

# TLR4 up-regulation and reduced Foxp3 expression in mechanically ventilated smokers with obstructive chronic bronchitis.

| Journal:                      | COPD: Journal Of Chronic Obstructive Pulmonary Disease   |
|-------------------------------|--|
| Manuscript ID:                | COPD-2012-0075.R1  |
| Manuscript Type:              | Original Paper   |
| Date Submitted by the Author: | 28-Jun-2012  |
| Complete List of Authors:     | Pace, Elisabetta; Consiglio Nazionale delle Ricerche, Istituto di Biomedicina<br>e Immunologia Molecolare<br>Ferraro, Maria; Consiglio Nazionale delle Ricerche, Istituto di Biomedicina e<br>Immunologia Molecolare<br>Giarratano, Antonino; Università degli Studi di Palermo, DARE<br>Cipollina, Chiara; Fondazione Ri.Med,<br>Gjomarkaj, Mark; Consiglio Nazionale delle Ricerche, Istituto di<br>Biomedicina e Immunologia Molecolare |
| Keywords:                     | toll like receptors, Foxp3, chemokines   |
|                               |  |

SCHOLARONE<sup>™</sup> Manuscripts



| 2        |    |  |
|----------|----|--|
| 3        | 1  | TITLE: TLR4 up-regulation and reduced Foxp3 expression in mechanically ventilated  |
| 4        |    |  |
| 5        | 2  | smokers with obstructive chronic bronchitis.   |
| 6        |    |  |
| 7        | 3  |  |
| 8<br>9   | 4  |  |
| 10       | 5  | AUTHORS: Elisabetta Pace MD <sup>1*</sup> , Maria Ferraro PhD <sup>1*</sup> , Antonino Giarratano MD <sup>2</sup> , Chiara |
| 11       |    |  |
| 12       | 6  | Cipollina PhD <sup>1-3</sup> , Mark Gjomarkaj MD <sup>1</sup>  |
| 13       | 0  |  |
| 14       | 7  |  |
| 15       | 7  |  |
| 16       | 8  | *Elisabetta Pace and Maria Ferraro equally contributed to the manuscript.  |
| 17       | 0  | Ensabella Face and Maria Ferraro equariy contributed to the manuscript.  |
| 18       |    |  |
| 19<br>20 | 9  | <b>FROM:</b> <sup>1</sup> Istituto di Biomedicina e Immunologia Molecolare (IBIM) – Consiglio Nazionale delle              |
| 20       |    |  |
| 22       | 10 | Ricerche (CNR) - Palermo - ITALY.  |
| 23       |    |  |
| 24       | 11 | <sup>2</sup> Dipartimento di Anestesiologia, Rianimazione e delle Emergenze (DARE) - Università degli                      |
| 25       | 11 |  |
| 26       | 12 | Studi di Palermo - Palermo - ITALY.  |
| 27       | 12 |  |
| 28       |    | <sup>3</sup> RiMed Foundation – Palermo - Italy  |
| 29       | 13 | - Kilvied Foundation – Patermo - Italy   |
| 30<br>31 | 14 | Funded by Italian National Descent Council   |
| 32       | 14 | Funded by Italian National Research Council  |
| 33       | 15 | ADDRESS FOR CORRESPONDENCE: Elisabetta Pace M.D Istituto di Biomedicina e  |
| 34       | 15 | ADDRESS FOR CORRESPONDENCE: Elisabella Face M.D Isuluto di Biomedicina e   |
| 35       | 16 | Immunologia Molecolare – Consiglio Nazionale delle Ricerche - Via Ugo La Malfa, 153 - 90146                                |
| 36       | 10 | minunologia Molecolare –Consigno Nazionale dene Ricerche - Via Ogo La Mana, 155 - 90140                                    |
| 37       | 17 | Delarmo ITALV  |
| 38       | 17 | Palermo - ITALY.   |
| 39<br>40 | 10 | Dhamay 1 20 001 690 01 49  |
| 40<br>41 | 18 | Phone: +39.091.680-9148;   |
| 42       | 19 | Fax: +39.091. 680-9122;  |
| 43       | 19 | rax: +59.091. 080-9122;  |
| 44       | 20 | Fax: +39.091. 680-9122;<br>e-mail: pace@ibim.cnr.it  |
| 45       | 20 | e-mail: pace@ioini.cm.n  |
| 46       | 21 |  |
| 47       | 21 |  |
| 48       | 22 | <b>RUNNING HEAD: Innate immunity and immune regulation in acute respiratory failure.</b>                                   |
| 49<br>50 |    | KUNNING HEAD: Innate minumity and minume regulation in acute respiratory familie.  |
| 51       | 23 |  |
| 52       | 23 |  |
| 53       | 24 | <b>KEY WORDS</b> : toll like receptors, Foxp3, chemokines, smokers, respiratory failure.                                   |
| 54       | ∠4 | <b>NET WORDS</b> . IOH HRE ICCEPTOIS, FORPS, CHEMORINES, SHIOREIS, TESPHATORY famule.                                      |
| 55       | 25 |  |
| 56       | 23 |  |
| 57       |    |  |
| 58<br>50 |    |  |
| 59<br>60 |    |  |
| 00       |    |  |

| 1<br>2  |    |  |
|---|----|--|
| 3<br>4  | 26 | LIST OF ABBREVIATIONS  |
| 5<br>6<br>7   | 27 | COPD=Chronic obstructive pulmonary disease; C= Controls; CB= chronic bronchitis; S= smokers; |
| 8<br>9  | 28 | Mini-BAL= mini-bronchoalveolar lavage; TLR= Toll like receptor; Foxp3=f forkhead box P3; IP- |
| 10<br>11  | 29 | 10= interferon gamma induced protein 10; IL-8= interleukin 8.                                |
| 12<br>13<br>14<br>15<br>16<br>7<br>8<br>9<br>0<br>12<br>23<br>24<br>52<br>67<br>89<br>0<br>31<br>23<br>34<br>53<br>67<br>89<br>0<br>41<br>23<br>44<br>54<br>78<br>90<br>12<br>23<br>45<br>67<br>89<br>0<br>31<br>23<br>34<br>56<br>78<br>90<br>41<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>67<br>89<br>0<br>12<br>23<br>45<br>56<br>7<br>89<br>0<br>12<br>23<br>45<br>56<br>7<br>89<br>0<br>12<br>23<br>45<br>56<br>7<br>89<br>0<br>12<br>23<br>45<br>56<br>7<br>89<br>0<br>12<br>23<br>45<br>56<br>7<br>89<br>0<br>12<br>23<br>45<br>56<br>7<br>56<br>7<br>56<br>7<br>56<br>75<br>56<br>57<br>56<br>57<br>56<br>57<br>56<br>57<br>56<br>57<br>56<br>57<br>56<br>57<br>56<br>57<br>56<br>57<br>57<br>57<br>57<br>57<br>57<br>57<br>57<br>57<br>57<br>57<br>57<br>57 | 30 | 10= interferon gamma induced protein 10; IL-8= interleukin 8.                                |

| 1              |    |   |
|----------------|----|---|
| 2<br>3<br>4    | 31 | CONFLICTS OF INTEREST   |
| 5<br>6         | 32 | Elisabetta Pace- Competing interests: None declared.  |
| 7<br>8         | 33 | Maria Ferraro - Competing interests: None declared.   |
| 9<br>10<br>11  | 34 | Antonino Giarratano- Competing interests: None declared.  |
| 12<br>13       | 35 | Chiara Cipollina-Competing interests: None declared.  |
| 14<br>15       | 36 | Mark Gjomarkaj- Competing interests: None declared.   |
| 16<br>17       | 37 |   |
| 18<br>19<br>20 | 38 |   |
| 21<br>22<br>23 | 39 | Chiara Cipollina-Competing interests: None declared.<br>Mark Gjomarkaj- Competing interests: None declared. |
| 24             |    |   |
| 25             |    |   |
| 26<br>27       |    |   |
| 28             |    |   |
| 29             |    |   |
| 30             |    |   |
| 31<br>32       |    |   |
| 33             |    |   |
| 34             |    |   |
| 35             |    |   |
| 36             |    |   |
| 37<br>38       |    |   |
| 39             |    |   |
| 40             |    |   |
| 41             |    |   |
| 42<br>43       |    |   |
| 43<br>44       |    |   |
| 45             |    |   |
| 46             |    |   |
| 47             |    |   |
| 48<br>49       |    |   |
| 49<br>50       |    |   |
| 51             |    |   |
| 52             |    |   |
| 53             |    |   |

| 40 | SUMMARY   |
|----|---|
| 41 | Background. Chronic bronchitis (CB) is a risk factor in chronic obstructive pulmonary disease       |
| 42 | (COPD) for accelerated lung function decline and increased mortality. The lung and systemic         |
| 43 | inflammatory and immunological profile of COPD patients with CB which acutely experience            |
| 44 | respiratory failure upon a disease exacerbation is unknown.   |
| 45 | Methods. In this study, we explored the expression of Foxp3 by western blot analysis, TLR4 by       |
| 46 | immunocytochemistry and the concentrations of IP-10 and IL-8 by ELISA in the mini-                  |
| 47 | bronchoalveolar lavages (mini-BAL) and in the peripheral blood of patients with respiratory failure |
| 48 | requiring intubation and mechanical ventilation. The recruited subjects were separated into three   |
| 49 | different groups: smokers with CB and COPD (COPD, n=18), smokers with CB but without COPD           |
| 50 | (S, n=8) and patients without CB and without COPD (C, n=10).  |
| 51 | Results. In mini-BAL of COPD group, Foxp3 and IP-10 were significantly reduced while TLR4           |
| 52 | was significantly increased in comparison to C. TLR4 was also increased in mini-BAL of S. In        |
| 53 | COPD peripheral blood, Foxp3 was reduced in comparison to C but no significant differences were     |
| 54 | observed for TLR4 and for IP-10. No significant differences were observed for IL-8 concentrations   |
| 55 | in the mini-BAL and in the blood of the recruited patients. The mini-BAL TLR4 expression            |
| 56 | correlated with the Clinical Infective Pulmonary Score.   |
| 57 | Conclusions. In exacerbated COPD patients with respiratory failure, lung and systemic reduced       |
| 58 | immune regulatory events (low Foxp3 expression) and lung increased innate immunity responses        |
| 59 | (high TLR4 expression) occur. These events may contribute to the increased inflammatory events      |
| 60 | leading to respiratory failure.   |
| 61 |   |

| 1                    |    |   |
|----------------------|----|---|
| 2<br>3<br>4          | 62 | INTRODUCTION  |
| 5<br>6               | 63 | Chronic obstructive pulmonary disease (COPD) is a heterogeneous disease, is associated with           |
| 7<br>8               | 64 | pulmonary and extra-pulmonary clinical manifestations and includes different clinically relevant      |
| 9<br>10              | 65 | subtypes (1). One of the COPD subtypes is characterized by chronic bronchitis (CB). CB is a risk      |
| 11<br>12             | 66 | factor for accelerated lung function decline in COPD, increased hospitalization, and increased        |
| 13<br>14<br>15       | 67 | mortality (2).  |
| 16<br>17             | 68 | Cigarette smoke represents the most important risk factor for CB (3). Chronic oxidative stress of     |
| 18<br>19             | 69 | cigarette smoking induces mucus secretion and the increased mucus viscosity renders the airways       |
| 20<br>21             | 70 | susceptible to bacterial infections, a hallmark of CB (3).  |
| 22<br>23             | 71 | A key component of the innate immunity and of the innate defence mechanisms against infections        |
| 24<br>25<br>26       | 72 | is represented by the toll like receptor (TLR) family (4).  |
| 27<br>28             | 73 | A recent hypothesis regarding COPD pathogenesis suggests as "step 1 of the disease" the activation    |
| 29<br>30             | 74 | of innate responses by injured tissue components (5). Products derived from epithelial cell injury    |
| 31<br>32             | 75 | can act as ligands for TLR4 and TLR2, thus amplifying inflammatory responses within the airways.      |
| 33<br>34<br>35       | 76 | Cigarette smoke is able to increase the expression of TLR4 and to orientate the activation of TLR4    |
| 36<br>37             | 77 | toward an increased release of IL-8 and a reduced release of interferon gamma-induced protein 10      |
| 38<br>39             | 78 | (IP-10) in bronchial epithelial cells (6).  |
| 40<br>41             | 79 | In the airways of COPD patients mechanically ventilated due to acute respiratory failure, there is an |
| 42<br>43             | 80 | increased expression of TLR4 and an increased chemotactic activity toward neutrophils but a           |
| 44<br>45<br>46       | 81 | reduced concentration of IP-10 with a reduced chemotactic activity toward lymphocytes (7). The        |
| 47<br>48             | 82 | increased airway inflammation in the airways of COPD patients may also be sustained by the            |
| 49<br>50             | 83 | impairment of immune regulatory events and in particular may be linked to the reduced expression      |
| 51<br>52             | 84 | of the forkhead box P3 (Foxp3), a transcription factor crucially involved in T regulatory activities. |
| 53<br>54             | 85 | COPD patients have, in small airways, decreased numbers of Foxp3 positive cells that negatively       |
| 55<br>56<br>57<br>58 | 86 | correlate with airflow obstruction (8) (9). Although COPD is associated with lung and systemic        |

inflammation, it is unknown whether the alteration in the innate and immune regulatory events observed in the airways of COPD patients are also present in the systemic compartment.

The objectives of this study were to investigate the immune regulatory events and the inflammatory .ink .i an acute re .i. vithout COPD and without C. .ioin of Foxp3 and the release of specific and the host defence responses in the airways and in the systemic compartment of COPD patients with CB. Exacerbated COPD patients who experienced an acute respiratory failure requiring endotracheal intubation and mechanical ventilation were compared to patients requiring also intubation and mechanical ventilation but without COPD and without CB. In the recruited patients the expression of TLR4, the expression of Foxp3 and the release of specific chemokines (IP-10 and IL-8) were investigated.

| 1<br>2<br>3<br>4 | 97  | MATERIALS AND METHODS   |
|------------------|-----|---|
| 5<br>6<br>7<br>8 | 98  | Patient population  |
| 9<br>10          | 99  | This study was conducted at the ICU of the Department of Anestesiology, Reanimation and                               |
| 11<br>12         | 100 | Emergency of the University of Palermo, Italy. Local ethic committee permission and informed                          |
| 13<br>14<br>15   | 101 | written consent from either the patient or closest relatives were obtained. The patients were                         |
| 16<br>17         | 102 | classified into the following groups: 1) subjects without smoking history, without history of                         |
| 18<br>19         | 103 | previous CB or chronic pulmonary diseases including asthma and COPD and with acute respiratory                        |
| 20<br>21         | 104 | failure upon surgery for abdominal or thoracic aneurysm, (Controls =C; n=10); 2) smoking subjects                     |
| 22<br>23         | 105 | (>15 pack-year) with CB, without COPD and with acute respiratory failure upon surgery for                             |
| 24<br>25<br>26   | 106 | abdominal or thoracic aneurysm (S; n=8); 3) smoking subjects (>15 pack-year) with CB with                             |
| 27<br>28         | 107 | COPD (GOLD-1-2) and with acute respiratory failure upon treatment failure of an acute                                 |
| 29<br>30         | 108 | exacerbation (COPD; n=18). The COPD patients fulfilled the diagnostic criteria of COPD (10) with                      |
| 31<br>32         | 109 | a post-bronchodilator obstruction (FEV <sub>1</sub> < 80% predicted, and FEV <sub>1</sub> /FVC ratio < 70%). Patients |
| 33<br>34<br>35   | 110 | with x-ray or clinical evidence of sepsis or pneumonia at the time of mini-bronchoalveolar lavage                     |
| 36<br>37         | 111 | (mini-BAL) collection were not included. CB were defined on the basis of symptoms and in                              |
| 38<br>39         | 112 | particular productive cough lasting more than three months in more than two years. All recruited                      |
| 40<br>41         | 113 | subjects required mechanical ventilation and underwent therapy with antibiotics and systemic                          |
| 42<br>43         | 114 | corticosteroids (no significantly different doses among the patients included in the three groups).                   |
| 44<br>45<br>46   | 115 | The antibiotics were adjusted to cover any identified pathogens on the basis of antibiograms. COPD                    |
| 40<br>47<br>48   | 116 | patients, before COPD exacerbation, were undergoing therapy with bronchodilators but not with                         |
| 49<br>50         | 117 | corticosteroids. Exacerbations were defined as previously reported (10) and were treated with                         |
| 51<br>52         | 118 | antibiotics and systemic corticosteroids. At the ICU admission, data for Clinical Pulmonary                           |
| 53<br>54         | 119 | Infective Score (CPIS), the simplified Acute Physiology Score (SAPS II) and sepsis-related organ                      |
| 55<br>56<br>57   | 120 | failure assessment (SOFA) were collected from each recruited patient. Paired mini-BAL and blood                       |
| 58<br>59<br>60   | 121 | samples were collected from all participants. Microbiology of mini-BAL was also assessed.                             |

## 122 mini-BAL collection and processing

Distal lung fluid samples (mini-BAL) were obtained using BAL Cath system (by Kimberly Clark) within 1 h from the intubation. The protected catheter was blindly advanced through the endotracheal tube until it was wedged into a distal airway and aliquots of 10 ml of sterile 0.9% NaCl were instilled and gently suctioned (recovered volume about 70% of the instilled volume). Mini-BAL samples were filtered through a sterile gauze and then centrifuged at 1300 rpm for 10 min to separate cells from supernatants. Total and differential (diff-quick staining) cell counts were assessed. The cell fraction was used for immunocytochemistry and western blot experiments. The supernatants were assessed for cytokine levels.

## 131 Blood samples

Blood samples (10 ml) were collected from C, S, COPD subjects and then processed for obtaining plasma and peripheral blood mononuclear cells (PBMC). PBMC were isolated from blood s by Ficoll-Hypaque (Pharmacia) gradient centrifugation. The cells were suspended in RPMI 1640 tissue culture medium (Invitrogen Life Technologies) supplemented with 1% heat-inactivated FCS (Invitrogen Life Technologies), 2 mM L-glutamine, 20 mM HEPES, 100 U/ml penicillin, 100  $\mu$ g/ml streptomycin, 5 x 10<sup>-5</sup> M 2-ME and 85  $\mu$ g/ml gentamicin. Purity and viability were tested using trypan blue exclusion.

## 139 Immunocytochemistry

140 The expression of TLR4 was evaluated using a rabbit polyclonal antibody (Santa Cruz

141 Biotechnology). Immunocytochemistry was performed using AP-LSAB2 (DAKO, Glostrup,

142 Denmark) kit following the manufacturer's instructions and new Fuchsin as chromogenic substrate

143 (DAKO) (cytoplasmic red staining). Negative controls were performed using rabbit or mouse

144 negative control immunoglobulins (DAKO). Data are expressed as percentage of positive cells.

## 145 Western blot analysis

The expression of Foxp3 was evaluated by western blot analysis as previously described (11) with minor modifications. 40 µg of total protein were loaded in the gel. All blots were probed using a goat polyclonal antibody anti-Foxp3 (1:100) (Santa Cruz Biotechnology, Santa Cruz, CA). Membranes were then stripped and incubated with goat polyclonal anti-ß-actin (Sigma) as housekeeping protein to normalize differences in protein loading. Revelation was performed with an enhanced chemioluminescence system (GE Healthcare, Chalfont St. Giles, UK) followed by autoradiography. Negative controls were performed in the absence of primary antibody or including an isotype control antibody. Data are expressed as densitometric arbitrary units by correction with the density of the bands obtained for beta-actin. Measurement of IL-8 and IP-10 The concentrations of IL-8 and IP-10 in plasma from C, S, CB-COPD subjects were determined by an enzyme-linked immunosorbent assays (ELISA) following the manufacturer's instructions (Quantikine; R&D Systems, Minneapolis, MN).

## 159 Statistics

160 Data are expressed as median (25-75 percentiles). Kruskal Wallis test was performed for

161 comparisons among patient groups. A non-parametric Mann Whitney test was then applied as the

162 initial Kruskal Wallis test was significant. The Wilcoxon test was used for comparisons between

163 mini-BAL and autologous peripheral blood in each recruited patient. The Spearman test was used

164 for correlations. P< 0.05 was accepted as statistically significant.

| 166 | RESULTS  |
|-----|--|
| 167 | Demographic characteristics of the subjects.   |
| 168 | The demographic characteristics of the three study groups are shown in Table 1. SAPS II and SOFA       |
| 169 | scores revealed no significant differences in the recruited patients. The CPIS score was significantly |
| 170 | higher in COPD than in C (Table 1). No significant differences for CPIS score were shown in S in       |
| 171 | comparison to C and to COPD. The total and the differential cell counts of mini-BAL are shown in       |
| 172 | Table 2. Significantly higher numbers of total cells and of neutrophils were present in COPD           |
| 173 | patients. Microbiology of mini-BAL was shown in table 3.   |
| 174 |  |
| 175 | Expression of TLR4 in cells from mini BAL and from peripheral blood cells.                             |
| 176 | The percentage of TLR4 positive cells was significantly higher in mini-BAL cells from COPD and         |
| 177 | from S in comparison to mini-BAL from C. The percentage of TLR4 positive cells was significantly       |
| 178 | higher in mini-BAL cells from COPD in comparison to mini-BAL from S and to autologous                  |
| 179 | peripheral blood (figure 1) (table 4). No significant differences in TLR4 expression were observed     |
| 180 | in peripheral blood cells among C, S and COPD (figure 1) (table 4).                                    |
| 181 | Concentrations of IL-8 and of IP-10 in mini BAL and in peripheral blood cells.                         |
| 182 | The concentrations of IL-8 were significantly higher in mini-BAL of all the recruited patients (C, S   |
| 183 | and COPD patients) in comparison to autologous peripheral blood (figure 2). No significantly           |
| 184 | different concentrations of IL-8 were observed in mini-BAL and in peripheral blood among C, S          |
| 185 | and COPD (figure 2). The IP-10 concentrations were significantly reduced in mini-BAL from              |
| 186 | COPD in comparison to C and S and in comparison to autologous peripheral blood (figure 3). The         |
| 187 | IP-10 concentrations were significantly increased in peripheral blood from COPD in comparison to       |
| 188 | mini-BAL from C. No significantly different concentrations of IP-10 were observed in peripheral        |
| 189 | blood between S and C (figure 3).  |
|     |  |

1 r

| 2   |  |
|---|--|
| 3   |  |
| 4   |  |
| 5<br>6  |  |
|   |  |
| 7<br>8  |  |
| 8   |  |
| 9   |  |
| 10  |  |
| 9<br>10<br>11<br>12<br>13<br>14<br>15<br>16<br>17<br>18<br>19<br>20<br>21<br>22 |  |
| 10  |  |
| 12  |  |
| 13  |  |
| 14  |  |
| 15  |  |
| 16  |  |
| 17  |  |
| 18  |  |
| 10  |  |
| 19  |  |
| 20  |  |
| 21  |  |
| 22  |  |
| 23  |  |
| 23<br>24  |  |
|   |  |
| 26  |  |
| 25<br>26<br>27  |  |
|   |  |
| 20<br>29  |  |
| 29  |  |
| 30  |  |
| 31  |  |
| 32  |  |
| 33<br>34<br>35<br>36<br>37  |  |
| 34  |  |
| 35  |  |
| 36  |  |
| 27  |  |
| 31  |  |
| 38<br>39  |  |
| 39  |  |
| 40  |  |
| 41  |  |
| 42  |  |
| 43  |  |
| 44  |  |
| 45  |  |
|   |  |
| 46  |  |
| 47  |  |
| 48  |  |
| 49  |  |
| 50  |  |
| 51  |  |
| 52  |  |
| 53  |  |
| 53<br>54  |  |
| 54<br>55  |  |
| 55  |  |
| 56  |  |
| 57  |  |
| 58  |  |
| 59  |  |
|   |  |

60

#### 190 Expression of Foxp3 in mini BAL and in peripheral blood cells.

191 In COPD and in S the expression of Foxp3 in mini-BAL and in peripheral blood was significantly

192 lower than in C (figure 4 A-B) (table 4). In COPD the expression of Foxp3 in mini-BAL and in

193 peripheral blood was significantly lower than in S (figure 4 A-B) (table 4). No significant

194 differences were observed in the expression of Foxp3 in mini-BAL and in autologous peripheral

195 blood in all the recruited patients.

#### 196 Correlations

197 Finally, we tested whether the observed alterations in TLR4, Foxp3 and IP-10 in both lung and

198 systemic compartments correlate with clinical scores of severity. CPIS correlates with mini-BAL

199 TLR4 expression (figure 5) but not with the other markers (Foxp3 and IP-10) (data not shown). No

n. SOFA oi 200 significant correlations were observed between SOFA or SAPS II score with any of the tested

201 markers (data not shown).

| 1<br>2<br>3   | 203 | DISCUSSION   |
|---|-----|--|
| 4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>23<br>14<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>23<br>14<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>23<br>24<br>5<br>26<br>7<br>28<br>9<br>30<br>132<br>33<br>4<br>5<br>6<br>37<br>8<br>9<br>0<br>41<br>22<br>23<br>45<br>26<br>7<br>8<br>9<br>30<br>31<br>23<br>34<br>5<br>6<br>37<br>8<br>9<br>10<br>11<br>23<br>34<br>5<br>6<br>37<br>8<br>9<br>10<br>11<br>23<br>24<br>5<br>26<br>7<br>8<br>9<br>10<br>11<br>23<br>24<br>5<br>26<br>7<br>8<br>9<br>10<br>11<br>23<br>24<br>5<br>26<br>7<br>8<br>9<br>10<br>11<br>23<br>24<br>5<br>26<br>7<br>8<br>9<br>10<br>11<br>23<br>24<br>5<br>26<br>7<br>28<br>9<br>20<br>12<br>23<br>24<br>5<br>26<br>7<br>28<br>9<br>30<br>13<br>23<br>34<br>5<br>36<br>37<br>8<br>9<br>30<br>12<br>23<br>24<br>5<br>26<br>7<br>28<br>9<br>30<br>132<br>33<br>4<br>5<br>36<br>37<br>8<br>9<br>30<br>12<br>23<br>24<br>5<br>26<br>7<br>28<br>9<br>30<br>132<br>33<br>4<br>5<br>36<br>37<br>8<br>9<br>0<br>12<br>23<br>24<br>5<br>26<br>7<br>28<br>9<br>30<br>132<br>33<br>4<br>5<br>36<br>37<br>8<br>9<br>0<br>12<br>23<br>24<br>5<br>26<br>7<br>28<br>9<br>30<br>132<br>33<br>4<br>5<br>36<br>37<br>8<br>9<br>0<br>11<br>23<br>34<br>5<br>36<br>37<br>8<br>9<br>0<br>12<br>23<br>23<br>24<br>5<br>26<br>7<br>28<br>9<br>30<br>132<br>3<br>34<br>5<br>36<br>37<br>38<br>9<br>0<br>12<br>23<br>34<br>5<br>36<br>37<br>23<br>34<br>5<br>36<br>37<br>3<br>34<br>5<br>36<br>37<br>3<br>34<br>5<br>36<br>37<br>3<br>34<br>5<br>36<br>37<br>3<br>3<br>34<br>5<br>36<br>37<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3 | 204 | COPD is a heterogeneous disease and includes different clinically relevant subtypes. A divergent       |
|   | 205 | distribution of parenchymal (emphysema) and bronchial airway (chronic bronchitis) (CB) disease         |
|   | 206 | contributes to the phenotypic heterogeneity in COPD (12). COPD is associated not only with an          |
|   | 207 | abnormal inflammatory response in the lung but also with systemic inflammation, including              |
|   | 208 | systemic oxidative stress, activation of circulating inflammatory cells and increased circulating      |
|   | 209 | levels of inflammatory cytokines (12). The low–grade systemic inflammation present in COPD             |
|   | 210 | patients may be responsible for the systemic clinical manifestations of the disease including          |
|   | 211 | malnutrition, muscle wasting, osteoporosis, cardiovascular diseases, type II diabetes, anaemia and     |
|   | 212 | depression (13).   |
|   | 213 | This study explored whether lung and systemic inflammation occur concurrently and similarly in         |
|   | 214 | patients with acute respiratory failure with and without CB or COPD. We demonstrated for the first     |
|   | 215 | time that patients with both CB and COPD who underwent an acute exacerbation have a different          |
|   | 216 | inflammatory profile (IP-10 levels) and a different alteration in the innate immune responses (TLR4    |
|   | 217 | expression) in the airways and in the systemic compartment. Differently, in these patients a similar   |
|   | 218 | alteration in the immune regulatory events (low Foxp3 expression) was observed in the airways and      |
|   | 219 | in the systemic compartment. The novel aspects underlined by the present study are related to the      |
|   | 220 | accurate selection of patients with a similar phenotype (CB) and a similar exposure to risk factor     |
| 42<br>43<br>44  | 221 | (cigarette smoke) in order to limit the variability of the obtained results and to better identify the |
| 45<br>46  | 222 | role of the observed alterations in airway obstruction. Most of the studies report data from smoker    |
| 47<br>48  | 223 | or COPD patients with different phenotypes.  |
| 49<br>50  | 224 | CB is defined on the basis of chronic cough and sputum due to mucus hypersecretion and                 |
| 51<br>52<br>53  | 225 | histologically, it is characterised by airway inflammation, hypertrophy of submucosal mucus            |
| 53<br>54<br>55  | 226 | secreting glands, and goblet cell hyperplasia (14). CB is a risk factor in COPD for accelerated lung   |
| 56<br>57  | 227 | function decline, increased hospitalization, and increased mortality (2). In this regard it has been   |
| 58<br>59  | 228 | demonstrated that the presence of CB may compromise the sterility of distal airway supporting the      |
| 60  |     | URL: http:/mc.manuscriptcentral.com/copd_Email: COPD@nic.org   |

URL: http:/mc.manuscriptcentral.com/copd Email: COPD@njc.org

hypothesis of natural progression from the simple mucus hypersecretion to purulent hypersecretion and obstructive bronchitis (Hogg, Lancet 2004). Here, it is showed that the expression of TLR4 is increased in COPD patients with CB and acute exacerbation in the airways but not in the systemic compartment and this alteration is observed at lower extent also in smokers with CB but without COPD and without acute exacerbation. TLR4 expressed is associated in these patients with the presence of neutrophils which represent the predominant cell type in COPD patients. The data provided extend and integrate previous results from our group showing that in the airways of COPD patients with acute respiratory failure, there is an increased expression of TLR4 (7). Our data demonstrate that TLR4 expression is higher in mini-BAL cells in comparison to blood compartment suggesting an up-regulation of TLR4 at the transit from blood into the airway compartment. This phenomenon seems to be specific for TLR4 since it has been previously demonstrated that in COPD patients the expression of TLR2 is lower on sputum neutrophils in comparison to blood compartment indicating a down-regulation of TLR2 at the transit from blood into the airway compartment (16).

TLR4 signaling, through MyD88 and IRAK1, plays a predominant role as a regulator of smoke-induced protease production (17). Furthermore, CSE increase the expression of TLR4 but not of TLR2 and modify the functional activation of TLR4 generating an imbalance between cytokines with opposite functions such as IL-8 and IP-10 (6). IP-10 concentrations in mini-BAL of smoker COPD who are mechanically ventilated for acute exacerbation are reduced in comparison to another group of patients mechanically ventilated but not smokers and without COPD (7). We confirm here the presence of reduced concentrations of IP-10 within the airways of mechanically ventilated and exacerbated COPD patients and demonstrate for the first time that within the systemic compartment in the same patients an increased concentration of IP-10 is observed in comparison to patients with acute respiratory failure but without CB and COPD. Nasal epithelial cells obtained from smokers create an overall cytokine microenvironment that after infection with influenza suppresses the

|                            | 254 | concentrations of IP-10 ( $\frac{18}{18}$ ). When the bronchial epithelial cells were exposed to CSE, the release                   |
|----------------------------|-----|---|
|                            | 255 | of IP-10 decreases while the release of IL-8 increases (6). Although no significantly different                                     |
|                            | 256 | concentrations of IL-8 were observed in mini-BAL and in peripheral blood between COPD, S and C                                      |
| 0                          | 257 | within the airways the elevated concentrations of IL-8 are not balanced by elevated IP-10   |
| 1<br>2                     | 258 | concentrations. The prevalence of IL-8 may in turn sustain the influx of neutrophils into the airways                               |
| 2<br>3<br>4<br>5<br>6<br>7 | 259 | thus triggering innate immunity responses, while IP-10 attracts monocytes and lymphocytes (19)                                      |
| 5<br>6<br>7                | 260 | thus promoting activation of adaptative responses to efficiently and specifically limit microbial                                   |
| ,<br>8<br>9                | 261 | invasion and to restrain the harmful effects of prolonged neutrophil activation. The findings that                                  |
| 0<br>1                     | 262 | mini-BAL from COPD had reduced IP-10 concentrations and reduced chemotactic activitites   |
| 2<br>3                     | 263 | toward lymphocytes (7) might contribute to explain why the differential cell counts of mini-BAL                                     |
| 4<br>5<br>6                | 264 | from COPD failed to have lymphocytes and prompted us to explore whether lymphocyte regulatory                                       |
| 5<br>6<br>7<br>8<br>9      | 265 | activities were altered in these patients. CD4 <sup>+</sup> Foxp3 <sup>+</sup> regulatory T lymphocytes (Treg) are a                |
| 9<br>0                     | 266 | subclass of CD4 <sup>+</sup> T cell receptor (TCR) $\alpha\beta^+$ T cells that are essential to preserve immune                    |
| 1                          | 267 | homeostasis ( $\frac{20}{20}$ ), ( $\frac{21}{21}$ ). Stable expression of the transcription factor Foxp3 is a prerequisite for the |
| 2<br>3<br>4<br>5           | 268 | maintenance of suppressive properties in CD4+ regulatory T cells. Foxp3 mRNA expression is not                                      |
| 5<br>6<br>7                | 269 | itself sufficient for stable Foxp3 protein expression (22). The epigenetic modifications, such as                                   |
| ,<br>8<br>9                | 270 | histone modification or DNA methylation, control regulatory T cells by controlling Foxp3 gene                                       |
| 0<br>1                     | 271 | expression through altering the accessibility of the Foxp3 locus and by acetylating or deacetylating                                |
| 2<br>3                     | 272 | Foxp3 protein, thereby enabling the epigenetic regulation of Foxp3 target genes. Absence of the                                     |
| 4<br>5<br>6<br>7<br>8      | 273 | Foxp3 transcription factor at systemic level leads to the rapid development of fulminant multiorgan                                 |
| 0<br>7<br>8                | 274 | autoimmunity. A decreased Foxp3 expression in COPD patients and smokers parallels the   |
| 9<br>0                     | 275 | aggravation of the disease (23). Patients with moderate or severe COPD upon fluticasone and   |
| 1<br>2<br>3                | 276 | salmeterol combination therapy show an increased proportion of Foxp3+Tregs in the total   |
| 3<br>4                     | 277 | peripheral blood CD4+T cell population (24). Smokers with normal lung function and COPD   |
| 4<br>5<br>6<br>7           | 278 | patients have increased numbers of Foxp3-positive cells in large airways but they have decreased                                    |
| 7<br>8<br>9                | 279 | numbers of Foxp3-positive cells in small airways (25). In the patients with acute respiratory failure                               |
| 0                          |     | IIRI : http:/mc.manuscriptcentral.com/cond.Email: COPD@nic.org  |

URL: http:/mc.manuscriptcentral.com/copd Email: COPD@njc.org

recruited in the present study, the decreased expression of Foxp3 is present in smokers and in
COPD in the distal airways and in the systemic compartment suggesting that the alterations in the
immune regulatory activities are early events in the disease and may contribute to the systemic
effects of the disease. It is conceivable that cigarette smoke may contribute to induce epigenetic
modifications leading to the reduced expression of Foxp3 in smokers and in COPD smokers at both
the airways and systemic compartment. No study has already specifically addressed this point yet.

Finally, the relevance of the observed alterations in the severity of the recruited patients was assessed. Several commonly used scoring systems exist assessing the severity of the disease in critically ill patients by predicting mortality. In the present study the recruited patients were classified on the basis of their SOFA, SAPS II and CPIS score. The mortality in elderly patients was higher than that of the younger patients and SAPS II (26) was an independent predictor of mortality in elderly patients with sepsis (27). The SOFA score, widely used in many cardiac surgical intensive units, is used for grading organ dysfunction or failing organ system (28). Prognostic relevance of the SOFA score in combination with inflammatory parameters was also found in a recent study conducted by Zügel *et al.* (29). The CPIS score is calculated on the basis of points assigned for various signs and symptoms of pneumonia (eg, fever and extent of oxygenation impairment) and a CPIS >6 may serve as a surrogate tool to facilitate the diagnosis of ventilator-associated pneumonia (30). In the present study, recruited patients have no significant different SOFA or SAPS II scores and these scores did not correlate with any of the tested markers. The only differences among the recruited patients were related to the CPIS score and CPIS score correlates with TLR4 mini-BAL expression. Future studies on a larger cohort of patients are needed to clarify whether the assessment of TLR4 expression in miniBAL may improve the predictive value of CPIS to early identify patients with ventilator-associated pneumonia.

303 In conclusion, patients with both CB and COPD who underwent an acute exacerbation have a
304 different alteration in the levels of IP-10 and in TLR4 expression in the airways and in the systemic

URL: http:/mc.manuscriptcentral.com/copd Email: COPD@njc.org

| 1                                     |     |   |
|---------------------------------------|-----|---|
| 2<br>3<br>4                           | 305 | compartment while they have a similar alteration in Foxp3 expression in the airways and in the            |
| 4<br>5<br>6                           | 306 | systemic compartment. The inflammatory profile of the COPD patients in the present study may be           |
| 7<br>8                                | 307 | the result of the failure to properly clear the inflammatory cells after the exacerbation of the disease. |
| 9<br>10<br>11<br>12<br>13<br>14<br>15 | 308 | to peer perien of   |
| 16<br>17<br>18<br>19<br>20            |     |   |
| 21<br>22<br>23<br>24<br>25<br>26      |     |   |
| 27<br>28<br>29<br>30<br>31            |     |   |
| 32<br>33<br>34<br>35                  |     |   |
| 36<br>37<br>38<br>39<br>40            |     |   |
| 41<br>42<br>43<br>44<br>45            |     |   |
| 45<br>46<br>47<br>48<br>49            |     |   |
| 50<br>51<br>52<br>53                  |     |   |
| 54<br>55<br>56<br>57<br>58            |     |   |
| 50<br>59<br>60                        |     |   |

| 1<br>2         |     |  |
|----------------|-----|--|
| 2<br>3<br>4    | 309 | ACKNOWLEDGEMENTS   |
| 5<br>6         | 310 |  |
| 7<br>8         | 311 | This work was supported by the Italian National Research Council.                                      |
| 9<br>10        | 312 | Elisabetta Pace and Maria Ferraro designed the study, performed the statistical analysis of the data e |
| 11<br>12       | 313 | wrote the manuscript and declares that they have had access to and takes responsibility for the        |
| 13<br>14<br>15 | 314 | integrity of the data and the accuracy of the data analysis.   |
| 16<br>17       | 315 | Chiara Cipollina performed all the experiments of the study and participated to the interpretation of  |
| 18<br>19       | 316 | the data.  |
| 20<br>21       | 317 | Antonino Giarratano contributed to the patient selection, collected and managed biological             |
| 22<br>23       | 318 | samples.   |
| 24<br>25<br>26 | 319 | Mark Gjomarkaj contributed to the interpretation of the data and to the writing out of the             |
| 27<br>28       | 320 | manuscript.  |
| 29<br>30       | 321 |  |
| 31<br>32       | 222 |  |
| 33<br>34<br>25 | 322 |  |
| 35<br>36<br>37 | 323 |  |
| 38<br>39       |     | manuscript.  |
| 40<br>41       |     |  |
| 42<br>43       |     |  |
| 44<br>45<br>46 |     |  |
| 47<br>48       |     |  |
| 49<br>50       |     |  |
| 51<br>52       |     |  |
| 53<br>54       |     |  |
| 55             |     |  |
| 56<br>57       |     |  |
| 58<br>59       |     |  |
| 60             |     |  |

| 2<br>3<br>4          | 324 |   |
|----------------------|-----|---|
| 4<br>5<br>6<br>7     | 325 | REFERENCES  |
| 8<br>9               | 326 | 1) Garcia-Aymerich J, Serra Pons I, Mannino DM, Maas AK, Miller DP, Davis KJ. Lung function     |
| 10<br>11<br>12       | 327 | impairment, COPD hospitalisations and subsequent mortality. Thorax. 2011 Jul;66(7):585-90.      |
| 13<br>14<br>15       | 328 | 2) Vestbo J, Prescott E, Lange P. Association of chronic mucus hypersecretion with FEV1 decline |
| 16<br>17             | 329 | and chronic obstructive pulmonary disease morbidity. Am J Respir Crit Care Med 1996;153:1530-   |
| 18<br>19             | 330 | 1535.   |
| 20<br>21             | 331 | 3) Mullen JB, Wright JL, Wiggs BR, Pare PD, Hogg JC. Structure of central airways in current    |
| 22<br>23<br>24       | 332 | smokers and ex-smokers with and without mucus hypersecretion: relationship to lung function;    |
| 25<br>26             | 333 | Thorax 1987;42: 843–848.  |
| 27<br>28             | 334 | 4) Zhang, G. and S. Ghosh, Molecular mechanisms of NF-kappaB activation induced by bacterial    |
| 29<br>30             | 335 | lipopolysaccharide through Toll-like receptors. J Endotoxin Res, 2000. 6: 453-7.                |
| 31<br>32<br>33       | 336 | 5) Cosio MG, Saetta M, Agusti A. Immunologic Aspects of Chronic Obstructive Pulmonary           |
| 33<br>34<br>35       | 337 | Disease. N Engl J Med 2009; 360: 2445-2454.   |
| 36<br>37             | 338 | 6) Pace E, Ferraro M, Siena L Melis M, Montalbano A, Johnson M, Bonsignore MR, Bonsignore       |
| 38<br>39             | 339 | G, Gjomarkaj M. Cigarette smoke increases TLR4 and modifies LPS mediated responses in airway    |
| 40<br>41             | 340 | epithelial cells. Immunology, 2008; 124:401-11.   |
| 42<br>43<br>44       | 341 | 7) Pace E, Giarratano A, Ferraro M, Bruno A, Siena L, Mangione S, Johnson M, Gjomarkaj M.       |
| 45<br>46             | 342 | TLR4 upregulation underpins airway neutrophilia in smokers with chronic obstructive pulmonary   |
| 47<br>48<br>49       | 343 | disease and acute respiratory failure. Hum Immunol., 2011; 72: 54-62.                           |
| 50<br>51<br>52       | 344 | 8) Isajevs S, Taivans I, Strazda G, Kopeika U, Bukovskis M, Gordjusina V, Kratovska A.          |
| 53<br>54             | 345 | Decreased FOXP3 expression in small airways of smokers with COPD. Eur Respir J. 2009            |
| 55<br>56<br>57<br>58 | 346 | Jan;33(1):61-7.   |

348

349

350

351

352

Care Med 2001, 163:1256–1276.

1

9) Roos-Engstrand E, Pourazar J, Behndig AF, Bucht A, Blomberg A. Expansion of CD4+CD25+

helper T cells without regulatory function in smoking and COPD. Respir Res. 2011 Jun 8;12:74.

10) Pauwels RA, Buist AS, Calverley PM, Jenkins CR, Hurd SS : Global strategy for the diagnosis,

management, and prevention of chronic obstructive pulmonary disease. NHLBI/WHO Global

Initiative for Chronic Obstructive Lung Disease (GOLD) workshop summary. Am J Respir Crit

| 2  |  |
|--|--|
| 3  |  |
| 4  |  |
| 5  |  |
| 6  |  |
| 7  |  |
| 7  |  |
| 8  |  |
| 9  |  |
| 10   |  |
| 11   |  |
| 12   |  |
| 13   |  |
| 14   |  |
| 15   |  |
| 16   |  |
| 10   |  |
| 17   |  |
| 18   |  |
| 19   |  |
| 11<br>12<br>13<br>14<br>15<br>16<br>17<br>18<br>19<br>20<br>21 |  |
| 21   |  |
| 22<br>23<br>24   |  |
| 23   |  |
| 24   |  |
| 25   |  |
| 26   |  |
| 27   |  |
| 21   |  |
| 28   |  |
| 29   |  |
| 30   |  |
| 31   |  |
| 32   |  |
| 33   |  |
| 34   |  |
| 35   |  |
| 36<br>37   |  |
| 37   |  |
| 38   |  |
| 39   |  |
| 40   |  |
|  |  |
| 41   |  |
| 42   |  |
| 43   |  |
| 44   |  |
| 45   |  |
| 46   |  |
| 47   |  |
| 48   |  |
| 49   |  |
| 50   |  |
| 51   |  |
| 52   |  |
|  |  |
| 53   |  |
| 54   |  |
| 55   |  |
| 56   |  |
| 57   |  |
| 58   |  |
| 59   |  |
| 60   |  |

| 353   | 11) Pace E., Ferraro M., Uasuf C.G., La Grutta S., Liotta G., Giarratano A., Johnson M.,  |  |  |
|---|---|--|--|
| 354   | Gjomarkaj M. Cilomilast counteracts the effects of cigarette smoke in innate responses of airway  |  |  |
| 355   | epithelial cells. Cellular Immunology 2011; 268: 47-53.   |  |  |
| 356   | 12) Calverley PM, Walker P. Chronic obstructive pulmonary disease. Lancet 2003;362:1053-1061.   |  |  |
| 357   | 13) Agustí ASystemic effects of chronic obstructive pulmonary disease: what we know and what  |  |  |
| 358   | we don't know (but should). Proc Am Thorac Soc. 2007 Oct 1;4(7):522-5.  |  |  |
| 359   | 14) Reid L. Pathology of chronic bronchitis. Lancet 1954;i:275–279.2. Wilson, R., Evidence of   |  |  |
| 360   | bacterial infection in acute exacerbations of chronic bronchitis. Semin Respir Infect, 2000. 15: 208-   |  |  |
| 361   | 15.   |  |  |
| 262   |   |  |  |
| 362   | 15) Hogg JC. Pathophysiology of airflow limitation in chronic obstructive pulmonary disease.  |  |  |
| 362<br>363  | Lancet, 2004. 364: 709-721.   |  |  |
|   |   |  |  |
| 363   | Lancet, 2004. 364: 709-721.   |  |  |
| 363<br>364  | Lancet, 2004. 364: 709-721.<br>16) von Scheele I, Larsson K, Dahlén B, Billing B, Skedinger M, Lantz AS, Palmberg L. Toll-like  |  |  |
| 363<br>364<br>365   | Lancet, 2004. 364: 709-721.<br>16) von Scheele I, Larsson K, Dahlén B, Billing B, Skedinger M, Lantz AS, Palmberg L. Toll-like  |  |  |
| 363<br>364<br>365<br>366  | <ul> <li>Lancet, 2004. 364: 709-721.</li> <li>16) von Scheele I, Larsson K, Dahlén B, Billing B, Skedinger M, Lantz AS, Palmberg L. Toll-like receptor expression in smokers with and without COPD. Respir Med. 2011;105:1222-30.</li> </ul>  |  |  |
| 363<br>364<br>365<br>366<br>367   | <ul> <li>Lancet, 2004. 364: 709-721,</li> <li>16) von Scheele I, Larsson K, Dahlén B, Billing B, Skedinger M, Lantz AS, Palmberg L. Toll-like receptor expression in smokers with and without COPD. Respir Med. 2011;105:1222-30.</li> <li>17) Geraghty P, Dabo AJ, D'Armiento J. TLR4 protein contributes to cigarette smoke-induced</li> </ul>  |  |  |
| <ul> <li>363</li> <li>364</li> <li>365</li> <li>366</li> <li>367</li> <li>368</li> <li>369</li> </ul> | <ul> <li>Lancet, 2004. 364: 709-721.</li> <li>16) von Scheele I, Larsson K, Dahlén B, Billing B, Skedinger M, Lantz AS, Palmberg L. Toll-like receptor expression in smokers with and without COPD. Respir Med. 2011;105:1222-30.</li> <li>17) Geraghty P, Dabo AJ, D'Armiento J. TLR4 protein contributes to cigarette smoke-induced matrix metalloproteinase-1 (MMP-1) expression in chronic obstructive pulmonary disease. J Biol</li> </ul> |  |  |

| 2   |
|---|
| 3   |
| 4   |
| 5   |
| 6   |
| /<br>ያ  |
| 9   |
| 10  |
| 11  |
| 12  |
| 13  |
| 14  |
| 15<br>16  |
| 10  |
| 18  |
| $egin{array}{c} 3 \\ 4 \\ 5 \\ 6 \\ 7 \\ 8 \\ 9 \\ 10 \\ 11 \\ 12 \\ 13 \\ 14 \\ 15 \\ 16 \\ 17 \\ 18 \\ 19 \\ 20 \\ 12 \\ 23 \\ 24 \\ 25 \\ 27 \\ 28 \\ 9 \\ 30 \\ 13 \\ 23 \\ 34 \\ 35 \\ 37 \\ 38 \\ 9 \\ 31 \\ 31 \\ 31 \\ 31 \\ 31 \\ 31 \\ 31 $ |
| 20  |
| 21  |
| 22  |
| 23  |
| 24<br>25  |
| 26  |
| 27  |
| 28  |
| 29  |
| 30  |
| 31  |
| ১∠<br>বব  |
| 34  |
| 35  |
| 36  |
| 37  |
| 38  |
| 39<br>40  |
| 40<br>41  |
| 42  |
| 43  |
| 44  |
| 45  |
| 46  |
| 47<br>48  |
| 49  |
| 49<br>50  |
| 51  |
| 52<br>53  |
| 53  |
| 54<br>55  |
| 55<br>56  |
| 56<br>57  |
| 58  |
| 59  |
| 60  |

371 18) Horvath KM, Brighton LE, Zhang W, Carson JL, Jaspers I. Epithelial cells from smokers 372 modify dendritic cell responses in the context of influenza infection. Am J Respir Cell Mol Biol. 373 2011; 45:237-245. 374 375 19) Boodoo S, Spannhake EW, Powell JD, Horton MR. Differential regulation of hyaluronan-376 induced IL-8 and IP-10 in airway epithelial cells. Am J Physiol Lung Cell Mol Physiol 2006; 291: 377 L479-L486 378 20) J.D. Fontenot, A.Y. Rudensky. A well adapted regulatory contrivance: regulatory T cell 379 development and the forkhead family transcription factor Foxp3. Nat Immunol, 6 (2005), pp. 331-380 337 381 21) S. Sakaguchi, M. Miyara, C.M. Costantino, D.A. Hafler. FOXP3+ regulatory T cells in the 382 human immune system. Nat Rev Immunol, 10 (2010), pp. 490-500 383 22) S. Hori. Rethinking the molecular definition of regulatory T cells. Eur J Immunol, 38 (2008), 384 pp. 928–930. 385 23) Chu S, Zhong X, Zhang J, Lao Q, He Z, Bai J. The expression of Foxp3 and ROR gamma t in 386 lung tissues from normal smokers and chronic obstructive pulmonary disease patients. Int 387 Immunopharmacol. 2011 Nov;11(11):1780-8. Epub 2011 Jul 23. 388 24) Yang L, Ma QL, Yao W, Zhang Q, Chen HP, Wang GS, Wang CZ. Relationship between the 389 anti-inflammatory properties of salmeterol/fluticasone and the expression of CD4 CD25 Foxp3 390 regulatory T cells in COPD. Respir Res. 2011 Oct 28;12:142. 391 25) Isajevs S, Taivans I, Strazda G, Kopeika U, Bukovskis M, Gordjusina V, Kratovska A. 392 Decreased FOXP3 expression in small airways of smokers with COPD. Eur Respir J. 2009 393 Jan;33(1):61-7. 394 26) Le Gall Jr, Lemeshow S, Saulnier F. A new Simplified Acute Physiology Score (SAPS II)

based on a European/North American multicenter study. *JAMA* 1993, 270: 2957-2963.

| 2  |  |
|--|--|
| 3  |  |
| 4  |  |
| 5  |  |
| 6  |  |
| 7<br>8<br>9  |  |
| 8  |  |
| ğ  |  |
| 10   |  |
| 10   |  |
| 11   |  |
| 12   |  |
| 13   |  |
| 14   |  |
| 15   |  |
| 16   |  |
| 17   |  |
| 18   |  |
| 19   |  |
| 20   |  |
| 21   |  |
| 22   |  |
| 23   |  |
| 24   |  |
| 25   |  |
| 26   |  |
| 20   |  |
| $\begin{array}{c} 10\\ 11\\ 12\\ 13\\ 14\\ 15\\ 16\\ 17\\ 18\\ 19\\ 20\\ 21\\ 22\\ 23\\ 24\\ 25\\ 26\\ 27\\ 28\\ 9\\ 30\\ 31\\ 32\\ 33\\ 4\\ 35\\ 36\\ 7\\ 38\\ \end{array}$ |  |
| 20   |  |
| 29   |  |
| 30   |  |
| 31   |  |
| 32   |  |
| 33   |  |
| 34   |  |
| 35   |  |
| 36   |  |
| 37   |  |
| 38   |  |
| 39   |  |
| 40   |  |
| 41   |  |
| 42   |  |
| 43   |  |
| 44   |  |
| 44   |  |
|  |  |
| 46   |  |
| 47   |  |
| 48   |  |
| 49   |  |
| 50   |  |
| 51   |  |
| 52   |  |
| 53   |  |
| 54   |  |
| 55   |  |
| 56   |  |
| 57   |  |
| 58   |  |
| 50<br>59   |  |
| 59<br>60   |  |
| υU   |  |

| 396 | 27) Tiruvoipati R, Ong K, Gangopadhyay H, Arora S, Carney I, Botha J. Hypothermia predicts          |
|-----|---|
| 397 | mortality in critically ill elderly patients with sepsis. BMC Geriatr. 2010 Sep 27;10:70.           |
| 200 | 20) Minsent II. de Mandemar A. Castarine F. Manuer, D. Talada I. Satar DM. Samuer, CL. Calandar     |
| 398 | 28) Vincent JL, de Mendonça A, Cantraine F, Moreno R, Takala J, Suter PM, Sprung CL, Colardyn       |
| 399 | F, Blecher S. Use of the SOFA score to assess the incidence of organ dysfunction/failure in         |
| 400 | intensive care units: results of a multicenter, prospective study. Working group on "sepsis-related |
| 401 | problems" of the European Society of Intensive Care Medicine.Crit Care Med. 1998                    |
| 402 | Nov;26(11):1793-800.  |
|     |   |
| 403 | 29) Zügel NP, Kox M, Lichtwark-Aschoff M, Gippner-Steppert C, Jochum M: Predictive relevance        |
| 404 | of clinical scores and inflammatory parameters in secondary peritonitis. Bull Soc Sci Med Grand     |
| 405 | Duche Luxemb. 2011, <b>1</b> :41-71.  |
| 406 |   |
| 407 | 30) Zilberberg MD, Shorr AF. Clin Infect Dis. Ventilator-associated pneumonia: the clinical         |
| 408 | pulmonary infection score as a surrogate for diagnostics and outcome. Clin Infect Dis 2010 Aug      |
| 409 | 1;51 Suppl 1: S131-5.   |
| 410 |   |
|     |   |
| 411 |   |
| 412 |   |
|     |   |
|     |   |
|     |   |
|     |   |
|     |   |
|     |   |
|     |   |

| 2<br>3<br>4          | 413 | LEGENDS TO THE FIGURES  |
|----------------------|-----|---|
| 5<br>6<br>7          | 414 | Figure1. Increased expression of TLR4 in mini-BAL but not in the peripheral blood of S and                    |
| 8<br>9               | 415 | of COPD. Mini-BAL cells and paired blood samples were recovered from C (n=10), from S (n=8)                   |
| 10<br>11             | 416 | and from COPD (n=18) patients. The expression of TLR4 was assessed by immunocytochemistry                     |
| 12<br>13             | 417 | using an anti-TLR4 polyclonal antibody; * p<0.05 vs C; ** p<0.05 vs S; # p<0.05 vs autologous                 |
| 14<br>15<br>16       | 418 | peripheral blood. Data are expressed as median (25-75 percentiles).   |
| 17<br>18             | 419 | Figure 2. Absence of differences in the concentrations of IL-8 in mini-BAL and in the                         |
| 19<br>20             | 420 | peripheral blood of S and of COPD. Mini-BAL supernatants and paired blood samples were                        |
| 21<br>22             | 421 | recovered from C (n=10), from S (n=8) and from COPD (n=18) patients. IL-8 concentrations were                 |
| 23<br>24             | 422 | measured by ELISA as described in "materials and methods" and are expressed as pg/ml. Data are                |
| 25<br>26<br>27       | 423 | expressed as median (25-75 percentiles).  |
| 28<br>29             | 424 | Figure 3. Reduced concentrations of IP-10 in mini-BAL but not in the peripheral blood of                      |
| 30<br>31             | 425 | COPD. Mini-BAL supernatants and paired blood samples were recovered from C (n=10), from S                     |
| 32<br>33             | 426 | (n=8) and from COPD (n=18) patients. IP-10 concentrations were measured by ELISA as                           |
| 34<br>35<br>36       | 427 | described in "materials and methods" and are expressed as pg/ml. $*$ p<0.05 vs C; $**$ p<0.05 vs S; #         |
| 37<br>38             | 428 | p<0.05 vs autologous peripheral blood. Data are expressed as median (25-75 percentiles).                      |
| 39<br>40             | 429 | Figure 4. Reduced expression of Foxp3 in mini-BAL and in the peripheral blood of S and of                     |
| 41<br>42             | 430 | <b>COPD.</b> Mini-BAL cells and paired blood samples were recovered from C (n=10), from S (n=8) and           |
| 43<br>44             | 431 | from COPD (n=18) patients. Total proteins were extracted and analysed for Foxp3 expression by                 |
| 45<br>46<br>47       | 432 | western blot analysis. Membranes were then stripped and incubated with goat polyclonal anti-ß-                |
| 48<br>49             | 433 | actin A. Densitometric analysis of Foxp3 expression. Signals corresponding to Foxp3 on the                    |
| 50<br>51             | 434 | various western blots were semiquantified by densitometric scanning, normalized and expressed                 |
| 52<br>53             | 435 | after correction with the density of the band obtained for beta-actin (mean $\pm$ SD). * p < 0.05. <b>B</b> . |
| 54<br>55<br>56       | 436 | Representative western blot analysis for Foxp3 expression from C, S and COPD subjects. Data are               |
| 57<br>58<br>59<br>60 | 437 | expressed as median (25-75 percentiles).  |

| 1        |     |   |
|----------|-----|---|
| 2<br>3   | 438 | Figure 5. Correlations between the expression of TLR4 in miniBAL and CPIS score. The    |
| 4        |     |   |
| 5<br>6   | 439 | expression of TLR4 in mini-BAL of C (n=10), S (n=8) and COPD (n=18) was correlated with |
| 7<br>8   | 440 | CPIS score by Spearman Correlation test.  |
| 8<br>9   |     |   |
| 10       |     |   |
| 11<br>12 |     |   |
| 13       |     |   |
| 14<br>15 |     |   |
| 16       |     |   |
| 17<br>18 |     |   |
| 19       |     |   |
| 20       |     |   |
| 21<br>22 |     |   |
| 23       |     |   |
| 24<br>25 |     |   |
| 26       |     |   |
| 27<br>28 |     |   |
| 29       |     |   |
| 30<br>31 |     |   |
| 32       |     |   |
| 33<br>34 |     |   |
| 34<br>35 |     |   |
| 36       |     |   |
| 37<br>38 |     |   |
| 39       |     |   |
| 40<br>41 |     |   |
| 42       |     |   |
| 43<br>44 |     |   |
| 45       |     |   |
| 46<br>47 |     |   |
| 48       |     |   |
| 49<br>50 |     |   |
| 51       |     |   |
| 52<br>53 |     |   |
| 54       |     |   |
| 55<br>56 |     |   |
| 57       |     |   |
| 58       |     |   |
| 59<br>60 |     |   |

| Characteristic      | C          | C            | CODD       | Davalara        |
|---------------------|------------|--------------|------------|-----------------|
| Characteristic      | C          | S            | COPD       | P value         |
| No. of subjects     | 10         | 8            | 18         |                 |
| Age (yr)            | 73 (72-78) | 79.5 (76-82) | 76 (71-81) | n.s.            |
| Male/Female         | 7/3        | 5/3          | 11/7       | n.s.            |
| Cigarette smoke     | -          | 45±20        | 50±21      | n.s.            |
| Packs/years         |            |              |            |                 |
| FEV1(% of predicted | 85±2.5     | 87±3.9       | 67±5*      | *p<0.05         |
| normal)             |            |              |            | COPD vs C and S |
| FEV1/FVC (% of      | 77±3       | 76±2         | 65±2*      | *p<0.05         |
| predicted normal)   | Q          |              |            | COPD vs C and S |
| CPIS                | 2.3±1.1    | 3.3±1.4      | 3.7±0.9*   | *P<0.05         |
|                     |            |              |            | COPD vs C       |
| SOFA                | 9.1±1.2    | 8.7±1.3      | 8.9±1      | n.s.            |
|                     |            | Q,           |            |                 |
| SAPS II             | 53.6±7.8   | 59.5±11      | 56±7       | n.s.            |
|                     |            |              | 0.         |                 |

Data are expressed as percentiles (age) or as mean  $\pm$  SD.

| 2  |
|--|
| ~  |
| 3  |
| 4  |
| 5  |
| č  |
| 6  |
| 7  |
| Q  |
| 0  |
| 9  |
| 10   |
| 10   |
| 11   |
| 12   |
| 12   |
| 13   |
| 14   |
| 15   |
| 40   |
| 16   |
| 17   |
| 10   |
| 10   |
| 19   |
| 20   |
| ~~   |
| <b>Z</b> 1   |
| 22   |
| 22   |
| 20   |
| 24   |
| 25   |
| 3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>2<br>3<br>14<br>15<br>6<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>14<br>15<br>6<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>14<br>15<br>16<br>7<br>8<br>9<br>10<br>11<br>12<br>3<br>3<br>4<br>5<br>8<br>9<br>10<br>11<br>12<br>3<br>3<br>4<br>5<br>8<br>9<br>10<br>11<br>12<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3<br>3 |
| 20   |
| 27   |
| 20   |
| 20   |
| 29   |
| 30   |
| 00   |
| 31   |
| 32   |
| 22   |
| 33   |
| 34   |
| 35   |
| 00   |
| 36   |
| 37   |
| 20   |
| 30   |
| 39   |
| 40   |
|  |
| 41   |
| 42   |
| 43   |
|  |
| 44   |
| 45   |
| 46   |
| 40   |
| 47   |
| 48   |
|  |
| 49   |
| 50   |
| 51   |
| 51   |
| 52   |
| 53   |
| 54   |
|  |
| 55   |
| 56   |
|  |
| 57   |
| 58   |
|  |
| 59   |
| 60   |

#### Table 2: Total and differential cell counts of mini-BAL

|                             | C =10   | S=8      | COPD=18    | P value         |
|-----------------------------|---------|----------|------------|-----------------|
| Mini-BAL cells              | 536±269 | 919±167* | 3,2±2,400* | * p<0.05        |
| Total number                |         |          |            | COPD and S vs C |
| (X1000)/ml<br>% Neutrophils | 46±30   | 48±17    | 83±13*     | *p<0.05         |
| Ĩ                           |         |          |            | COPD vs C and S |
|                             |         |          |            |                 |
| % Macrophages               | 51±29   | 52±16    | 17±13*     | *p<0.05         |
| , •                         |         | 02 10    | 1, 10      | COPD vs C and S |
| % Lymphocytes               | 2.7±1.3 | 0*       | 0*         | *p<0.05         |
| 70 Lymphocytes              | 2.7±1.5 | 0        | 0          | COPD and S vs C |
|                             |         |          |            |                 |
|                             |         |          |            |                 |
|                             |         |          |            |                 |
|                             |         |          |            |                 |
|                             |         |          |            |                 |
|                             |         |          |            |                 |
|                             |         |          |            |                 |
|                             |         |          |            |                 |
|                             |         |          |            |                 |
|                             |         |          |            |                 |

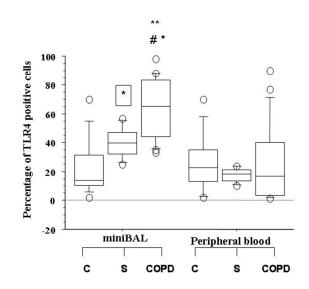
|            | C with                | C with                       | CFU                  |
|------------|-----------------------|------------------------------|----------------------|
|            | MiniBAL culture       | es Mini BAL                  |                      |
|            | Positive (n=4)        | cultures                     |                      |
|            |                       | Negative (n=6)               |                      |
| Patient#1  |                       | X                            |                      |
| Patient#2  |                       | X                            |                      |
| Patient#3  | Candida               |                              | $\geq 10^3/ml$       |
| Patient#4  | Candida               |                              | $\geq 10^3/ml$       |
| Patient#5  |                       | X                            |                      |
| Patient#6  |                       | X                            |                      |
| Patient#7  | Candida               |                              | $\geq 10^3/ml$       |
| Patient#8  |                       | X                            |                      |
| Patient#9  |                       | X                            |                      |
| Patient#10 | Candida               |                              | $\geq 10^3/ml$       |
|            | S with                | S with                       | CFU                  |
|            | MiniBAL culture       | es Mini BAL                  |                      |
|            | <b>Positive (n=3)</b> | cultures                     |                      |
|            |                       | Negative (n=5)               |                      |
| Patient#1  |                       | X                            |                      |
| Patient#2  |                       | X                            |                      |
| Patient#3  | Candida               |                              | $\geq 10^3/ml$       |
| Patient#4  | Candida               |                              | >10 <sup>3</sup> /ml |
| Patient#5  |                       | X                            |                      |
| Patient#6  |                       | X                            |                      |
| Patient#7  |                       | X                            |                      |
| Patient#8  | Acinetobacter         |                              | ≥10 <sup>3</sup> /ml |
|            | Baumannii             |                              |                      |
|            | COPD with MiniBAL cu  | ltures <b>COPD</b> with Mini | CFU                  |
|            | positive (n=7)        | <b>BAL cultures</b>          |                      |
|            |                       | negative (n=11)              |                      |
| Patient#1  | Candida               |                              | $\geq 10^3/ml$       |
| Patient#2  |                       | X                            |                      |
| Patient#3  |                       | X                            |                      |
| Patient#4  | Acinetobacter Baumann | ii                           | $\geq 10^3/ml$       |
| Patient#5  |                       | X                            |                      |
| Patient#6  | Candida               |                              | $\geq 10^{3}$ /ml.   |
| Patient#7  |                       | X                            |                      |
| Patient#8  | Pseudomonas Aeruginos | a                            | $\geq 10^3/ml$       |
| Patient#9  | 8                     | X                            |                      |
| Patient#10 | Acinetobacter Baumann |                              | $\geq 10^3/ml$       |
| Patient#11 |                       | X                            |                      |
| Patient#12 |                       | X                            |                      |
| Patient#13 | Candida               |                              | $\geq 10^3/ml$       |
| Patient#14 |                       | X                            |                      |
| Patient#15 |                       | X                            |                      |
| Patient#16 | Pseudomonas Aeruginos |                              | $\geq 10^3/ml$       |
| Patient#17 |                       | X                            |                      |
| Patient#18 |                       | X                            |                      |
|            | I                     |                              | 1]                   |

## 1 Table 2: Total and differential cell counts of mini-BAL

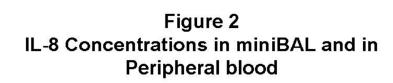
|   | C =10    | S=8        | COPD=18    | P value                    |
|---|----------|------------|------------|----------------------------|
| <b>TLR4 expression</b><br><b>in Mini-BAL cells</b><br>(% of positive cells)                   | 21.9±6.4 | 39.8±3.8   | 64.88±4.8  | *p<0.05<br>COPD and S vs 0 |
| <b>TLR4 expression</b><br><b>in peripheral blood</b><br><b>cells</b><br>(% of positive cells) | 25.4±6.5 | 17.5±1.76  | 26.8±6.37  | n.s.                       |
| Foxp3 expression<br>in Mini-BAL cells<br>(arbitrary units)                                    | 2.5±0.24 | 1.83±0.12* | 1.39±0.41* | *p<0.05<br>COPD and S vs 0 |
| Foxp3 expression<br>in peripheral blood<br>cells<br>(arbitrary units)                         | 3.79±0.3 | 2.36±0.36  | 1.75±0.53  | *p<0.05<br>COPD and S vs ( |
| Results are express<br>* Mann Whitney te  |          |            |            |                            |
|   |          |            |            |                            |
|   |          |            |            |                            |
|   |          |            |            |                            |

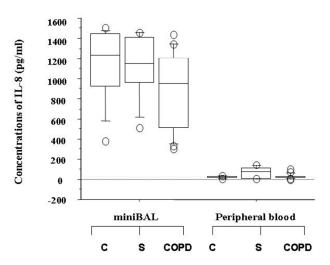
## Figure 1

## TLR4 expression in miniBAL and in Peripheral blood



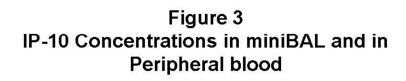
254x190mm (96 x 96 DPI)

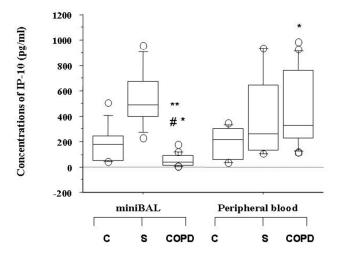




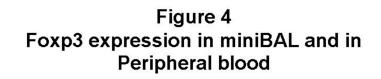
254x190mm (96 x 96 DPI)

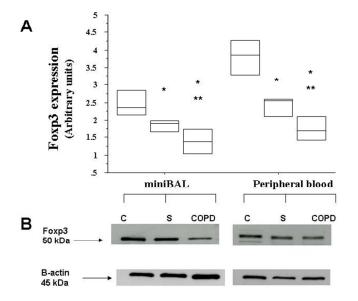
URL: http:/mc.manuscriptcentral.com/copd Email: COPD@njc.org



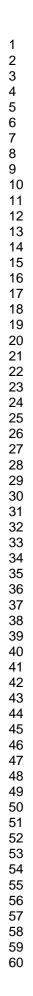


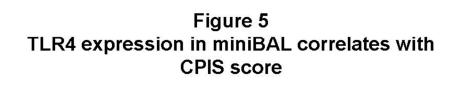
254x190mm (96 x 96 DPI)

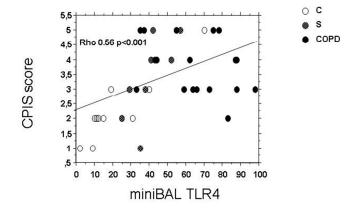




254x190mm (96 x 96 DPI)







254x190mm (96 x 96 DPI)