

1 **Halloysite nanotubes filled with MgO for paper reinforcement and deacidification**

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**Abstract**

9 A novel material for the deacidification and protection of paper has been designed by using MgO  
10 filled halloysite nanotubes (Hal). The ability of MgO loaded nanotubes to control the acidic  
11 conditions was evaluated by pH measurements in aqueous solvent. Afterwards, paper was  
12 impregnated into hydroxypropyl cellulose dispersions containing the consolidating material. A  
13 simulation of strong acidic conditions allowed us to evaluate the deacidification effect of the  
14 composite material on the samples. In particular, the paper reaches a pH of 7.7 after 1 hour exposition  
15 to HNO<sub>3</sub> vapors when MgO-Hal nanoparticles are added to the impregnation mixture at a  
16 concentration of 10 wt % and it remains still neutral after 12 hours. Dynamic mechanical analysis  
17 showed that the tensile strength of the consolidated paper is improved, since the stress at breaking  
18 increase of ca. 8% for the samples treated with MgO-Hal compared to the untreated paper. Due to the  
19 presence of halloysite loaded with the alkaline reservoir, the acidic degradation of cellulose is  
20 neutralized as suggested by the stored energy which is similar to the pristine paper without any  
21 chemical attack. Therefore, the mechanical performances of the paper are preserved during the aging  
22 together with its macroscopic aspect, as suggested by colorimetric analysis. The proposed  
23 consolidation protocol represents a further step for the self-healing and long-term protection of  
24 cellulose based artworks.

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30 **Keywords:** Halloysite nanotubes, cellulose, hydroxypropyl cellulose, DMA, paper consolidation,  
31 deacidification

## 32 1. Introduction

33 Paper is one of the most widespread material and, from the ancient times, it represents the most  
34 powerful tool that the mankind employed to transfer documents and to transmit knowledge to the  
35 future generations. The conservation of this treasure, which belongs to the common Cultural Heritage,  
36 is a societal issue and a technical challenge for restorers, librarians, and scientists.

37 Paper is made of cellulose, which is a linear homopolymer of (1-4)-linked  $\beta$ -D-glucopyranose units  
38 joined to create a highly ordered structure through intra and intermolecular hydrogen bonds (Oh et  
39 al., 2005). Several factors are responsible for the paper deterioration and, among them, atmospheric  
40 conditions (e.g. humidity, light, pollution), chemical reactions and biological activity play a major  
41 role (Poggi et al., 2016; Dresler et al., 2017; Girardi et al., 2017). The growth of fungi, bacteria or  
42 molds can lead to the degradation of paper due to the presence of cellulolytic enzymes and to the  
43 formation of spots that can deeply alter the aspect of manuscripts and historical documents, besides  
44 representing also a pathogenic and harmful risk to the human health (Flint et al., 2012; Brown et al.,  
45 2017). Hence, the biological attack has been fought by many different routes (Saladino et al., 2020).

46 Literature reports the use of essential oils from aromatic or medicinal plants (thymol, cinnamon, etc.)  
47 with antimicrobial or antifungal activity, the integration of ionic liquids (ILs) components for the  
48 reinforcement against fungal infestations and the functionalization of nanocellulose for paper  
49 preservation and consolidation (Jiang et al., 2018; Schmitz et al., 2019; Bergamonti et al., 2020;  
50 Campanella et al., 2021). Nevertheless, the most diffused approaches exploit inorganic materials  
51 based on silver nanoparticles and metal oxides (e.g. zinc oxides or titanium dioxides), which possess  
52 a broad-spectrum antimicrobial activity and whose antimycotic properties are seldom investigated  
53 (Afsharpour et al., 2011; Afsharpour and Imani, 2017; Bergamonti et al., 2020; Motelica et al., 2020).

54 Besides the biological issues, many efforts are also required to deal with the chemical reactions that  
55 occur within the paper (He et al., 2019). In particular, it has been found that the oxidation and acidic  
56 hydrolysis represents the main cause to the depolymerization and deterioration of cellulose-based  
57 artworks (Franceschi et al., 2001; Bogaard et al., 2005). The acidic-catalyzed mechanism is due to  
58 the adsorption of atmospheric pollutants and to the acidic additives, which are introduced during the  
59 papermaking and pulping processes (Area and Cheradame, 2011; Jiang et al., 2018). These species  
60 can act as catalysts of one of the most critical cause of paper degradation (Isca et al., 2019). As a  
61 consequence of the acidic hydrolysis, the breaking of glycosidic bonds occurs inside the fibers and it  
62 is responsible for the loss of mechanical performance of the paper (Marini et al., 2012). In addition,  
63 other acidic species are produced as oxidative products and they further play a role in increasing the  
64 degradation extent. Therefore, this mechanism is described as “auto-catalytic acidic hydrolysis” and  
65 it has detrimental effects on paper strength (Giorgi et al., 2002). As a result, the deacidification of

66 cellulose-based documents has become the most urgent and compelling challenge, and many different  
67 techniques have been designed in order to stop the acids formation. Magnesium and calcium  
68 hydroxides have been widely investigated for applications in this field, since they can act as an  
69 alkaline reservoir which can neutralize the creation of acidic species and can stop the hydrolysis  
70 reactions (Lienardy and Damme, 1990; M. and Bogaard John, 2009). It is worth to note that the  
71 dimensions of these particles allow for their penetration within the cellulosic matrix driving to the  
72 full impregnation of the paper texture (Poggi et al., 2014). Recently, the scientists provided more  
73 advanced tools for the consolidation and deacidification of lignocellulosic artworks by using natural  
74 resources (Broda, 2020), organosilicons (Broda et al., 2020) and nanostructured materials (Giorgi et  
75 al., 2005; Jiang et al., 2020; Sadjadi, 2020). Among the nanomaterials, halloysite nanotubes (Hal)  
76 hold a certain importance. Halloysite is a natural occurring aluminosilicate that can be extracted from  
77 different deposits in several regions (Lazzara et al., 2018). Depending on the localization, the  
78 dimensions of the hollow tubular nanoclay may vary from 50 to 100 nm for the external diameter,  
79 from 15 to 50 nm for the inner diameter and from 0.2 to 3  $\mu\text{m}$  for the length (Lvov et al., 2008;  
80 Pasbakhsh et al., 2013). Structurally, the nanotubes are composed by a Si-O-Si tetrahedral sheet that  
81 is overlapped to an octahedral gibbsite-like array of aluminols (Zhao et al., 2015; Sadjadi et al., 2017).  
82 Due to the different chemistry, the internal and the external surfaces of halloysite are oppositely  
83 charged, being the Si-based outer surface is negative and the Al-based inner surface is positive in a  
84 wide pH range (Abdullayev et al., 2012; Bugatti et al., 2017; Y. Zhang et al., 2019). Halloysite  
85 received a great interest from the scientific community due to the most diverse application fields  
86 where it can be exploited and to its biocompatibility and low toxicity (Fakhrullina et al., 2015;  
87 Kryuchkova et al., 2016; Vinokurov et al., 2017; Ariga et al., 2018; García-Vázquez et al., 2020;  
88 Zhao et al., 2020; Rozhina et al., 2021). For instance, literature reports that the addition of nanoclays  
89 to a polymeric matrix causes the improvement of both thermal and mechanical properties of the  
90 resulting composite bioplastics when the nanofillers are homogeneously dispersed (Gorrasi et al.,  
91 2014; Makaremi et al., 2015; Spepi et al., 2016; Nunes et al., 2018; Barra et al., 2020; Dang et al.,  
92 2020; Yang et al., 2020). This effect is crucial, among others, for the treatment of cellulose based  
93 materials (Bertolino et al., 2020). Besides, the unique morphology of this class of inorganic nanotubes  
94 allows for the loading of their inner volume with chemically and biologically active species  
95 (Dzamukova et al., 2015; Gorrasi, 2015; Yang et al., 2016; Yamina et al., 2018; Cheng et al., 2020).  
96 The encapsulation of molecules within the lumen of halloysite predicts its most interesting features,  
97 since it can act as nanocontainer and delivery system for several compounds regardless of their  
98 specific hydrophilic or hydrophobic properties (Lvov et al., 2016; Dramou et al., 2018; H. Zhang et  
99 al., 2019; Liu et al., 2020). As a consequence, halloysite nanotubes have been used for the design of

100 nanostructured materials to be employed in catalysis, environmental science, cosmetics and health  
101 technology, food packaging and for the protection of cultural heritage (e.g. waterlogged archeological  
102 woods, marble surfaces and paper) (Viseras et al., 2009; Owoseni et al., 2014; Liu et al., 2016; von  
103 Klitzing et al., 2016; Hermawan et al., 2018; Zhao et al., 2018; Cavallaro et al., 2020b, 2020c; Fizir  
104 et al., 2020; Lisuzzo et al., 2021). Different protocols were developed for the conservation of  
105 manuscripts and ancient documents, ranging from the use of halloysite dispersions in cellulose based  
106 biopolymers to nanotubes filling with antiacids for the long-term active protection of paper (Cavallaro  
107 et al., 2014, 2017).

108 In this work we moved forward by reporting an innovative strategy for the loading of halloysite with  
109 MgO that can act as alkaline reservoir for the treatment and deacidification of paper. It should be  
110 noted that the solubility of magnesium oxides or hydroxides is very low in aqueous media. Moreover,  
111 magnesium hydroxide nanoparticles appear with a stronger reactivity and fast carbonatation (Poggi  
112 et al., 2010). The proposed protocol succeeded in overcoming these issues. Indeed, the electrostatic  
113 interactions between the nanotubes and the antiacid precursors were exploited during the loading step  
114 and the alkaline payload was directly created inside the nanoclays.

115 Once the protecting nanomaterial was prepared, the paper-based samples were treated by the  
116 impregnation method in hydroxypropyl cellulose, which is an ether of cellulose, and it demonstrated  
117 to be efficient in controlling the pH conditions even upon a strong acidic simulation (Okahashi et al.,  
118 2021). Furthermore, the mechanical properties of paper were improved after the consolidation as a  
119 consequence of the nanofillers efficiency and due to their alkaline activity, which is responsible for  
120 the neutralization of the acidic hydrolysis and degradation. Hence, the proposed protocol represents  
121 an innovative tool for the design of antiacid nanotubular fillers that can be successfully employed for  
122 the time-extended protection of cellulose based artworks.

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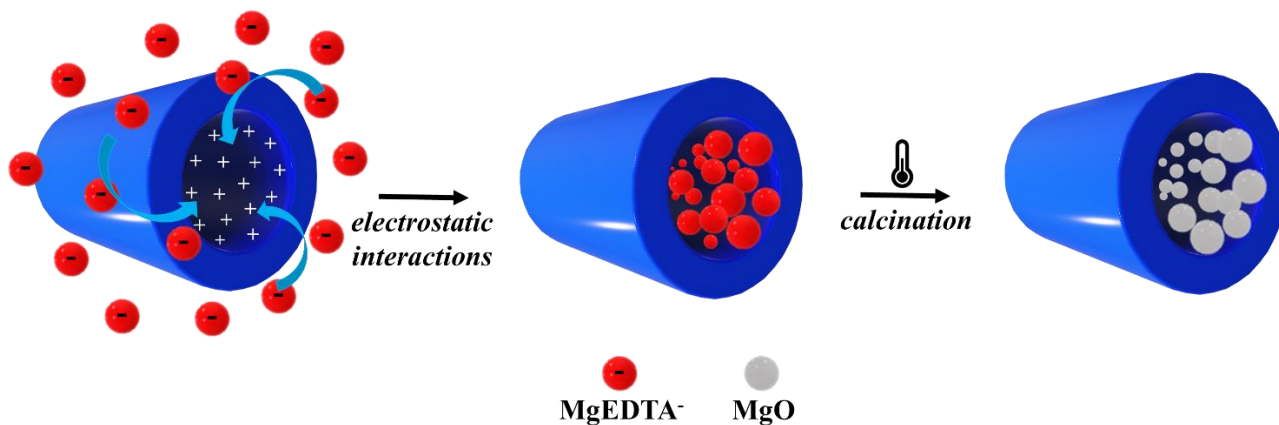
134 **2. Materials and Methods**

135 *2.1. Materials*

136 Ethylenediaminetetraacetic acid (EDTA -  $C_{10}H_{16}N_2O_8$ , anhydrous), halloysite (specific surface area  
137 of  $65\text{ m}^2\text{ g}^{-1}$  and specific gravity of  $2.53\text{ g cm}^{-3}$ ), Magnesium chloride hexahydrate ( $MgCl_2 \cdot 6H_2O$ ,  
138 99.0-102.0%),  $NH_4Cl/NH_3$  buffer solution, Hydroxypropyl Cellulose (HPC,  $M_w \sim 80,000$ ,  
139  $M_n \sim 10,000$ ) and  $HNO_3$  (nitric acid, 60%) were purchased from Sigma-Aldrich and used without any  
140 further purification. The paper sample (thickness  $0.15\text{ mm}$ ,  $73\text{ g m}^{-2}$  and water capillary raise  $>178$   
141  $mmh^{-1}$ ) was from Albet<sup>®</sup>.

142 *2.2. Loading of MgO within halloysite*

143 In order to load halloysite with MgO we firstly prepared an aqueous solution of  $MgCl_2$  with a  
144 stoichiometric amount of EDTA in buffered alkaline conditions, namely  $pH = 8$  in  $NH_4Cl/NH_3$ . The  
145 accurate choice of pH can be explained by considering both the charge of Hal, whose inner surface  
146 is still positive, and the charge of EDTA, which is in its monoprotonated state  $HY^{3-}$  (Liu et al., 2004).  
147 After the interaction with  $Mg^{2+}$ , the negative  $MgEDTA^-$  species are formed and their interaction with  
148 the inner lumen of the nanoclays is optimized. Hence, pristine halloysite (2 wt%) was added and the  
149 suspension was sonicated for 10 min. To improve the loading efficiency, the system was kept under  
150 vacuum for 1 hour and then it was brought back to the atmospheric pressure and stirred for additional  
151 30 minutes. The vacuum in/out cycles were repeated 3 times, according to literature (Lisuzzo et al.,  
152 2019b). Afterwards, the  $MgEDTA^-$  loaded nanotubes were separated from the aqueous phase by  
153 centrifugation, washed three times to remove any excess and dried overnight at  $50\text{ }^\circ\text{C}$ . A calcination  
154 step was also conducted at  $700\text{ }^\circ\text{C}$  to induce the thermal degradation of the organic moieties, finally  
155 resulting in the formation of MgO species within halloysite nanotubes (Figure 1).



157 **Figure 1.** Scheme of the preparation procedure of MgO loaded halloysite.

158 *2.3. Impregnation of paper for protection*

159 For the treatment of paper an aqueous solution of HPC at 2 wt % was prepared. Then, it was divided  
160 in different aliquots and a certain amount of MgO-Hal (from 0 to 20 wt%) was added to the polymer  
161 solutions and kept under stirring overnight at 25 °C. The paper was cut in rectangular samples (40  
162 mm × 5 mm) that were deeply immersed into the aqueous HPC/MgO-Hal dispersions for 24 h at 20  
163 °C. After the impregnation, the treated samples were dried out at 35 °C. The amount of protecting  
164 material for each treated sample was evaluated by gravimetric analysis ( $\pm 0.00001$  g). The paper  
165 samples were re-equilibrated with air for 3 days before characterization.

166 *2.4. Paper aging under acidic conditions*

167 The samples of both treated and untreated paper were exposed to acidic vapours, which mime aging  
168 conditions. With this aim, they were placed in a closed desiccator with a solution of HNO<sub>3</sub> 30 wt %  
169 to create an acid saturated atmosphere. After the exposition to acidic vapours for variable time  
170 intervals (up to 12 hours), the paper samples were re-equilibrated with air for 3 days before further  
171 analysis.

172 *2.5 Characterization methods*

173 A Zetasizer NANO-ZS (Malvern Instruments) was used to conduct  $\zeta$ -potential experiments, which  
174 were carried out at 25 °C. Thermogravimetric analysis (TGA) was performed by using the Q5000 IR  
175 (TA Instruments) apparatus under N<sub>2</sub> flow, which was 25 cm<sup>3</sup> min<sup>-1</sup> for the sample and 10 cm<sup>3</sup> min<sup>-1</sup>  
176 for the balance, respectively. The samples were heated from room temperature up to 800 °C with a  
177 scanning rate of 20 °C min<sup>-1</sup>. The instrumental calibration was conducted on the basis of the Curie  
178 temperatures of standards (nickel, cobalt, and their alloys) (Blanco et al., 2014, 2017). The loading  
179 amounts within the cavity of halloysite nanotubes were calculated through the rule of mixtures as  
180 reported in literature (Lisuzzo et al., 2019b). The pH measurements were carried out by means of a  
181 PCD650 pH meter (Eutech Instruments) which was immersed into the aqueous dispersions of MgO,  
182 halloysite and MgO loaded halloysite under stirring conditions. The pH values of the paper samples,  
183 instead, was measured at certain times intervals through a HI 1413B/50 portable pH meter with a flat-  
184 tip electrode (Hanna Instruments) after rinsing its tip with a droplet of water. Dynamic Mechanical  
185 Analysis (DMA) was conducted by a DMA Q800 apparatus (TA Instruments) allowed us to evaluate  
186 the tensile properties of paper. Rectangular samples were studied under a stress ramp of 1 MPa min<sup>-1</sup>  
187 at 25.0 ± 0.5 °C. We determined the mechanical performances in terms of stress at breaking ( $\sigma_r$ ) and  
188 energy stored at the sample fracture. The latter was calculated by integrating the stress vs strain  
189 curves. An ESEM FEI QUANTA 200F electronic microscope was used to investigate the morphology

190 of the materials. To avoid charging under the electron beam, each sample was coated with Au in  
191 argon by means of an Edwards Sputter Coater S150A. The measurements were conducted in high  
192 vacuum mode ( $<6 \times 10^{-4}$  Pa) for simultaneous secondary electrons; the energy of the beam was 25  
193 kV and the working distance was 10 mm. Colorimetric analysis was performed using a NH300  
194 Colorimeter (3NH Shanghai Co., Ltd.) which was calibrated using black and white plates. CQCS3  
195 Software was used for the data collection of  $L^*$  (lightness),  $a^*$  (red–green), and  $b^*$  (yellow–blue)  
196 parameters. The total color difference ( $\Delta E$ ) between samples was calculated as reported in literature  
197 by considering three different points (Yousefi et al., 2020).

### 198 3. Results and Discussion

#### 199 3.1. Characterization of MgO-Hal aqueous dispersions

200 The choice to load halloysite with  $\text{MgEDTA}^-$  for the preparation of MgO-Hal nanoparticles upon  
201 calcination, instead of using MgO or  $\text{Mg}(\text{OH})_2$  in the preparation protocol is strategic. Indeed, the  
202 water solubility of magnesium oxide or hydroxide is very low and, as a consequence, the direct  
203 encapsulation of these alkaline species within the cavity of the nanotubes shows several issues and it  
204 was not successful. Hence, we started by using  $\text{MgCl}_2$  and EDTA in aqueous solution at  $\text{pH} = 8$  to  
205 optimize the yield of the monoprotonated state of the organic counterpart to give  $\text{MgEDTA}^-$  after  
206 interaction with the  $\text{Mg}^{2+}$  cations. It is worth to note that, in these conditions, the inner surface of  
207 halloysite is still positive so that the electrostatic interactions between the oppositely charged  
208 components play a crucial role (Cavallaro et al., 2020a).

209 With this in mind,  $\zeta$  potential measurements were carried out to evaluate the interactions between  
210 halloysite nanotubes and the anionic complexes at the same pH conditions. Table 1 reports the values  
211 for pristine nanotubes and  $\text{MgEDTA}^-$  loaded nanotubes.

212

213 **Table 1.**  $\zeta$  potential values for pure and  $\text{MgEDTA}^-$  loaded halloysite nanotubes at  $\text{pH} = 8$ .

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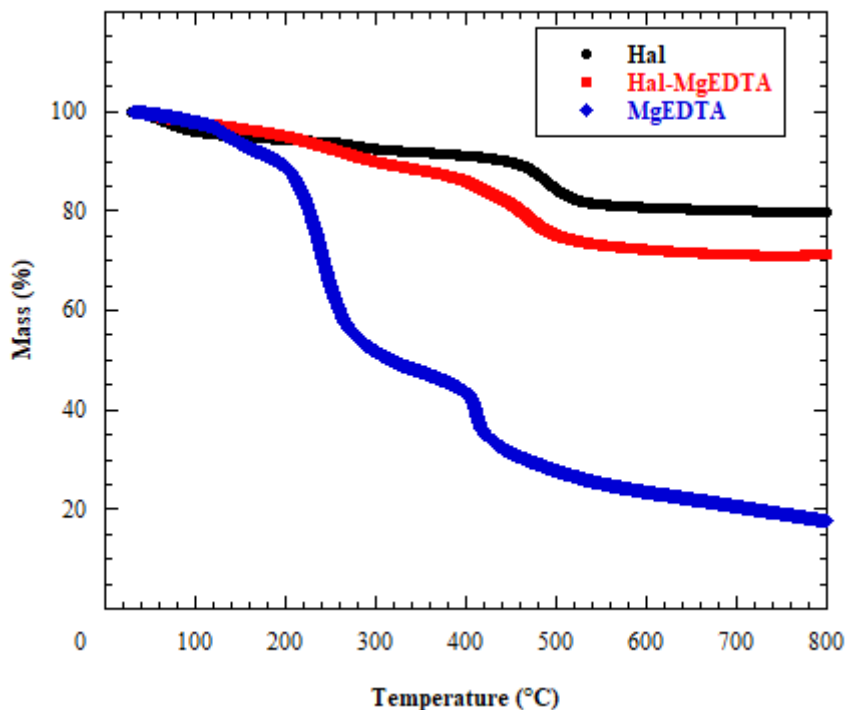
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Sample	$\zeta$ potential (mV)
Hal	$-24.9 \pm 0.3$
$\text{MgEDTA}^-$ loaded Hal	$-45.1 \pm 0.9$

217

218 It can be clearly observed that, after the interactions between the anionic species and the nanoclay,  
219 the  $\zeta$  potential shifts towards more negative values. This effect can be related to the effective  
220 encapsulation of  $\text{MgEDTA}^-$ , which neutralizes the positive inner charges of the alumina surface  
221 within the lumen leading to a higher net negative charge for the system. Similar results are reported

222 in literature for sodium alkanoates and sodium dodecyl sulfates (Lisuzzo et al., 2019a). In order to  
223 evaluate the loading efficiency we performed thermogravimetric analysis. Thermogravimetric curves  
224 for pure Hal, pure MgEDTA<sup>-</sup> and MgEDTA<sup>-</sup> loaded Hal are reported in Figure 2.



225

226 **Figure 2.** Thermogravimetric curves of pristine Hal, MgEDTA and MgEDTA<sup>-</sup> loaded Hal.

227 The raw nanoclays showed a mass loss between 50 °C and 150 °C, which is related to the moisture  
228 content and desorption of water molecules (Blanco and Siracusa, 2021), whereas the typical  
229 dehydroxylation of structural Al–OH groups can be observed between 450–500 °C, as confirmed in  
230 literature (Duce et al., 2015). Similarly, the first weight loss in the curve of MgEDTA corresponds to  
231 the water content. Most importantly, the two principal stages of thermal degradation in the 200-450  
232 °C range can be ascribed to the decomposition of the ligand and to the removal of –COO<sup>-</sup> and –  
233 N(C<sub>y</sub>H<sub>z</sub>)<sub>x</sub> moieties (Tarasov et al., 2011). It is clear that the degradation extent of the organic  
234 counterpart is higher, resulting in a lower residual matter at 800 °C for pure MgEDTA. Similar  
235 observations can be done for the MgEDTA loaded halloysite, whose residual mass is lower compared  
236 to pristine halloysite due to the presence of the organic payload.

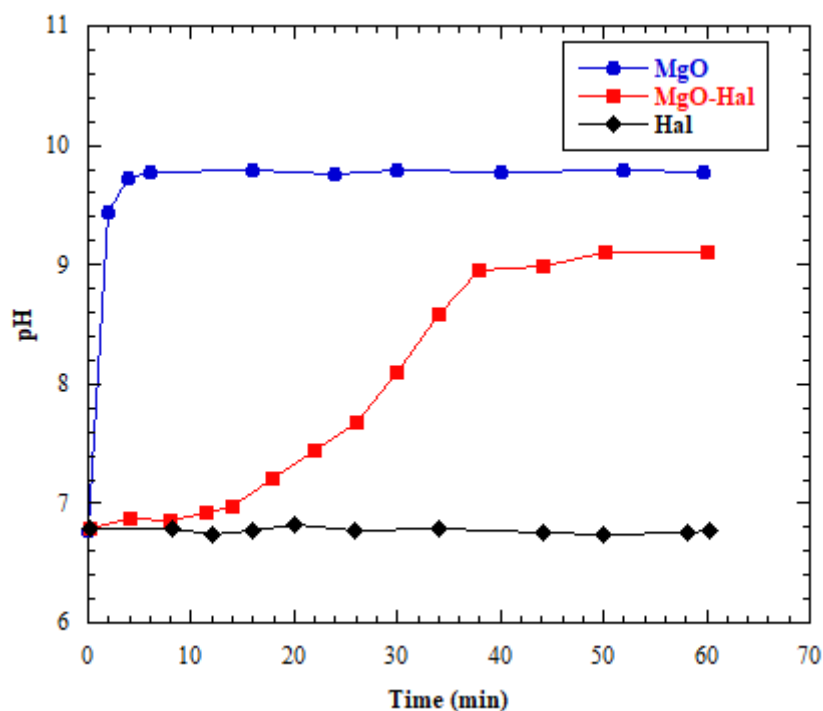
237 By comparing the thermograms of the three samples and using the rule of mixtures, we estimated the  
238 amount of MgO encapsulated within halloysite. On this basis, it was calculated that the MgO loading  
239 is  $2.2 \pm 0.1$  wt % which corresponds to  $1.6 \pm 0.1$  v/v %.

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242 Afterwards, aimed at assessing the antacid properties of the MgO loaded halloysite nanotubes, the  
243 pH values of aqueous dispersions were measured at pre-established intervals of time to investigate  
244 the release kinetics of the alkaline reservoir (Figure 3).



245  
246 **Figure 3.** pH values of Hal, MgO and MgO-Hal aqueous dispersions as a function of time.

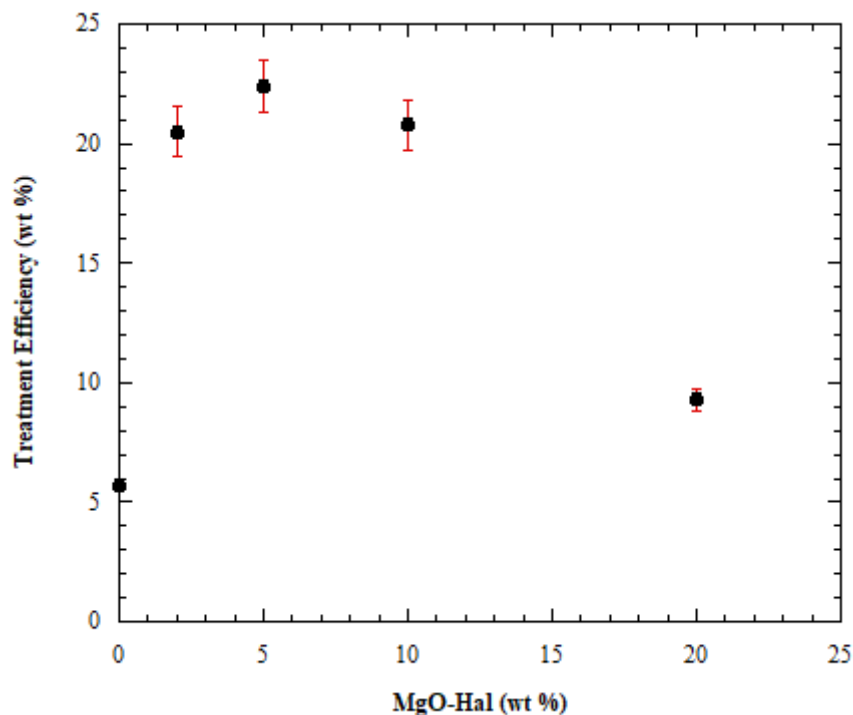
247 It is clear that pristine nanotubes do not play a role in affecting the acidic conditions of the aqueous  
248 dispersion, being the trend constant at  $\text{pH} \approx 6.8$  even after 60 minutes. Conversely, a blank experiment  
249 reporting the kinetics for pure MgO revealed a quick dissolution of the oxide. The presence of MgO  
250 species in water is responsible for a sudden increase of the pH due to their strong alkaline properties.  
251 Indeed, the pH is ca. 9.5 after only 2 minutes and it reaches a maximum of 9.8 after 6 minutes, then  
252 remaining constant in the whole range of time. As concerns MgO-Hal, it is noteworthy that the  
253 variation of pH is slowed down. In particular, the curve reported in Figure 3 shows a sustained  
254 increase with a maximum value of  $\text{pH} \approx 9$  reached after 40 minutes, which is thereafter maintained.  
255 These findings can be most likely related to the effective encapsulation of the alkaline species within  
256 the cavity of the hollow nanotubular clay. Before being fully available in the aqueous medium, MgO  
257 species must diffuse through the lumen and this particular mechanism has been reported to deeply  
258 influence the release kinetics of active molecules, such as drugs, due to the diffusion path (Lisuzzo et  
259 al., 2020b).

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261

262 3.2 Effect of MgO-Hal on paper treatment

263 In order to evaluate the efficiency of the consolidation protocol, the amount of protecting material for  
264 each paper sample was estimated by gravimetric analysis after the treatment. Namely, the mass of the  
265 samples was measured after the impregnation in the aqueous solution of HPC with different amounts  
266 of MgO-Hal. The values are reported in Figure 4. Herein, the treatment efficiency represents the  
267 content of the protecting material (HPC + MgO-Hal) in the consolidated paper samples, whilst MgO-  
268 Hal is the percentage of the loaded halloysite nanotubes added to the HPC solution.



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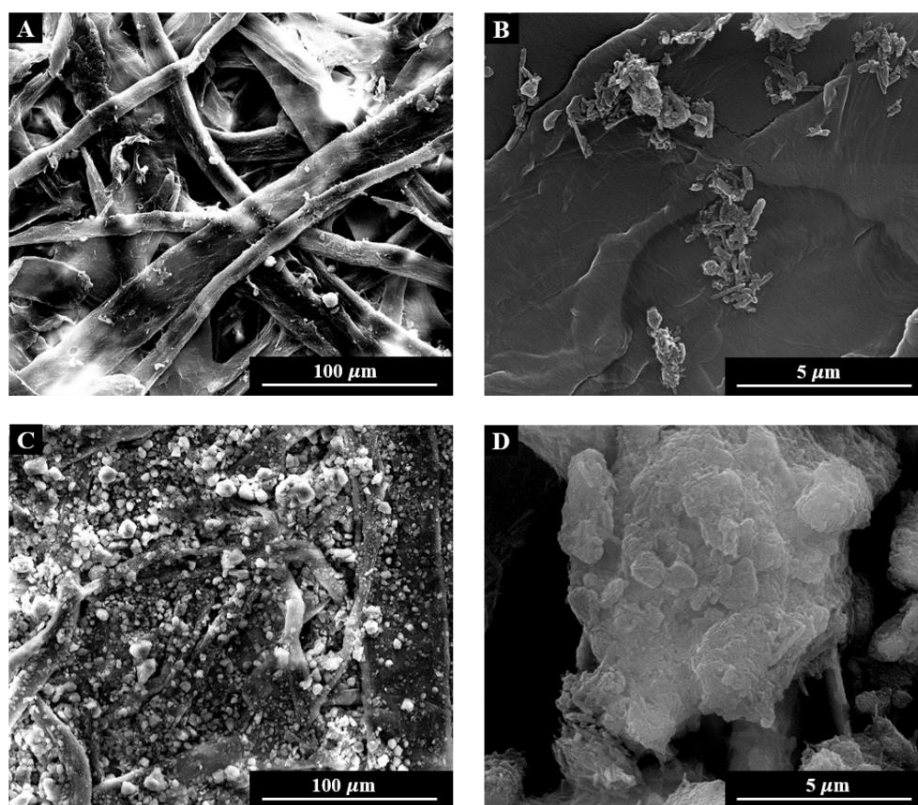
270 **Figure 4.** Treatment efficiency as a function of the MgO-Hal concentration in the consolidating  
271 mixture.

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273 It is clear that the concentration of the overall protecting material increases when MgO-Hal are added  
274 during the treatment protocol. Indeed, values are reported to be 5 wt% when only HPC is employed  
275 and they soared up to 20-23 wt% after the addition of the inorganic counterparts from 2 to 10 wt%.  
276 Conversely, when the amount of MgO-Hal in the impregnation mixture is 20 wt % the concentration  
277 of the treating material decreases to ca. 9 wt %. This effect can be most likely related to the  
278 aggregation of nanotubes and to the formation of clusters, which cannot penetrate the cellulosic  
279 matrix of paper and cannot act as functional fillers.

280 To corroborate this hypothesis, the morphology of the paper after the treatment was investigated by  
281 Scanning Electron Microscopy. We observed that the protecting material is homogeneously dispersed  
282 within the cellulosic matrix when the concentration of MgO-Hal is 10 wt% and no clusters can be

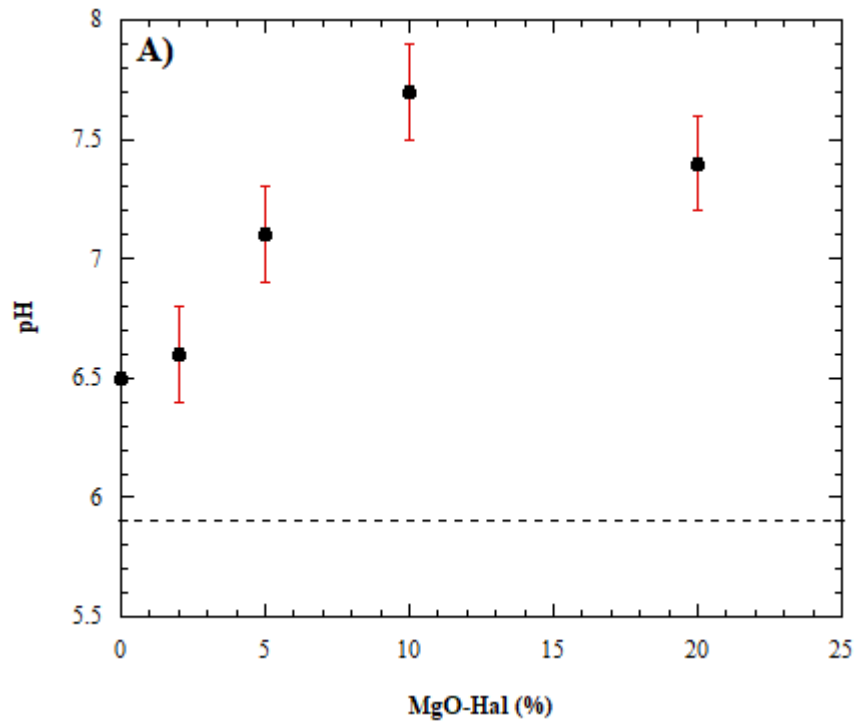
283 seen (Figure 5A). In this case, the surface appears to be smooth and the different nanoparticles can  
284 be separately distinguished in the higher magnification image (Figure 5B). Conversely, the paper  
285 sample treated with 20 wt% MgO-Hal presents a not uniform and rough surface (Figure 5C) and the  
286 dispersion of the antiacids loaded nanotubes within the texture of paper is not homogeneous, but they  
287 agglomerate into clusters of larger dimensions (Figure 5D).  
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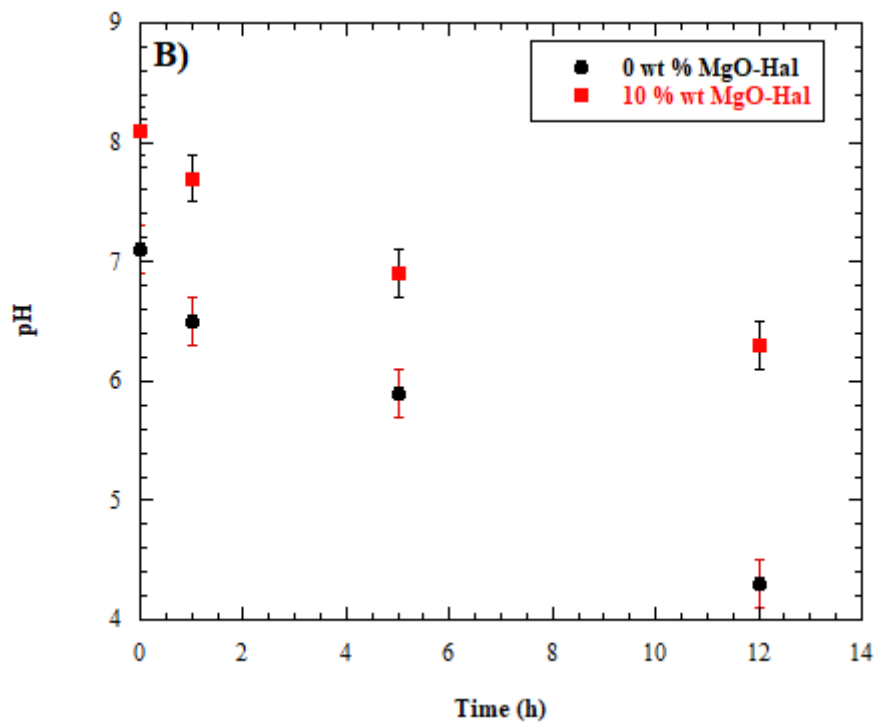
289  
290 **Figure 5.** SEM micrographs for paper treated with MgO-Hal 10 wt% (A,B) and MgO-Hal 20 wt%  
291 (C,D) in HPC mixtures.  
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302 The antacid properties of the composite material used for the treatment of the paper samples were  
303 evaluated by pH measurements as functions of both time and concentration of the alkaline reservoir  
304 after being exposed to acidic vapours (Figure 6).



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306

307 **Figure 6.** (A) pH values of treated paper samples as a function of MgO-Hal concentration after 1  
308 hour of exposure time to HNO<sub>3</sub> vapours. (B) pH values as a function of exposure time to HNO<sub>3</sub>  
309 vapours for paper samples treated with 0 and 10 % wt MgO-Hal.

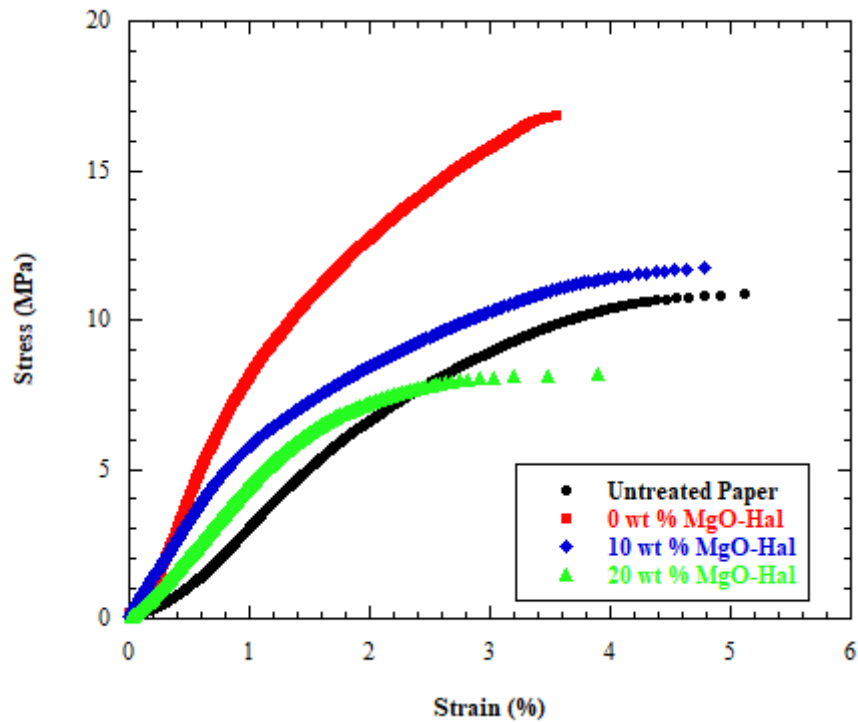
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311 As it can be clearly observed in Figure 6A, the pH increases as the concentration of the MgO-Hal  
312 particles increases. The measured pH value for the pure hydroxypropyl cellulose treated paper is 6.5  
313 after 1 hour exposition to nitric acid vapours, and it reaches a maximum of 7.7 when halloysite loaded  
314 with the alkaline species is added to the impregnation mixture at a concentration of 10 wt %. It should  
315 be noted that the pH for the untreated sample is the lowest after 1 hour of aging, namely 5.9 (dotted  
316 line in Figure 6a). As long as the concentration of the antiacid fillers is 20 wt %, instead, the pH  
317 shows a slight decrease to 7.4 in the same range of time. In light of these results, it is possible to state  
318 that the treatment with pure HPC is responsible for a certain effect on the pH of aged paper but the  
319 presence of the alkaline reservoir within the lumen of halloysite plays a major role. As evidenced, the  
320 neutralizing effect towards the acidic aging increases with the MgO-Hal content in the treating  
321 material up to a certain extent. Then, the smaller effect observed for the highest concentration of  
322 antiacid particles is in agreement with the previous results (treatment efficiency and SEM analysis).  
323 Due to the formation of large aggregates, the MgO loaded halloysite cannot penetrate the matrix of  
324 paper, with a lower efficiency in controlling its pH after aging under acidic conditions.

325 In Figure 6B we reported the pH trends as function of time for paper samples treated with HPC (0 wt  
326 % MgO-Hal) and HPC/MgO-Hal 10 wt %. Here again, we took into account the duration time of  
327 exposition to the HNO<sub>3</sub> vapors. The starting values (exposure time = 0 h) are 7.1 and 8.1 for 0 wt %  
328 and 10 wt % of MgO-Hal, respectively. Then, the pH decreased to 4.3 for the former and to 6.3 for  
329 the latter after 12 hours. Although an overall decrease can be observed in both cases, it is noteworthy  
330 that the paper sample treated with the antiacid nanofillers remains still neutral. Conversely, the pH  
331 turns to highly acidic values after the treatment without alkaline payload. This effect can be related  
332 to the sustained MgO release from the cavity of halloysite. It should be considered that the  
333 environmental conditions used for the aging simulation are strongly acidic compared to the  
334 conventional conservation places. Therefore, the obtained results appear to be very promising.

335 Furthermore, the mechanical performances of the treated paper were investigated in order to evaluate  
336 the effects of both the consolidation protocol and of the acidic aging. Some examples of stress vs.  
337 strain curves are shown in Figure 7.

338 Table 2 reports the stress at breaking ( $\sigma_r$ ) of the samples and its variation compared to untreated paper  
339 ( $\Delta\sigma_r$ ) after the treatment with the MgO-Hal composite at different concentrations. The 0 wt % MgO-  
340 Hal sample represents the treatment with pure hydroxypropyl cellulose. Curves in Figure 6 and values  
341 in Table 2 are referred to untreated and treated samples before acidic aging.



342

343 **Figure 7.** Stress vs. strain curves for untreated and treated paper samples before acidic aging.

344

345 **Table 2.** Stress at breaking values ( $\sigma_r$ ) of untreated and treated samples before acidic aging.  $\Delta\sigma_r$   
 346 represents the variation of the tensile strength after the treatment.

347

Sample	$\sigma_r$ (MPa) <sup>a</sup>	$\Delta\sigma_r$ (%)
Untreated Paper	10.8	
0 wt % MgO-Hal	16.8	55.5
2 wt % MgO-Hal	11.7	8.3
5 wt % MgO-Hal	11.6	7.4
10 wt % MgO-Hal	11.7	8.3
20 wt % MgO-Hal	8.2	-24.1

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<sup>a</sup>The relative error is 2 %.

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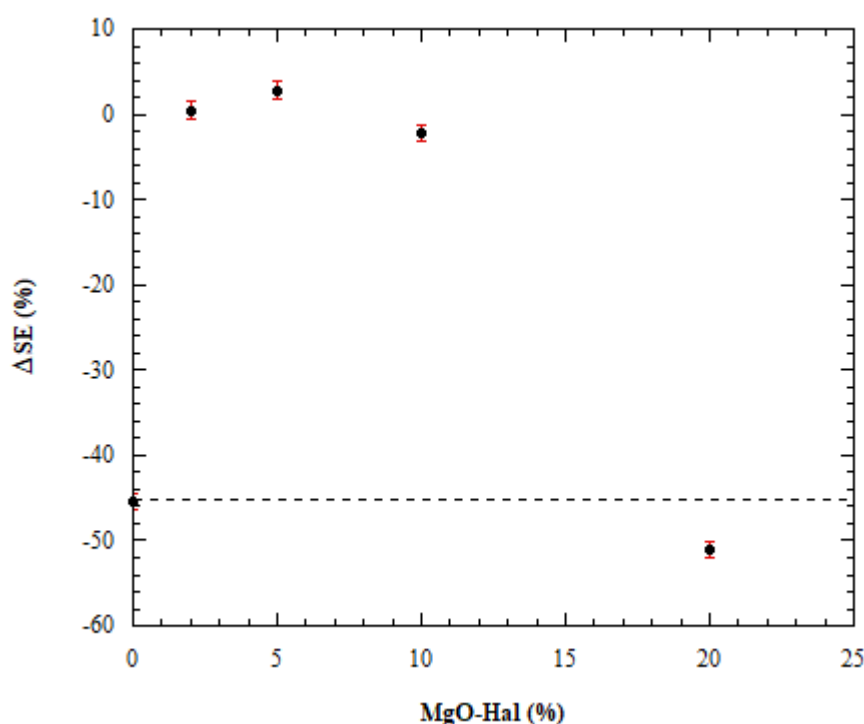
362

For what concerns the paper treated with HPC, the tensile strength increases up to 16.8 MPa, which means 55.5 % compared to the untreated sample. This reinforcement can be ascribed to the presence of the biopolymer, which is responsible for an improved connection between the fibers in the matrix of paper, thus providing mechanical resistance. Once the MgO-Hal particles are included in the HPC solution for the impregnation of paper, instead, there is a double effect. In particular, the measured values of stress at breaking increase of ca. 8% for the samples treated with 2, 5 and 10 wt % MgO-Hal compared to the untreated paper. Conversely, the tensile strength shows a strong decrease after

363 the treatment with 20 wt % of MgO loaded nanotubes, and namely the variation is -24.1% compared  
364 to the untreated paper.

365 These results can be most likely due to the different dispersion of the nanoclay within the paper as  
366 observed by SEM. Once halloysite is homogenously distributed, the adhesion between the clay and  
367 the polymeric matrix of paper is enhanced, thus being responsible for the overall improvement of the  
368 mechanical properties. On the opposite side, the tensile strength is reduced by the non-uniform  
369 dispersion of the antacid loaded nanofillers when the concentration is too high. It is worth to note  
370 that these findings are in agreement with both the treatment efficiency, which is lower for the 20 wt  
371 % MgO-Hal treated sample, and with the effects on pH. The aggregation of the nanotubes and the  
372 formation of clusters play a role also in the worsening of the tensile properties of the resulting  
373 material. In addition, similar results were reported for other polymeric composites and bioplastics  
374 (Chivrac et al., 2010; Lisuzzo et al., 2020a).

375 At this point, we moved forwards by investigating the mechanical performances of the prepared  
376 samples after 12 h of aging procedure under HNO<sub>3</sub> vapours. To this purpose, the stored energy was  
377 calculated by integrating the stress vs strain curves. Figure 8 reports  $\Delta$ SE (%) values, which are the  
378 variations of the stored energies up to the breaking induced by the acidic aging.



379  
380 **Figure 8.**  $\Delta$ SE values for treated samples as a function of the MgO-Hal concentration. Dotted line is  
381 the value for untreated paper.  
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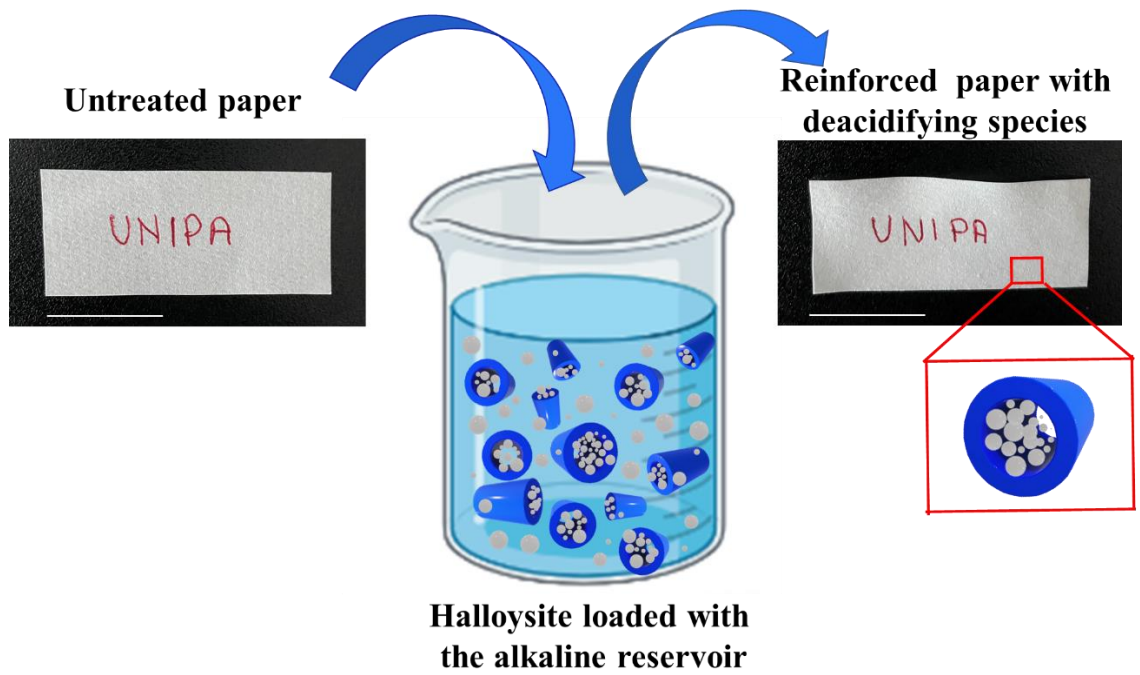
383 Notwithstanding the improvement of the tensile strength, as suggested by the aforementioned increase  
384 of the stress at breaking point, the energy stored by the paper treated only with HPC (0 wt % MgO-

385 Hal) strongly decreases upon acidic aging. In particular,  $\Delta SE$  is - 45.4% and it corresponds to the  
386 value measured for the untreated paper exposed to  $HNO_3$  vapours (dotted line in Fig. 8). Hence, the  
387 mechanical performances of both the pristine paper and the HPC treated paper are worse after the  
388 aging simulation compared to pristine paper before the aging. Contrarily to them, the samples treated  
389 also with MgO-Hal show, after the acidic aging, values of stored energy similar to the pristine paper  
390 without chemical attack. In these cases,  $\Delta SE$  values are included between +2.8 and -2.2 %. The  
391 different mechanical features can be explained by considering the antiacid properties of halloysite  
392 filled with an alkaline reservoir, which was able to minimize the loss of tensile performance. Indeed,  
393 MgO can be released over time and it neutralizes the acidic degradation due to the atmospheric  
394 conditions. As a consequence, the mechanical performances of the 2, 5 and 10 wt % MgO-Hal treated  
395 samples are similar to those of the pristine paper before acidic aging, and these systems are more  
396 efficient in strengthening the material keeping relatively high  $SE$  values even if they underwent a  
397 strong aging simulation. As expected, the paper treated with 20 wt % MgO-Hal undergoes a reduction  
398 of the stored energy that is -51.1%. Since the concentration of nanofiller is too high to penetrate the  
399 matrix of paper and to favourably interact with the polymeric chains, halloysite is not homogeneously  
400 dispersed and the low amount of nanotubes with an alkaline reservoir cannot neutralize the acidic  
401 attack. As a consequence, the mechanical performances resulted to be worsened. Similar findings  
402 are also reported in literature. For instance, the elongation at break and the stored energy of eco-  
403 compatible bioplastics can be improved by a small amount of clay nanotubes. Then, further nanofiller  
404 additions result in the worsening of the thermo-mechanical properties due to the peculiar  
405 morphological features of the composites. The homogenous distribution of inorganic fillers within  
406 the polymeric matrix can cause an enhancement of the thermal stability and mechanical stiffness.  
407 Conversely, the presence of a rough surface with clusters worsens the overall properties of the  
408 material ((Lisuzzo et al., 2020a).

409 Aimed at assessing the effects of the treatment on the paper samples and on their appearance, we  
410 performed colorimetric analysis and reported the colorimetric parameters in Table S1 (see  
411 Supplementary material).

412 In particular, it was found that the total color difference ( $\Delta E$ ) between the untreated and the treated  
413 paper is 0.095, thus meaning that the macroscopic aspect of the samples was not affected after the  
414 proposed protocol was carried out (Meng et al., 2014; Sharma et al., 2017). Moreover, the writing  
415 quality of the treated paper did not change (Figure 9).





416

417 **Figure 9.** Optical photos of the paper before and after treatment with 10 wt % MgO-Hal in HPC. The  
418 scale bars are 2 cm. “UNIPA” was written with a ball pen.  
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439 **4. Conclusions**

440 In this work, we designed a novel protocol for the loading of halloysite nanotubes (Hal) with an  
441 alkaline reservoir that can be exploited for the treatment of cellulose-based paper.

442 Due to the low solubility of magnesium oxide, MgCl<sub>2</sub> and EDTA were employed for the preparation  
443 strategy. The formation of MgEDTA<sup>-</sup> complexes and their successful encapsulation within the inner  
444 cavity of halloysite were confirmed by ζ-potential measurements whereas thermogravimetric analysis  
445 allowed us to evaluate the effective loading of the nanoclays with MgO species. The antacid  
446 properties of the payload were studied by pH measurements in order to have more precise insights  
447 about the sustained release of MgO from the lumen of the nanotubes.

448 Afterwards, paper samples were treated by the impregnation method in hydroxypropyl  
449 cellulose/MgO-Hal dispersions and the treatment efficiency was estimated. We carried out a  
450 simulation of strong acidic aging conditions by HNO<sub>3</sub> vapours and it was found that the consolidation  
451 protocol plays a crucial role in controlling the pH of the paper even after the acidic attack. In  
452 particular, the pH of the material increases with the amount of the MgO-Hal particles up to certain  
453 concentration, namely 10 wt %. Moreover, the paper sample treated with the antacid nanofillers  
454 remains still neutral although the exposure for 12 hours to the acidic atmosphere.

455 Furthermore, the mechanical properties of the materials were investigated by Dynamic Mechanical  
456 Analysis in order to evaluate the effects of the consolidation protocol before and after the aging  
457 simulation. We found that the tensile properties of the paper resulted to be improved after the  
458 impregnation step in HPC and MgO-Hal. More interestingly, the presence of the antacids loaded  
459 nanofillers neutralizes the HNO<sub>3</sub> vapors attack during the aging procedure, thus minimizing the loss  
460 of mechanical performances. The materials treated with a concentration of MgO-Hal up to 10 wt %  
461 resulted to be strengthened and they can undergo the strong acidic atmosphere but still showing tensile  
462 features similar to pristine paper before aging. Additional amounts of nanoparticles did not show  
463 better protection as the clay clustering prevented from a successful dispersion and impregnation  
464 efficacy of the paper sample as observed by SEM analysis. Besides, the colorimetric properties and  
465 the writing quality of the paper did not change after the treatment. To the light of these findings, the  
466 proposed protocol appears to be very promising for the tailored loading of halloysite nanotubes and  
467 for the successful protection and self-healing of cellulosic paper.

468

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