of (1.1), and we set

$$\mathcal{S}(u, v) = \{w \in W_0^{s,p}(\Omega) : w \text{ is a solution of (2.1), } u \leq w \leq v \text{ in } \Omega\}.$$

The properties of the set $\mathcal{S}(u, v)$ are investigated in [15, Lemmas 3.2 – 3.4, Theorem 3.5] (even under a more general definition of sub- and supersolution):

Proposition 2.2. *Let \mathbf{H}_0 hold, (u, v) be a sub-supersolution pair of (2.1). Then, $\mathcal{S}(u, v)$ is a nonempty, compact set in $W_0^{s,p}(\Omega)$, both upward and downward directed, in particular it has a smallest and a biggest element (with respect to the pointwise ordering of $W_0^{s,p}(\Omega)$).*

As a special case of [7, Theorem 3.3], we have the following a priori bound for solutions:

Proposition 2.3. *Let \mathbf{H}_0 hold, $u \in W_0^{s,p}(\Omega)$ be a solution of (2.1). Then, $u \in L^\infty(\Omega)$ with $\|u\|_\infty \leq C$ for some $C = C(\|u\|) > 0$.*

It is well known that, though solutions of (2.1) can be very regular in Ω , they fail to be smooth up to the boundary, even in simple cases (see [21, Lemma 2.2]). So, a major role in fractional regularity theory is played by the following weighted Hölder spaces. Set $d_\Omega^s(x) = \text{dist}(x, \Omega^c)^s$, define

$$C_s^0(\bar{\Omega}) = \left\{ u \in C^0(\bar{\Omega}) : \frac{u}{d_\Omega^s} \text{ has a continuous extension to } \bar{\Omega} \right\},$$

and for all $\alpha \in (0, 1)$

$$C_s^\alpha(\bar{\Omega}) = \left\{ u \in C^0(\bar{\Omega}) : \frac{u}{d_\Omega^s} \text{ has a } \alpha\text{-Hölder continuous extension to } \bar{\Omega} \right\},$$

whose norms are defined, respectively, by

$$\|u\|_{0,s} = \left\| \frac{u}{d_\Omega^s} \right\|_\infty, \quad \|u\|_{\alpha,s} = \|u\|_{0,s} + \sup_{x \neq y} \frac{|u(x)/d_\Omega^s(x) - u(y)/d_\Omega^s(y)|}{|x - y|^\alpha}.$$

The embedding $C_s^\alpha(\bar{\Omega}) \hookrightarrow C_s^0(\bar{\Omega})$ is compact for all $\alpha \in (0, 1)$. Unlike in $W_0^{s,p}(\Omega)$, the positive cone $C_s^0(\bar{\Omega})_+$ of $C_s^0(\bar{\Omega})$ has a nonempty interior given by

$$\text{int}(C_s^0(\bar{\Omega})_+) = \left\{ u \in C_s^0(\bar{\Omega}) : \inf_{x \in \Omega} \frac{u(x)}{d_\Omega^s(x)} > 0 \right\}$$

(equivalent characterization as in [18, Lemma 5.1]). By Proposition 2.3 and [21, Theorem 1.1] we have the following:

Proposition 2.4. *Let \mathbf{H}_0 hold, $u \in W_0^{s,p}(\Omega)$ be a solution of (2.1). Then, $u \in C_s^\alpha(\bar{\Omega})$ for some $\alpha \in (0, s]$.*

The strong maximum principle and Hopf’s lemma for the p -Laplacian have an analogue in the following result, see [10, Theorems 1.2, 1.5]:

Proposition 2.5. *Let \mathbf{H}_0 hold, and $\eta_0 \in L^\infty(\Omega)_+$ be s.t. for a.e. $x \in \Omega$ and all $t \geq 0$*

$$f(x, t) \geq -\eta_0(x)t^{p-1}.$$

Then, for all $u \in W_0^{s,p}(\Omega)_+ \setminus \{0\}$ solution of (2.1) we have $u \in \text{int}(C_s^0(\bar{\Omega})_+)$.

We define an energy functional for problem (2.1) by setting for all $u \in W_0^{s,p}(\Omega)$

$$\Phi_0(u) = \frac{\|u\|^p}{p} - \int_{\Omega} F(x, u) \, dx.$$

By \mathbf{H}_0 , it is easily seen that $\Phi_0 \in C^1(W_0^{s,p}(\Omega))$ with Gâteaux derivative given for all $u, \varphi \in W_0^{s,p}(\Omega)$ by

$$\langle \Phi'_0(u), \varphi \rangle = \langle (-\Delta)_p^s u, \varphi \rangle - \int_{\Omega} f(x, u) \varphi \, dx.$$

So, $u \in W_0^{s,p}(\Omega)$ is a solution of (2.1) if it is a critical point of Φ_0 , denoted $u \in K(\Phi_0)$. For all definitions and classical results of critical point theory, including elementary Morse theory, we refer to [31]. Since we are going to work with truncations, we shall need the following equivalence principle for Sobolev and Hölder local minimizers of Φ_0 , respectively, see [22, Theorem 1.1] (this is in fact a nonlocal, nonlinear version of the classical result of [4]):

Proposition 2.6. *Let \mathbf{H}_0 hold, $u \in W_0^{s,p}(\Omega)$. Then, the following are equivalent:*

- (i) *there exists $\rho > 0$ s.t. $\Phi_0(u + v) \geq \Phi_0(u)$ for all $v \in W_0^{s,p}(\Omega)$, $\|v\| \leq \rho$;*
- (ii) *there exists $\sigma > 0$ s.t. $\Phi_0(u + v) \geq \Phi_0(u)$ for all $v \in W_0^{s,p}(\Omega) \cap C_s^0(\bar{\Omega})$, $\|v\|_{0,s} \leq \sigma$.*

Contrary to many works in this area, we are not going to use much of the spectral properties of the leading operator $(-\Delta)_p^s$. We only recall that the principal eigenvalue $\lambda_1 > 0$ of $(-\Delta)_p^s$ in $W_0^{s,p}(\Omega)$ is characterized by

$$\lambda_1 = \inf_{u \in W_0^{s,p}(\Omega) \setminus \{0\}} \frac{\|u\|^p}{\|u\|_p^p}, \tag{2.3}$$

the infimum being attained at a one-dimensional eigenspace. We denote $\hat{u}_1 \in \text{int}(C_s^0(\bar{\Omega})_+)$ the unique positive, L^p -normalized eigenfunction (see [25]). We will use the following technical lemma:

Lemma 2.7. *Let $\xi_0 \in L^\infty(\Omega)$ be s.t. $\xi_0 \leq \lambda_1$ in Ω , $\xi_0 \not\equiv \lambda_1$. Then, there exists $\sigma > 0$ s.t. for all $u \in W_0^{s,p}(\Omega)$*

$$\|u\|^p - \int_{\Omega} \xi_0(x) |u|^p \, dx \geq \sigma \|u\|^p.$$

Proof. Equivalently, we prove that for all $u \in W_0^{s,p}(\Omega)$, $\|u\| = 1$

$$\|u\|^p - \int_{\Omega} \xi_0(x) |u|^p \, dx \geq \sigma.$$

Arguing by contradiction, assume that there exists a sequence (u_n) in $W_0^{s,p}(\Omega)$ s.t. $\|u_n\| = 1$ for all $n \in \mathbb{N}$ and

$$\lim_n \left[\|u_n\|^p - \int_{\Omega} \xi_0(x) |u_n|^p \, dx \right] = 0.$$

Since (u_n) is bounded, passing if necessary to a subsequence we have $u_n \rightharpoonup u$ in $W_0^{s,p}(\Omega)$, $u_n \rightarrow u$ in $L^p(\Omega)$. By (2.3) we have

$$\begin{aligned} 0 &\leq \|u\|^p - \lambda_1 \|u\|_p^p \\ &\leq \|u\|^p - \int_{\Omega} \xi_0(x)|u|^p \, dx \\ &\leq \lim_n \left[\|u_n\|^p - \int_{\Omega} \xi_0(x)|u_n|^p \, dx \right] = 0. \end{aligned} \tag{2.4}$$

Besides, since $u_n \rightarrow u$ in $L^p(\Omega)$ we have

$$\int_{\Omega} \xi_0(x)|u|^p \, dx = \lim_n \int_{\Omega} \xi_0(x)|u_n|^p \, dx = 1,$$

hence $u \neq 0$. So, u is a principal eigenfunction. By simplicity of λ_1 , there exists $\tau \neq 0$ s.t. $u = \tau \hat{u}_1$. Since $\hat{u}_1 \in \text{int}(C_s^0(\bar{\Omega})_+)$, we deduce $|u| > 0$ in Ω , so

$$\int_{\Omega} \xi_0(x)|u|^p \, dx < \lambda_1 \|u\|_p^p,$$

against (2.4). □

We conclude this section by presenting a weak comparison result for positive sub-supersolutions of (2.1). This will play a crucial role in the proof of existence of extremal constant sign solutions (see Sect. 4 below), but it also is of independent interest:

Theorem 2.8. *Let \mathbf{H}_0 hold and assume that*

$$t \mapsto \frac{f(x, t)}{t^{p-1}}$$

is decreasing in $(0, \infty)$ for a.e. $x \in \Omega$. Let $u, v \in \text{int}(C_s^0(\bar{\Omega})_+)$ be a subsolution and a supersolution, respectively, of (2.1). Then, $u \leq v$ in Ω .

Proof. Since $u, v \in \text{int}(C_s^0(\bar{\Omega})_+)$, we can find $C > 1$ s.t. in Ω

$$\frac{1}{C} \leq \frac{u}{d_{\Omega}^s}, \quad \frac{v}{d_{\Omega}^s} \leq C,$$

hence $u/v, v/u \in L^{\infty}(\Omega)$. We argue by contradiction, assuming that $|\Omega_0| > 0$, where

$$\Omega_0 = \{x \in \Omega : u(x) > v(x)\}.$$

Define $u_0, v_0 \in L^p(\mathbb{R}^N)$, $\varphi \in L^1(\mathbb{R}^N)$ by setting

$$u_0 = u\chi_{\Omega_0}, \quad v_0 = v\chi_{\Omega_0}, \quad \varphi = (u^p - v^p)^+ = u_0^p - v_0^p.$$

In the following lines, we will identify the functions φ/u^{p-1} , φ/v^{p-1} with the 0-extensions of such functions to \mathbb{R}^N . We aim at using φ/u^{p-1} , φ/v^{p-1} as test functions in (2.1), so we need to check that these functions belong in $W_0^{s,p}(\Omega)$. First we note that there exists $M > 0$ s.t. in \mathbb{R}^N

$$0 \leq \frac{\varphi}{u^{p-1}}, \frac{\varphi}{v^{p-1}} \leq Mu_0,$$

hence $\varphi/u^{p-1}, \varphi/v^{p-1} \in L^p(\mathbb{R}^N)_+$ and both vanish in Ω^c . Moreover, we claim that there exists $C > 0$ s.t. for all $x, y \in \mathbb{R}^N$

$$\left| \frac{\varphi(x)}{u^{p-1}(x)} - \frac{\varphi(y)}{u^{p-1}(y)} \right|, \left| \frac{\varphi(x)}{v^{p-1}(x)} - \frac{\varphi(y)}{v^{p-1}(y)} \right| \leq C(|u(x) - u(y)| + |v(x) - v(y)|). \tag{2.5}$$

Indeed, fix $x, y \in \mathbb{R}^N$. By symmetry, we only consider the following cases:

(a) if $x, y \in \Omega_0$ and $u(x) > u(y)$, then by Lagrange’s theorem we have

$$\begin{aligned} \left| \frac{\varphi(x)}{u^{p-1}(x)} - \frac{\varphi(y)}{u^{p-1}(y)} \right| &= \left| u(x) - \frac{v^p(x)}{u^{p-1}(x)} - u(y) + \frac{v^p(y)}{u^{p-1}(y)} \right| \\ &\leq (u(x) - u(y)) + \left| \frac{v^p(x)}{u^{p-1}(x)} - \frac{v^p(y)}{u^{p-1}(x)} + \frac{v^p(y)}{u^{p-1}(x)} - \frac{v^p(y)}{u^{p-1}(y)} \right| \\ &\leq (u(x) - u(y)) + \frac{|v^p(x) - v^p(y)|}{u^{p-1}(x)} + v^p(x) \frac{u^{p-1}(x) - u^{p-1}(y)}{u^{p-1}(x)u^{p-1}(y)} \\ &\leq (u(x) - u(y)) + p \frac{\max\{v^{p-1}(x), v^{p-1}(y)\}}{u^{p-1}(x)} |v(x) - v(y)| \\ &\quad + (p - 1)v(y) \frac{\max\{u^{p-2}(x), u^{p-2}(y)\}}{u^{p-1}(x)} (u(x) - u(y)) \\ &\leq p|u(x) - u(y)| + p|v(x) - v(y)|, \end{aligned}$$

while using the boundedness of $u/v, v/u$ we derive

$$\begin{aligned} \left| \frac{\varphi(x)}{v^{p-1}(x)} - \frac{\varphi(y)}{v^{p-1}(y)} \right| &= \left| \frac{u^p(x)}{v^{p-1}(x)} - v(x) - \frac{u^p(y)}{v^{p-1}(y)} + v(y) \right| \\ &\leq |v(x) - v(y)| + \left| \frac{u^p(x)}{v^{p-1}(x)} - \frac{u^p(y)}{v^{p-1}(x)} + \frac{u^p(y)}{v^{p-1}(x)} - \frac{u^p(y)}{v^{p-1}(y)} \right| \\ &\leq |v(x) - v(y)| + \frac{u^p(x) - u^p(y)}{v^{p-1}(x)} + u^p(y) \frac{|v^{p-1}(x) - v^{p-1}(y)|}{v^{p-1}(x)v^{p-1}(y)} \\ &\leq |v(x) - v(y)| + p \frac{\max\{u^{p-1}(x), u^{p-1}(y)\}}{v^{p-1}(x)} (u(x) - u(y)) \\ &\quad + Cu(y)(p - 1) \frac{\max\{v^{p-2}(x), v^{p-2}(y)\}}{v^{p-1}(x)} |v(x) - v(y)| \\ &\leq |v(x) - v(y)| + C(u(x) - u(y)) + C \frac{u^{p-1}(x)}{v^{p-1}(x)} |v(x) - v(y)| \\ &\leq C|u(x) - u(y)| + C|v(x) - v(y)|; \end{aligned}$$

(b) if $x \in \Omega_0, y \notin \Omega_0$, then

$$\begin{aligned} \left| \frac{\varphi(x)}{u^{p-1}(x)} - \frac{\varphi(y)}{u^{p-1}(y)} \right| &= \frac{u^p(x) - v^p(x)}{u^{p-1}(x)} \\ &\leq p \frac{\max\{u^{p-1}(x), v^{p-1}(x)\}}{u^{p-1}(x)} (u(x) - v(x)) \\ &= p [(u(x) - u(y)) + (u(y) - v(y)) + (v(y) - v(x))] \\ &\leq p|u(x) - u(y)| + p|v(x) - v(y)|, \end{aligned}$$

and similarly

$$\left| \frac{\varphi(x)}{v^{p-1}(x)} - \frac{\varphi(y)}{v^{p-1}(y)} \right| \leq C|u(x) - u(y)| + C|v(x) - v(y)|;$$

(c) if $x, y \notin \Omega_0$, finally, then

$$\varphi(x) = \varphi(y) = 0.$$

In all cases, (2.5) holds. Hence, by integrating we have

$$\iint_{\mathbb{R}^N \times \mathbb{R}^N} \left| \frac{\varphi(x)}{u^{p-1}(x)} - \frac{\varphi(y)}{u^{p-1}(y)} \right|^p \frac{dx dy}{|x - y|^{N+ps}} \leq C(\|u\|^p + \|v\|^p),$$

so $\varphi/u^{p-1} \in W_0^{s,p}(\Omega)_+$. Similarly we see that $\varphi/v^{p-1} \in W_0^{s,p}(\Omega)_+$. The next step consists in proving that for all $x, y \in \mathbb{R}^N$

$$j_p(v(x) - v(y)) \left[\frac{\varphi(x)}{v^{p-1}(x)} - \frac{\varphi(y)}{v^{p-1}(y)} \right] \leq j_p(u(x) - u(y)) \left[\frac{\varphi(x)}{u^{p-1}(x)} - \frac{\varphi(y)}{u^{p-1}(y)} \right], \tag{2.6}$$

where we have set $j_p(a) = |a|^{p-2}a$ for all $a \in \mathbb{R}$. First, we rephrase (2.6) as

$$A + B \leq C + D,$$

where

$$\begin{aligned} A &= j_p(v(x) - v(y)) \left[\frac{u_0^p(x)}{v^{p-1}(x)} - \frac{u_0^p(y)}{v^{p-1}(y)} \right], \\ B &= j_p(u(x) - u(y)) \left[\frac{v_0^p(x)}{u^{p-1}(x)} - \frac{v_0^p(y)}{u^{p-1}(y)} \right], \\ C &= j_p(v(x) - v(y))(v_0(x) - v_0(y)), \quad D = j_p(u(x) - u(y))(u_0(x) - u_0(y)). \end{aligned}$$

As above, we consider three cases:

(a) if $x, y \in \Omega_0$, then we apply a discrete Picone’s inequality:

$$j_p(a - b) \left[\frac{c^p}{a^{p-1}} - \frac{d}{b^{p-1}} \right] \leq |c - d|^p$$

for all $a, b > 0, c, d \geq 0$ (see [3, Proposition 2.2]), to get

$$\begin{aligned} A &= j_p(v(x) - v(y)) \left[\frac{u^p(x)}{v^{p-1}(x)} - \frac{u^p(y)}{v^{p-1}(y)} \right] \\ &\leq |u(x) - u(y)|^p = D, \end{aligned}$$

and similarly $B \leq C$;

(b) if $x \in \Omega_0, y \notin \Omega_0$, then $v(y)/v(x) \geq u(y)/u(x)$, hence

$$\begin{aligned} A - C &= j_p(v(x) - v(y)) \frac{u^p(x) - v^p(x)}{v^{p-1}(x)} \\ &= j_p\left(1 - \frac{v(y)}{v(x)}\right)(u^p(x) - v^p(x)) \\ &\leq j_p\left(1 - \frac{u(y)}{u(x)}\right)(u^p(x) - v^p(x)) \\ &= j_p(u(x) - u(y)) \frac{u^p(x) - v^p(x)}{u^{p-1}(x)} = D - B; \end{aligned}$$

(c) if $x, y \notin \Omega_0$, then

$$A = B = C = D = 0.$$

Integrating (2.6), we immediately get

$$\left\langle (-\Delta)_p^s v, \frac{\varphi}{v^{p-1}} \right\rangle \leq \left\langle (-\Delta)_p^s u, \frac{\varphi}{u^{p-1}} \right\rangle. \tag{2.7}$$

Now recall that u and v are a sub- and a supersolution, respectively, of (2.1), so testing with $\varphi/u^{p-1}, \varphi/v^{p-1} \in W_0^{s,p}(\Omega)_+$ and applying the monotonicity assumption we have

$$\begin{aligned} \left\langle (-\Delta)_p^s u, \frac{\varphi}{u^{p-1}} \right\rangle &\leq \int_{\Omega} f(x, u) \frac{\varphi}{u^{p-1}} \, dx \\ &= \int_{\Omega_0} \frac{f(x, u)}{u^{p-1}} (u^p - v^p) \, dx \\ &< \int_{\Omega_0} \frac{f(x, v)}{v^{p-1}} (u^p - v^p) \, dx \\ &= \int_{\Omega} f(x, v) \frac{\varphi}{v^{p-1}} \, dx \\ &\leq \left\langle (-\Delta)_p^s v, \frac{\varphi}{v^{p-1}} \right\rangle, \end{aligned}$$

against (2.7). Thus $u \leq v$ in Ω . □

Remark 2.9. Theorem 2.8 is a partial analogue for the fractional p -Laplacian of the classical results of [5, 12]. Similar results in the fractional setting were obtained in [24] for $p = 2$, in [3] for any $p > 1$ and a pure power reaction, and in [32] for Robin boundary condition. In our case, we make a close connection to the regularity result of [21] in assuming that both $u, v \in \text{int}(C_s^0(\bar{\Omega})_+)$, which allows for a simpler proof. We note, *en passant*, that by applying Theorem 2.8 twice one can easily prove that, under the same monotonicity assumption, problem (2.1) has at most one solution.

3. Constant Sign Solutions

This section is devoted to the existence of positive and negative solutions of (1.1). Here we assume the following hypotheses on the perturbation g :

H₁ $g : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ is a Carathéodory function, we set $G(x, t) = \int_0^t g(x, \tau) \, d\tau$

for all $(x, t) \in \mathbb{R}$, and

(i) there exist $c_1 > 0, r \in (p, p_s^*)$ s.t. for a.e. $x \in \mathbb{R}$ and all $t \in \mathbb{R}$

$$|g(x, t)| \leq c_1(1 + |t|^{r-1});$$

(ii) uniformly for a.e. $x \in \Omega$

$$\lim_{t \rightarrow \infty} \frac{G(x, t)}{t^p} = \infty;$$

(iii) there exist $c_2, \beta > 0$, with $\max \left\{ q, \frac{N(r-p)}{ps} \right\} < \beta < p_s^*$ s.t. uniformly for a.e. $x \in \Omega$

$$\liminf_{t \rightarrow \infty} \frac{g(x, t)t - pG(x, t)}{t^\beta} \geq c_2;$$

(iv) there exist $\eta_1, \eta_2 \in L^\infty(\Omega)_+$ s.t. $\eta_2 \leq \lambda_1$ in Ω , $\eta_2 \not\equiv \lambda_1$, and uniformly for a.e. $x \in \Omega$

$$-\eta_1(x) \leq \liminf_{t \rightarrow 0} \frac{g(x, t)}{|t|^{p-2}t} \leq \limsup_{t \rightarrow 0} \frac{g(x, t)}{|t|^{p-2}t} \leq \eta_2(x);$$

(v) there exists $\theta \in L^\infty(\Omega)_+$ s.t. $\theta \leq \lambda_1$ in Ω , $\theta \not\equiv \lambda_1$, and uniformly for a.e. $x \in \Omega$

$$\limsup_{t \rightarrow -\infty} \frac{G(x, t)}{|t|^p} \leq \frac{\theta(x)}{p}.$$

Hypothesis **H₁** (i) is a subcritical growth condition, useful in obtaining compactness properties for the energy functional. Hypothesis (ii) forces for $g(x, \cdot)$ a $(p - 1)$ -superlinear growth at ∞ , tempered by an asymptotic condition of Ambrosetti-Rabinowitz type (iii) (this was first introduced in [8] for the Laplacian). By (iv), $g(x, \cdot)$ is $(p - 1)$ -linear at zero and by (v) it is at most $(p - 1)$ -linear at $-\infty$, thus exhibiting an asymmetric behavior. For simplicity, we assume in both cases that possible $(p - 1)$ -linear behaviors have no resonance with the principal eigenvalue in all of Ω .

Example 3.1. The following autonomous mapping $g \in C(\mathbb{R})$ clearly satisfies **H₁**:

$$g(t) = a|t|^{p-2}t + (t^+)^{r-1},$$

with $a \in (0, \lambda_1), r \in (p, p_s^*)$ (set $\beta = r$ in (iii)).

Fix $\lambda > 0$ and set for all $(x, t) \in \Omega \times \mathbb{R}$

$$f_\lambda(x, t) = \lambda|t|^{q-2}t + g(x, t), \quad F_\lambda(x, t) = \int_0^t f_\lambda(x, \tau) \, d\tau.$$

Clearly, by \mathbf{H}_1 we see that f_λ satisfies \mathbf{H}_0 . So, we can define an energy functional $\Phi_\lambda \in C^1(W_0^{s,p}(\Omega))$ for problem (1.1) by setting for all $u \in W_0^{s,p}(\Omega)$

$$\Phi_\lambda(u) = \frac{\|u\|^p}{p} - \int_\Omega F_\lambda(x, u) \, dx.$$

By \mathbf{H}_1 (iv), we easily see that $f_\lambda(\cdot, 0) = 0$ in Ω , so $0 \in K(\Phi_\lambda)$ for all $\lambda > 0$, i.e., (1.1) always admits the trivial solution.

To detect constant sign solutions, we define two truncated energy functionals. Set for all $(x, t) \in \Omega \times \mathbb{R}$

$$f_\lambda^\pm(x, t) = f_\lambda(x, \pm t^\pm), \quad F_\lambda^\pm(x, t) = \int_0^t f_\lambda^\pm(x, \tau) \, d\tau,$$

and for all $u \in W_0^{s,p}(\Omega)$

$$\Phi_\lambda^\pm(u) = \frac{\|u\|^p}{p} - \int_\Omega F_\lambda^\pm(x, u) \, dx.$$

We first focus on positive solutions, starting with a crucial compactness property, see [30, Definition 5.14 (b)]:

Lemma 3.2. *Let \mathbf{H}_1 hold. Then, $\Phi_\lambda^+ \in C^1(W_0^{s,p}(\Omega))$ satisfies the Cerami (C)-condition.*

Proof. As in Sect. 2 we see that $\Phi_\lambda^+ \in C^1(W_0^{s,p}(\Omega))$ with derivative given for all $u, \varphi \in W_0^{s,p}(\Omega)$ by

$$\langle (\Phi_\lambda^+)'(u), \varphi \rangle = \langle (-\Delta)_p^s u, \varphi \rangle - \int_\Omega f_\lambda^+(x, u) \varphi \, dx.$$

Let (u_n) be a sequence in $W_0^{s,p}(\Omega)$ s.t. $(\Phi_\lambda^+(u_n))$ is bounded in \mathbb{R} and $(1 + \|u_n\|)(\Phi_\lambda^+)'(u_n) \rightarrow 0$ in $W^{-s,p'}(\Omega)$. Then, there exist $C > 0$ and a sequence (ε_n) with $\varepsilon_n \rightarrow 0^+$, s.t. for all $n \in \mathbb{N}$

$$\left| \frac{\|u_n\|^p}{p} - \int_\Omega F_\lambda^+(x, u_n) \, dx \right| \leq C \tag{3.1}$$

and for all $\varphi \in W_0^{s,p}(\Omega)$

$$\left| \langle (-\Delta)_p^s u_n, \varphi \rangle - \int_\Omega f_\lambda^+(x, u_n) \varphi \, dx \right| \leq \frac{\varepsilon_n \|\varphi\|}{1 + \|u_n\|}. \tag{3.2}$$

First we prove that

$$u_n^- \rightarrow 0 \text{ in } W_0^{s,p}(\Omega). \tag{3.3}$$

Choose $\varphi = -u_n^- \in W_0^{s,p}(\Omega)$ in (3.2), then by Lemma 2.1 (ii) we have for all $n \in \mathbb{N}$

$$\begin{aligned} \|u_n^-\|^p &\leq \langle (-\Delta)_p^s u_n, -u_n^- \rangle \\ &\leq \int_\Omega f_\lambda^+(x, u_n)(-u_n^-) \, dx + \frac{\varepsilon_n \|u_n^-\|}{1 + \|u_n\|} \leq \varepsilon_n, \end{aligned}$$

and the latter tends to 0 as $n \rightarrow \infty$. Next we prove that

$$(u_n^+) \text{ is bounded in } W_0^{s,p}(\Omega). \tag{3.4}$$

By (3.1) we have for all $n \in \mathbb{N}$

$$\|u_n\|^p - \int_{\Omega} pF_{\lambda}^+(x, u_n) \, dx \leq Cp.$$

Besides, by inequality (2.2) and Lemma 2.1 (i) we have for all $n \in \mathbb{N}$

$$\langle (-\Delta)_p^s u_n, u_n^+ \rangle \leq \|u_n\|^{p-1} \|u_n^+\| \leq \|u_n\|^p,$$

which along with (3.2) with $\varphi = u_n^+ \in W_0^{s,p}(\Omega)$ yields

$$-\|u_n\|^p + \int_{\Omega} f_{\lambda}^+(x, u_n) u_n^+ \, dx \leq \varepsilon_n.$$

Adding the inequalities above and recalling the definition of f_{λ}^+ , we have

$$\int_{\Omega} [g(x, u_n^+) u_n^+ - pG(x, u_n^+)] \, dx \leq \lambda \left(\frac{p}{q} - 1 \right) \|u_n^+\|_q^q + C.$$

By \mathbf{H}_1 (iii) we can find $K > 0$ s.t. for a.e. $x \in \Omega$ and all $t > K$

$$g(x, t)t - pG(x, t) \geq \frac{c_2}{2} t^{\beta}.$$

Also recalling \mathbf{H}_1 (i), we can find $C > 0$ s.t. for all $n \in \mathbb{N}$

$$\int_{\Omega} [g(x, u_n^+) u_n^+ - pG(x, u_n^+)] \, dx \geq \frac{c_2}{2} \|u_n^+\|_{\beta}^{\beta} - C.$$

By the previous relations and Hölder’s inequality, we have

$$\begin{aligned} \|u_n^+\|_{\beta}^{\beta} &\leq C(\|u_n^+\|_q^q + 1) \\ &\leq C \left[\int_{\Omega} (u_n^+)^{\beta} \, dx \right]^{\frac{q}{\beta}} |\Omega|^{1-\frac{q}{\beta}} + C \\ &\leq C(\|u_n^+\|_{\beta}^q + 1), \end{aligned}$$

which by $q < \beta$ implies that (u_n^+) is bounded in $L^{\beta}(\Omega)$, and hence in $L^q(\Omega)$. In \mathbf{H}_1 (i) we may assume $\beta \leq r < p_s^*$, so we can find $\tau \in [0, 1)$ s.t.

$$\frac{1}{r} = \frac{1-\tau}{\beta} + \frac{\tau}{p_s^*}.$$

By the interpolation inequality, boundedness of (u_n^+) in $L^{\beta}(\Omega)$, and the embedding $W_0^{s,p}(\Omega) \hookrightarrow L^{p_s^*}(\Omega)$ we have

$$\|u_n^+\|_r \leq \|u_n^+\|_{\beta}^{1-\tau} \|u_n^+\|_{p_s^*}^{\tau} \leq C \|u_n^+\|^{\tau}.$$

Test (3.2) with $\varphi = u_n^+ \in W_0^{s,p}(\Omega)$ and apply Lemma 2.1 (ii) to get

$$\begin{aligned} \|u_n^+\|^p &\leq \lambda \|u_n^+\|_q^q + \int_{\Omega} g(x, u_n^+) u_n^+ \, dx + \varepsilon_n \\ &\leq \int_{\Omega} c_1 [u_n^+ + (u_n^+)^r] \, dx + C \\ &\leq C(1 + \|u_n^+\|_1 + \|u_n^+\|_r) \\ &\leq C(1 + \|u_n^+\| + \|u_n^+\|^{\tau r}). \end{aligned} \tag{3.5}$$

We note that, by \mathbf{H}_1 (iii),

$$\begin{aligned} \frac{1}{r} &< (1 - \tau) \frac{ps}{N(r - p)} + \tau \frac{N - ps}{Np} \\ &= \frac{ps}{N(r - p)} + \tau \frac{Nr - Np - psr}{Np(r - p)}, \end{aligned}$$

which by $r < p_s^*$ implies

$$\frac{\tau r}{p} \frac{Nr - Np - psr}{N(r - p)} > \frac{Nr - Np - psr}{N(r - p)},$$

and hence $\tau r < p$. So, from (3.5) we see that (u_n^+) is bounded in $W_0^{s,p}(\Omega)$.

By (3.3), (3.4) (u_n) is bounded in $W_0^{s,p}(\Omega)$. Passing to a subsequence, we may assume that $u_n \rightharpoonup u$ in $W_0^{s,p}(\Omega)$, $u_n \rightarrow u$ in $L^r(\Omega)$. Testing (3.2) with $\varphi = u_n - u \in W_0^{s,p}(\Omega)$, and applying Hölder’s inequality, we have

$$\begin{aligned} &\langle (-\Delta)_p^s u_n, u_n - u \rangle \\ &\leq \lambda \int_{\Omega} (u_n^+)^{q-1} (u_n - u) \, dx + \int_{\Omega} g(x, u_n^+) (u_n - u) \, dx + \frac{\varepsilon_n \|u_n - u\|}{1 + \|u_n\|} \\ &\leq \lambda \|u_n^+\|_q^{q-1} \|u_n - u\|_q + C (\|u_n - u\|_1 + \|u_n^+\|_r^{r-1} \|u_n - u\|_r + \varepsilon_n), \end{aligned}$$

and the latter tends to 0 as $n \rightarrow \infty$. By the $(S)_+$ -property of $(-\Delta)_p^s$, we finally have $u_n \rightarrow u$ in $W_0^{s,p}(\Omega)$. Thus, Φ_λ^+ satisfies (C). \square

Now we can prove the existence of two positive solutions for $\lambda > 0$ small enough:

Lemma 3.3. *Let \mathbf{H}_1 hold. Then, there exists $\lambda^* > 0$ s.t. for all $\lambda \in (0, \lambda^*)$ problem (1.1) has at least two positive solutions $u_+, v_+ \in \text{int}(C_s^0(\bar{\Omega})_+)$.*

Proof. Fix $\lambda > 0$ (to be better determined later). We will seek the first positive solution by applying the mountain pass theorem. First, we claim that there exists $\rho > 0$ s.t.

$$\inf_{\|u\|=\rho} \Phi_\lambda^+(u) = m_+ > 0. \tag{3.6}$$

Indeed, by \mathbf{H}_1 (iv) and Lemma 2.7, there exists $\sigma > 0$ s.t. for all $u \in W_0^{s,p}(\Omega)$

$$\|u\|^p - \int_{\Omega} \eta_2(x) |u|^p \, dx \geq \sigma \|u\|^p.$$

Now fix $\varepsilon \in (0, \sigma \lambda_1)$. By \mathbf{H}_1 (i) (iv) we can find $C_\varepsilon > 0$ s.t. for a.e. $x \in \Omega$ and all $t \geq 0$

$$G(x, t) \leq \frac{\eta_2(x) + \varepsilon}{p} t^p + C_\varepsilon t^r.$$

Set $\sigma' = \sigma - \varepsilon/\lambda_1 > 0$. For all $u \in W_0^{s,p}(\Omega)$ we have $0 \leq u^+ \leq |u|$ in Ω , so by the estimates above, (2.3), and the embeddings of $W_0^{s,p}(\Omega)$ we have

$$\begin{aligned} \Phi_\lambda^+(u) &\geq \frac{\|u\|^p}{p} - \frac{\lambda}{q} \|u^+\|_q^q - \int_\Omega \left[\frac{\eta_2(x) + \varepsilon}{p} (u^+)^p + C_\varepsilon (u^+)^r \right] dx \\ &\geq \frac{1}{p} \left[\|u\|^p - \int_\Omega \eta_2(x) |u|^p dx \right] - \frac{\lambda}{q} \|u\|_p^q |\Omega|^{\frac{p-q}{p}} - C_\varepsilon \|u\|_r^r - \frac{\varepsilon}{p} \|u\|_p^p \\ &\geq \frac{\sigma'}{p} \|u\|^p - \frac{\lambda |\Omega|^{\frac{p-q}{p}}}{q \lambda_1^{\frac{q}{p}}} \|u\|^q - C \|u\|^r = h(\|u\|) \|u\|^p, \end{aligned}$$

where for all $t > 0$ we have set

$$h(t) = \frac{\sigma'}{p} - \frac{\lambda |\Omega|^{1-\frac{q}{p}}}{q \lambda_1^{\frac{q}{p}}} t^{q-p} - C t^{r-p}.$$

Clearly, we have $h \in C^1(0, \infty)$, $h(t) \rightarrow -\infty$ as $t \rightarrow 0, \infty$ (recall that $q < p < r$). So there is $\rho > 0$ s.t.

$$h(\rho) = \max_{t>0} h(t).$$

We can detect $\rho > 0$ by setting $h'(\rho) = 0$, which gives

$$\rho = \left[\frac{\lambda |\Omega|^{\frac{q-p}{p}} (p-q)}{C q \lambda_1^{\frac{q}{p}} (r-p)} \right]^{\frac{1}{r-q}} > 0.$$

In turn, that implies

$$h(\rho) = \frac{\sigma'}{p} - \left[\frac{\lambda |\Omega|^{\frac{q-p}{p}}}{q \lambda_1^{\frac{q}{p}}} \right]^{\frac{r-p}{r-q}} \left[\frac{p-q}{C(r-p)} \right]^{\frac{q-p}{r-q}} - C^{\frac{p-q}{r-q}} \left[\frac{\lambda |\Omega|^{\frac{q-p}{p}} (p-q)}{q(r-p) \lambda_1^{\frac{q}{p}}} \right]^{\frac{r-p}{r-q}},$$

and the latter tends to $\sigma'/p > 0$ as $\lambda \rightarrow 0^+$. So there exists $\lambda^* > 0$ s.t. for all $\lambda \in (0, \lambda^*)$

$$\inf_{\|u\|=\rho} \Phi_\lambda^+(u) \geq h(\rho) \rho^p > 0,$$

which proves (3.6). Let $\hat{u}_1 \in \text{int}(C_s^0(\bar{\Omega})_+)$ be as in Sect. 2, then we have

$$\lim_{\tau \rightarrow \infty} \Phi_\lambda^+(\tau \hat{u}_1) = -\infty. \tag{3.7}$$

Indeed, by **H**₁ (i) (ii), for any $M > 0$ we can find $C_M > 0$ s.t. for a.e. $x \in \Omega$ and all $t \geq 0$

$$G(x, t) \geq M t^p - C_M.$$

So, for all $\tau > 0$ we have

$$\begin{aligned} \Phi_\lambda^+(\tau \hat{u}_1) &\leq \frac{\tau^p}{p} \|\hat{u}_1\|^p - \frac{\tau^q \lambda}{q} \|\hat{u}_1\|_q^q - \int_\Omega (M t^p (\hat{u}_1)^p - C_M) dx \\ &\leq \left(\frac{\lambda_1}{p} - M \right) \tau^p - \frac{\tau^q \lambda}{q} \|\hat{u}_1\|_q^q - C_M |\Omega|, \end{aligned}$$

an the latter tends to $-\infty$ as $\tau \rightarrow \infty$, as soon as we choose $M > \lambda_1/p$. By (3.6), (3.7) Φ_λ^+ exhibits a mountain pass geometry, while by Lemma 3.2 it satisfies (C). By the mountain pass theorem (see for instance [31, Theorem 5.40]) there exists $u_+ \in K(\Phi_\lambda^+)$ s.t.

$$\Phi_\lambda^+(u_+) \geq m_+.$$

By (3.6) we have $u_+ \neq 0$. Testing $(\Phi_\lambda^+)'(u_+) = 0$ with $-u_+^- \in W_0^{s,p}(\Omega)$ and recalling Lemma 2.1 (ii), we have

$$\begin{aligned} \|u_+^-\|^p &\leq \langle (-\Delta)_p^s u_+, -u_+^- \rangle \\ &= \int_\Omega f_\lambda^+(x, u_+)(-u_+^-) dx = 0, \end{aligned}$$

so $u_+ \in W_0^{s,p}(\Omega)_+ \setminus \{0\}$. That in turn implies that u_+ solves (1.1). Since f_λ satisfies \mathbf{H}_0 , by Proposition 2.4 we have $u_+ \in C_s^\alpha(\bar{\Omega})$. Further, by \mathbf{H}_1 (ii) (iv) we can find $C > 0$ s.t. for a.e. $x \in \Omega$ and all $t \geq 0$

$$f_\lambda(x, t) \geq -Ct^{p-1}.$$

By Proposition 2.5 we have $u_+ \in \text{int}(C_s^0(\bar{\Omega})_+)$.

Now we seek a second positive solution. By \mathbf{H}_1 (iv) we can find $\delta, c > 0$ s.t. for a.e. $x \in \Omega$ and all $t \in [0, \delta]$

$$G(x, t) \geq -ct^p.$$

Since $\hat{u}_1 \in \text{int}(C_s^0(\bar{\Omega})_+)$, for all $\tau > 0$ small enough we have $0 < \tau \hat{u}_1 \leq \delta$ in Ω , so

$$\begin{aligned} \Phi_\lambda^+(\tau \hat{u}_1) &\leq \frac{\tau^p}{p} \|\hat{u}_1\|^p - \frac{\lambda \tau^q}{q} \|\hat{u}_1\|_q^q + c\tau^p \|\hat{u}_1\|^p \\ &= \left(\frac{\lambda_1}{p} + c\right)\tau^p - \frac{\lambda}{q}\tau^q, \end{aligned}$$

and the latter is negative for all $\tau > 0$ small enough. So, by (3.6) we have

$$\inf_{\|u\| \leq \rho} \Phi_\lambda^+(u) < 0 < m_+. \tag{3.8}$$

Since $\Phi_\lambda^+ \in C^1(W_0^{s,p}(\Omega))$ is sequentially weakly l.s.c., there exists $v_+ \in \bar{B}_\rho(0)$ s.t.

$$\Phi_\lambda^+(v_+) = \inf_{\|u\| \leq \rho} \Phi_\lambda^+(u).$$

By (3.6) and (3.8) we have $\|v_+\| < \rho$, so $v_+ \in K(\Phi_\lambda^+)$ is a local minimizer of Φ_λ^+ (not a global one, due to (3.7)). Besides, since

$$\Phi_\lambda^+(v_+) < 0 < m_+ \leq \Phi_\lambda^+(u_+),$$

we deduce $v_+ \neq 0, u_+$. Arguing as above, we conclude that $v_+ \in \text{int}(C_s^0(\bar{\Omega})_+)$ solves (1.1) and complete the proof. \square

The existence of a negative solution is achieved by combining truncations and direct methods. Notably, this holds for any $\lambda > 0$:

Lemma 3.4. *Let \mathbf{H}_1 hold. Then, for all $\lambda > 0$ problem (1.1) has at least one negative solution $u_- \in -\text{int}(C_s^0(\bar{\Omega})_+)$.*

Proof. Fix $\lambda > 0$ and recall the definition of $\Phi_\lambda^- \in C^1(W_0^{s,p}(\Omega))$. We prove first that Φ_λ^- is coercive. Indeed, by \mathbf{H}_1 (i) (v), for any $\varepsilon > 0$ we can find $C_\varepsilon > 0$ s.t. for a.e. $x \in \Omega$ and all $t \leq 0$

$$G(x, t) \leq \frac{\theta(x) + \varepsilon}{p} |t|^p + C_\varepsilon.$$

Besides, by Lemma 2.7 we can find $\sigma > 0$ s.t. for all $u \in W_0^{s,p}(\Omega)$

$$\|u\|^p - \int_\Omega \theta(x) |u|^p \, dx \geq \sigma \|u\|^p.$$

So, recalling that $0 \leq u^- \leq |u|$ in Ω and using (2.3), we have

$$\begin{aligned} \Phi_\lambda^-(x) &\geq \frac{\|u\|^p}{p} - \frac{\lambda}{q} \|u^-\|_q^q - \int_\Omega \left[\frac{\theta(x) + \varepsilon}{p} (u^-)^p + C_\varepsilon \right] \, dx \\ &\geq \left(\sigma - \frac{\varepsilon}{\lambda_1} \right) \frac{\|u\|^p}{p} - C \|u\|^q - C, \end{aligned}$$

and the latter tends to ∞ as $\|u\| \rightarrow \infty$, as soon as we choose $\varepsilon < \sigma \lambda_1$. Also, Φ_λ^- is sequentially weakly l.s.c. in $W_0^{s,p}(\Omega)$, so there exists $u_- \in W_0^{s,p}(\Omega)$ s.t.

$$\Phi_\lambda^-(u_-) = \inf_{u \in W_0^{s,p}(\Omega)} \Phi_\lambda^-(u) = m_-. \tag{3.9}$$

By \mathbf{H}_1 (iv) we can find $c, \delta > 0$ s.t. for a.e. $x \in \Omega$ and all $t \in [-\delta, 0]$

$$G(x, t) \geq -c|t|^p.$$

Since $\hat{u}_1 \in \text{int}(C_s^0(\bar{\Omega})_+)$, for all $\tau > 0$ small enough we have $-\delta < -\tau \hat{u}_1 < 0$ in Ω , so

$$\begin{aligned} \Phi_\lambda^-(-\tau \hat{u}_1) &\leq \frac{\tau^p}{p} \|\hat{u}_1\|^p - \frac{\lambda \tau^q}{q} \|\hat{u}_1\|_q^q + c \tau^p \|\hat{u}_1\|_p^p \\ &= \left(\frac{\lambda_1}{p} + c \right) \tau^p - \frac{\lambda \tau^q}{q} \|\hat{u}_1\|_q^q, \end{aligned}$$

and the latter is negative for all $\tau > 0$ small enough. So we deduce $m_- < 0$, hence by (3.9) we have $u_- \neq 0$. Testing $(\Phi_\lambda^-)'(u_-) = 0$ with $u_-^+ \in W_0^{s,p}(\Omega)$ and recalling Lemma 2.1 (ii), we have

$$\begin{aligned} \|u_-^+\|^p &\leq \langle (-\Delta)_p^s u_-, u_-^+ \rangle \\ &= \int_\Omega f_\lambda^-(x, u_-) u_-^+ \, dx = 0, \end{aligned}$$

so $u_- \in -W_0^{s,p}(\Omega)_+ \setminus \{0\}$. Arguing as in the proof of Lemma 3.3 and applying Propositions 2.4 and 2.5, we see that $u_- \in -\text{int}(C_s^0(\bar{\Omega})_+)$ is a negative solution of (1.1). □

Combining Lemmas 3.3 and 3.4, we achieve our result on constant sign solutions:

Theorem 3.5. *Let \mathbf{H}_1 hold. Then, there exists $\lambda^* > 0$ s.t. for all $\lambda \in (0, \lambda^*)$ problem (1.1) has at least two positive solutions $u_+, v_+ \in \text{int}(C_s^0(\overline{\Omega})_+)$ and a negative solution $u_- \in -\text{int}(C_s^0(\overline{\Omega})_+)$.*

Remark 3.6. We briefly outline that multiple constant sign solutions could be ensured under an alternative set of assumptions involving asymmetric reactions (see for instance [19]). In particular, the pure power term $|u|^{q-2}u$ can be replaced by any Carathéodory mapping $h : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ with $(p - 1)$ -sublinear growth at $\pm\infty$ and satisfying a kind of reverse Ambrosetti-Rabinowitz condition at 0. Moreover, the subcritical growth condition \mathbf{H}_1 (i) on $g(x, \cdot)$ can be weakened to a 'quasi-critical' one, namely, one may assume

$$\lim_{t \rightarrow \infty} \frac{g(x, t)}{t^{p_s^* - 1}} = 0 \text{ uniformly for a.e. } x \in \Omega.$$

In such a case, however, a quasi-monotonicity condition must be required for the whole reaction f_λ to retrieve the (C)-condition.

4. Extremal Constant Sign Solutions and Nodal Solution

In this section we get more precise information on constant sign solutions of (1.1), proving the existence of a smallest positive and a biggest negative solution, then we exploit such information to detect a nodal solution. To do so, we need to strengthen a bit our hypotheses on the perturbation g :

\mathbf{H}_2 $g : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ is a Carathéodory function, we set $G(x, t) = \int_0^t g(x, \tau) \, d\tau$

for all $(x, t) \in \mathbb{R}$, and

- (i) there exist $c_1 > 0, r \in (p, p_s^*)$ s.t. for a.e. $x \in \mathbb{R}$ and all $t \in \mathbb{R}$

$$|g(x, t)| \leq c_1(1 + |t|^{r-1});$$

- (ii) uniformly for a.e. $x \in \Omega$

$$\lim_{t \rightarrow \infty} \frac{G(x, t)}{t^p} = \infty;$$

- (iii) there exist $c_2, \beta > 0$, with $\max \left\{ q, \frac{N(r - p)}{ps} \right\} < \beta < p_s^*$ s.t. uniformly for a.e. $x \in \Omega$

$$\liminf_{t \rightarrow \infty} \frac{g(x, t)t - pG(x, t)}{t^\beta} \geq c_2;$$

- (iv) uniformly for a.e. $x \in \Omega$

$$\lim_{t \rightarrow 0} \frac{g(x, t)}{|t|^{p-2}t} = 0;$$

- (v) there exists $\theta \in L^\infty(\Omega)_+$ s.t. $\theta \leq \lambda_1$ in Ω , $\theta \not\equiv \lambda_1$, and uniformly for a.e. $x \in \Omega$

$$\limsup_{t \rightarrow -\infty} \frac{G(x, t)}{|t|^p} \leq \frac{\theta(x)}{p};$$

- (vi) there exist $\delta_1 > 0$ s.t. for a.e. $x \in \Omega$ and all $|t| \leq \delta_1$

$$g(x, t)t \geq 0.$$

Clearly \mathbf{H}_2 (i)–(v) imply \mathbf{H}_1 , so all results of Sects. 2 and 3 still hold. In addition, we assume that $g(x, \cdot)$ is $(p - 1)$ -superlinear at 0 (see (iv)) and satisfies a local sign condition near zero (see (vi)).

Example 4.1. The following autonomous mapping $g \in C(\mathbb{R})$ satisfies \mathbf{H}_2 :

$$g(t) = \begin{cases} |t|^{\gamma-2}t & \text{if } t < -1 \\ |t|^{r-2}t & \text{if } t \geq -1, \end{cases}$$

with $1 < \gamma < p < r < p_s^*$ (set $\beta = r$ in (iii)).

Taking $\lambda > 0$ even smaller if necessary, problem (1.1) admits extremal constant sign solutions. Unlike in [15] (where the reaction is $(p - 1)$ -linear at 0 without resonance with the principal eigenvalue), the result is obtained by constructing a sub-supersolution pair by means of auxiliary problems and using the comparison result of Theorem 2.8:

Lemma 4.2. *Let \mathbf{H}_2 hold. Then, there exists $\lambda_* > 0$ s.t. for all $\lambda \in (0, \lambda_*)$ problem (1.1) admits*

- (i) a smallest positive solution $w_+ \in \text{int}(C_s^0(\overline{\Omega})_+)$, $\|w_+\|_\infty \leq \delta_1$;
- (ii) a biggest negative solution $w_- \in -\text{int}(C_s^0(\overline{\Omega})_+)$, $\|w_-\|_\infty \leq \delta_1$.

Proof. We prove (i). First we consider the following torsion problem:

$$\begin{cases} (-\Delta)_p^s v = 1 & \text{in } \Omega \\ v = 0 & \text{in } \Omega^c. \end{cases} \tag{4.1}$$

By direct variational methods (minimization) and Proposition 2.5, we see that (4.1) has a unique solution $v \in \text{int}(C_s^0(\overline{\Omega})_+)$. Fix $\varepsilon \in (0, \|v\|_\infty^{1-p})$, then by \mathbf{H}_2 (i) (iv) we can find $C_\varepsilon > 0$ s.t. for a.e. $x \in \Omega$ and all $t \geq 0$

$$g(x, t) \leq \varepsilon t^{p-1} + C_\varepsilon t^{r-1}.$$

We claim that there exists $\lambda_* > 0$ with the following property: for all $\lambda \in (0, \lambda_*)$ there is $\tau \in (0, \delta_1/\|v\|_\infty)$ s.t.

$$\lambda \|\tau v\|_\infty^{q-1} + \varepsilon \|\tau v\|_\infty^{p-1} + C_\varepsilon \|\tau v\|_\infty^{r-1} < \tau^{p-1}. \tag{4.2}$$

Arguing by contradiction, let (λ_n) be a sequence s.t. $\lambda_n \rightarrow 0^+$ and for all $n \in \mathbb{N}$, $\tau \in (0, \delta_1/\|v\|_\infty)$

$$\tau^{p-1} \leq \lambda_n \|\tau v\|_\infty^{q-1} + \varepsilon \|\tau v\|_\infty^{p-1} + C_\varepsilon \|\tau v\|_\infty^{r-1}.$$

Then, letting $n \rightarrow \infty$ and dividing by $\tau^{p-1} > 0$ we have

$$1 \leq \varepsilon \|v\|_\infty^{p-1} + C_\varepsilon \tau^{r-p} \|v\|_\infty^{r-1}.$$

Now, letting $\tau \rightarrow 0^+$ and recalling that $r > p$ we get

$$1 \leq \varepsilon \|v\|_\infty^{p-1},$$

a contradiction. So (4.2) is achieved. Now fix $\lambda \in (0, \lambda_*)$, $\tau \in (0, \delta_1/\|v\|_\infty)$ satisfying (4.2), and set

$$\bar{u} = \tau v \in \text{int}(C_s^0(\bar{\Omega})_+).$$

Then, by (4.1) and the estimate on f we have weakly in Ω

$$\begin{aligned} (-\Delta)_p^s \bar{u} &= \tau^{p-1} \\ &> \lambda \|\bar{u}\|_\infty^{q-1} + \varepsilon \|\bar{u}\|_\infty^{p-1} + C_\varepsilon \|\bar{u}\|_\infty^{r-1} \\ &\geq \lambda \bar{u}^{q-1} + g(x, \bar{u}), \end{aligned}$$

i.e., $\bar{u} \in \text{int}(C_s^0(\bar{\Omega})_+)$ is a (strict) supersolution of (1.1) satisfying $0 < \bar{u} \leq \delta_1$ in Ω .

For all $k \in \mathbb{N}$ set $\underline{u}_k = \hat{u}_1/k \in \text{int}(C_s^0(\bar{\Omega})_+)$ (with \hat{u}_1 defined as in Sect. 2). Clearly, $\underline{u}_k \rightarrow 0$ uniformly in $\bar{\Omega}$, so for all $k \in \mathbb{N}$ big enough we have $\underline{u}_k < \bar{u}$ (in particular, $0 < \underline{u}_k < \delta_1$) in Ω , and $\lambda_1 \underline{u}_k^{p-q} < \lambda$ in Ω . By \mathbf{H}_2 (vi) and the inequalities above, we have weakly in Ω

$$\begin{aligned} (-\Delta)_p^s \underline{u}_k &= \frac{\lambda_1}{k^{p-1}} \hat{u}_1^{p-1} \\ &= \lambda_1 \underline{u}_k^{p-1} \\ &< \lambda \underline{u}_k^{q-1} + g(x, \underline{u}_k). \end{aligned}$$

So, for all $k \in \mathbb{N}$ big enough $\underline{u}_k \in \text{int}(C_s^0(\bar{\Omega})_+)$ is a (strict) subsolution of (1.1) s.t. $\underline{u}_k < \bar{u}$ in Ω , namely $(\underline{u}_k, \bar{u})$ is a sub-supersolution pair of (1.1). By Proposition 2.2, the set

$$\mathcal{S}(\underline{u}_k, \bar{u}) = \{w \in W_0^{s,p}(\Omega) : w \text{ is a solution of (1.1), } \underline{u}_k \leq w \leq \bar{u} \text{ in } \Omega\}$$

has a smallest element $w_k \in W_0^{s,p}(\Omega)$. By Propositions 2.4 and 2.5 we have $w_k \in \text{int}(C_s^0(\bar{\Omega})_+)$. The sequence (w_k) is relatively compact in $W_0^{s,p}(\Omega)$. Indeed, for all $k \in \mathbb{N}$ we have $w_k \in \mathcal{S}(0, \bar{u})$, and the latter is a compact set in $W_0^{s,p}(\Omega)$ (Proposition 2.2 again). Thus, passing to a subsequence we have $w_k \rightarrow w_+$ in $W_0^{s,p}(\Omega)$, $w_k \rightarrow w_+$ in $L^p(\Omega)$, and $w_k(x) \rightarrow w_+(x)$ for a.e. $x \in \Omega$ (in particular, $0 \leq w_+ \leq \delta_1$ in Ω). We claim that

$$w_+ \neq 0. \tag{4.3}$$

We argue by contradiction, assuming that $w_k \rightarrow 0$ in $W_0^{s,p}(\Omega)$. Again we consider an auxiliary problem:

$$\begin{cases} (-\Delta)_p^s \hat{v} = \lambda(\hat{v}^+)^{q-1} & \text{in } \Omega \\ \hat{v} = 0 & \text{in } \Omega^c, \end{cases} \tag{4.4}$$

with $\lambda \in (0, \lambda_*)$ as above. Since $q < p$, by direct variational methods and Proposition 2.5 we see that (4.4) has a solution $\hat{v} \in \text{int}(C_s^0(\overline{\Omega})_+)$. By [15, Remark 3.6], passing to a subsequence we also have $w_k \rightarrow 0$ in $C_s^0(\overline{\Omega})$, in particular $w_k \rightarrow 0$ uniformly in $\overline{\Omega}$. So, let $k \in \mathbb{N}$ be large enough s.t. $0 < w_k < \delta_1$ in Ω . By **H**₂ (vi) we have weakly in Ω

$$(-\Delta)_p^s w_k = \lambda w_k^{q-1} + g(x, w_k) \geq \lambda w_k^{q-1},$$

i.e., $w_k \in \text{int}(C_s^0(\overline{\Omega})_+)$ is a supersolution of (4.4). Clearly, the mapping

$$t \mapsto \frac{\lambda}{t^{p-q}}$$

is decreasing in $(0, \infty)$, so by Theorem 2.8 we have $\hat{v} \leq w_k$ in Ω . Letting $k \rightarrow \infty$ we get $\hat{v} \leq 0$ in Ω , a contradiction. Thus, (4.3) is proved.

By strong convergence and (4.3), we see that $w_+ \in W_0^{s,p}(\Omega)_+ \setminus \{0\}$ solves (1.1), hence as above we deduce $w_+ \in \text{int}(C_s^0(\overline{\Omega})_+)$. Besides, from $w_+ \leq \bar{u}$ we deduce that in Ω

$$0 < w_+ \leq \delta_1.$$

We prove now that w_+ is the smallest positive solution of (1.1). Let $u \in W_0^{s,p}(\Omega)_+ \setminus \{0\}$ be another positive solution of (1.1), then $u \in \text{int}(C_s^0(\overline{\Omega})_+)$. So we can find $k \in \mathbb{N}$ s.t. in Ω we have

$$\underline{u}_k = \frac{\hat{u}_1}{k} \leq u.$$

Set

$$\hat{u} = \min\{u, \bar{u}\}.$$

By [15, Lemma 3.1], $\hat{u} \in W_0^{s,p}(\Omega)$ is a supersolution of (1.1), so $(\underline{u}_k, \hat{u})$ is a sub-supersolution pair. By Proposition 2.2 there exists a solution

$$v \in \mathcal{S}(\underline{u}_k, \hat{u}) \subseteq \mathcal{S}(\underline{u}_k, \bar{u}).$$

In particular, in Ω we have

$$w_k \leq v \leq \hat{u} \leq u.$$

Letting $k \rightarrow \infty$, we have $w_+ \leq u$ in Ω .

The existence (ii) of a biggest negative solution $w_- \in -\text{int}(C_s^0(\overline{\Omega})_+)$ s.t. $\|w_-\|_\infty \leq \delta_1$ is proved in a similar way. □

Remark 4.3. For alternative hypotheses to **H**₂ see [15] where (as already mentioned) extremal constant sign solutions are detected for $(p - 1)$ -linear reactions at 0. Also, in [30] (dealing with the local case $s = 1$) a different set of assumptions is proposed to find a biggest negative solution, namely, a $(p - 1)$ -linear behavior of $g(x, \cdot)$ near 0 with a global sign condition.

In what follows, we seek a fourth nontrivial solution of (1.1) under hypotheses \mathbf{H}_2 , for $\lambda > 0$ small enough. Set

$$\tilde{\lambda} = \min\{\lambda^*, \lambda_*\} > 0, \tag{4.5}$$

with $\lambda^* > 0$ as in Theorem 3.5 and $\lambda_* > 0$ as in Lemma 4.2. Without loss of generality we may assume that for all $\lambda \in (0, \tilde{\lambda})$ that $u_{\pm} \in \pm \text{int}(C_s^0(\bar{\Omega})_+)$ are the extremal constant sign solutions given by Lemma 4.2, in particular $v_+ \geq u_+$ in Ω . Set for all $(x, t) \in \Omega \times \mathbb{R}$

$$\kappa(x, t) = \begin{cases} u_-(x) & \text{if } t \leq u_-(x) \\ t & \text{if } u_-(x) < t < u_+(x) \\ u_+(x) & \text{if } t \geq u_+(x). \end{cases}$$

Accordingly, for all $\lambda > 0$ set

$$\begin{aligned} \tilde{f}_\lambda(x, t) &= \lambda |\kappa(x, t)|^{q-2} \kappa(x, t) + g(x, \kappa(x, t)), \\ \tilde{F}_\lambda(x, t) &= \int_0^t \tilde{f}_\lambda(x, \tau) \, d\tau. \end{aligned}$$

Further, set for all $u \in W_0^{s,p}(\Omega)$

$$\tilde{\Phi}_\lambda(u) = \frac{\|u\|^p}{p} - \int_\Omega \tilde{F}_\lambda(x, u) \, dx.$$

Lemma 4.4. *Let \mathbf{H}_2 hold. Then,*

- (i) $\tilde{\Phi}_\lambda \in C^1(W_0^{s,p}(\Omega))$ is coercive and satisfies the Palais-Smale (PS)-condition;
- (ii) if $u \in K(\tilde{\Phi}_\lambda)$, then $u_- \leq u \leq u_+$ in Ω and $u \in C_s^0(\bar{\Omega})$ solves (1.1).

Proof. We prove (i). By \mathbf{H}_2 (i) we see that $\tilde{f}_\lambda : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ satisfies \mathbf{H}_0 , so $\tilde{\Phi}_\lambda \in C^1(W_0^{s,p}(\Omega))$ with derivative given for all $u, \varphi \in W_0^{s,p}(\Omega)$ by

$$\langle \tilde{\Phi}'_\lambda(u), \varphi \rangle = \langle (-\Delta)_p^s u, \varphi \rangle - \int_\Omega \tilde{f}_\lambda(x, u) \varphi \, dx. \tag{4.6}$$

It is easily seen that $\tilde{\Phi}_\lambda$ is coercive in $W_0^{s,p}(\Omega)$. Indeed, since $u_{\pm} \in C_s^0(\bar{\Omega})$, the mapping κ is bounded in $\Omega \times \mathbb{R}$, hence by \mathbf{H}_2 (i) \tilde{f}_λ is bounded as well. So, there exists $C > 0$ s.t. for a.e. $x \in \Omega$ and all $t \in \mathbb{R}$

$$\tilde{F}_\lambda(x, t) \leq C|t|.$$

So, for all $u \in W_0^{s,p}(\Omega)$ we have

$$\begin{aligned} \tilde{\Phi}_\lambda(u) &\geq \frac{\|u\|^p}{p} - \int_\Omega C|u| \, dx \\ &\geq \frac{\|u\|^p}{p} - C\|u\|, \end{aligned}$$

and the latter tends to ∞ as $\|u\| \rightarrow \infty$.

Next we prove that $\tilde{\Phi}_\lambda$ satisfies (PS). Let (u_n) be a sequence in $W_0^{s,p}(\Omega)$ s.t. $|\tilde{\Phi}_\lambda(u_n)| \leq C$ for all $n \in \mathbb{N}$, and $\tilde{\Phi}'_\lambda(u_n) \rightarrow 0$ in $W^{-s,p'}(\Omega)$. By coercivity,

(u_n) is bounded in $W_0^{s,p}(\Omega)$. Passing to a subsequence, we have $u_n \rightharpoonup u$ in $W_0^{s,p}(\Omega)$, $u_n \rightarrow u$ in $L^1(\Omega)$. By (4.6) we have for all $n \in \mathbb{N}$ and $\varphi \in W_0^{s,p}(\Omega)$

$$\langle (-\Delta)_p^s u_n, \varphi \rangle = \int_{\Omega} \tilde{f}_{\lambda}(x, u_n) \varphi \, dx + \mathbf{o}(1). \tag{4.7}$$

Testing (4.7) with $\varphi = u_n - u \in W_0^{s,p}(\Omega)$ we have

$$\begin{aligned} \langle (-\Delta)_p^s u_n, u_n - u \rangle &= \int_{\Omega} \tilde{f}_{\lambda}(x, u_n)(u_n - u) \, dx + \mathbf{o}(1) \\ &\leq C \|u_n - u\|_1 + \mathbf{o}(1), \end{aligned}$$

and the latter tends to 0 as $n \rightarrow \infty$. By the $(S)_+$ -property of $(-\Delta)_p^s$, we deduce that $u_n \rightarrow u$ in $W_0^{s,p}(\Omega)$, so $\tilde{\Phi}_{\lambda}$ satisfies (PS) .

Now we prove (ii). Let $u \in K(\tilde{\Phi}_{\lambda})$. First we see that $u \leq u_+$ in Ω . Testing (4.7) with $(u - u_+)^+ \in W_0^{s,p}(\Omega)$, and recalling the definition of \tilde{f}_{λ} , we have

$$\begin{aligned} \langle (-\Delta)_p^s u, (u - u_+)^+ \rangle &= \int_{\Omega} \tilde{f}_{\lambda}(x, u)(u - u_+)^+ \, dx \\ &= \int_{\Omega} [\lambda(u_+)^{q-1} + g(x, u_+)](u - u_+)^+ \, dx \\ &= \langle (-\Delta)_p^s u_+, (u - u_+)^+ \rangle. \end{aligned}$$

Arguing as in the proof of [15, Lemma 3.2], we see that

$$\|(u - u_+)^+\|^p \leq C \langle (-\Delta)_p^s u - (-\Delta)_p^s u_+, (u - u_+)^+ \rangle = 0,$$

hence $(u - u_+)^+ = 0$. Similarly, we prove that $u \geq u_-$ in Ω . Again by the definition of \tilde{f}_{λ} , we see that weakly in Ω

$$(-\Delta)_p^s u = f_{\lambda}(x, u),$$

i.e., u is a solution of (1.1). By Proposition 2.4, we have $u \in C_s^0(\bar{\Omega})$. □

By \mathbf{H}_2 (iv), it is easily seen that $0 \in K(\tilde{\Phi}_{\lambda})$. Without loss of generality, we may assume that 0 is an isolated critical point, i.e., that there exists a neighborhood U of 0 s.t.

$$K(\tilde{\Phi}_{\lambda}) \cap U = \{0\}.$$

Thus, we may compute the critical groups of $\tilde{\Phi}_{\lambda}$ at 0 (see [31, Definition 6.43]):

Lemma 4.5. *Let \mathbf{H}_2 hold. Then, for all $\lambda > 0$, $k \in \mathbb{N}$*

$$C_k(\tilde{\Phi}_{\lambda}, 0) = 0.$$

Proof. Preliminarily we establish some precise estimates on \tilde{F}_{λ} . First, by \mathbf{H}_2 (i) (iv), for all $\varepsilon > 0$ we can find $C_{\varepsilon} > 0$ s.t. for a.e. $x \in \Omega$ and all $t \in \mathbb{R}$

$$G(x, t) \geq -\varepsilon |t|^p - C_{\varepsilon} |t|^r.$$

So, for all $u_-(x) \leq t \leq u_+(x)$ we have

$$G(x, t) \geq -\varepsilon |t|^p - C_{\varepsilon} \max\{\|u_+\|_{\infty}, \|u_-\|_{\infty}\}^{r-p} |t|^p \geq -C |t|^p.$$

Then, for any $\lambda > 0$ we get

$$\tilde{F}_\lambda(x, t) \geq \frac{\lambda}{q}|t|^q - C|t|^p. \tag{4.8}$$

Now, fix $\mu \in (q, p)$. By \mathbf{H}_2 (i) we have

$$\begin{aligned} \mu\tilde{F}_\lambda(x, t) - \tilde{f}_\lambda(x, t)t &\geq -\mu\left[\frac{\lambda}{q}|t|^q + C(|t| + |t|^r)\right] - [\lambda|t|^{q-1} + C(1 + |t|^{r-1})]|t| \\ &\geq -C_\mu(1 + |t|^r), \end{aligned}$$

with $C_\mu > 0$ depending on μ . The latter inequality implies

$$\liminf_{t \rightarrow \infty} \frac{\mu\tilde{F}_\lambda(x, t) - \tilde{f}_\lambda(x, t)t}{t^r} > -\infty, \tag{4.9}$$

uniformly for a.e. $x \in \Omega$. Besides, by \mathbf{H}_2 (iv) (vi) we can find $\delta \in (0, \delta_1]$ s.t. for a.e. $x \in \Omega$ and all $|t| \leq \delta$ we have both

$$|g(x, t)| \leq |t|^{p-1}, \quad G(x, t) \geq 0.$$

We claim that, by taking $\delta > 0$ even smaller if necessary, for a.e. $x \in \Omega$ and all $0 < |t| \leq \delta$ we have

$$\mu\tilde{F}_\lambda(x, t) - \tilde{f}_\lambda(x, t)t > 0. \tag{4.10}$$

Indeed, pick $x \in \Omega$, $t \in (0, \delta]$ and distinguish two cases:

(a) if $t > u_+(x)$, then

$$\begin{aligned} \tilde{F}_\lambda(x, t) &= \int_0^{u_+(x)} [\lambda\tau^{q-1} + g(x, \tau)] \, d\tau + \int_{u_+(x)}^t [\lambda u_+(x)^{q-1} + f(x, u_+(x))] \, d\tau \\ &= \frac{\lambda}{q} u_+(x)^q + G(x, u_+(x)) + [\lambda u_+(x)^{q-1} + g(x, u_+(x))](t - u_+(x)); \end{aligned}$$

(b) if $0 < t \leq u_+(x)$, then simply

$$\tilde{F}_\lambda(x, t) = \frac{\lambda}{q} t^q + G(x, t).$$

In any case, we have

$$\begin{aligned} \mu\tilde{F}_\lambda(x, t) - \tilde{f}_\lambda(x, t)t &= \mu\left[\frac{\lambda}{q}\kappa(x, t)^q + G(x, \kappa(x, t))\right] \\ &\quad + [\lambda\kappa(x, t)^{q-1} + g(x, \kappa(x, t))][(t - u_+(x))^+ - t] \\ &\geq \frac{\mu\lambda}{q}\kappa(x, t)^q - [\lambda\kappa(x, t)^{q-1} + g(x, \kappa(x, t))]\kappa(x, t) \\ &= \left(\frac{\mu}{q} - 1\right)\lambda\kappa(x, t)^q - g(x, \kappa(x, t))\kappa(x, t) \\ &\geq C_1\kappa(x, t)^q - C_2\kappa(x, t), \end{aligned}$$

with $C_1, C_2 > 0$ (recall that $\mu > q$). Here we have used the equality

$$(t - u_+(x))^+ - t = -\kappa(x, t),$$

holding for all $t > 0$, along with $G(x, t) \geq 0$ and the relations in (a), (b). Since $p > q$ and $\kappa(x, t) \leq t$, for all $t > 0$ small enough we deduce

$$\mu\tilde{F}_\lambda(x, t) - \tilde{f}_\lambda(x, t)t > 0.$$

Similarly, we deal with $t \in [-\delta, 0)$, thus proving (4.10). Combining (4.9) and (4.10), we find $C > 0$ s.t. for a.e. $x \in \Omega$ and all $t \in \mathbb{R}$

$$\mu \tilde{F}_\lambda(x, t) - \tilde{f}_\lambda(x, t)t > -C|t|^r. \tag{4.11}$$

Armed with the estimates above, we can describe the behavior of $\tilde{\Phi}_\lambda$ near 0. First, fix $\rho > 0$ s.t.

$$K(\tilde{\Phi}_\lambda) \cap \overline{B}_\rho(0) = \{0\}.$$

For any $v \in W_0^{s,p}(\Omega) \setminus \{0\}$ s.t. $\tilde{\Phi}_\lambda(v) = 0$, the mapping $\tau \mapsto \tilde{\Phi}_\lambda(\tau v)$ is C^1 in $(0, \infty)$ and, by the chain rule, we have

$$\begin{aligned} \frac{d}{d\tau} \tilde{\Phi}_\lambda(\tau v) \Big|_{\tau=1} &= \langle \tilde{\Phi}'_\lambda(v), v \rangle - \mu \tilde{\Phi}_\lambda(v) \\ &= \left(1 - \frac{\mu}{p}\right) \|v\|^p + \int_\Omega [\mu \tilde{F}_\lambda(x, v) - \tilde{f}_\lambda(x, v)v] \, dx \\ &\geq \left(1 - \frac{\mu}{p}\right) \|v\|^p - C\|v\|^r, \end{aligned}$$

where we have used (4.11). Since $\mu < p < r$, the latter is positive whenever $\|v\| > 0$ is small enough. So, taking $\rho > 0$ even smaller if necessary, for all $v \in \overline{B}_\rho(0) \setminus \{0\}$ s.t. $\tilde{\Phi}_\lambda(v) = 0$ we have

$$\frac{d}{d\tau} \tilde{\Phi}_\lambda(\tau v) \Big|_{\tau=1} > 0. \tag{4.12}$$

Now consider $u \in \overline{B}_\rho(0) \cap C_s^0(\overline{\Omega}) \setminus \{0\}$. Since $u_\pm \in \pm \text{int}(C_s^0(\overline{\Omega})_+)$, for all $\tau > 0$ small enough we have $u_\pm - \tau u \in \pm \text{int}(C_s^0(\overline{\Omega})_+)$, in particular $u_- < \tau u < u_+$ in Ω . So, by (4.8) we have

$$\begin{aligned} \tilde{\Phi}_\lambda(\tau u) &\leq \frac{\tau^p}{p} \|u\|^p - \int_\Omega \left[\frac{\lambda}{q} |\tau u|^q - C|\tau u|^p \right] \, dx \\ &= \left[\frac{\|u\|^p}{p} + C\|u\|^p \right] \tau^p - \frac{\lambda}{q} \|u\|_q^q \tau^q, \end{aligned}$$

and the latter is negative for all $\tau > 0$ small enough (depending on u). The same holds for all $u \in \overline{B}_\rho(0) \setminus \{0\}$ by density (see [14, Theorem 6]), so we may set

$$\tau^*(u) = \inf \{ \tau > 0 : \tilde{\Phi}_\lambda(\tau u) > 0 \} > 0. \tag{4.13}$$

Define the closed set

$$D = \{ u \in \overline{B}_\rho(0) : \tilde{\Phi}_\lambda(u) \leq 0 \},$$

which is nonempty due to (4.13). We claim that D is contractible (see [31, Definition 6.22]). First we prove that, for all $u \in D \setminus \{0\}$ and all $\tau \in [0, 1]$, we have $\tau u \in D$. Arguing by contradiction, let $u \in D$, $\tau_0 \in (0, 1)$ s.t.

$$\tilde{\Phi}_\lambda(\tau_0 u) > 0.$$

Since $\tilde{\Phi}_\lambda(u) \leq 0$, by the mean value theorem we can find $\tau_1 \in (\tau_0, 1]$ s.t. $\tilde{\Phi}_\lambda(\tau_1 u) = 0$. Set

$$\tau_2 = \min \{ \tau \in (\tau_0, 1] : \tilde{\Phi}_\lambda(\tau u) = 0 \}.$$

Then $\tau_2 > \tau_0$ and $\tilde{\Phi}_\lambda(\tau u) > 0$ for all $\tau \in [\tau_0, \tau_2)$, which by monotonicity implies

$$\frac{d}{d\tau} \tilde{\Phi}_\lambda(\tau u) \Big|_{\tau=\tau_2} \leq 0.$$

Besides, by (4.12) with $v = \tau_2 u \in \overline{B}_\rho(0) \setminus \{0\}$ and the chain rule we have

$$\frac{d}{d\tau} \tilde{\Phi}_\lambda(\tau u) \Big|_{\tau=\tau_2} = \frac{1}{\tau_2} \frac{d}{d\tau} \tilde{\Phi}_\lambda(\tau v) \Big|_{\tau=1} > 0,$$

a contradiction. So D is star-shaped, hence contractible by [31, Remark 6.23]. Now set

$$D_0 = \{u \in \overline{B}_\rho(0) \setminus \{0\} : \tilde{\Phi}_\lambda(u) \leq 0\}, \quad E_0 = \{u \in \overline{B}_\rho(0) \setminus \{0\} : \tilde{\Phi}_\lambda(u) > 0\},$$

so that $D_0 \cup E_0 = \overline{B}_\rho(0) \setminus \{0\}$. We prove now that D_0 is contractible. Indeed, for all $u \in E_0$, by (4.13) there exists $\tau(u) \in (0, 1)$ s.t.

$$\tilde{\Phi}_\lambda(\tau(u)u) = 0.$$

By (4.12) and the implicit function theorem, $\tau(u) \in (0, 1)$ is unique and the map $\tau : E_0 \rightarrow (0, 1)$ is continuous. So, set for all $u \in \overline{B}_\rho(0) \setminus \{0\}$

$$j(u) = \begin{cases} u & \text{if } u \in D_0 \\ \tau(u)u & \text{if } u \in E_0. \end{cases}$$

The map $j : (\overline{B}_\rho(0) \setminus \{0\}) \rightarrow D_0$ is continuous. Indeed, avoiding trivial cases, let (u_n) be a sequence in E_0 s.t. $u_n \rightarrow u$ in $W_0^{s,p}(\Omega)$, for some $u \in D_0$. Then we have $\tilde{\Phi}_\lambda(u) = 0$, hence by uniqueness $\tau(u_n) \rightarrow 1$, which in turn implies

$$\lim_n j(u_n) = u = j(u).$$

Recalling that $j(u) = u$ for all $u \in D_0$, we conclude that j is a retraction of $\overline{B}_\rho(0) \setminus \{0\}$ onto D_0 . Since $W_0^{s,p}(\Omega)$ is infinite-dimensional, then $\overline{B}_\rho(0) \setminus \{0\}$ is contractible, hence D_0 is contractible as well. Finally, by the excision property of critical groups and [31, Propositions 6.24, 6.25], we have for all $k \in \mathbb{N}$

$$C_k(\tilde{\Phi}_\lambda, 0) = H_k(D, D_0) = H_k(D, \star) = 0,$$

which proves the assertion. □

We can finally prove our multiplicity result:

Theorem 4.6. *Let \mathbf{H}_2 hold. Then, there exists $\tilde{\lambda} > 0$ s.t. for all $\lambda \in (0, \tilde{\lambda})$ problem (1.1) has at least four nontrivial solutions: $u_+, v_+ \in \text{int}(C_s^0(\overline{\Omega})_+)$, $u_- \in -\text{int}(C_s^0(\overline{\Omega})_+)$, and $\tilde{u} \in C_s^0(\overline{\Omega})$ nodal.*

Proof. Once again we remark that hypotheses \mathbf{H}_2 imply \mathbf{H}_1 , so let $\lambda^*, \lambda_* > 0$ be defined by Theorem 3.5 and Lemma 4.2, respectively, and $\tilde{\lambda} > 0$ by (4.5). As above, we assume that $u_\pm \in \pm \text{int}(C_s^0(\overline{\Omega})_+)$ are the extremal constant sign solutions of (1.1) and $v_+ \geq u_+$ in Ω , and accordingly define $\tilde{\Phi}_\lambda \in C^1(W_0^{s,p}(\Omega))$. Finally, without loss of generality we assume that $K(\tilde{\Phi}_\lambda)$ is a finite set.

First we prove that u_+ is a local minimizer of $\tilde{\Phi}_\lambda$. Indeed, set for all $(x, t) \in \Omega \times \mathbb{R}$

$$\tilde{f}_\lambda^+(x, t) = \tilde{f}_\lambda(x, t^+), \quad \tilde{F}_\lambda^+(x, t) = \int_0^t \tilde{f}_\lambda^+(x, \tau) d\tau,$$

and for all $u \in W_0^{s,p}(\Omega)$

$$\tilde{\Phi}_\lambda^+(u) = \frac{\|u\|^p}{p} - \int_\Omega \tilde{F}_\lambda^+(x, u) dx.$$

Arguing as in Lemma 4.4 we see that $\tilde{\Phi}_\lambda^+ \in C^1(W_0^{s,p}(\Omega))$ is coercive, satisfies (PS), and whenever $u \in K(\tilde{\Phi}_\lambda^+)$ we have that $u \in C_s^0(\bar{\Omega})$ solves (1.1) and $0 \leq u \leq u_+$ in Ω . So, there exists $\tilde{u}_+ \in W_0^{s,p}(\Omega)$ s.t.

$$\tilde{\Phi}_\lambda^+(\tilde{u}_+) = \inf_{u \in W_0^{s,p}(\Omega)} \tilde{\Phi}_\lambda^+(u) = \tilde{m}_+.$$

Using \mathbf{H}_2 (iv) as in Lemma 3.3 (precisely, see (3.8)) we see that $\tilde{m}_+ < 0$, hence $\tilde{u}_+ \neq 0$. Once again, Propositions 2.4, 2.5 imply that $\tilde{u}_+ \in \text{int}(C_s^0(\bar{\Omega})_+)$. So, \tilde{u}_+ turns out to be a positive solution of (1.1) s.t. $\tilde{u}_+ \leq u_+$ in Ω , which by extremality implies $\tilde{u}_+ = u_+$. Then, for all $u \in W_0^{s,p}(\Omega) \cap \text{int}(C_s^0(\bar{\Omega})_+)$ we have

$$\tilde{\Phi}_\lambda(u) = \tilde{\Phi}_\lambda^+(u) \geq \tilde{\Phi}_\lambda^+(u_+) = \tilde{\Phi}_\lambda(u_+),$$

in particular u_+ is a $C_s^0(\bar{\Omega})$ -local minimizer of $\tilde{\Phi}_\lambda$. By Proposition 2.6, u_+ is also a $W_0^{s,p}(\Omega)$ -local minimizer of $\tilde{\Phi}_\lambda$, as claimed.

Similarly, we see that $u_- \in -\text{int}(C_s^0(\bar{\Omega})_+)$ is a local minimizer of $\tilde{\Phi}_\lambda$.

Recalling that $K(\tilde{\Phi}_\lambda)$ is finite, by a topological version of the mountain pass theorem (see [31, Theorem 6.99, Proposition 6.100]) we deduce the existence of $\tilde{u} \in K(\tilde{\Phi}_\lambda)$ s.t. $\tilde{u} \neq u_\pm$ and

$$C_1(\tilde{\Phi}_\lambda, \tilde{u}) \neq 0. \tag{4.14}$$

Comparing (4.14) with Lemma 4.5, we see that $\tilde{u} \neq 0$. Besides, by Lemma 4.4 $\tilde{u} \in C_s^0(\bar{\Omega}) \setminus \{0\}$ solves (1.1) and $u_- \leq \tilde{u} \leq u_+$ in Ω . Then, \tilde{u} must change sign in Ω . Indeed, assuming by contradiction that $\tilde{u} \geq 0$, then by Proposition 2.5 we would have $\tilde{u} \in \text{int}(C_s^0(\bar{\Omega})_+)$ with $\tilde{u} \leq u_+$ and $\tilde{u} \neq u_+$, a contradiction to Lemma 4.2. Similarly, if $\tilde{u} \leq 0$ in Ω , we reach a contradiction.

Thus, we have proved the existence of four solutions of (1.1) (beside 0): $u_+, v_+ \in \text{int}(C_s^0(\bar{\Omega})_+)$, $u_- \in -\text{int}(C_s^0(\bar{\Omega})_+)$, and $\tilde{u} \in C_s^0(\bar{\Omega})$ nodal. \square

Remark 4.7. Again we recall some alternative assumptions to \mathbf{H}_2 , under which existence of a nodal solution can be achieved. For instance, arguing as in [30] one could require a linear behavior of $g(x, t)$ as $t \rightarrow 0^-$, together with a global sign condition. As in [19], one could assume a quasi-critical growth with a quasi-monotonicity condition on $f_\lambda(x, \cdot)$ (see Remark 3.6). Finally, as in [15], one can assume a different condition of the type

$$\liminf_{t \rightarrow 0} \frac{f_\lambda(x, t)}{|t|^{p-2}t} \geq \lambda_2$$

uniformly for a.e. $x \in \Omega$, where $\lambda_2 > \lambda_1$ denotes the second variational eigenvalue of $(-\Delta)_p^s$ in $W_0^{s,p}(\Omega)$ (this argument is based on a variational characterization of λ_2 proved in [2]).

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