



Stability and stoichiometry of some binary fluorophore–cyclodextrin complexes

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Abstract—The stability and stoichiometric ratio of binary complexes among five fluorophores and β -cyclodextrin (β -CD) or heptakis-(6-amino-6-deoxy)- β -cyclodextrin (am- β -CD) were determined by means of fluorescence measurements in borate buffer at pH=8.0 and 9.0. Structure of both host and guest affected the characteristics of the binary complexes. Pyrene and anthraquinone formed a 1:2 (fluorophore: cyclodextrin) complex with both cyclodextrins. Xanthone formed 1:1 complex with β -CD and 1:2 complex with am- β -CD. A more defined behaviour was observed for crysene. In fact, both stoichiometric different complexes were detected with both hosts. Only 1:1 complexes were observed for anthracene. The complex stability was affected by the pH of the solution. MM2 calculations were performed in order to gain information about the forces working on the formation of complexes.

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1. Introduction

Complexation reactions involving cyclodextrins are highly important in several fields.¹ These reactions also serve as excellent models for understanding general inclusion phenomena as well as enzyme-substrate interactions.² Recently, we have addressed our interest to the use of cyclodextrin complexes for chiral recognition.³ This is one of the main topics that has attracted researchers' attention not only for its important applications in separation science and in medicinal chemistry, but also for its implications in supramolecular catalysis. Chiral recognition by native and modified cyclodextrins (CDs) has had and still has a great of importance.

Data collected so far have usually been explained by two different theories: the 'lock-and-key mechanism',⁴ that considers chiral recognition ability as a result of host and guest complementarity in size and in shape; the 'three-point-rule'⁵ that, considers chiral recognition ability in terms of non covalent interactions such as electrostatic interactions, hydrogen bonds, and coordinate bonds.

However, results reported so far suggest that the ability of native and modified cyclodextrins to discriminate between enantiomers of a chiral guest is not very high. On this subject Tabushi et al.,⁶ in their pioneering work on chiral recognition, reported that 6^A-amino-6^B-carboxy-6^A,6^B-

deoxy- β -cyclodextrin has a poor enantioselectivity for enantiomers of tryptophan.

Similarly Kitae and Kano,⁷ studying the binding properties of 6-amino-6-deoxy- β -cyclodextrin and heptakis-(6-amino-6-deoxy)- β -cyclodextrin versus *N*-acetylated-Trp, -Leu and -Phe, reported that protonated amino- β -cyclodextrins bind preferably with L-enantiomers and attributed low enantioselectivity values (1.04–1.54) to small structural differences between the complexes formed by enantiomers.

Good results have been obtained by Marchelli, Rizzarelli et al.,⁸ who pointed out the particular affinity of Cu(II)-6-deoxy-6-histamine- β -cyclodextrin for D-enantiomer of some native α -aminoacids. Only recently, Liu et al.,⁹ studying the binding properties of some organoseleno-modified- β -cyclodextrins, reported that mono-2-phenyl-seleno-2-deoxy- β -cyclodextrin gives a high L-enantioselectivity for the inclusion complexation of leucine (up to 8.4).

Recently, we reported data about the effect of some α -amino acids and their corresponding methyl esters on the stability of the binary complex formed by pyrene (Py) in the presence of heptakis-(6-amino-6-deoxy)- β -cyclodextrin (am- β -CD).³ On that occasion it was pointed out that the binary complex Py/am- β -CD, having a 1:2 stoichiometric ratio, is a good chiral selector. In fact L-enantioselectivity determined at pH=8.0, in borate buffer, ranges from 1.2 up to 7.4.

Owing to the nature of the complex formed, this significant chiral recognition ability was thought to be due to the

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extension of the empty volume of the CD cavity that could be differently occupied by enantiomers of the same amino acid.

Our opinion seems to agree with Buvári-Barcza et al.,¹⁰ who observed that the chiral selectivity of different derivatives of β -CD changes with the degree of substitution, that in turn can influence the cavity size. It is possible, furthermore, that the stoichiometric ratio (1:1 and/or 1:2, fluorophore:CD) of the binary complex and that (1:1:1, 1:2:1 or 1:2:2, fluorophore:CD:ternary agent) of the ternary one may be relevant in determining the extent of the chiral recognition.

However, we believe that direct substrate-CD interaction is not comparable with substrate-binary complex interaction. Indeed, the former leads to the best host–guest fit, whereas the latter should consist of an acceptable arrangement of complex.

Therefore, in order to study the importance of size cavity in the chiral discrimination ability of binary complexes, we carried out this preliminary study on the structural characteristics of complexes formed by β -cyclodextrin and heptakis-(6-amino-6-deoxy)- β -cyclodextrin in the presence of some suitable different guests (Fig. 1).

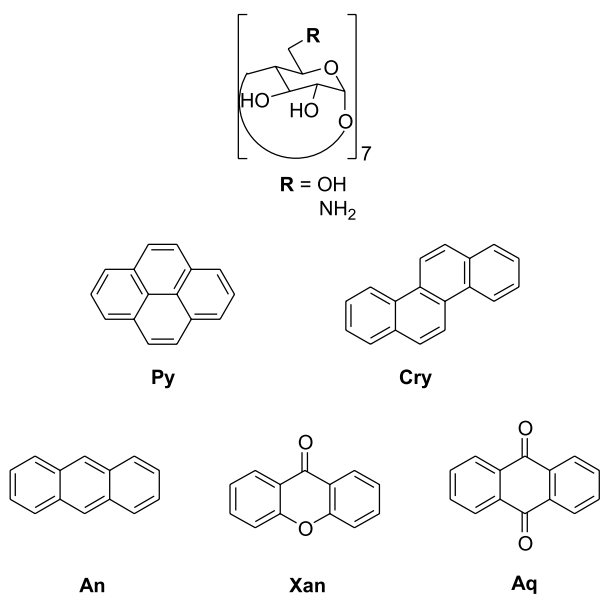


Figure 1. Hosts and guests structures.

This investigation was carried out by spectrofluorimetric titration, in borate buffer, at two pH values (8.0 and 9.0).

Hosts were chosen in order to evaluate the effect that substitution, on going from β -CD to am- β -CD, may exert on complex stability and stoichiometric ratio. These factors could also be influenced by the pH value, considering that am- β -CD, going from pH=8.0 to pH=9.0, passes from its charged form to its neutral form.¹¹

Similarly, fluorophore guests were chosen for their different shapes and sizes, that can influence the size of the empty cavity, but also for their different polarities.

In order to have a better knowledge of the forces working on the formation of complexes, their models were elaborated in the gas phase by computational tools.

2. Results and discussion

In Table 1 the values of stability constant, as a function of pH value, and stoichiometric ratios are reported. In any case the stoichiometric ratio was determined by Job's plot¹² and this result was always confirmed by the Benesi-Hildebrand double-reciprocal plot.¹³

Table 1. Measured binding constants

Guest	Host	pH	Stoich. ratio	$\beta_2/10^6$ (M ⁻²)	K_1 (M ⁻¹)	K_2 (M ⁻¹)
An	β -CD	8.0	1:1		190	
	β -CD	9.0	1:1		780	
	am- β -CD	8.0	1:1		680	
Cry	am- β -CD	9.0	1:1		2500	
	β -CD	8.0	1:1+1:2		2800	3800
	β -CD	9.0	1:1+1:2		2300	1560
Py	am- β -CD	8.0	1:1+1:2		2000	2700
	am- β -CD	9.0	1:2	3.4		
	β -CD	8.0	1:2	7.5		
Aq	β -CD	9.0	1:2	12.0		
	am- β -CD	8.0	1:2	1.7		
	am- β -CD	9.0	1:2	4.8		
Xan	β -CD	8.0	1:2	2.4		
	β -CD	9.0	1:2	10.8		
	am- β -CD	8.0	1:2	1.4		
Xan	Am- β -CD	9.0	1:2	2.3		
	β -CD	8.0	1:1		420	
	β -CD	9.0	1:1		1100	
Xan	Am- β -CD	8.0	1:2	3.7		
	Am- β -CD	9.0	1:2	4.5		

All stability constants were reproducible within 10%.

As can be seen from the data reported in Table 1, in many cases the complexation of fluorophore to β -CD or to am- β -CD can be described by sequential complexation of cyclodextrin molecules (Eqs. 1 and 2):¹⁴



The overall stability constant will be given by Eq. 3:

$$\beta_2 = K_1 K_2 = [S(CD)_2]/[S][CD]^2 \quad (3)$$

If $[CD] \gg [S]$ and if the complex having stoichiometric ratio 1:2 is predominant, the change of fluorescence intensity as function of CD concentration will be given by Eq. 4:

$$\Delta I = \Delta\alpha\beta_2 S_t [CD]_0^2 / (1 + \beta_2 [CD]_0^2) \quad (4)$$

where $\Delta\alpha$ is the difference of emission quantum yields of free and complexed substrate, S_t and CD_0 are the total concentration of substrate and cyclodextrin, respectively.

In the presence of β -CD at pH=8.0 and pH=9.0 or am- β -CD at pH=8.0, fluorescence spectra of Cry showed a particular trend. In fact, at a given wavelength, fluorescent intensity firstly increases with CD concentration, then decreases. In these cases, we have supposed that the two

different complexes (1:1 and 1:2) were present at comparable concentrations and we analysed experimental data using Eq. 5:

$$\Delta I = (S_1 K_1 \Delta(1) [CD]_0 + S_1 K_1 K_2 \Delta\alpha(2) [CD]_0^2) / (1 + K_1 [CD]_0 + K_1 K_2 [CD]_0^2) \quad (5)$$

where $\Delta\alpha(1)$ and $\Delta\alpha(2)$ are, respectively, the difference of emission quantum yields of free and complexed substrate from 1:1 and 1:2 complexes. Previously, studying the complex formation between α -CD and *para*-nitrosubstituted anilines via *uv*–vis spectroscopy, we observed that the absorbance maximum firstly increases then passes through a maximum and finally decreases on increasing the host concentration. This trend was explained by admitting that two different complexes, having 1:1 and 1:2 stoichiometric ratios, were formed.¹⁵

All substrates used in this work have shown a good sensitivity to microenvironmental changes. In fact, in all cases considered, we have detected significant changes of fluorescent intensity when the CD concentration increased. In particular all fluorescent probes, except for the **Xan**, showed a higher fluorescent intensity when they were included in CD cavity.

In the presence of **Xan**, in both cases, that is, in the presence of β -CD and am- β -CD, fluorescent intensity decreases when the cyclodextrin concentration increases. This result agrees with changes observed in fluorescent intensity by addition of a solvent less polar than water, such as 1,4-dioxane, to an aqueous solution of the ketone.¹⁶

The characteristics of the binary complex fluorophore:CD are obviously affected by different factors. Thus it is really important to consider the different structures of the hosts used.

Indeed, it is common knowledge that substitution of hydroxy groups on the primary rim of the β -CD can significantly modify its binding properties,¹⁷ especially in the presence of substituents, such as amino groups that, as in this case, change their charge when the pH value increases. Furthermore, it is important to realize that, the change of electrostatic charge on the am- β -CD could have significant consequences on the geometric arrangement of the host. Then pH variation can be important in determining both stability and stoichiometric ratio of complex.

On the other hand, the guest structure, with its different polarity or hydrophobicity could also affect the characteristics of the system.

2.1. Host structure

Data reported in Table 1 show that, when it is possible to compare complexes formed by the two different hosts, by virtue of the same stoichiometric ratio, the native β -CD seems to be a better (2–3 times) ligand than am- β -CD.

This result agrees with Kano's hypothesis¹⁸ that attributes the lower binding ability of the am- β -CD, in its partially

charged form, to the occurrence of a distorted structure, owing to electrostatic repulsion among charged groups. Furthermore, it should be considered that when amino groups are protonated, they are able to hamper the cavity desolvation process that has always been considered to be one of the essential steps to promote inclusion complex formation.¹⁹

The binding ability of both hosts is influenced by pH changes and, independent of the guest considered, they form less stable complexes at pH=8.0 than at pH=9.0.

Presumably the increasing base concentration could break the network of hydrogen bonds on the secondary rim allowing a best fit substrate–cyclodextrin complex.

Furthermore, in general, the increase of complex stability, with increasing pH value, is higher for β -CD than for am- β -CD. This result, appears anomalous, considering the extent of charge variation on the am- β -CD at increasing pH values, can be explained by considering characteristics of buffer used to carry out measurements.

On this topic, it has recently been reported that the stability of host–guest complexes, formed by charged cyclodextrin, can be influenced by the charge of the buffer used.²⁰ Under this light, in our opinion, we may presume that the borate anion, is able to partially compensate the positive charge on the am- β -CD, with an overall decrease of its unfavourable effect, at pH=8.0.

Also the stoichiometric ratio seems to be influenced by the binding ability of the am- β -CD. Probably the am- β -CD, owing to geometric modifications of the cavity and to strong solvation, includes the guest less deeply in its cavity. This could explain why in the presence of both **Cry** and **Xan**, on going from the β -CD to the am- β -CD, formation of 1:2 complexes, becomes favoured.

2.2. Guest structure

The guests studied have different polarity and hydrophobicity. In particular hydrophobicity increases going from **An** to **Py** or **Cry**, on increasing the number of fused aromatic rings.

Guests having three fused rings (**An**, **Xan** and **Aq**) differ for characteristics of their central ring. This is hydrophobic for **An**, moderately hydrophilic and symmetric for **Aq**, more hydrophilic and unsymmetric for **Xan**.

Data reported in Table 1 show that these structural characteristics are able to influence both the stability and stoichiometric ratio of complexes. On this subject, whereas **An** forms, both in the presence of β -CD and am- β -CD, complexes having a 1:1 stoichiometric ratio, more hydrophobic guests (**Py** and **Cry**) show a marked trend to form complexes having a 1:2 stoichiometric ratio.

However, comparison among these guests also shows that molecular shape is important. Indeed, the non linear structure of **Cry** seems to hamper the formation of species having a 1:2 stoichiometric ratio. This result could explain

why in the presence of this guest, having four aromatic rings as **Py**, both complexes (1:1 and 1:2) are present in comparable amounts.

Complex stability for guests of similar hydrophobicity changes with their shape. Indeed, considering 1:2 complexes (**Cry**/am- β -CD and **Py**/am- β -CD at pH=9.0) the more symmetric molecule forms a more stable complex.

Among guests having three fused rings, complexes having a 1:2 stoichiometric ratio begin to predominate going from **An** to **Aq**.

In the presence of β -CD, **Xan** forms a 1:1 complex. The same result was previously found by Bohne et al.²¹ Probably, in this case, a favourable dipole–dipole interaction is operative. Indeed, the **Xan** molecule should be included in a such manner that its C_2 symmetry axis is not parallel to the secondary rim of β -CD. The endocyclic oxygen atom of the **Xan** molecule should be located near to the rim whereas the carbonyl group is directed towards the bulk of solution. This could justify the preference for the 1:1 complex with β -CD. This arrangement allows hydrogen bond formation between the secondary hydroxy groups of β -CD and oxygen atom of the guest. In our opinion, this additional interaction can explain why the complex formed by **Xan** is more stable than that formed by more hydrophobic **An**.

Aq forms complexes having a 1:2 stoichiometric ratio, both in the presence of β -CD and am- β -CD. Recently Dong et al.,²² studying inclusion of some pharmaceutically related molecules, reported that **Aq**, in the presence of β -CD forms a 1:1 complex, where only the hydrophobic part of the guest penetrates in host cavity. This different result could be a consequence of the different host/guest ratios used in the two cases.

However, **Aq**/am- β -CD complexes are less stable than the **Xan**/am- β -CD ones. Probably, in this case, notwithstanding the same stoichiometric ratio, higher stability could be due to hydrogen bonds that **Xan** can form with secondary rim of am- β -CD.

2.3. Computational models

Further insights were achieved by means of suitable computational tools. Models of the complexes in the gas phase were elaborated and subjected to full geometry optimisation, by means of a MM2/QD²³ molecular mechanics method (see Section 4). Computational data, reported in Table 2, allowed us to calculate the energy variations $\Delta E_{r(1:1)}$ and $\Delta E_{r(1:2)}$ associated to the formation of the 1:1 and 1:2 complexes respectively. Noticeably, the am- β -CD was considered only in its neutral form (calculations in the gas phase on charged species do not allow reliable enough predictions).²³

Data reported in Table 2 show that complexes having a 1:2 stoichiometric ratio are always favoured. This could be the result of increasing of hydrophobic interactions.

In the gas phase and in the presence of am- β -CD, **Xan**, **Py** and **Aq** show a higher tendency to form 1:2 complexes. This result perfectly agrees with that obtained in buffer solution at pH=9.0.

However, in order to perform a comparison with our experimental data in solution, $\Delta E_{r(1:2)}$ data are clearly overestimated. This could be due, in our opinion, to the mutual interaction between the two host hydroxylated rims.

The latter energy contribution, ΔE_{2h} , formally related to the ideal process (Eq. 6):



can be easily calculated.

Nevertheless, we may reasonably presume that in solution, owing to the solvation of the rims, this contribution, should be less relevant.

As can be seen by comparing columns 5 and 8 of Table 2, MM2 calculations foresee only for the **Py** and **Cry** with β -CD a higher stability of 1:2 complexes with respect to 1:1 ones. For all other fluorophores the 1:1 complexes are calculated to be as stable as, or even, more stable than the

Table 2. Calculated (MM2) binding energies (kcal/mol)

Guest	Host	$E_{st/guest}^a$	$E_{st/cplx(1:1)}^b$	$E_{st/cplx(1:2)}^c$	$E_{st/2CD}^d$	$\Delta E_{r(1:1)}^e$	$\Delta E_{r(1:2)}^f$	ΔE_{r2h}^g	ΔE_r^h
An	β -CD	-17.04	38.70	70.96	158.29	-35.35	-58.80	-23.83	-34.97
	Am- β -CD		34.03	64.00	144.40	-41.49	-62.59	-40.72	-21.87
Cry	β -CD	-17.40	30.35	54.49	160.30	-43.31	-66.92	-21.82	-45.1
	am- β -CD		25.72	54.00	142.54	-49.44	-64.28	-42.58	-21.7
Py	β -CD	-21.37	35.44	61.57	160.89	-34.25	-64.23	-21.23	-42.98
	am- β -CD		39.92	54.47	159.76	-31.27	-76.51	-25.36	-51.15
Aq	β -CD	8.05	56.34	95.35	163.12	-42.77	-52.05	-19.00	-33.05
	am- β -CD		62.75	86.22	153.23	-37.86	-69.09	-31.89	-37.2
Xan	β -CD	3.89	55.14	89.60	147.78	-39.81	-56.6	-34.34	-22.26
	am- β -CD		56.09	85.69	148.75	-37.00	65.96	-36.67	-29.29

^a Steric energy of guest.

^b Steric energy of 1:1 complex.

^c Steric energy of 1:2 complex.

^d Steric energy of two CD molecule in the (1:2) complex deprived of guest.

^e Enthalpy of reaction: $\text{CD} + \text{guest} > \text{Cplx (1:1)}$.

^f Enthalpy of reaction: $\text{Cplx (1:1)} + \text{CD} > \text{Cplx (1:2)}$.

^g Enthalpy of reaction: $2\text{CD} > (\text{CD})_2$.

^h $\Delta E_{r(1:2)} - \Delta E_{r2h} - E_{ster/\beta\text{-CD}} = 91.06$ kcal/mol. $E_{ster/\text{am-}\beta\text{-CD}} = 92.56$ kcal/mol.

1:2 complexes. The latter results appear in fairly good agreement with experimental data. However, some discrepancies are still present. This could be due, in our opinion, partly to the neglect of any entropic contribution, partly to the fact that, in the absence of any explicit solvent environment in the calculation, only the 'naked' host–guest interaction is actually taken into account.

3. Conclusions

Data collected in the present work have allowed us to characterize some binary complexes fluorophore: cyclodextrins. We hope that these complexes, having significantly different properties, can show different chiral recognition abilities. Moreover, we have confidence that they will allow us to realize which factors determine the high or low ability of a receptor to act as chiral selector.

4. Experimental

4.1. Materials

The heptakis-(6-amino-6-deoxy)- β -cyclodextrin was synthesized and purified according to the procedure described in the literature.²⁴ The product was dried for 24 h in a dryer under vacuum over phosphorous pentoxide at 60 °C and then was stored in the same apparatus at 40 °C.

Py, **Xan**, **An**, **Cry** and **Aq** (spectrofluorimetric grade) were purchased from Fluka and used without further purification.

Borate buffer solutions (0.05 M) were prepared according to standard procedure, using freshly double-distilled decarbonised water. The actual pH of the solutions was recorded using a PH M82 Radiometer equipped with a GK2401C combined electrode.

4.2. Spectrometric measurements

The solutions of β -CD and am- β -CD (1.4×10^{-3} M) were filtered before use by a Millipore 0.45 mm filter. Guest aqueous solutions were prepared by injecting a guest solution (MeOH or 1,4-dioxane) ($\approx 10^{-3}$ M) into a buffer solution. Measurement solutions were prepared by adding increasing volumes of CD to 1 ml of guest solution into a volumetric flask. In these solutions, the concentration of guest, reported in Table 3, was constant, while the concentrations of CD increased from 1.4×10^{-4} M to 1.3×10^{-3} M. All measurement solutions were de-aerated, before use, by Ar for 12 min.

Table 3. Experimental conditions

Guest	C_{guest} (M)	λ_{ex} (nm)	$\Delta\lambda_{\text{em}}$ (nm)	Solvent	Ex. slit (nm)	Em. slit (nm)
An	5×10^{-7}	261	360–450	MeOH	3	3
Cry	2×10^{-7}	276	350–450	MeOH	3	3
Py	2×10^{-7}	337	360–450	MeOH	1.5	1.5
Aq/β-CD	2×10^{-6}	310	320–600	Diox	5	5
Aq/am-β-CD	2×10^{-6}	310	320–600	Diox	3	5
Xan	4×10^{-6}	348	360–450	MeOH	1.5	3

Steady-state fluorescence spectra were acquired with a JASCO FP-777W spectrofluorimeter. Excitation, emission slits, excitation wavelength and emission interval are reported in Table 3.

Every spectrum was averaged over 50 scans. A suitable wavelength was chosen after recording a 'difference spectrum' by comparison to a sample without cyclodextrin and one with the highest CD concentration.

4.3. Calculations

MM2 calculations were performed by means of the CS Chem 3D Pro™ 5.0 software package from the Cambridge Soft Corporation. Models of the host and their complexes were elaborated with the aid of the 'Quenched Dynamics' (QD) method outlined by Lipkowitz.²⁵ The behaviour of a suitable starting model of the complex at 300 K is simulated by molecular dynamics for a period of 1000 ps, in order to get a significant picture of the conformational space. Structures are sampled from the obtained 'simulation pool' and allowed to undergo full geometry optimisation by means of a simulated annealing procedure. In this way, only a limited number of energy minima are found. Data reported in Table 2 refer to the absolute minimum found for each complex.

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