



# Deformations of Calabi-Yau manifolds in Fano toric varieties

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## Abstract

In this article, we investigate deformations of a Calabi-Yau manifold  $Z$  in a toric variety  $F$ , possibly not smooth. In particular, we prove that the forgetful morphism from the Hilbert functor  $H_Z^F$  of infinitesimal deformations of  $Z$  in  $F$  to the functor of infinitesimal deformations of  $Z$  is smooth. This implies the smoothness of  $H_Z^F$  at the corresponding point in the Hilbert scheme. Moreover, we give some examples and include some computations on the Hodge numbers of Calabi-Yau manifolds in Fano toric varieties.

**Keywords** Fano toric varieties · Calabi-Yau manifolds · Deformations of subvarieties

**Mathematics Subject Classification** 14M10 · 14M25 · 32G10

## 1 Introduction

In this paper, we focus our attention on Calabi-Yau manifolds, i.e., projective manifolds with trivial canonical bundle and without holomorphic  $p$ -forms. More precisely, if we focus on dimension greater than or equal to three,  $Z$  is a Calabi-Yau manifold of dimension  $n$  if the canonical bundle  $K_Z := \Omega_Z^n$  is trivial and  $H^0(Z, \Omega_Z^p)$  vanishes for  $p$  in between 0 and  $n$ . Since the canonical bundle is trivial,  $Z$  has unobstructed deformations, i.e., the moduli space of deformations of  $Z$  is smooth. This is the famous Bogomolov-Tian-Todorov Theorem [4, 5, 27, 28]. A more algebraic proof of this fact [17, 19, 24] shows that the functor  $\text{Def}_Z$  of infinitesimal deformations of  $Z$  is smooth too. In particular, the dimension of the moduli space at the point corresponding to  $Z$  is the dimension of  $H^1(Z, T_Z)$ , where  $T_Z$  denotes the tangent bundle of  $Z$ . Although we know that the moduli space is smooth, we still miss a geometric understanding of it; for instance, the number of its irreducible components is unknown. A famous conjecture by M. Reid claims that the moduli space of simply connected smooth Calabi-Yau threefolds is connected via conifold transitions [25]. The

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general picture is still unknown but in some cases there has been quite a lot of progress. For example, the moduli spaces of complete intersection Calabi-Yau 3-folds in products of projective spaces are connected with each other by a sequence of conifold transitions (see [29] and references therein).

If  $Z$  is contained in an ambient manifold  $X$ , we can investigate the deformation functor  $H_Z^X$  of deformations of  $Z$  in  $X$  (fixed) and the forgetful functor  $\phi : H_Z^X \rightarrow \text{Def}_Z$ , which associates with an infinitesimal deformation of  $Z$  in  $X$  the isomorphism class of the deformation of  $Z$ . For example, if  $\phi$  is smooth we can conclude that all deformations of  $Z$  lie in  $X$  and, since  $\text{Def}_Z$  is smooth, the functor  $H_Z^X$  is also smooth [23, Proposition 2.2.5].

For every Calabi-Yau manifold  $Z$  of dimension at least 3 in projective space, the embedded deformations of  $Z$  in  $\mathbb{P}^n$  are unobstructed. This follows from the vanishing  $H^1(Z, T_{\mathbb{P}^n|Z}) = 0$  [15, Corollary A.2] that implies that the forgetful morphism  $\phi : H_Z^{\mathbb{P}^n} \rightarrow \text{Def}_Z$  is smooth, i.e., all deformations of  $Z$  as an abstract variety are contained in  $\mathbb{P}^n$ . Note that dimension at least 3 is fundamental, since in dimension 2 the same statement does not hold (see also Remark 2.3). Moreover, since  $\text{Def}_Z$  is smooth, we can conclude that  $H_Z^{\mathbb{P}^n}$  is also smooth. Note that this does not imply that any two Calabi-Yau manifolds of the same dimension in  $\mathbb{P}^n$  are deformation equivalent: for instance, explicit examples of threefolds in  $\mathbb{P}^6$  that are not deformation equivalent are constructed in [2].

The projective space  $\mathbb{P}^n$  is a toric Fano manifold, i.e., a smooth toric variety with ample anticanonical bundle. Therefore, it is natural to investigate whether the previous results for  $\mathbb{P}^n$  can be generalised to any toric Fano variety  $F$ , not simply  $\mathbb{P}^n$  or the smooth ones. The interest in toric Fano varieties is motivated both from the mathematics and the physics viewpoint; indeed these varieties have an essential role in the Minimal Model Program and Mirror Symmetry (see, for instance, [26] for a recent work on the latter topic). In [21], the author investigates deformation theory of toric Fano varieties.

In [3], we investigated Calabi-Yau manifolds that are anticanonical divisors in toric Fano manifolds of dimension greater than or equal to 4. In particular, we proved that the forgetful morphism  $\phi : H_Z^F \rightarrow \text{Def}_Z$  is smooth, i.e., all deformations of  $Z$  as abstract variety are contained in  $F$  [3, Proposition 1].

In this paper, we generalise these results considering as ambient space a projective simplicial toric Fano variety  $F$  and as subvariety a Calabi-Yau manifold  $Z$  embedded in the Zariski open set of regular points of  $F$ . Under this assumption we investigate the forgetful morphism  $\phi : H_Z^F \rightarrow \text{Def}_Z$ . In particular, the following holds (Theorem 3.5).

**Theorem 1.1** *Let  $F$  be a projective simplicial toric Fano variety with  $K_F = -\sum_{\rho \in \Sigma(1)} D_\rho$  its canonical bundle and  $Z \subset F$  a Calabi-Yau submanifold of dimension greater than or equal to 3, embedded in the Zariski open set of regular points of  $F$ . If for all  $\rho \in \Sigma(1)$  we have*

$$H^1(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) = 0,$$

*then, the forgetful morphism  $\phi : H_Z^F \rightarrow \text{Def}_Z$  is smooth.*

In particular, if  $Z$  is a Calabi-Yau manifold, of dimension greater than or equal to 3, which is a complete intersection of very ample divisors, then the previous theorem applies if the restriction of all  $D_\rho$  to  $Z$  are nef divisors (Corollary 3.8). We prove Theorem 3.5 by showing the vanishing  $H^1(Z, T_{F|Z}) = 0$ , which is a sufficient condition for the smoothness of the forgetful morphism  $\phi : H_Z^F \rightarrow \text{Def}_Z$ . This implication is well known for the smooth case, see for example [23, Proposition 3.2.9]. It can be also proved via Horikawa's

co-stability theorem for the inclusion morphism  $Z \hookrightarrow F$  [14] or [23, Section 3.4.5]. For the reader’s convenience, we give an explicit proof of this fact under our assumptions (see Theorem 2.1). Note that the vanishing  $H^1(Z, T_{F|Z}) = 0$  is not a necessary condition for the smoothness of the forgetful morphism  $\phi : H_Z^F \rightarrow \text{Def}_Z$  (Remark 2.4).

In [2], the author also focuses her attention on Calabi-Yau threefolds of codimension 4 in  $\mathbb{P}^7$  with Picard number equals to 1. Using Commutative Algebra methods, new examples are built and their Hodge numbers are investigated. Then, following this approach, we devote our attention to the computation of Hodge numbers of Calabi-Yau submanifolds  $Z$  in a toric Fano variety  $F$ . In particular, our calculations focus on the cases with  $H^1(Z, T_{F|Z}) = 0$  and  $\dim Z = 3, 4$  (Sect. 4). These includes some examples of Calabi-Yau threefold in weighted projective spaces (Sect. 4.1).

Throughout the paper, we work over the field of complex numbers. If not otherwise stated, by a toric variety  $F$  we mean a projective simplicial toric Fano variety  $F$ . We denote by  $Z$  a submanifold of  $F$  embedded in the Zariski open set of regular points of  $F$ ; thus,  $Z$  can be covered by smooth affine open sets.

In Sect. 2 we collect some results on toric Fano varieties and we prove the main theorem on the smoothness of the forgetful functor (Theorem 2.1). Section 3 is devoted to examples of Calabi-Yau submanifolds  $Z$  in toric Fano variety  $F$ , such that the forgetful functor is smooth. Finally, Sect. 4 contains some computations on the Hodge numbers of Calabi-Yau threefolds and fourfolds in a toric Fano variety. In particular, we describe examples of Calabi-Yau threefolds in weighted projective spaces and complete intersections fourfolds.

## 2 Embeddings in Fano varieties

In this section, we will follow the notation of the book [6], we refer the reader to this book and especially to Chapter 4 for further details. Let  $F$  be a projective simplicial toric variety with no torus factors, i.e.,  $\{u_\rho \mid \rho \in \Sigma(1)\}$  spans  $N_{\mathbb{R}}$ , where  $\Sigma$  is the fan of  $F$  in  $N_{\mathbb{R}}$  and  $\Sigma(1)$  denotes the 1-dimensional cones of  $\Sigma$ . We recall that  $F$  is simplicial when every  $\sigma \in \Sigma$  is simplicial, meaning that the minimal generators of  $\sigma$  are linearly independent over  $\mathbb{R}$  [6, pag.180].

Moreover, for any strongly convex cone  $\sigma \in N_{\mathbb{R}}$ , we denote by  $\sigma(1)$  its rays. Also, under our assumptions it makes sense to talk about the canonical divisor  $K_F$ , which can be written as  $K_F = -\sum_{\rho \in \Sigma(1)} D_\rho$  (for further details, we refer the reader to [6, Chapter 4]). The variety  $F$  is Fano if its anticanonical divisor  $-K_F$  is ample. Note that in this case  $F$  has no torus factors. Let  $\hat{\Omega}_F^1$  be the sheaf of Zariski 1-differentials. Recall that  $\hat{\Omega}_F^1$  is the double dual of the sheaf of Kähler differentials  $\Omega_F^1$ . Moreover, as proved for instance in [22, p. 56], the dual of  $\hat{\Omega}_F^1$  and the dual of  $\Omega_F^1$  are isomorphic and we denote it by  $T_F := \text{Hom}(\hat{\Omega}_F^1, \mathcal{O}_F) = \text{Hom}(\Omega_F^1, \mathcal{O}_F)$ .

The hypothesis that  $F$  is a simplicial toric variety with no torus factors is needed for the existence of a generalized Euler exact sequence [6, Theorem 8.1.6], as in the case for projective spaces; namely:

$$0 \rightarrow \hat{\Omega}_F^1 \rightarrow \bigoplus_{\rho \in \Sigma(1)} \mathcal{O}_F(-D_\rho) \rightarrow CL(F) \otimes_{\mathbb{Z}} \mathcal{O}_F \rightarrow 0, \tag{2.1}$$

where  $CL(F)$  denotes the divisor class group of  $F$ .

**Theorem 2.1** *Let  $F$  be a simplicial toric Fano variety and  $Z$  a smooth subvariety embedded in the Zariski open set of regular points of  $F$ . Then, the deformation functor  $\phi : H_Z^F \rightarrow \text{Def}_Z$  is smooth if  $H^1(Z, T_{F|Z}) = 0$ .*

**Proof** We follow the usual approach. Consider the generalized Euler exact sequence (2.1):

$$0 \rightarrow \hat{\Omega}_F^1 \rightarrow \bigoplus_{\rho \in \Sigma(1)} \mathcal{O}_F(-D_\rho) \rightarrow CL(F) \otimes_{\mathbb{Z}} \mathcal{O}_F \rightarrow 0.$$

Note that the divisor class group  $CL(F)$  of  $F$  is a finitely generated abelian group that can have torsion [6, p. 172]. We denote by  $t$  the rank of  $CL(F)$ . Consider the dual of the above exact sequence (2.1), i.e., apply the functor  $\text{Hom}_{\mathcal{O}_F}(-, \mathcal{O}_F)$  to obtain

$$0 \rightarrow \mathcal{O}_F^{\oplus t} \rightarrow \bigoplus_{\rho \in \Sigma(1)} \mathcal{O}_F(D_\rho) \rightarrow \text{Hom}_{\mathcal{O}_F}(\hat{\Omega}_F^1, \mathcal{O}_F) \rightarrow \text{Ext}_{\mathcal{O}_F}^1(CL(F) \otimes_{\mathbb{Z}} \mathcal{O}_F, \mathcal{O}_F) \cdots. \tag{2.2}$$

Note that the sheaf  $\text{Ext}_{\mathcal{O}_F}^1(CL(F) \otimes_{\mathbb{Z}} \mathcal{O}_F, \mathcal{O}_F) = 0$ . Since  $CL(F)$  is a finitely generated group, the torsion subgroup is a finite abelian group, so it is a finite sum of cyclic groups of prime power order  $r = p^h$ . Thus, tensoring by  $\mathcal{O}_F$  over  $\mathbb{Z}$ , we have

$$0 \rightarrow r\mathbb{Z} \otimes_{\mathbb{Z}} \mathcal{O}_F \rightarrow \mathcal{O}_F \rightarrow \mathbb{Z}_r \otimes_{\mathbb{Z}} \mathcal{O}_F \rightarrow 0.$$

Since  $\mathbb{Z}_r \otimes_{\mathbb{Z}} \mathcal{O}_F$  is a torsion sheaf, applying  $\text{Hom}_{\mathcal{O}_F}(-, \mathcal{O}_F)$ , we get

$$0 \rightarrow \text{Hom}_{\mathcal{O}_F}(\mathcal{O}_F, \mathcal{O}_F) \rightarrow \text{Hom}_{\mathcal{O}_F}(r\mathbb{Z} \otimes_{\mathbb{Z}} \mathcal{O}_F, \mathcal{O}_F) \rightarrow \text{Ext}_{\mathcal{O}_F}^1(\mathbb{Z}_r \otimes_{\mathbb{Z}} \mathcal{O}_F, \mathcal{O}_F) \rightarrow 0.$$

Moreover, the map on the LHS is an isomorphism of sheaves, we conclude that the sheaf  $\text{Ext}_{\mathcal{O}_F}^1(\mathbb{Z}_r \otimes_{\mathbb{Z}} \mathcal{O}_F, \mathcal{O}_F) = 0$ .

In addition, as mentioned before,  $T_F = \text{Hom}(\hat{\Omega}_F^1, \mathcal{O}_F) = \text{Hom}(\Omega_F^1, \mathcal{O}_F)$ , i.e., the tangent sheaf of the Fano variety. Then, the exact sequence (2.2) reduces to

$$0 \rightarrow \mathcal{O}_F^{\oplus t} \rightarrow \bigoplus_{\rho \in \Sigma(1)} \mathcal{O}_F(D_\rho) \rightarrow T_F \rightarrow 0. \tag{2.3}$$

Let  $Z$  be a smooth variety contained in the smooth locus of the variety  $F$  such that the inclusion  $j : Z \hookrightarrow F$  is a closed embedding with ideal sheaf  $\mathcal{I} \subset \mathcal{O}_F$ . Since  $Z$  is smooth and embedded in the Zariski open set of regular points of  $F$ , the ideal  $\mathcal{I}/\mathcal{I}^2$  is locally free by [9, Exercise 17.12]. Then, under these assumptions, the conormal sequence is exact [23, Theorem C.15. (iii)], namely:

$$0 \rightarrow \mathcal{I}/\mathcal{I}^2 \rightarrow j^* \Omega_F^1 \rightarrow \Omega_Z^1 \rightarrow 0. \tag{2.4}$$

Now, consider the dual of the exact sequence (2.4),

$$0 \rightarrow T_Z \rightarrow \text{Hom}_{\mathcal{O}_Z}(j^* \Omega_F^1, \mathcal{O}_Z) \rightarrow \text{Hom}_{\mathcal{O}_Z}(\mathcal{I}/\mathcal{I}^2, \mathcal{O}_Z) \rightarrow \text{Ext}_{\mathcal{O}_Z}^1(\Omega_Z^1, \mathcal{O}_Z) \rightarrow \cdots.$$

Since  $Z$  is smooth, the sheaf  $\Omega_Z^1$  is locally free and so  $\text{Ext}_{\mathcal{O}_Z}^1(\Omega_Z^1, \mathcal{O}_Z) = 0$ . Therefore, we have the usual normal exact sequence

$$0 \rightarrow T_Z \rightarrow T_{F|Z} \rightarrow N_{Z/F} \rightarrow 0. \tag{2.5}$$

The induced exact sequence in cohomology is given by

$$\dots \rightarrow H^0(Z, N_{F|Z}) \rightarrow H^1(Z, T_Z) \rightarrow H^1(Z, T_{F|Z}) \rightarrow H^1(Z, N_{F|Z}) \rightarrow H^2(Z, T_Z).$$

If  $H^1(Z, T_{F|Z}) = 0$ , then the morphism  $H^0(Z, N_{F|Z}) \rightarrow H^1(Z, T_Z)$  is surjective and  $H^1(Z, N_{F|Z}) \rightarrow H^2(Z, T_Z)$  is injective.

According to [23, Proposition 3.2.1], since  $Z \subset X$  is a closed embedding, the Zariski tangent space of  $H_Z^Y$  at the point corresponding to  $Z$  is  $H^0(Z, N_{F|Z})$ . Moreover, since  $Z$  is smooth the closed embedding is regular; hence  $H^1(Z, N_{F|Z})$  is an obstruction space for the Hilbert functor  $H_Z^Y$ . As a consequence, if  $H^1(Z, T_{F|Z}) = 0$ , the forgetful morphism  $\phi : H_Z^F \rightarrow \text{Def}_Z$  is smooth. In fact, under our assumptions  $Z$  is contained in the Zariski open set of regular points of the Fano variety  $F$ . Thus  $Z$  can be covered by smooth affine open sets. This remark allows us to apply the standard smoothness criterion in deformation theory: see, for instance, [23, Proposition 3.2.9] or [20, Theorem 4.11] and prove that  $\phi$  is smooth. □

**Remark 2.2** If  $Z$  is a Calabi-Yau submanifold of  $F$ , then  $\text{Def}_Z$  is smooth (Bogomolov-Tian-Todorov Theorem) of dimension  $H^1(Z, T_Z)$ . Then, by the previous theorem,  $H_Z^F$  is also a smooth functor: the deformation space of  $Z$  inside  $F$  is smooth of dimension  $H^0(Z, N_{Z/F})$ .

**Remark 2.3** If  $\dim Z = 2$ , then Theorem 3.5 does not hold. It is enough to consider a  $K3$  surface in  $\mathbb{P}^4$ . In this case, it is not true that the morphism  $\phi : H_Z^F \rightarrow \text{Def}_Z$  is smooth [23, Examples 3.2.11], indeed  $H^1(Z, T_{\mathbb{P}^4|Z}) \neq 0$ .

**Remark 2.4** The condition  $H^1(X, T_{F|Z}) = 0$  is not a necessary condition for the smoothness of the forgetful morphism  $\phi : H_Z^F \rightarrow \text{Def}_Z$ . For example, [23, Example 3.4.4 (iii)], let  $Z \cong \mathbb{P}^1 \subset F$  be a nonsingular projective curve negatively embedded in a projective nonsingular Hirzebruch surface  $F$  with  $Z^2 = -n < 0, n \geq 1$ . Then, the exact sequence

$$0 \rightarrow T_Z \rightarrow T_{F|Z} \rightarrow N_{Z/F} \rightarrow 0$$

splits since  $\text{Ext}_{\mathcal{O}_Z}^1(N_{Z/F}, T_Z) = H^1(Z, \mathcal{O}_Z(n+2)) = 0$  and so  $T_{F|Z} \cong \mathcal{O}_Z(2) \oplus \mathcal{O}_Z(-n)$ . This implies that  $h^0(Z, T_{F|Z}) = 3$ . Moreover  $h^0(Z, N_{Z/F}) = 0$  and so  $Z$  is rigid in  $F$  in addition to being rigid as an abstract variety. Then, the morphism induced by  $\phi$  on the tangent space is surjective, and it is injective on the obstruction spaces: they are both zero since there are no deformations. In conclusion, the morphism  $\phi$  is smooth even if  $h^1(Z, T_{F|Z}) = h^1(Z, \mathcal{O}_Z(2) \oplus \mathcal{O}_Z(-n)) = n - 2$  can be non-zero.

### 3 A large class of examples

Let  $F$  be a simplicial toric Fano variety of dimension  $\dim F = n + m$  for  $m \geq 3$ . As in the previous section, the dual of the generalised Euler exact sequence (2.3) for  $F$  is

$$0 \rightarrow \mathcal{O}_F^{\oplus t} \rightarrow \bigoplus_{\rho \in \Sigma(1)} \mathcal{O}_F(D_\rho) \rightarrow T_F \rightarrow 0,$$

where  $K_F = -\sum_{\rho \in \Sigma(1)} D_\rho$  [6, Theorem 8.2.3].

**Lemma 3.1** *Let  $F$  be a toric Fano variety and  $Z \subset F$  a Calabi-Yau submanifold. Let  $D$  be a divisor such that  $D|_Z$  is nef and big, then*

$$H^j(Z, \mathcal{O}_F(D) \otimes \mathcal{O}_Z) = 0, \quad \forall j > 0.$$

**Proof** Since the divisor  $D|_Z$  is nef and big and  $Z$  is a Calabi-Yau manifold (and so  $K_Z = 0$ ), the Kawamata-Viehweg vanishing Theorem [7, Theorem 7.21] or [6, Theorem 9.3.10], implies that

$$H^j(Z, \mathcal{O}_F(D) \otimes \mathcal{O}_Z) = H^j(Z, \mathcal{O}_Z(D|_Z + K_Z)) = 0, \quad \forall j > 0.$$

□

**Remark 3.2** Let  $F$  be a toric Fano variety and  $Z \subset F$  a Calabi-Yau submanifold, such that  $\dim Z = m$ . If the divisor  $D$  is such  $D|_Z$  is nef and  $D^m \cdot Z > 0$ , then  $D|_Z$  is nef and big [7, Section 1.29] and so we can apply the previous Lemma 3.1. Note also that if  $D$  is nef then its restriction  $D|_Z$  to  $Z$  is also nef [7, Section 1.6].

**Remark 3.3** A useful condition for nefness of a divisor  $D$  in  $F$  is the following: given a cone  $\sigma \in \Sigma$ , any nef divisor is linearly equivalent to a divisor of the form

$$D = \sum_{\rho} a_{\rho} D_{\rho},$$

where  $a_{\rho} = 0$  if  $\rho \in \sigma(1)$  and  $a_{\rho} \geq 0$  for  $\rho \notin \sigma(1)$ , see [6, Equation 6.4.10].

**Corollary 3.4** *Let  $F$  be a toric Fano variety of dimension  $\dim F = n + m$  and denote by  $Z \subset F$  a Calabi-Yau smooth variety of dimension  $\dim Z = m$ . Suppose that  $Z$  is a complete intersection of very ample divisors. Then, for any divisor  $D$  such that  $D|_Z$  is nef, we have*

$$H^i(Z, \mathcal{O}_F(D) \otimes \mathcal{O}_Z) = 0, \quad \forall i > 0.$$

**Proof** Suppose that  $Z$  is a complete intersection of very ample divisors. Then, there exist  $n$  very ample divisors  $N_j$ , for  $j = 1, \dots, n$ , such that  $Z = Y_1 \cdots Y_n$ , where  $Y_j$  is an element in the linear system  $|N_j|$ .

In particular, we have

$$D^m \cdot Z = D^m \cdot Y_1 \cdots Y_n = N_1 \cdot (D^m \cdot N_2 \cdots N_n) > 0,$$

where the last equality follows from the Nakai-Moishezon Theorem [7, Theorem 1.21], indeed  $N_1$  is ample and  $(D^m \cdot N_2 \cdots N_n)$  has dimension 1. Then, the conclusion follows by Remark 3.2 and Lemma 3.1. □

**Theorem 3.5** *Let  $F$  be a simplicial toric Fano variety and  $Z \subset F$  a Calabi-Yau submanifold of  $\dim Z = m$ , with  $m \geq 3$ . Suppose that for all  $\rho \in \Sigma(1)$  the divisor  $D_{\rho}$  satisfies the following vanishing*

$$H^1(Z, \mathcal{O}_F(D_{\rho}) \otimes \mathcal{O}_Z) = 0.$$

*Then, the forgetful morphism  $\phi : H_Z^F \rightarrow \text{Def}_Z$  is smooth.*

**Proof** By tensoring with  $\mathcal{O}_Z$  the dual of the generalized Euler exact sequence for  $F$  (2.3), we obtain

$$0 \rightarrow \mathcal{O}_Z^{\oplus t} \rightarrow \bigoplus_{\rho \in \Sigma(1)} \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z \rightarrow T_{F|Z} \rightarrow 0, \tag{3.1}$$

and so

$$\begin{aligned} \dots &\rightarrow H^1(Z, \mathcal{O}_Z^{\oplus t}) \rightarrow \bigoplus_{\rho \in \Sigma(1)} H^1(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) \rightarrow H^1(Z, T_{F|Z}) \rightarrow \dots \\ \dots &\rightarrow H^2(Z, \mathcal{O}_Z^{\oplus t}) \rightarrow \bigoplus_{\rho \in \Sigma(1)} H^2(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) \rightarrow H^2(Z, T_{F|Z}) \rightarrow \dots \end{aligned}$$

By hypothesis  $H^1(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) = 0$ . Since  $Z$  is a Calabi-Yau manifold of dimension  $\dim Z = m \geq 3$ ,  $H^2(Z, \mathcal{O}_Z) = 0$  and so  $H^2(Z, \mathcal{O}_Z^{\oplus t}) = 0$ ; this concludes the proof because  $H^1(Z, T_{F|Z}) = 0$  and we can apply Theorem 2.1. □

**Example 3.6** Let  $F$  be a toric Fano variety of dimension  $\dim F = n + m$  and denote by  $Z \subset F$  a Calabi-Yau submanifold of dimension  $\dim Z = m \geq 3$ . Suppose that  $Z$  is a complete intersection of very ample divisors, such that  $D_{\rho|Z}$  is nef for all  $\rho \in \Sigma(1)$ . Then, by Corollary 3.4 we have that  $H^i(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) = 0$  for all  $i > 0$ .

**Corollary 3.7** Let  $F$  be a simplicial toric Fano variety and  $Z \subset F$  a Calabi-Yau submanifold of  $\dim Z = m$ . Let  $D_\rho$  be the divisor associated with  $\rho \in \Sigma(1)$  and assume further that  $D_{\rho|Z}$  is nef and  $D_\rho^m \cdot Z > 0$ , for all  $\rho \in \Sigma(1)$ . Then,

$$\phi : H_Z^F \rightarrow \text{Def}_Z$$

is smooth.

**Proof** It is enough to apply Lemma 3.1 and Theorem 3.5. □

**Corollary 3.8** Let  $F$  be a simplicial toric Fano variety of dimension  $\dim F = n + m$  and denote by  $Z \subset F$  a Calabi-Yau submanifold of dimension  $\dim Z = m \geq 3$ . Suppose that  $Z$  is a complete intersection of very ample divisors, such that  $D_{\rho|Z}$  is nef for all  $\rho \in \Sigma(1)$ . Then  $\phi : H_Z^F \rightarrow \text{Def}_Z$  is smooth.

**Proof** It is enough to apply Theorem 3.5 and Example 3.6. □

### 4 Hodge numbers of Calabi-Yau varieties

In this section, we are interested in computing Hodge numbers of Calabi-Yau submanifolds  $Z$  of a toric Fano variety  $F$ , in particular for the case investigated in the previous section, i.e., whenever  $H^1(Z, T_{F|Z}) = 0$ . Recall that the Hodge numbers of  $Z$  are defined as  $h^{i,j}(Z) = \dim_{\mathbb{C}} H^j(Z, \Omega_Z^i)$  and they satisfy the Hodge duality  $h^{i,j}(Z) = h^{j,i}(Z)$ . If  $\dim Z = m$ , since  $K_Z = 0$ , we have  $T_Z \cong \Omega_Z^{m-1}$  and so

$$h^{m-1,i}(Z) = \dim H^i(Z, \Omega_Z^{m-1}) = \dim H^i(Z, T_Z).$$

Under the assumption that  $Z$  is a Calabi-Yau submanifolds of a simplicial toric Fano variety  $F$  such that  $H^1(Z, T_{F|Z}) = 0$ , we can estimate the Hodge numbers of  $Z$ .

**Proposition 4.1** *Let  $F$  be a simplicial toric Fano variety of dimension  $\dim F = n + m$  and denote by  $Z \subset F$  a Calabi-Yau submanifold of dimension  $\dim Z = m$ . If  $H^1(Z, T_{F|Z}) = 0$ , then*

$$h^{m-1,1}(Z) = \dim H^1(Z, T_Z) = \dim H^0(Z, N_{Z/F}) - \bigoplus_{\rho \in \Sigma(1)} \dim H^0(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) + t,$$

where  $t$  is the rank of  $CL(F)$ .

**Proof** The exact sequence (2.5)

$$0 \rightarrow T_Z \rightarrow T_{F|Z} \rightarrow N_{Z/F} \rightarrow 0$$

induces the following exact sequence in cohomology:

$$0 \rightarrow H^0(Z, T_Z) \rightarrow H^0(Z, T_{F|Z}) \rightarrow H^0(Z, N_{Z/F}) \rightarrow H^1(Z, T_Z) \rightarrow H^1(Z, T_{F|Z}) = 0.$$

Since  $\dim H^i(Z, T_Z) = \dim H^i(Z, \Omega_Z^{m-1})$ , we have  $H^0(Z, T_Z) = 0$  and this implies that

$$\dim H^1(Z, T_Z) = \dim H^0(Z, N_{Z/F}) - \dim H^0(Z, T_{F|Z}).$$

Then, by the long exact sequence associated with the Euler exact sequence 3.1 restricted to  $Z$ , we obtain

$$\begin{aligned} 0 \rightarrow H^0(Z, \mathcal{O}_Z^{\oplus t}) \rightarrow \bigoplus_{\rho \in \Sigma(1)} H^0(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) \rightarrow H^0(Z, T_{F|Z}) \rightarrow \\ \rightarrow H^1(Z, \mathcal{O}_Z^{\oplus t}) \rightarrow \dots \end{aligned}$$

Since  $H^0(Z, \mathcal{O}_Z) = \mathbb{C}$  and  $H^1(Z, \mathcal{O}_Z) = 0$ , it follows that

$$\dim H^0(Z, T_{F|Z}) = \bigoplus_{\rho \in \Sigma(1)} \dim H^0(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) - t,$$

where  $t$  is the rank of  $CL(F)$ . Hence,

$$\dim H^1(Z, T_Z) = \dim H^0(Z, N_{Z/F}) - \bigoplus_{\rho_i \in \Sigma(1)} \dim H^0(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) + t.$$

□

**Remark 4.2** In the setup above of a smooth Calabi-Yau submanifold  $Z$  in a simplicial toric Fano variety  $F$ , the previous proposition provides the dimension of the moduli space at the point corresponding to  $Z$ , that is smooth of dimension  $H^1(Z, T_Z)$ .

**Proposition 4.3** *Let  $F$  be a simplicial toric Fano variety of dimension  $\dim F = n + m$  and denote by  $Z \subset F$  a Calabi-Yau submanifold of dimension  $\dim Z = m \geq 3$ , that is the complete intersection of  $n$  very ample divisors, such that  $D_{\rho|Z}$  is nef for all  $\rho \in \Sigma(1)$ . Then,*

$$h^{1,1} = \dim H^1(Z, \Omega_Z^1) = t,$$



where  $t$  is the rank of  $CL(F)$ .

**Proof** As above, since  $Z$  is a Calabi-Yau manifold of dimension  $m$ , we have that  $\Omega_Z^m \cong \mathcal{O}_Z$  and so  $T_Z \cong \Omega_Z^{m-1}$ . Therefore,

$$h^{1,1} = \dim H^1(Z, \Omega_Z^1) = \dim H^{m-1}(Z, \Omega_Z^{m-1}) = \dim H^{m-1}(Z, T_Z).$$

The submanifold  $Z$  is the complete intersection of  $n$  very ample divisors  $N_1, \dots, N_n$ , i.e.,  $Z = N_1 \cdot \dots \cdot N_n$ . In particular,  $N_{Z/F} = \mathcal{O}_Z(N_1) \oplus \dots \oplus \mathcal{O}_Z(N_n)$ , and so

$$H^j(Z, N_{Z/F}) = \bigoplus_{i=1}^n H^j(Z, \mathcal{O}_Z(N_i)) = \bigoplus_{i=1}^n H^j(Z, \mathcal{O}_Z(N_i + K_Z)) = 0 \quad \forall j > 0.$$

where in the last equality we use the Kodaira vanishing (the restriction of an ample line bundle to a closed subscheme is still ample). The long exact sequence in cohomology associated with (2.5) implies that

$$\dots \rightarrow H^{m-2}(Z, N_{Z/F}) \rightarrow H^{m-1}(Z, T_Z) \rightarrow H^{m-1}(Z, T_{F|Z}) \rightarrow H^{m-1}(Z, N_{Z/F}) \rightarrow \dots$$

and so  $H^{m-1}(Z, T_Z) \cong H^{m-1}(Z, T_{F|Z})$ . (We actually have  $H^j(Z, T_Z) = H^j(Z, T_{F|Z})$ , for all  $j = m - 1 > 2$ ).

Finally, by the long exact sequence associated with the Euler exact sequence 3.1 restricted to  $Z$ , we obtain

$$\begin{aligned} \dots &\rightarrow \bigoplus_{\rho \in \Sigma(1)} H^{m-1}(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) \rightarrow H^{m-1}(Z, T_{F|Z}) \\ &\rightarrow H^m(Z, \mathcal{O}_Z^{\oplus t}) \rightarrow \bigoplus_{\rho \in \Sigma(1)} H^m(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) \rightarrow \dots \end{aligned}$$

Corollary 3.4 implies  $H^j(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) = 0$ , for all  $j > 0$  and all  $\rho \in \Sigma(1)$ ; therefore

$$h^{1,1} = \dim H^{m-1}(Z, T_Z) = \dim H^{m-1}(Z, T_{F|Z}) = \dim H^m(Z, \mathcal{O}_Z^{\oplus t}) = t,$$

since  $H^m(Z, \mathcal{O}_Z) = \mathbb{C}$ . □

**Remark 4.4** If  $Z$  is a Calabi-Yau submanifold of dimension  $\dim Z = 3$ , that is a complete intersection of  $n$  very ample divisors in a simplicial toric Fano variety  $F$  of dimension  $\dim F = n + 3$ , such that  $D_{\rho|Z}$  is nef for all  $\rho \in \Sigma(1)$ , then we can describe the Hodge diamond of  $X$ . Indeed, by the previous proposition we computed  $h^{1,1}$  and by Proposition 4.1 we can compute  $h^{1,2}(Z) = h^{2,1}(Z) = \dim H^1(Z, \Omega_Z^2) = \dim H^1(Z, T_Z)$ .

**Remark 4.5** The weighted projective spaces are examples of projective toric varieties with Picard number 1. Therefore, under the assumption of the previous proposition, the complete intersection Calabi-Yau manifolds in weighted projective spaces have  $h^{1,1} = 1$ . If we require  $F$  smooth, then  $F$  is the projective space  $\mathbb{P}^n$ , that are the only smooth projective toric variety with Picard number 1 [6, Exercise 7.3.10].

### 4.1 Examples of Calabi-Yau threefolds in weighted projective spaces

Let  $P = \mathbb{P}(1, 1, 1, a_3, \dots, a_n)$  be the weighted projective spaces for  $n \geq 3$ , and  $a_i \geq 0$ , for all  $i \geq 3$ . According to [18, Claim 37] or [23, Example 3.1.25], we have  $T_P^1 = 0$  and  $H^1(P, T_P) = 0$  and so the local deformations of  $P$  are trivial.

In [16], there are examples of smooth varieties with trivial canonical bundle in various weighted projective space. In particular, there are the following nonsingular threefolds weighted hypersurfaces [16, Theorem 14.3]:

$$X_5 \subset \mathbb{P}(1, 1, 1, 1, 1) \quad X_6 \subset \mathbb{P}(1, 1, 1, 1, 2) \quad X_8 \subset \mathbb{P}(1, 1, 1, 1, 4) \quad X_{10} \subset \mathbb{P}(1, 1, 1, 2, 5),$$

and the following nonsingular codimension 2 weighted threefolds complete intersection [16, Theorem 14.6]:

$$\begin{aligned} X_{2,4} &\subset \mathbb{P}(1, 1, 1, 1, 1, 1) & X_{3,3} &\subset \mathbb{P}(1, 1, 1, 1, 1, 1) \\ X_{3,4} &\subset \mathbb{P}(1, 1, 1, 1, 1, 2) & X_{4,4} &\subset \mathbb{P}(1, 1, 1, 1, 2, 2). \end{aligned}$$

These varieties are all examples of smooth subvarieties with trivial canonical bundle in a toric Fano variety with Picard rank one (that is not smooth except the cases of projective spaces  $\mathbb{P}(1, 1, 1, 1, 1)$  and  $\mathbb{P}(1, 1, 1, 1, 1, 1)$ ). Moreover, if  $Z$  is any of these Calabi-Yau threefolds we have  $H^1(Z, T_{P|Z}) = 0$ . Indeed, the generalised Euler exact sequence (2.3) for  $P = \mathbb{P}(1, 1, 1, a_3, \dots, a_n)$  is

$$0 \rightarrow \mathcal{O}_P \rightarrow \bigoplus_{i \geq 3} \mathcal{O}_P(a_i) \oplus \mathcal{O}_P^{\oplus 3} \rightarrow T_P \rightarrow 0,$$

and it restrict to

$$0 \rightarrow \mathcal{O}_Z \rightarrow \bigoplus_{i \geq 3} \mathcal{O}_Z(a_i) \oplus \mathcal{O}_Z^{\oplus 3} \rightarrow T_{P|Z} \rightarrow 0. \tag{4.1}$$

Considering the long exact sequence associated with (4.1), it is enough to prove

$$H^1(Z, \bigoplus_{i \geq 3} \mathcal{O}_Z(a_i) \oplus \mathcal{O}_Z^{\oplus 3}) = H^2(Z, \mathcal{O}_Z) = 0. \tag{4.2}$$

For the weighted hypersurface case  $Z = X_d$ , we tensorize the exact sequence

$$0 \rightarrow \mathcal{O}_P(-d) \rightarrow \mathcal{O}_P \rightarrow \mathcal{O}_Z \rightarrow 0,$$

with  $\mathcal{O}_P(a)$  and we conclude the vanishing (4.2), since  $H^i(P, \mathcal{O}_P(n)) = 0$  for all  $n \in \mathbb{Z}$  and  $i \neq 0, \sum_i a_i + 3$  [8, Section 1.4]. For  $Z$  any of the above codimension 2 weighted threefolds complete intersection, similar computations prove the vanishing  $H^1(Z, T_{P|Z}) = 0$ . Therefore, as proved in Theorem 2.1 the forgetful functor  $\phi : H_Z^P \rightarrow \text{Def}_Z$  is smooth and so the functor  $H_Z^P$  is smooth at the corresponding point.

**Remark 4.6** As we also noted in Remark 4.4, we can compute the Hodge numbers of these Calabi-Yau weighted complete intersections. By Proposition 4.3, we have

$$h^{1,1} = \dim H^1(Z, \Omega_Z^1) = 1,$$

and by Proposition 4.1, we have

$$h^{1,2}(Z) = h^{2,1}(Z) = \dim H^0(Z, N_{Z/F}) - \bigoplus_{\rho \in \Sigma(1)} \dim H^0(Z, \mathcal{O}_Z(D_\rho)) + 1.$$

### 4.2 Examples of complete intersection Calabi-Yau fourfolds

Let  $Z$  be a smooth Calabi-Yau fourfold in  $F$ ; let  $j : Z \rightarrow F$  be a closed embedding of  $Z$  in  $F$ . Suppose further that  $Z$  is a complete intersection of  $n$  very ample divisors  $N_1, \dots, N_n$  so that  $\dim(F) = n + 4$ . Let us analyse  $h^{ij}(Z) = \dim_{\mathbb{C}} H^i(Z, \Omega_Z^j)$ . By Proposition 4.3, we have  $h^{1,1}(Z) = t = \text{rank} CL(F)$ . Next, let us compute  $h^{1,2}(Z) = h^{2,1}(Z)$ .

**Proposition 4.7** *Let  $F$  be a simplicial toric Fano variety of dimension  $\dim F = n + m$  and denote by  $Z \subset F$  a Calabi-Yau submanifold of dimension  $\dim Z = m \geq 4$ , that is the complete intersection of  $n$  very ample divisors, such that  $D_{\rho|Z}$  is nef for all  $\rho \in \Sigma(1)$ . Then,*

$$h^{1,2} = h^{2,1} = 0.$$

**Proof** The proof goes as in Proposition 4.3. Since  $Z$  is a Calabi-Yau manifold of dimension  $m$ , we have that  $\Omega_Z^m \cong \mathcal{O}_Z$  and so  $T_Z \cong \Omega_Z^{m-1}$ . Therefore,

$$h^{1,2} = \dim H^2(Z, \Omega_Z^1) = \dim H^{m-2}(Z, \Omega_Z^{m-1}) = \dim H^{m-2}(Z, T_Z).$$

As in the proof of Propostion 4.3,  $H^j(X, N_{Z/F}) = 0$  for all  $j > 0$ . The long exact sequence in cohomology associated with (2.5) implies that

$$\dots \rightarrow H^{m-3}(Z, N_{Z/F}) \rightarrow H^{m-2}(Z, T_Z) \rightarrow H^{m-2}(Z, T_{F|Z}) \rightarrow H^{m-2}(Z, N_{Z/F}) \rightarrow \dots$$

and so, since  $m \geq 4$ ,  $H^{m-2}(X, T_Z) \cong H^{m-2}(Z, T_{F|Z})$ .

Finally, tensoring with  $\mathcal{O}_Z$  the generalized Euler exact sequence for  $F$  (2.3), we obtain

$$\begin{aligned} \dots \rightarrow \bigoplus_{\rho \in \Sigma(1)} H^{m-2}(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) \\ \rightarrow H^{m-2}(Z, T_{F|Z}) \rightarrow H^{m-1}(Z, \mathcal{O}_Z^{\oplus t}) \rightarrow \\ \bigoplus_{\rho \in \Sigma(1)} H^{m-1}(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) \rightarrow \dots, \end{aligned}$$

where  $t$  is the rank of  $CL(F)$ . Corollary 3.4 implies  $H^j(Z, \mathcal{O}_F(D_\rho) \otimes \mathcal{O}_Z) = 0$ , for all  $j > 0$  and all  $\rho \in \Sigma(1)$ ; therefore

$$h^{1,2} = \dim H^{m-2}(Z, T_Z) = \dim H^{m-2}(Z, T_{F|Z}) = \dim H^{m-1}(Z, \mathcal{O}_Z^{\oplus t}) = 0.$$

□

Since  $Z$  is a Calabi-Yau manifold of dimension 4, we have that  $\Omega_Z^4 \cong \mathcal{O}_Z$  and so  $T_Z \cong \Omega_Z^{4-1} \cong \Omega_Z^3$ . Therefore, by Proposition 4.1, we have

$$\begin{aligned} h^{1,3}(Z) &= h^{3,1}(Z) = \dim H^1(Z, \Omega_Z^3) = \dim H^1(Z, T_Z) \\ &= \dim H^0(Z, N_{Z/F}) - \bigoplus_{\rho \in \Sigma(1)} \dim H^0(Z, \mathcal{O}_F(D_\rho)) + t. \end{aligned}$$

If we denote by  $c = h^{1,3}(Z)$  and  $d = h^{2,2}(Z)$ , the Hodge diamond of  $Z$  is

$$\begin{array}{cccccc}
 & & & & & 1 \\
 & & & & & 0 & 0 \\
 & & & & & 0 & t & 0 \\
 & & & & & 0 & 0 & 0 & 0 \\
 & & & & & 1 & c & d & c & 1 \\
 & & & & & 0 & 0 & 0 & 0 & 0 \\
 & & & & & 0 & t & 0 & & \\
 & & & & & 0 & 0 & & & \\
 & & & & & & & & & 1.
 \end{array}$$

Then, we only miss the computation of  $d$ .

**Proposition 4.8** *Assume  $Z$  is a Calabi-Yau manifold of dimension 4, then we have*

$$d = h^{2,2}(Z) = 2c - 2 + \frac{1}{45}(3c_2^2(Z) + 14c_4(Z)). \quad (4.3)$$

**Proof** In order to compute  $d = h^{2,2}(Z)$ , we use the signature  $\sigma(Z)$  of the (complex) four manifold  $Z$ . On the one hand,  $\sigma(Z)$  is defined as

$$\sigma(Z) = h^{0,4}(Z) - h^{1,3}(Z) + h^{2,2}(Z) - h^{3,1}(Z) + h^{4,0}(Z),$$

which reads as  $\sigma(Z) = 2 - 2c + d$ . On the other hand, the Hirzebruch Signature Theorem (see, for instance, [13]) gives

$$\sigma(Z) = \frac{1}{45}(7p_2(Z) - p_1^2(Z)),$$

where the  $p_j(Z)$ 's are the Pontryagin numbers. These are related to the Chern classes of  $Z$  as follows:

$$p_1(Z) = -2c_2(Z), \quad p_2(Z) = 2c_4(Z) + c_2^2(Z).$$

Hence we obtain the statement. □

**Remark 4.9** Assume  $Z$  is a smooth Calabi-Yau fourfold in  $F$ ; let  $j : Z \rightarrow F$  be closed embedding of  $Z$  in  $F$ . Suppose further that  $Z$  is the complete intersection of  $n$  very ample divisors  $N_1, \dots, N_n$  so that  $\dim(F) = n + 4$ , then the Chern classes of  $Z$  can be computed in terms of the Chern classes of the bundles  $N_j$ . More precisely, the following recursive relations can be deduced from the exact sequence defining the normal bundle, namely:

$$\begin{aligned}
c_2(\mathcal{Z}) &= j^* c_2(F) - c_2(\mathcal{N}_{F/Z}), \\
c_3(\mathcal{Z}) &= j^* c_3(F) - c_3(\mathcal{N}_{F/Z}) - c_2(X)c_1(\mathcal{N}_{F/Z}), \\
c_4(\mathcal{Z}) &= j^* c_4(F) - c_2(\mathcal{Z})c_2(\mathcal{N}_{F/Z}) - c_3(\mathcal{Z})c_1(\mathcal{N}_{F/Z}) - c_4(\mathcal{N}_{F/Z}).
\end{aligned}$$

In [10–12], the authors carry out computations on Chern classes and Hodge numbers for Calabi-Yau fourfold that are complete intersection in product of projective spaces.

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