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<b>Abstract:</b>	The end of life (EoL) of plastic polymers depends on when they stop being considered a resource and begin to be considered a waste. Even with dynamic management, plastic pollution will increase in the coming decades. Reduction strategies focus on reducing the quantities of materials used in the construction of individual objects for packaging, support for reuse and recycling, incentives for gathering low-value plastics, awareness, and simplification. The agricultural sector, a sector in which the use of plastic is (apparently) not high, needs to combine environmental, social, and above all economic aspects, which can help entrepreneurs in the sector to optimize the recycling process.
<b>Author Comments:</b>	

# Plastic end-of-life alternatives, with a focus on the agricultural sector

Antonino Galati, Riccardo Scalenghe

**Abstract.** The end of life (EoL) of plastic polymers depends on when they stop being considered a resource and begin to be considered a waste. Even with dynamic management, plastic pollution will increase in the coming decades. Reduction strategies focus on reducing the quantities of materials used in the construction of individual objects for packaging, support for reuse and recycling, incentives for gathering low-value plastics, awareness, and simplification. The agricultural sector, a sector in which the use of plastic is (apparently) not high, needs to combine environmental, social, and above all economic aspects, which can help entrepreneurs in the sector to optimize the recycling process.

**Abbreviations** APW (agricultural plastic waste); 3E (energy, economy, environmental); EPR (Extended Producers Responsibility); EPS (expanded polystyrene); EVA (ethylene-vinyl acetate copolymer); HDPE (high-density polyethylene); LDPE (low-density polyethylene); PC (polycarbonate); PET (polyethylene terephthalate); PLA (polylactic acid); PMMA (poly-methyl-methacrylate); PP (polypropylene); PS (polystyrene); PVC (poly-vinyl chloride); PU (polyurethane); 3R (reuse, reduce, recycle); REACH (registration, evaluation, authorization and restriction of chemicals); SDGs (Sustainable Development Goals); TOC (theory of constraints)

## Introduction

End of life (EoL) consequences depend on when and how a plastic object stops being considered as a resource and begins to be considered as waste. When an object finishes playing the role for which it was designed, the best EoL option is its reuse. The recycling option is less worthy but still effective. Composting (in the case of so-called bio-based

27 polymers only) and transformation into energy follow [1]. If the widespread, although  
28 proscribed, practice of disposal straight into the total environment did not exist, burial into  
29 landfill would be considered the least suitable option [2], while upcycling processes, which  
30 transform the waste into valued goods, are considered the best [3]. An example is converting  
31 polyethylene (PE) into long-chain alkyl-aromatics, which are then sulfonated to make  
32 biodegradable surfactants [4].

33 Our review contributes to enriching research in the field of plastics management by  
34 aggregating current knowledge on plastic disposal and management (Figure 1) from the  
35 economic and environmental points of view, with a focus on the agricultural sector. This  
36 sector is not a key contributor to the production of plastic waste, but in some production  
37 segments it is necessary to find alternative solutions to respond to a growing demand for  
38 sustainability.

39

## 40 **Sorting of polymers**

41 Plastic polymers have extremely different product characteristics and market values; for  
42 instance polyvinyl chloride (PVC) has a market value per tonne which is half that of  
43 polyurethane (PU) (source: Plastic Information Europe). These reasons make separation  
44 crucial for recycling, although it is sometimes uneconomical to separate the different  
45 polymers. So, immiscible polymer blends and polymer blending are a fast growing sector of  
46 polymer engineering to produce innovative constituents. However, immiscibility may lead to  
47 poor properties, both physical and mechanical, including interfacial bonding. Therefore,  
48 research efforts have focused on compatibilizer to modify the interfacial bonding and  
49 mechanical properties. To improve compatibility, compatibilizers are intended to improve the  
50 interfacial activity by reinforcing the interface [e.g., 5].

51

## 52 **Lessons learnt from a more ancient, non-crystalline transparent** 53 **amorphous solid: glass**

54 Glass is one of the oldest synthetic materials, dating back to the third millennium BC, while  
55 plastic is one of the newest, appearing only in the last century. In Europe, the REACH<sup>1</sup>  
56 (registration, evaluation, authorization, and restriction of chemicals) regulation (EC  
57 1907/2006) considers glass a substance of unknown or variable composition, complex  
58 reaction products, or biological materials, while most plastic polymers are considered as  
59 substances of very high concern. Glass and plastic(s) have some properties in common as  
60 both are made by cooling a liquid, presenting a reversible transition phenomenon that allows  
61 them to be recycled. However, although glass can be recycled virtually infinitely', this  
62 opportunity is strongly influenced by the collection of the material after it has been used.  
63 Plastic is often down-cycled when the final quality of the recyclable material is compromised,  
64 and this happens frequently due to the multitude of polymers used and their immiscibility.  
65 The final fate of plastics may be energy production, which is not the case with glass. In both  
66 cases, 3R (reuse, reduce, recycle)<sup>2</sup> is the best management strategy for EoL products [6  
67 (•)]. In the case of glass, reuse would be applicable to some beverage containers at the level  
68 of local distribution networks; the technical problems here are the sanitization of the material  
69 used as well as the resistance of the object over time. The reduce strategy, which means  
70 limiting the quantity of material in final objects, shows the same technicalities as are applied  
71 to similar plastic objects made of different polymers. Recycling means open-loop processes  
72 (*i.e.*, product recycling when an EoL material is considered an additive matter to be  
73 transformed before reuse) or closed-loop recycling (*i.e.*, material recycling when an EoL

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<sup>1</sup> REACH makes the industry accountable for evaluating and managing the risks posed by chemicals and for providing suitable safety information to their users. It is the European Union's controlling structure on chemicals and their non-dangerous use.

<sup>2</sup> 3R is the best strategy for the management of EoL products: reducing the quantity of EoL products, reusing parts of products or whole products that would otherwise become waste, and recycling, while only EoL products that do not fit into the 3R scheme become ultimate waste.

74 material becomes a secondary raw material). In the case of plastics, an open-loop process  
75 may end in road building [7 (•)] or building materials [8], but compatibilization<sup>3</sup> is the key to  
76 the success of plastics recycling [9].

77 In the case of glass, the main complication depends on the presence of ceramics in EoL  
78 products (e.g., small pieces cause imperfections in final products, while bigger pieces, > 5  
79 mm, lead to severe instabilities in the gob formation process) and the proportion of different  
80 colours in the cullet. So, sorting is mandatory to separate according to colour: brown glass  
81 can only be recycled into brown containers, and green glass can only be used to make green  
82 bottles [6 (•)]. Plastic materials are distinguished into many more classes so that they can  
83 be recycled, but it is essential to reprocess them separately [10]. With regard to colours, in  
84 the case of plastics there is the important theme of black plastics [11 (••)], which are  
85 substantially non-recyclable and potentially toxic.

86

## 87 **Extended Producer Responsibility**

88 Thirty years ago, Thomas Lindhqvist introduced the concept of extended producer  
89 responsibility (EPR), a policy approach under which producers are given a financial and/or  
90 physical responsibility for the treatment or disposal of post-consumer products through  
91 reuse or buy-back within a recycling programme; the producer may choose to delegate this  
92 responsibility to a third party who is remunerated for used-product control, a producer  
93 responsibility organization EU member states and Canadian provinces have familiarized  
94 EPR [12], ranging from mandatory principles to voluntary agreements between  
95 administration and production to deliberate industry initiatives. A good example is the  
96 National Consortium for the Management, Collection and Treatment of Used Mineral Oils  
97 (CONOU) conceived to carry out separate collection of used lubricating oil. In the case of

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<sup>3</sup> Compatibilization is when a substance is added to an immiscible polymer blend to increase the stability of the system.

98 plastics, a target objective is that all packaging placed on the EU market is either reusable  
99 or could be recycled in a cost-effective way by 2030 [13], where EPR schemes may sustain  
100 finance action to curb littering [14]. Challenges connected to the production can be turned  
101 into opportunities [13, 15] by simultaneously increasing the source-segregated collection  
102 rate and the recyclability of all products. However, recyclers are the most fragile part of the  
103 system as they have to deal with market variation and fixed operational costs, so, even with  
104 a high collection rate, recycling of certain polymers would not be economically profitable  
105 [16].

106

## 107 **Plastics in the agricultural sector**

108 The plastic conversion demand for the agricultural sector in 2018 was 3.4% (*source:*  
109 *PlasticsEurope*), but plastic materials are crucial constituents of the reduced-input systems,  
110 facilitating the reduction of the use of several resources, helping to increase crop production  
111 and food quality, allowing for vegetables and fruits to be grown whatever the season.  
112 An important initial clarification, APE Europe, the professional representative body of  
113 plastics for agriculture in Europe, states that agri-plastics can only be considered as non-  
114 packaging plastics invoking specific Extended Producer Responsibility framework in EoL  
115 management [17]. That said, a wide range of plastics tools are used (Figure 2), including  
116 bags (fertilizers, seeds, feed), containers (agrochemicals), pipes (drainage and irrigation),  
117 films, nets, mesh, strings, ropes, and trays. This multitude of production tools leads to the  
118 coexistence of many polymers, including polyolefins [PE, polypropylene (PP), ethylene-  
119 vinyl-acetate copolymer (EVA)] and PVC, polycarbonate (PC) and poly-methyl-methacrylate  
120 (PMMA). Polymers are accumulated in different quantities and have extremely different  
121 levels of 'reusability' or 'recyclability'. To give an example from a niche crop, for every  
122 kilogram of strawberries, 86 g of plastic polymers are used [18]: 4.2 tonnes of polyethylene  
123 terephthalate (PET) per farm per year, 0.6 of PP, 50 kg of polystyrene (PS), 50 of high-

124 density polyethylene (HDPE), 10 of low-density polyethylene (LDPE), and 20 of LDPE+EVA.  
125 Given their low bulk density, they occupy impressive volumes, for instance expanded  
126 polystyrene (EPS), which is essential for plant containers, is accumulated to an extent of  
127 two cubic metres for each kilogram of strawberries grown [18].

128 Plastic films are among the most important materials: in mulching, they directly affect the  
129 yield, earliness of cropping, product quality, weed and microclimatic control, and  
130 solarization. Their use is so massive that methods for mapping agricultural plastic waste  
131 (APW) have been developed using remote sensing [19]. EoL film collection is problematic  
132 and substantial parts stay in the fields, generating fragmentation [20, 21] (an effective  
133 graphical abstract [20] summarizes options and total impacts), which leads to the popular,  
134 and feared, microplastics, of which we talk a lot but know, actually, not much, starting with  
135 their classification (some authors suggest an upper limit of 5 mm [22] (•)). When a mulched  
136 crop is harvested and the field is ploughed, small fragments could be mixed in with the soil.  
137 Solutions to deal with plastic debris may envisage alternative approaches, from  
138 biodegradable to thicker and more resistant (and more expensive) mulches. A mulch is  
139 considered biodegradable when it has a biodegradation threshold of 90% within two years  
140 (standard EN-17033), but an individual crop lasts less than six months in the field. Longer-  
141 lasting films affect both the final cost of the product and their management (subsequent  
142 removal and reinstallation), especially in terms of the quality of the work and effects on the  
143 well-being of workers (e.g., EoL films accumulate molecules spread over the crop cycle)  
144 (Figure 3). A single solution probably does not exist, as there is always at least one  
145 constraint. Perhaps, for each specific situation, it would be appropriate to apply a  
146 management philosophy, the theory of constraints (TOC)<sup>4</sup> [23].

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<sup>4</sup> TOC interprets systems as being limited in reaching their objectives by a very small number of constraints and uses a focusing progression to recognize the constraint, reorganizing the rest of the system around it.

147 APWs are resources more than problems; examples span from the production of  
148 microgreens,<sup>5</sup> where recycled polyester, polyurethane (PU), or PET becomes a substrate  
149 [24] to recycled materials with anti-erosion functions, for example, sands reinforced with  
150 PET or PP fibres, to the consolidation of transit areas, including asphalt [7, 25]. In all cases,  
151 the technological 'keystone' is compatibilization [5].

152 Alternatively, new materials have been developed in recent years to address the  
153 unsustainability of both traditional plastic materials and some recycling processes adopted.  
154 Among these, biodegradable or oxo-degradable plastics, that at the end of their life can be  
155 buried in the soil or alternatively composted on farm, allowing to overcome environmental  
156 and disposal problems [26]. Comparing these two alternatives, Sintin and colleagues [27]  
157 find that the degradation process is faster in the form of compost than through burial. The  
158 use of biodegradable plastics does not only produce an environmental but also an economic  
159 benefit. Velandia and colleagues [28] comparing the use of PE and BP film in Tennessee  
160 Pumpkin production, find that the use of bio-degradable films, in the face of a higher price,  
161 entails a sensitive improvement in the profit due to a reduction of the labour cost associated  
162 with the PE mulch removal and disposal. The price of biodegradable films and the cost of  
163 labour are two important discriminants in the transition process from the use of PE and those  
164 of biodegradable plastics. A recent study on the farmers willingness to pay for biodegradable  
165 films in relation to the market price shows that farmers' willingness to pay decreases as the  
166 market price increases [29].

167 The accumulation of plastic from agricultural activities raises concerns for soil health, macro-  
168 and micro- plastic residues have negative effects on both above-ground and below-ground  
169 parts of crops affecting both vegetative and reproductive growth [30-32]. In particular, Gao  
170 et al. [31] observed significant yield decrease starting from 240 kg ha<sup>-1</sup> of plastic residues.

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<sup>5</sup> Microgreens are visual and flavour ingredients used to enhance the appeal of fine-dining dishes



171 A Focus Group of the European Commission on “How to reduce the plastic footprint in  
172 agriculture?” [33], recognising the main and most urgent needs, proposes the identification  
173 of the main use and properties of plastics in farming activities, including the identification of  
174 the indirect sources [35]. Plastic waste management is an additional cost that has to be  
175 covered: the EoL plastics collection and storage is a supplementary effort for farmers.  
176 However, an inappropriate collection and bad storage lead to contamination of the total  
177 environment [33]. Plastic polymers must be robust enough to be simply collected without  
178 producing debris: an effective removal and collection of EoL plastics is critical to enable  
179 appropriate management [34].

180

181

## 182 **Economics of EoL alternatives**

183 In sectors where there is intensive use of plastic material, there is a need to identify  
184 sustainable solutions to reduce the impacts of plastics based on a circular economy [25].  
185 Two issues still remain open and at the same time little explored, linked, on the one hand,  
186 to the economic and environmental feasibility of the proposed solutions and, on the other,  
187 to the acceptability of these alternatives among farmers in relation to their willingness to  
188 adopt behaviours that are more responsible.

189 In many regions, the APW disposal options are on-site burning, on-site burial, disposal in  
190 landfills, and illegal dumping [36], which have negative effects due to the release of  
191 hazardous substances [37–40]. The adoption of these practices is driven (mainly) by the  
192 difficulty of managing the recycling process, due to the complexity of the materials or the  
193 low quality of the same products and due to the high costs of transport, storage, and  
194 transformation, which do not justify the farmers’ commitment and investments [41, 42]  
195 (Figure 1 and 4). This has led to the development of more sustainable alternatives such as  
196 recycling, which, among the solutions, is the one most in keeping with the circular economy

197 paradigm in which plastic is reused or transformed into products, some with high value-  
198 added [36]. Recycling is not always a viable option, but its affordability depends on the type  
199 of plastic, the degree of contamination, and adequate sorting [37] and additionally it has high  
200 management costs [43]. The Energy, Economy, Environmental (3E) index [40], which was  
201 built based on energy, economic, and environmental parameters associated with different  
202 plastic waste disposal practices, shows that landfilling is the most inefficient system. The  
203 conversion of plastics into tar for construction of roads and into concrete for the construction  
204 of buildings, however, is a highly advantageous solution, since, compared to the alternatives  
205 studied, it generates low emissions and offers high economic and environmental  
206 sustainability benefits. Instead, recycling is an advantageous system from the energy and  
207 environmental points of view but not economically [40]. However, the opportunity to generate  
208 valuable products depends on the recycling processes adopted, whose choice is strictly  
209 related to the characteristics of the recycled material and the costs associated with the  
210 processes [34, 44]. Mechanical recycling is one of the most common solutions for the  
211 disposal of APW, but as Horodytska and colleagues [45] underline, even if, on the one hand,  
212 this process is favoured by the fact that often in agriculture there are high quantities of  
213 homogeneous materials (single polymer waste), on the other hand, the operations of  
214 washing, shedding, drying, and pelletizing and the low quality of the material to be recycled  
215 result in excessive costs that make this process uneconomical. These obstacles are  
216 amplified in the case of plastic mulch, which is often contaminated with agrochemicals that  
217 make it unsuitable for either landfilling, due to the risk of leaching of harmful substances, or  
218 recycling, since its treatment requires a laborious process and high related costs [41, 45]. In  
219 these cases, recycling is an effective alternative only if the contaminants do not represent  
220 more than 5% of the total weight of the mulch [46]. A different solution for plastic recycling  
221 is the adoption of chemical processes based on the decomposition of polymers into  
222 monomers intended for the production of new products and other high-value compounds

223 [44]. The use of pyrolysis for the transformation of APW into PE is financially sustainable,  
224 even if the plant is not used at full capacity [35]. However, in sectors characterized by small  
225 enterprises, it is crucial to create economies of scale [35, 40, 44]. In terms of reuse,  
226 combining four different irrigation levels with diverse recycled plastic materials used for  
227 mulching, coming from hostels and farmers' residences, the most advantageous solution in  
228 terms of the benefit-cost ratio is associated with the use of black plastic mulch, even if in  
229 terms of initial investments the use of wheat flour bag mulch is the best alternative mainly  
230 for small and marginal farms [47].

231

### 232 **Farmers' attitudes towards recycling programmes**

233 Regardless of the alternatives currently available for the recycling of APW, empirical  
234 evidence suggest that farmers' participation in recycling programmes depends, on the one  
235 hand, on the complexity of waste management (and also in relation to the legislation, which  
236 may not make the APW disposal a simple process), and, on the other hand, on their  
237 willingness to adopt virtuous behaviours. Canadian farmers are concerned with the proper  
238 disposal of agricultural plastics and are willing to behave responsibly and to pay to fund  
239 recycling programmes [42]. The choice of US producers of organic strawberries [48],  
240 although bioplastics are not ideal for organic crops, confirms that the use of bioplastics as  
241 an alternative to conventional plastic material depends on the awareness among farmers  
242 about both the negative consequences for the environment and the ethical value of their  
243 choice. The farmers' choice to recycle plastic material depends, as previously emphasized,  
244 on the type and volume of plastic generated. On the one hand, the type of plastic influences  
245 the choice of the alternative to be adopted, taking into account that there are low-value  
246 plastics that are complex to manage and mechanically recycle and are therefore preferably  
247 disposed of in landfills [42]. Similarly, the volume of plastic produced can make one  
248 alternative preferable to the other in relation to the cost of the alternative, which must always

249 guarantee profitability to the company to allow it to remain competitive on the market [49].  
250 Evaluating the propensity of strawberry producers to recycle EoL plastics [18], it turns out  
251 that this virtuous behaviour is more frequent among young farmers with a higher level of  
252 training. If, on the one hand, the costs of managing the disposal process represent one of  
253 the main discriminating factors in the choices of farmers, on the other, national policies  
254 specifically linked to recycling assume a significant importance, given the influence they can  
255 have on the APW management processes [50]. In line with this, it was found that the size  
256 of the company is a determining factor [51]. In fact, while small and medium-sized farms opt  
257 for the payback mechanism, large companies prefer tax credits, although the latter, as  
258 emphasized by the authors, could have distorting effects on the market and on the  
259 environment. A discriminating factor is the type of crop, highlighting that while tax credits are  
260 preferred for crops that require a low use of plastic material, due to the low transaction costs,  
261 the subsidy tool is preferred in sectors that make extensive use of plastic and where more  
262 complex management is required [52].

263 To date, In Europe, a regulation on the management of agricultural plastic waste does not  
264 exist. A general strategy emerged 2015 with the adoption of the "Action Plan for the Circular  
265 Economy" [53], and subsequently with the "European Strategy for Plastics in a Circular  
266 Economy" [54]. With this latest communication, the Commission outlined the EU strategy to  
267 guide the transition to a more circular plastics economy in the near future by providing  
268 specific measures. With reference to agricultural plastics, the Commission suggests to  
269 national authorities and industries to introduce EPR in order to provide incentives for plastic  
270 recycling (Annex II) [55], is the most effective measure that can contribute more to the  
271 achievement of EU objectives also in the agricultural sector. The Commission also proposes  
272 as key measures a better use of taxation or other economic instruments to improve the  
273 quality of recycling. Some recent studies analyzed the acceptability by farmers of some  
274 policy measures that could encourage a more farmers' responsible behavior, including

275 subsidies, tax credit, and pay-back under EPR scheme [52, 56]. The authors find that tax  
276 credit is the most favored tool among farmers, particularly in large farms, probably due to  
277 the complexity and costs associated with the waste management system, while for small  
278 and medium-sized farms pay- back EPR scheme. This finding underlines the importance of  
279 defining adequate and targeted policy tools in relation to the characteristics of the companies  
280 and for an effective implementation of the European strategy.

281

## 282 **Concluding remarks**

283 Even in the best positive scenario and with vigorous management, plastic pollution will  
284 increase in the coming decades [58 (••), 59 (•)]. The reduction strategies are pre-  
285 consumption measures, such as reducing demand (upstream), and post-consumption  
286 measures, such as collection and recycling (downstream). Upstream measures, which  
287 provide for the direct involvement of industries in synergy with public stakeholders, focus in  
288 particular on the issues of reducing the quantities of materials or promoting the use of  
289 alternative materials. A dramatic example is that of bitumen additives; that is, the addition of  
290 specific polymers increases the rheological properties and stability in asphalt binder [60].  
291 These additives are transported in flexible intermediate bulk containers, also known as big  
292 bags. These industrial containers are made of plastic which is not reused (since it is  
293 uneconomical) but not even recycled, as the polymers they are made of are not compatible  
294 with incorporation into asphalt. Their EoL is landfill after only one use. Assessing the  
295 feasibility of substitution with alternative materials, finding an economically practical solution  
296 to efficiently manage flexible plastics will be crucial for disentangling the plastic pollution  
297 issue [58].

298 Rigid plastics dominate recycling due to the relative ease of collection and sorting.  
299 Downstream measures provide for the concrete support for reuse or recycling, provided  
300 directly to the user by incentivizing the gathering of low-value plastics (multilayer plastic,

flexible monomaterial, and multimaterial [58]). In line with this, the EU strategy propose to considers the opportunity related to the introduction of the Extended Producers Responsibility or other policy tools in order to provide incentives to encourage the recycling of plastics in various areas including the agricultural sector. And, maintaining a constant plastic demand over time is critical in order to ease the total need on virgin plastic [61 (•)]. Furthermore, the final consumer must be helped in terms of awareness coupled with simplification [17].

The exemplified agricultural sector shows that there are many factors influencing farmers' choice to participate in recycling programmes, which depend in particular on costs and the availability of alternatives. Knowing the economic feasibility of alternatives is a *conditio sine qua non* to sustain more virtuous behaviours. The reorganization of the plastic value chain, from a circular economy perspective, becomes a priority that requires investments and concerted actions among all the stakeholders in the chain for the creation of vital markets for recycled plastics.

315

#### 316 **Conflict of interest statement**

317 Nothing declared.

318

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*This paper brightens an important issue: the risks that black plastics pose due to both technical and economic constraints. Constraints imposed on the efficient sorting (and separation) of black EoL plastics for recycling. This coupled with the occurrence of harmful additives necessary for black plastic production. This paper suggest as*



*sustainable option the use of lighter (preferably clear) coloured plastic when thermal stress is not a constraining factor.*

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*Sorry for this self-quotation, we have not found other papers that provide this type of results, in particular, the subdivision of plastic waste by type of polymer. This paper highlights the following findings: (i) the total amount of plastic polymers used to produce one single kg of strawberries is 86 grams, (ii) the propensity of farmers geared towards recycling despite management difficulties although technical recyclability of plastic*

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534 *and for year 2040 under the Business as Usual and System Change scenarios. A key*  
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536 *feasibility of sorting and reprocessing, decreasing the economic attractiveness of*  
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551 *This paper evaluates the potential circularity of PET, PE, and PP flows in Europe*  
552 *based on dynamic material flow analysis, considering product lifetimes, demand*  
553 *growth rates, and downcycling (quality reductions of recycled plastic).*

554 **FIGURE CAPTIONS**

555 **Figure 1** This paper describes plastic disposal and management options from the  
556 perspective of both economic and environmental aspects

557 **Figure 2** Plastics in agriculture. Many plastic tools are fundamental in modern agriculture:  
558 bags for fertilizers, seeds, or feed, containers for agrochemicals and products, pipes for  
559 drainage and irrigation, nets, mesh, strings, ropes, trays, and many types of films. Each of  
560 these means of production is made with a specific polymer, for which EoL objects made of  
561 PE, PC, PMMA, PP, PS, and PVC are used.

562 **Figure 3** Of the 17 Sustainable Development Goals (SDGs) of the United Nations, Goal 8  
563 promotes sustained, inclusive, and sustainable economic growth, full and productive  
564 employment, and decent work for all. The theme of the circular economy and the recycling  
565 of materials must not ignore the quality of people's work and life. The unbridled rush of  
566 Western countries to recycle materials, with an eye to the quality of the local environment  
567 (as well as to profit), has led to exacerbation of the situation on a global scale. In 2018,  
568 China, the world's largest importer of EoL plastics, forbade the import of numerous  
569 categories of waste, including plastics. This image is from the movie *Plastic China* [courtesy  
570 of cnex.com.tw]

571 **Figure 4** The 17 interlinked Sustainable Development Goals (A/RES/71/313  
572 E/CN.3/2018/2) are an urgent call for action by all countries in a global partnership towards  
573 a shared blueprint for peace and prosperity for people and the planet, now and into the  
574 future. Objects constructed with plastic polymers, throughout their life cycle, are influenced  
575 by one or more crucial SDGs at each step.



Figure 1

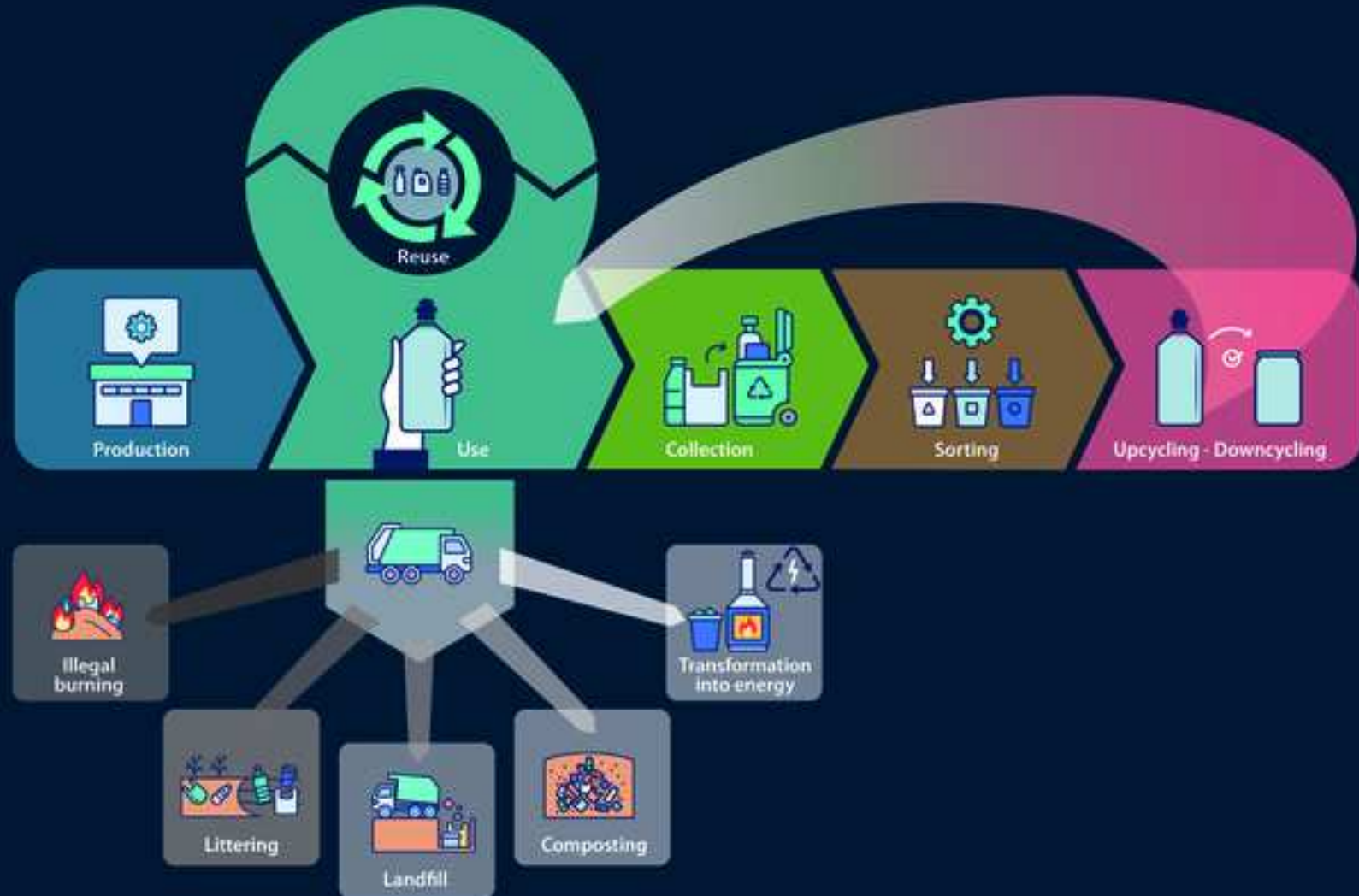


Figure 2

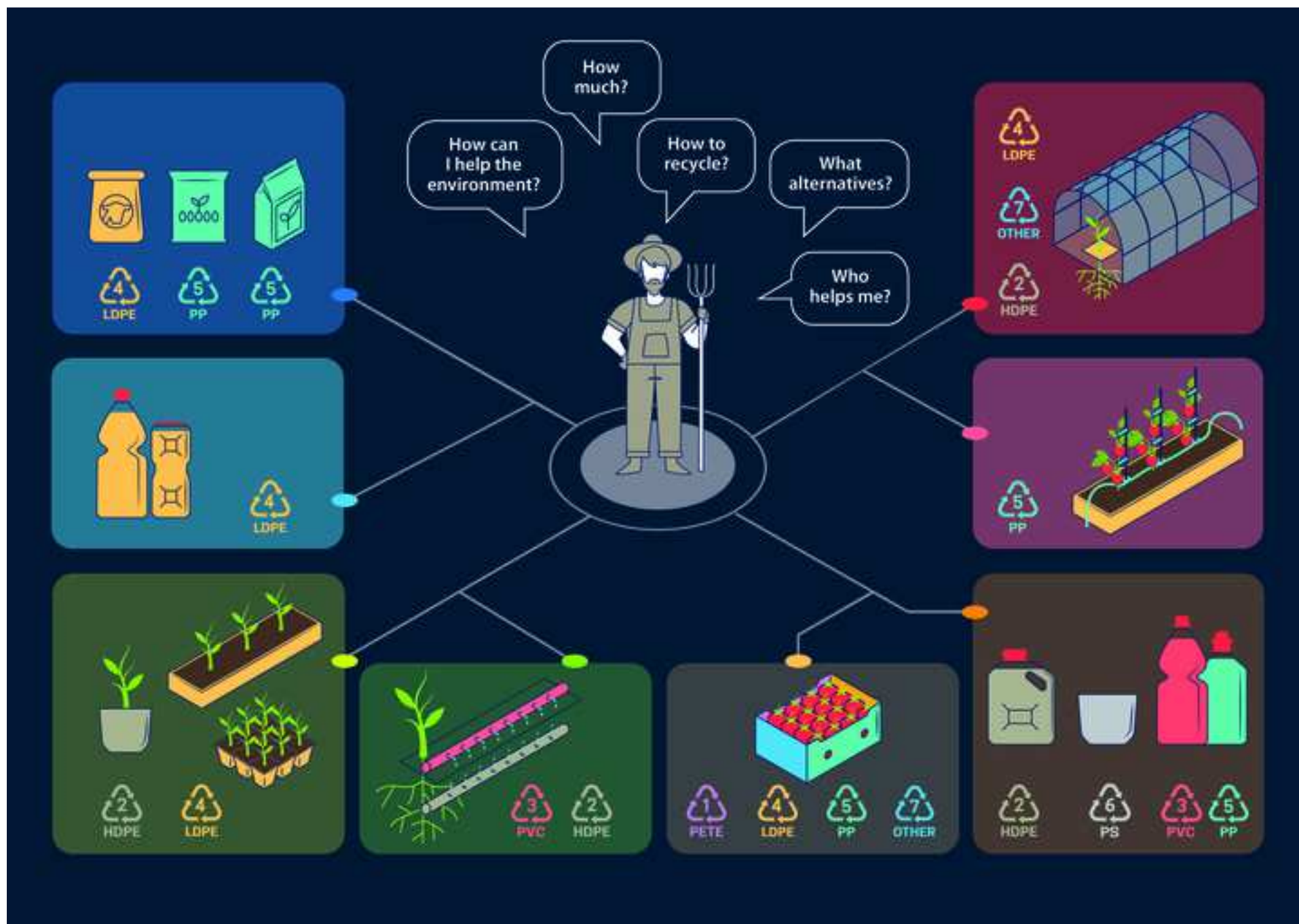




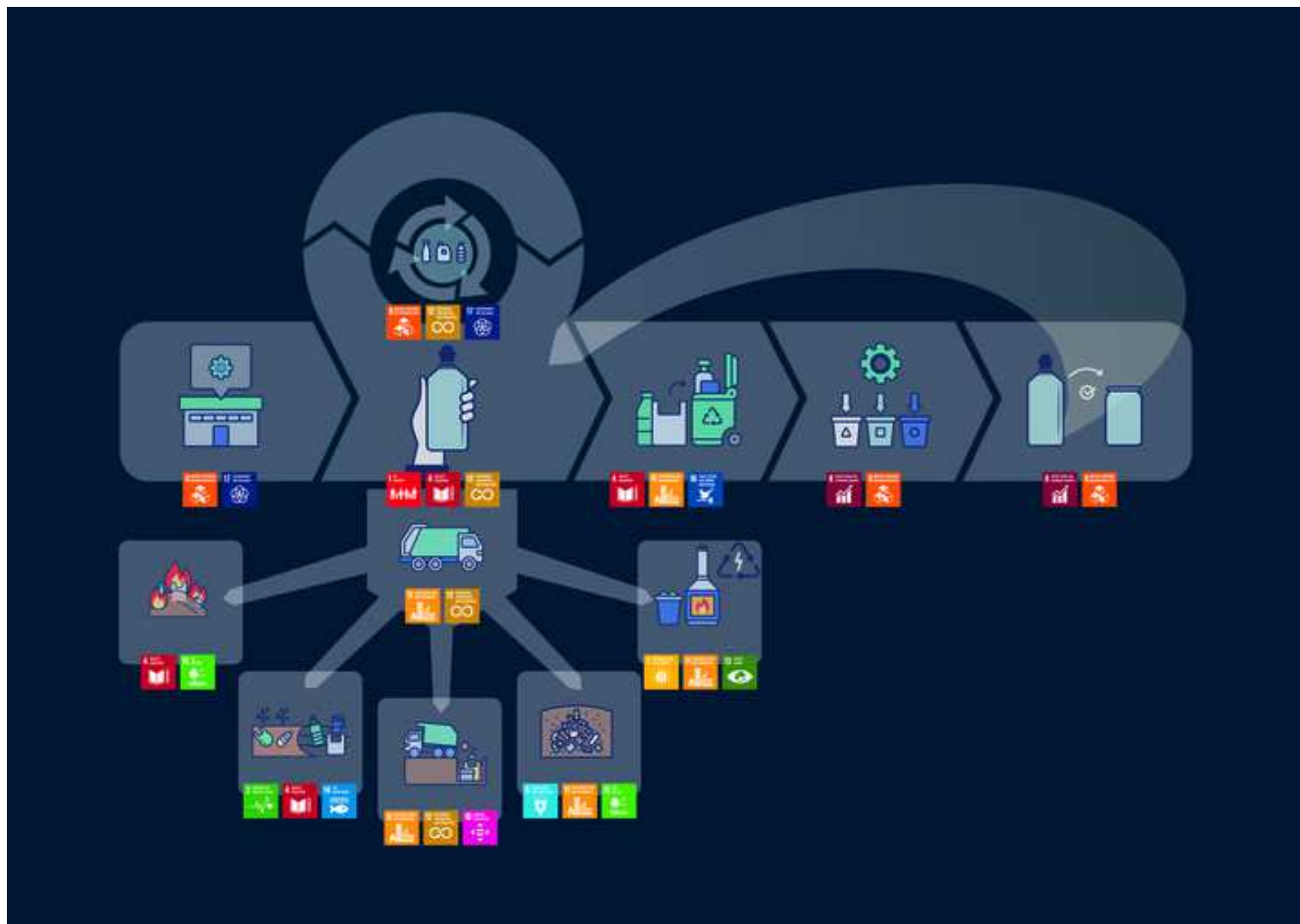
Figure 3

[Click here to access/download;Figure;Figure 3.jpg](#)



Figure 4

[Click here to access/download;Figure;Figure4.tif](#)



**ID COCHE-D-20-00043 " *Plastic end-of-life alternatives, with a focus on the agricultural sector*"**

Dear Professor Cabezas,

Many thanks for your efficient and constructive management of our submitted manuscript.

We are re-submitting it revised taking into careful account all the received comments and suggestions. Below please find our replies to each point raised by the reviewers. Please note that based on one of these comments, we changed a bit the title to make it less competitive with other articles. Finally, some new fundamental references were added.

On an editable source file, we have highlighted all changes made to the original version of our manuscript for the reviewers to verify whether their observations were considered properly.

Following the reviewer's instructions, our revised manuscript currently consists of 3829 words and 61 quotes.

We thank you for substantially appreciating our contribution to your journal.

Antonino Galati and Riccardo Scalenghe

**Reviewer: 1**

***The review paper by Galati and Scalenghe is rather simple. Only one small part of the paper is referring to agricultural plastic waste.***

Response: We received an invitation in which was written: "*Dear Professor Scalenghe, On behalf of Heriberto Cabezas we would like to invite you to contribute a review article to the journal Current Opinion in Chemical Engineering in the Frontiers of Chemical Engineering section. The focus of the proposed issue will be on Plastics in The Environment. This issue will be edited by Heriberto Cabezas, University of Miskolc, Sadhan C. Jana, University of Akron, and Ramani Narayan, Michigan State University. Current Opinion in Chemical Engineering invites experts to develop short review articles which are meant not to review the literature (such reviews can be cited) or necessarily to be broad in scope, but rather to identify promising new areas and to stimulate future work, to be bold and perhaps even controversial. The articles are short, about 2500 words or 5-10 printed pages with figures and no more than 50 references and they focus on the past 2-5 years. The goal of the journal is to inform researchers, professionals, and students of the newest, most important publications on a given topic and to provide the reader with the views/opinions of the expert in each topic. Here is a link to the Guide for Authors for further formatting information. Our proposed topic for your review article would be "Plastic End-of-Life Options" or a related topic for the February 2021 issue. We would need to receive your article by December 31, 2020. We can discuss a deadline extension if necessary. Best Regards, Heriberto Cabezas, Sadhan C. Jana, Ramani Narayan*".

Afterwards, we received a further communication: "*Dear Dr. Scalenghe, Thank you very much for agreeing to prepare a short review article for the above referenced issue of Current Opinion in Chemical Engineering. This is the formal invitation to submit your article to this issue. Accepting this invitation will provide you with access to the journal's submission system, and (very importantly) will mean that your submitted article is linked to the correct issue.*

**NUMBER OF WORDS:** 2500 words: not including abstract, references and figure legends.

**NUMBER OF FIGURES:** Maximum of 4 additional elements (figures, boxes, tables)

**ANNOTATED REFERENCES:** The majority of the references (please aim to cite approximately 50) should come from the period under review (i.e. the past two years) and, in general, at least 10% of these should be selected and annotated as being papers of special interest (\*) or outstanding interest (\*\*). Annotated references **MUST** be from the past two years, and the annotation should provide a

*brief description of the major findings and the importance of the study. This is an essential part of each review and is very popular with our readers. Kind regards, Genevieve Green-Editorial Manager, Current Opinion in Chemical Engineering”.*

To comply with these requests, which indeed are quite clear and stringent, we decided to propose a sectoral example by making the scope initially proposed, Plastic End-of -Life Options, a bit more restricted with an example. This is to follow the instructions for authors, 2500 words and 50 citations. In the original version we have managed to keep the number of citations to 45, so there is still a small margin to integrate with very recent literature. The number of words, in truth, was already in excess of 2500 words and, honestly, we expected a possible request for a reduction of some parts. Reading that our paper is “*is rather simple*” we find it rather offensive as we have spent a lot of time to be able to be updated, but above all respectful of the journal's stringent requests. Furthermore, the statement that “*only one small part of the paper is referring to agricultural plastic waste*” we do not think it is objective, since 32% of the space dedicated to the agricultural sector seemed excessive to us because of the initial request to write a review on the topic "Plastic End-of-Life Options". Reason why we don't know how to interpret these comments.

***1. More literature review is required and should be added in the manuscript.***

Response: As we specified in the previous answer, the space available to add new literature is rather limited but we certainly welcome the invitation with pleasure by adding quotes from several recent key sources.

*A Focus Group of the European Commission on “How to reduce the plastic footprint in agriculture?” (EIP-AGRI Focus Group, 2021), recognising the main and most urgent needs, proposes the identification of the main use and properties of plastics in farming activities, including the identification of the indirect sources (van den Berg et al., 2020).*

- EIP-AGRI Focus Group (2021) Reducing the Plastic Footprint of Agriculture—Final Report. The European Innovation Partnership 'Agricultural Productivity and Sustainability', pp. 1–36. European Commission, Bruxelles, EU
- van den Berg, P., Huerta-Lwanga, E., Corradini, F., Geissen, V. (2020) Sewage sludge application as a vehicle for microplastics in eastern Spanish agricultural soils. Environmental Pollution 261, 114198, DOI: 10.1016/j.envpol.2020.114198

***2. The plastic waste in agriculture sector plays an important role for the plastic waste input into the environment. This part is neglected from the manuscript and should be added.***

Response: The available space is very limited. We accept the reviewer's invitation by adding a few key quotes.

*The accumulation of plastic from agricultural activities raises concerns for soil health, macro- and micro- plastic residues have negative effects on both above-ground and below-ground parts of crops affecting both vegetative and reproductive growth (Chae and An, 2018; Gao et al. 2019; Qi et al. 2018). In particular, Gao et al. (2019) observed significant yield decrease starting from 240 kg ha<sup>-1</sup> of plastic residues.*

*Plastic waste management is an additional cost that has to be covered: the EoL plastics collection and storage is a supplementary effort for farmers. However, an inappropriate collection and bad storage lead to contamination of the total environment (EIP-AGRI Focus Group, 2021). Plastic polymers must be robust enough to be simply collected without producing debris: an effective removal and collection of EoL plastics is critical to enable appropriate management (Picuno et al., 2020).*



- Chae, Y., An, Y.J. (2018) Current research trends on plastic pollution and ecological impacts on the soil ecosystem: A review. *Environmental Pollution* 240, 387–95, DOI: 10.1016/j.envpol.2018.05.008
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**3. The policy measurements are not included as well. The use of new (biodegradable) materials or PE films in agricultural sector should be described in more details.**

Response: We are grateful to the reviewer for your suggestions according to which we improved the manuscript better emphasizing the opportunity offered by alternative and more sustainable materials such as the biodegradable film.

*Alternatively, new materials have been developed in recent years to address the unsustainability of both traditional plastic materials and some recycling processes adopted. Among these, biodegradable or oxo-degradable plastics, that at the end of their life can be buried in the soil or alternatively composted on farm, allowing to overcome environmental and disposal problems (Picuno, 2014). Comparing these two alternatives, Sintin and colleagues (2020) find that the degradation process is faster in the form of compost than through burial. The use of biodegradable plastics does not only produce an environmental but also an economic benefit. Velandia and colleagues (2020a) comparing the use of PE and BP film in Tennessee Pumpkin production, find that the use of bio-degradable films, in the face of a higher price, entails a sensitive improvement in the profit due to a reduction of the labour cost associated with the PE mulch removal and disposal. The price of biodegradable films and the cost of labour are two important discriminants in the transition process from the use of PE and those of biodegradable plastics. A recent study on the farmers willingness to pay for biodegradable films in relation to the market price shows that farmers' willingness to pay decreases as the market price increases (Velandia et al., 2020b).*

- Velandia, M., Galinato, S., Wszelaki, A. (2020) Economic evaluation of biodegradable plastic films in Tennessee pumpkin production. *Agronomy* 10(1), DOI: 51. 10.3390/agronomy10010051
- Velandia, M., DeLong, K.L., Wszelaki, A., Schexnayder, S., Clark, C., Jensen, K. (2020) Use of polyethylene and plastic biodegradable mulches among Tennessee fruit and vegetable growers. *HortTechnology* 30(2), 212–218, DOI: 10.21273/HORTTECH04559-19
- Sintim, H.Y., Bary, A.I., Hayes, D.G., Wadsworth, L.C., Anunciado, M.B., English, M.E., Bandopadhyay, S., Schaeffer, S.M., DeBruyn, J.M., Miles, C.A., P.Reganold, J.P., Flury, M. (2020) In situ degradation of biodegradable plastic mulch films in compost and agricultural soils. *Science of the Total Environment*, 727, 138668, DOI: 10.1016/j.scitotenv.2020.138668
- Picuno, P. (2014) Innovative material and improved technical design for a sustainable

- exploitation of agricultural plastic film. *Polymer-Plastics Technology and Engineering* 53(10), 1000–1011, DOI: 10.1080/03602559.2014.886056

In addition, we briefly introduced the current strategy adopted by the EU in order to bridge the issue of plastic materials in the agricultural sector.

*To date, there is no and European regulation on the management of agricultural plastic waste, rather a general strategy that emerged already in 2015 with the adoption by the European Commission of the "Action Plan for the Circular Economy" (COM(2015)614), and subsequently in 2018 with the Communication COM (2018)28 on "European Strategy for plastics in a circular economy". With this latest communication, the Commission outlined the EU strategy to guide the transition to a more circular plastics economy in the near future by providing specific measures. With reference to agricultural plastics, the Commission suggests to national authorities and industries to introduce Expected Producer Responsibility (EPR) in order to provide incentives for plastic recycling (Annex II) which, as suggested by Filho and colleagues (2019), is the most effective measure that can contribute more to the achievement of EU objectives also in the agricultural sector. The Commission also proposes as key measures a better use of taxation or other economic instruments to improve the quality of recycling. Some recent studies analyzed the acceptability by farmers of some policy measures that could encourage a more farmers' responsible behavior, including subsidies, tax credit, and pay-back under EPR scheme (Picuno and Pazienza, 2020a; Picuno and Pazienza, 2020b). The authors find that tax credit is the most favored tool among farmers, particularly in large farms, probably due to the complexity and costs associated with the waste management system, while for small and medium-sized farms pay-back EPR scheme. This finding underlines the importance of defining adequate and targeted policy tools in relation to the characteristics of the companies and for an effective implementation of the European strategy.*

- European Commission (2015) Closing the Loop - An EU Action Plan for the Circular Economy, COM(2015)614. EC, Brussels, 2.12.2015, EUR-Lex Document 52015DC0614
- European Commission (2018) European Strategy for Plastics in a Circular Economy, COM(2018)28. EC, Brussels, 16.1.2018, EUR-Lex Document 52018DC0028
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- Pazienza, P., De Lucia, C. (2020) For a new plastics economy in agriculture: Policy reflections on the EU strategy from a local perspective. *Journal of Cleaner Production* 253, 119844, DOI: 10.1016/j.jclepro.2019.119844
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**4. *The paper should be focused on plastic materials used in the field, not for packaging fruits or vegetables, because this usually takes place off-site (and it is considered post-consumer plastic waste).***

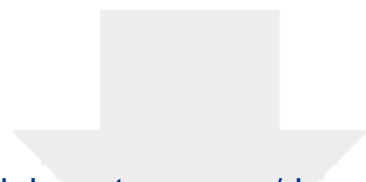
Response: We are grateful to the reviewer for this comment according to which we revised the manuscript by focusing on plastic materials used by farmers in the field. Some example in the text to plastics used in other sectors have been included in order to remark the need to identify effective



strategy to support the transition towards a economy of plastic in line a with the circular economy paradigm. We also added a specific quote on EPR:

*“APE Europe, the professional representative body of plastics for agriculture in Europe, states that agri-plastics can only be considered as non-packaging plastics invoking specific Extended Producer Responsibility framework in EoL management (APE Europe, 2021)”*

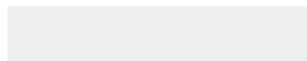
- APE Europe (2021) Agricultural Plastics are Non-Packaging Plastics–Position Paper. APE Europe, Levallois-Perret, France



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### ***Credit Author Statement***

All authors contributed to conceptualization, data curation, formal analysis, methodology, project administration, visualization, writing the original draft as well as review & editing.

**Declaration of interests**

☒ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

☐The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: