## THE RANK OF TRIFOCAL GRASSMANN TENSORS<sup>∗</sup>

## 2 MARINA BERTOLINI<sup>†</sup>, GIAN MARIO BESANA<sup>‡</sup>, GILBERTO BINI<sup>§</sup>, AND CRISTINA TURRINI ¶

 Abstract. Grassmann tensors arise from classical problems of scene reconstruction in computer vision. Trifocal Grassmann tensors, related to three projections from a projective space of dimension k onto view-spaces of varying dimensions are studied in this work. A canonical form for the combined projection matrices is obtained. When the centers of projections satisfy a natural generality assump- tion, such canonical form gives a closed formula for the rank of trifocal Grassmann tensors. The same approach is also applied to the case of two projections, confirming a previous result obtained with different methods in [\[6\]](#page-13-0). The rank of sequences of tensors converging to tensors associated with degenerate configurations of projection centers is also considered, giving concrete examples of a wide spectrum of phenomena that can happen.

13 Key words. Tensor Rank, Border Rank, Projective reconstruction in Computer Vision, Multi-view Geometry

AMS subject classifications. 15A69,15A21,14N05

∗

 1. Introduction. Tensors, as multidimensional arrays representing multilinear applications among vector spaces, have traditionally played a pivotal role in many areas, from physics to computer science, to electrical engineering. As algebraic ge- ometry is increasingly witnessing intense activity in more applied directions, tensors have come to the fore of the discipline as useful tools on one hand, and as beauti- fully intricate objects of study on the other, with rich geometric interplay with other classical ideas. In particular, the calculation of any of the various established notions of rank of a tensor is an interesting and difficult problem. While many authors have recently studied these issues, a standard reference is [\[14\]](#page-13-1) and a useful survey is [\[3\]](#page-13-2).

 The authors have been interested for a while in a class of tensors that arise from classical problems of scene reconstruction in computer vision. In the classical case of reconstruction of a three-dimensional static scene from two, three, or four two- dimensional images, these tensors are known as the fundamental matrix, the trifocal tensor, and the quadrifocal tensor, respectively, and have been studied extensively, 30 see for example  $[10]$ ,  $[1]$ ,  $[15]$ ,  $[2]$ ,  $[12]$ . In a more general setting, these tensors are called Grassmann tensors and were introduced by Hartley and Schaffalitzky, [\[11\]](#page-13-8), as a way to encode information on corresponding subspaces in multiview geometry in higher dimensions. Three of the authors have studied critical loci for projective reconstruction from multiple views, [\[5\]](#page-13-9), [\[8\]](#page-13-10), and in this setting Grassmann tensors play a fundamental role, [\[7\]](#page-13-11), [\[4\]](#page-13-12).

The authors' long-term goal is to study properties such as rank, decomposition,

Funding: This work was partially funded by the University of Milan Research Projects 2017-18 e 2018-19 Geometry of Projective Varieties and its Application to Image Reconstruction and by the Office of the Provost of DePaul University

<sup>†</sup>Dipartimento di Matematica "F. Enriques", Universit`a degli Studi di Milano, Via Saldini 50, 20133 Milano, Italy [\(marina.bertolini@unimi.it\)](mailto:marina.bertolini@unimi.it).

<sup>‡</sup>College of Computing and Digital Media, DePaul University, 243 South Wabash, Chicago IL, 60604 USA[\(gbesana@depaul.edu\)](mailto:gbesana@depaul.edu).

<sup>§</sup>Dipartimento di Matematica "F. Enriques", Universit`a degli Studi di Milano, Via Saldini 50, 20133 Milano, Italy [\(gilberto.bini@unimi.it\)](mailto:gilberto.bini@unimi.it).

<sup>¶</sup>Dipartimento di Matematica "F. Enriques", Universit`a degli Studi di Milano, Via Saldini 50, 20133 Milano, Italy [\(cristina.turrini@unimi.it\)](mailto:cristina.turrini@unimi.it).

 degenerations, and identifiability of Grassmann tensors in higher dimensions, and, when feasible, the varieties parameterizing such tensors.

 The first step was taken in [\[6\]](#page-13-0), where three of the authors studied the case of two views in higher dimensions, introducing the concept of generalized fundamental matrices as 2-tensors. That first work contained an explicit geometric interpretation of the rational map associated to the generalized fundamental matrix, the computation of the rank of the generalized fundamental matrix with an explicit, closed formula, and the investigation of some properties of the variety of such objects.

 The next natural step in the authors' program is the study of trifocal Grassmann tensors, i.e. Grassmann tensors arising from three projections from higher dimen- sional projective spaces onto view-spaces of varying dimensions. A natural genericity assumption, see Assumption [5.1,](#page-6-0) allows for suitable changes of coordinates in the view spaces and in the ambient space that give rise to a canonical form for the combined projection matrices. Utilizing such canonical form, the rank of trifocal Grassmann tensors is computed with a closed formula, see Theorem [5.2.](#page-7-0) When Assumption [5.1](#page-6-0) is no longer satisfied, the situation becomes quite intricate. A general canonical form for the combined projection matrices can still be obtained, see Section [6.](#page-9-0) We conclude with a series of examples in which the rank is computed utilizing the canonical form. These examples illustrate the wide spectrum of possible phenomena that can happen with the specialization of the three centers of projection. In particular, we provide examples of sequences of Grassmann tensors of given rank r, converging to limit ten- sors whose rank can be either strictly larger than r, Example [6.2,](#page-10-0) and Example [6.3-](#page-11-0)a, or strictly smaller than r, Example [6.3-](#page-11-0)b. The first two of these cases are geometric examples of tensors with border rank strictly smaller than their rank.

## 2. Background Material.

<span id="page-1-0"></span> 2.1. Preliminaries on tensors. Notation and definitions of tensors and their ranks (rank and border-rank) used in this work are relatively standard in the litera-ture. They are all contained in [\[14\]](#page-13-1) and briefly summarized below.

Given vector spaces  $V_i, i = 1, \ldots t$ , the *rank* of a tensor  $T \in V_1 \otimes V_2 \otimes \ldots \otimes V_t$ , 66 denoted by  $R(T)$ , is the minimum number of decomposable tensors needed to write T as a sum. Recall that  $R(T)$  is invariant under changes of bases in the vector spaces 68 V<sub>i</sub> (see for example [\[14\]](#page-13-1), Section 2.4).

69 Furthermore, a tensor T has *border rank r* if it is a limit of tensors of rank r but 70 is not a limit of tensors of rank s for any  $s < r$ . Let  $R(\mathcal{T})$  denote the border rank of 71 T. Note that  $R(\mathcal{T}) \leq R(T)$ .

 As in Section [4](#page-5-0) we will focus on tri-linear tensors, we recall here that given a tensor  $T \in V_1 \otimes V_2 \otimes V_3$ , where dim  $V_i = a_i$ , its rank  $R(T)$  can also be realized as the 74 minimal number p of rank 1  $a_1 \times a_2$ -matrices  $S_1, \ldots, S_p$  such that each slice  $T_{i,j,k}$ , <sup>75</sup> for a fixed  $\hat{k}$ , is a linear combination of such  $S_1, \ldots, S_p$  (see for example [\[9\]](#page-13-13), Theorem 2.1.2.).

 2.2. Multiview Geometry. For the convenience of the reader, in this Section we recall standard facts and notation for cameras, centers of projection, and multiple views in the context of projective reconstruction in computer vision. A scene is a 80 set of N points  $\{X_i\} \in \mathbb{P}^k, i = 1, ..., N$ . A camera P is a projection from  $\mathbb{P}^k$  onto  $\mathbb{P}^h$ ,  $(h < k)$ , from a linear center  $C_P$ . The target space  $\mathbb{P}^h$ , is called *view*. Once 82 homogeneous coordinates have been chosen in  $\mathbb{P}^k$  and  $\mathbb{P}^h$ , P can be identified with a  $(h+1) \times (k+1)$  matrix of maximal rank, defined up to a constant, for which we 84 use the same symbol P. With this notation,  $C_P$  is the right annihilator of P, hence

85 a  $(k-h-1)$ -space. Accordingly, if **X** is a point in  $\mathbb{P}^k$ , we denote its image in the 86 projection equivalently as  $P(X)$  or  $P \cdot X$ .

<sup>87</sup> The rows of P represent linear subspaces of  $\mathbb{P}^k = \mathbb{P}(\mathbb{C}^{k+1})$  defining the center 88 of projection  $C_P$  and can be identified with points of the dual space  $\tilde{\mathbb{P}}^k = \mathbb{P}(\check{\mathbb{C}}^{k+1}),$ 89 within which they span a linear space of dimension h,  $\Lambda_P = \mathbb{P}(L_P)$ , where  $L_P$  is a 90 complex vector space of dimension  $h + 1$ .

91 The right action of  $GL(k+1)$  on P corresponds to a change of coordinates in  $\mathbb{P}^k$ , 92 while the left action of  $GL(h + 1)$  can be thought of either as a change of coordinates 93 in  $L_P$  or in the view.

94 In the context of multiple view geometry, one considers a set of multiple images 95 of the same scene, obtained from a set of cameras  $P_j : \mathbb{P}^k \setminus C_{P_j} \to \mathbb{P}^{h_j}$ .

96 Two different images  $P_l(\mathbf{X})$  and  $P_m(\mathbf{X})$  of the same point **X** are *corresponding* 97 points and, more generally, r linear subspaces  $S_j \subset \mathbb{P}^{h_j}$ ,  $j = 1, \ldots, r$  are said to be 98 corresponding if there exists at least one point  $\mathbf{X} \in \mathbb{P}^k$  such that  $P_j(\mathbf{X}) \in \mathcal{S}_j$  for 99  $j = 1, \ldots, r$ .

**2.3. Grassmann Tensors.** In the context of multiview geometry, Hartley and Schaffalitzky, [\[11\]](#page-13-8), introduced Grassmann tensors, which encode the relations between sets of corresponding subspaces in the various views. We recall here the basic elements of their construction.

104 Consider a set of projections  $P_j : \mathbb{P}^k \setminus C_{P_j} \to \mathbb{P}^{h_j}, j = 1, \ldots, r, h_j \geq 2$  and a 105 106  $\sum \alpha_j = k + 1$ . profile, i.e. a partition  $(\alpha_1, \alpha_2, \ldots, \alpha_r)$  of  $k+1$ , where  $1 \leq \alpha_j \leq h_j$  for all j, and

107 Let  $\{\mathcal{S}_j\}, j=1,\ldots,r$ , where  $\mathcal{S}_j \subset \mathbb{P}^{h_j}$ , be a set of general  $s_j$ -spaces, with  $s_j =$ 108  $h_j - \alpha_j$ , and let  $S_j$  be the maximal rank  $(h_j + 1) \times (s_j + 1)$ −matrix whose columns 109 are a basis for  $S_j$ . By definition, if all the  $S_j$  are corresponding subspaces there exist 110 a point  $\mathbf{X} \in \mathbb{P}^k$  such that  $P_j(\mathbf{X}) \in \mathcal{S}_j$  for  $j = 1, \ldots, r$ . In other words there exist r 111 vectors  $\mathbf{v}_j \in \mathbb{C}^{s_j+1}$   $j = 1, \ldots, r$ , such that:

<span id="page-2-0"></span>112 (2.1) 
$$
\begin{bmatrix} P_1 & S_1 & 0 & \dots & 0 \\ P_2 & 0 & S_2 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ P_r & 0 & \dots & 0 & S_r \end{bmatrix} \cdot \begin{bmatrix} \mathbf{X} \\ \mathbf{v_1} \\ \mathbf{v_2} \\ \vdots \\ \mathbf{v_r} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}.
$$

113 The existence of a non trivial solution  $\{X, v_1, \ldots, v_r\}$  for system [\(2.1\)](#page-2-0) implies 114 that the system matrix has zero determinant. This determinant can be thought of 115 as an r-linear form, i.e. a tensor, in the Plücker coordinates of the spaces  $S_i$ . This 116 tensor is called the *Grassmann tensor*  $\mathcal{T}$ , and  $\mathcal{T} \in V_1 \otimes V_2 \otimes ... \otimes V_r$  where  $V_i$  is the 117  $\binom{h_i+1}{h_i-\alpha_i+1}$  vector space such that  $G(s_i, h_i) \subset \mathbb{P}(V_i)$ . More explicitly, the entries of the 118 Grassmann tensor are some of the Plücker coordinates of the matrix:

<span id="page-2-1"></span>119 (2.2) 
$$
\left[ P_1^T | P_2^T | \dots | P_r^T \right],
$$

120 indeed they are, up to sign, the maximal minors of the matrix  $(2.2)$  obtained selecting 121  $\alpha_i$  columns from  $P_i^T$ , for  $i = 1, \ldots, r$ .

122 It is useful to observe the effect on a Grassmann tensor and its rank of the actions 123 of  $GL(k + 1)$  on the ambient space and of  $GL(h_i + 1)$  on the views. A change of 124 coordinates in the ambient space, realized by a right action of  $GL(k+1)$  on [\(2.2\)](#page-2-1) does 125 not alter the tensor, as all entries are multiplied by the same non-zero constant. On 126 the other hand, any change of coordinates in a view through left action of  $GL(h_i + 1)$ 

127 on the corresponding  $P_i^T$  does alter the entries of the tensor, but preserves its rank. 128 Indeed, the change of coordinates in one of the views induces a linear invertible 129 transformation on  $V_i$ , leaving the rank unchanged, as noted in Section [2.1.](#page-1-0)

130 In the following Sections we deal with the cases of two and three views, in which 131 the Grassmann tensor turns out to be respectively a matrix and a three dimensional 132 tensor.

133 3. Generalized fundamental matrix. We consider here the case of two views 134 which gives rise to the notion of generalized fundamental matrix, introduced and 135 studied in [\[6\]](#page-13-0). Let us consider two maximal rank projections  $A = [a_{i,j}]$  and  $B = [b_{i,j}]$ 136 from  $\mathbb{P}^k$  to  $\mathbb{P}^{h_1}$  and to  $\mathbb{P}^{h_2}$ , respectively, where  $h_1+h_2 \geq k+1$ , and where A and B are 137 such that their projection centers  $C_A$  and  $C_B$  are in general position so that they do 138 not intersect. This condition is equivalent to the fact that the linear span  $\langle L_A, L_B \rangle$ 139 is the whole  $\check{C}^{k+1}$ . The images of the two centers of projection  $E^{\tilde{A}}_B = A(C_B)$  and 140  $E_A^B = B(C_A)$  are subspaces of dimension  $k - h_i - 1$ ,  $i = 1, 2$ , respectively, of the view 141 spaces, usually called epipoles.

142 Following [\[11\]](#page-13-8), we choose a profile  $(\alpha_1, \alpha_2)$ , with  $\alpha_1 + \alpha_2 = k + 1$ , in order to 143 obtain the constraints necessary to determine the corresponding tensor, which, in this 144 case, is a matrix called generalized fundamental matrix. In the following we make 145 explicit how to place the minors of [\(2.2\)](#page-2-1) as entries of the generalized fundamental 146 matrix.

147 In this case,  $(2.2)$  becomes

<span id="page-3-1"></span>
$$
148 \quad (3.1)
$$
 
$$
\left[ \begin{array}{c} A^T & B^T \end{array} \right]
$$

149 and the generalized fundamental matrix  $\mathfrak{F}$  is the  $\binom{h_1+1}{h_1-\alpha_1+1} \times \binom{h_2+1}{h_2-\alpha_2+1}$  matrix, whose 150 entries are some of the Plücker coordinates of the  $k$ -space  $\Lambda_{AB} \subset \mathbb{P}^{h_1+h_2+1}$ , spanned 151 by the columns of the above matrix.

152 Let  $I = (i_1, \ldots, i_{s_1+1}), J = (j_1, \ldots, j_{s_2+1}), \hat{J} = (h_1 + 1 + j_1, \ldots, h_1 + 1 + j_{s_2+1})$ 153 with  $1 \leq i_1 < \cdots < i_{s_1+1} \leq h_1 + 1$  and  $1 \leq j_1 < \cdots < j_{s_2+1} \leq h_2 + 1$ . Denote by 154  $I', \hat{J}'$  the (ordered) sets of complementary indices  $I' = \{r \in \{1, \ldots, h_1 + 1\} \text{ such that }$ 155  $r \notin I$ } and  $\hat{J}' = \{s \in \{h_1 + 2, \ldots, h_1 + h_2 + 2\} \text{ such that } s \notin \hat{J}\}\)$ . Moreover denote by 156  $A_I$  and  $B_J$  the matrices obtained from  $A^T$  and  $B^T$  by deleting columns  $i_1, \ldots, i_{s_1+1}$ 157 and  $j_1, \ldots, j_{s_2+1}$ , respectively.

158 Then the entries of  $\mathfrak F$  are:  $F_{I,J} = \epsilon(I,J) \det \begin{bmatrix} A_I & B_J \end{bmatrix}$  where  $\epsilon(I,J)$  is  $+1$  or  $-1$ 159 according to the parity of the permutation  $(I, \hat{J}, I', \hat{J}')$ , with lexicographical order of 160 the multi-indices  $\{I\}$  for the rows and  $\{\hat{J}\}$  for the columns.

161 In other words, one has  $F_{I,J} = q_{I,J}(\Lambda_{AB})$ , where  $q_K(\Lambda)$  denotes the dual-Plücker 162 coordinates (see, for example, [\[13\]](#page-13-14), Vol.I, book II, pg. 292) of the space  $\Lambda$ , with respect 163 to the multi-index  $K$ .

164 In [\[6\]](#page-13-0) the authors proved the following result:

THEOREM 3.1. The generalized fundamental matrix  $\mathfrak F$  for two projections of maximal rank and whose centers do not intersect each other, with profile  $(\alpha_1, \alpha_2)$ , has rank:

<span id="page-3-0"></span>
$$
rk(\mathfrak{F}) = { (h_1 - \alpha_1 + 1) + (h_2 - \alpha_2 + 1) \choose h_1 - \alpha_1 + 1 }.
$$

165 The proof given in [\[6\]](#page-13-0) is obtained associating to the matrix  $\mathfrak{F}$  a rational map  $\Phi: G(s_1, h_1) \dashrightarrow G(k - \alpha_1, h_2)$  whose image is the Schubert variety  $\Omega(E_A^B)$  of the  $k - \alpha_1$  spaces containing  $E_A^B$ , and showing that  $\text{rk}\left(\mathfrak{F}\right) = dim( $\Omega(E_A^B) > +1$ , where$  $\langle \Omega(E_A^B) \rangle$  is the projective space spanned by  $\Omega(E_A^B)$ .

169 In view of desired generalizations, here we give a straightforward proof of Theorem 170 [3.1](#page-3-0) based on a suitable choice of coordinates in the projective spaces involved.

171 Let  $L_A$  and  $L_B$  be the two vector spaces of dimension  $h_1+1$  and  $h_2+1$ , respectively, 172 spanned by the columns of  $A^T$  and  $B^T$  and let  $\Lambda_A = \mathbb{P}(L_A)$  and  $\Lambda_B = \mathbb{P}(L_B)$ . We 173 denote with i the dimension of  $I_{A,B} := L_A \cap L_B$  which, from Grassmann's formula, 174 turns out to be  $i = h_1 + h_2 - k + 1$ . Notice that our assumptions on the profile 175  $(k + 1 = \alpha_1 + \alpha_2)$  imply that  $i > 0$ .

176 One can then choose bases

$$
\{v_1, \ldots, v_i, w_{i+1}, \ldots, w_{h_1+1}\} \text{ for } L_A,
$$

$$
\{\tilde{v}_1,\ldots,v_i,w'_{i+1},\ldots,w'_{h_2+1}\}\ \text{for}\ L_B,
$$

180 such that  $\{v_1, \ldots, v_i\}$  is a basis for  $I_{A,B}$ .

Through the left action of  $GL(h_1 + 1)$  and  $GL(h_2 + 1)$  on A and B respectively, one can then assume that the columns of  $A<sup>T</sup>$  and  $B<sup>T</sup>$  are , respectively,

$$
[v_1, \ldots, v_i, w_{i+1}, \ldots, w_{h_1+1}]
$$

and

$$
[v_1, \ldots, v_i, w'_{i+1}, \ldots, w'_{h_2+1}].
$$

With this assumption,  $\{v_1, \ldots, v_i, w_{i+1}, \ldots, w_{h_1+1}, w'_{i+1}, \ldots, w'_{h_2+1}\}$  is a basis of  $\check{C}^{k+1}$ , and, with the right action of  $GL(k+1)$ , we can reduce it to the canonical one  ${e_1, \ldots, e_{k+1}}$ . With this choice, the matrix  $(3.1)$  becomes the block matrix

$$
\Phi_{h_1,h_2}^k := \left[\begin{array}{ccc|c} I_i & \mathbf{0} & I_i & \mathbf{0} \\ \mathbf{0} & I_{h_1+1-i} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & I_{h_2+1-i} \end{array}\right]
$$

181 where  $I_s$  denotes the  $s \times s$  identity matrix and **0** are zero matrices. The columns of  $\Phi_{h_1,h_2}^k$  are denoted by:

$$
\left[\begin{array}{ccccccccccccc} \underline{a}_1 & \cdots & \underline{a}_i & \underline{b}_{i+1} & \cdots & \underline{b}_{h_1+1} & \underline{c}_{h_1+2} & \cdots & \underline{c}_{h_1+1+i} & \underline{d}_{h_1+2+i} & \cdots & \underline{d}_{h_1+h_2+2} \end{array}\right]
$$

With this choice of basis, the entries of the fundamental matrix are the maximal minors of  $\Phi_{h_1,h_2}^k$  obtained with  $\alpha_1$  columns chosen among the  $\underline{a}_j$  and  $\underline{b}_j$  and  $\alpha_2$  columns chosen among the  $\underline{c}_j$  and  $\underline{d}_j$ . The only non vanishing entries of the fundamental matrix are hence obtained taking all the columns  $\underline{b}_j$  and  $\underline{d}_j$  and choosing  $\alpha_1 - (h_1 + 1 - i)$ columns among the  $\underline{a}_j$  and the complementary  $\alpha_2-(h_2+1-i)$  among the  $\underline{c}_j$ . It follows that the non vanishing entries are as many as the possible choices of  $\alpha_1 - (h_1 + 1 - i)$ columns among the first i columns of  $\Phi_{h_1,h_2}^k$ . In other words the non zero entries of the fundamental matrix are:

$$
\binom{i}{h_2 - \alpha_2 + 1} = \binom{(h_1 - \alpha_1 + 1) + (h_2 - \alpha_2 + 1)}{h_1 - \alpha_1 + 1}.
$$

182 This number is precisely the rank of the fundamental matrix since non vanishing 183 entries appear in different rows and columns of the fundamental matrix.

184 To clarify the above procedure we consider the following example.

*Example* 3.2. Consider two projections from  $\mathbb{P}^4$  to  $\mathbb{P}^3$  with profile (3, 2). In this case the matrix [\(3.1\)](#page-3-1) has dimension  $5 \times 8$ . The subspace  $\Lambda_{AB}$  is in  $G(4, 7) \subset \mathbb{P}^{8,-1}$ , and the fundamental matrix  $\mathfrak F$  turns out to be:

$$
\mathfrak{F} = \begin{bmatrix} q_{1,5,6} & q_{1,5,7} & q_{1,5,8} & q_{1,6,7} & q_{1,6,8} & q_{1,7,8} \\ q_{2,5,6} & q_{2,5,7} & q_{2,5,8} & q_{2,6,7} & q_{2,6,8} & q_{2,7,8} \\ q_{3,5,6} & q_{3,5,7} & q_{3,5,8} & q_{3,6,7} & q_{3,6,8} & q_{3,7,8} \\ q_{4,5,6} & q_{4,5,7} & q_{4,5,8} & q_{4,6,7} & q_{4,6,8} & q_{4,7,8} \end{bmatrix}
$$

and the matrix  $\Phi_{3,3}^4$  is:

Φ 4 <sup>3</sup>,<sup>3</sup> = 1 0 0 0 1 0 0 0 0 1 0 0 0 1 0 0 0 0 1 0 0 0 1 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 1 

185 so that the generalized fundamental matrix, in canonical form, is the following, from 186 which it is evident that  $rk(\mathfrak{F})=3$ :

$$
\mathfrak{F}_{\mathfrak{C}} = \begin{bmatrix} 0 & 0 & 0 & \pm 1 & 0 & 0 \\ 0 & \pm 1 & 0 & 0 & 0 & 0 \\ \pm 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}.
$$

<span id="page-5-0"></span>187 **4. Trifocal Grassmann tensors.** Let us now consider three projections  $P_1, P_2$ , 188 and  $P_3$ , from  $\mathbb{P}^k$  to  $\mathbb{P}^{h_1}$ ,  $\mathbb{P}^{h_2}$  and to  $\mathbb{P}^{h_3}$ , respectively, where  $h_1 + h_2 + h_3 \geq k + 1$ , and 189 where  $P_1, P_2$ , and  $P_3$ , are maximal rank matrices.

190 Grassmann's formula shows that for generic choices of  $P_1, P_2$ , and  $P_3$ , their pro-191 jection centers  $C_1, C_2$ , and  $C_3$  are mutually disjoint under the assumptions:  $k - h_i +$ 192  $h_j - 1 \leq 0$ , for  $1 \leq i, j \leq 3, i \neq j$ .

193 As in the case of the generalized fundamental matrix, let  $(\alpha_1, \alpha_2, \alpha_3)$ , be a profile 194 with  $\alpha_1 + \alpha_2 + \alpha_3 = k + 1$ , in order to obtain the necessary constraints to determine 195 the corresponding tensor. The tensor thus obtained is called the trifocal Grassman 196 *tensor* and it is a generalization of the classical trifocal tensor for three views in  $\mathbb{P}^3$ . 197 Its entries can be explicitly computed from [\(2.1\)](#page-2-0), as shown below.

198 In this case, [\(2.2\)](#page-2-1) becomes

<span id="page-5-1"></span>
$$
199 \quad (4.1) \qquad \qquad \left[ \begin{array}{c|c} P_1^T & P_2^T & P_3^T \end{array} \right]
$$

200 and the entries of the trifocal tensor  $\mathcal T$  are, up to sign, some of the maximal minors of 201 the matrix [\(4.1\)](#page-5-1) obtained by choosing  $\alpha_1$  columns in  $P_1^T$ ,  $\alpha_2$  in  $P_2^T$  and  $\alpha_3$  in  $P_3^T$ . 202 More explicitly, let  $I = (i_1, \ldots, i_{s_1+1}), J = (j_1, \ldots, j_{s_2+1}), K = (k_1, \ldots, k_{s_3+1}),$ 203  $\hat{J} = (h_1 + 1 + j_1, \ldots, h_1 + 1 + j_{s_2+1})$  and  $\hat{K} = (h_1 + h_2 + 2 + k_1, \ldots, h_1 + h_2 + 2 + k_{s_3+1})$ 204 with  $1 \leq i_1 < \cdots < i_{s_1+1} \leq h_1 + 1$ ,  $1 \leq j_1 < \cdots < j_{s_2+1} \leq h_2 + 1$  and  $1 \leq k_1 < \cdots < k_s$ 205  $k_{s_3+1} \leq h_3+1$ .

206 Denote by  $I', \hat{J}', \hat{K}'$  the (ordered) sets of complementary indices  $I' = \{r \in I\}$ 207  $\{1, \ldots, h_1+1\}$  such that  $r \notin I$  and  $\hat{J}' = \{s \in \{h_1+2, \ldots, h_1+h_2+2\} \text{ such that } s \notin \hat{J}\}\$ 208 and  $\hat{K}' = \{t \in \{h_1 + h_2 + 3, \ldots, h_1 + h_2 + h_3 + 3\} \text{ such that } t \notin \hat{K}\}.$  Moreover de-209 note by  $P_{1I}$ ,  $P_{2J}$  and  $P_{3K}$  respectively, the matrices obtained from  $P_1^T$ ,  $P_2^T$  and 210  $P_3^T$  deleting columns  $i_1, \ldots, i_{s_1+1}, j_1, \ldots, j_{s_2+1}$  and  $k_1, \ldots, k_{s_3+1}$ , respectively. Let

211  $\epsilon(i_1, \ldots, i_n)$  be +1 or −1 according to the parity of the permutation  $(i_1, \ldots, i_n)$ . The 212 entries of  $\mathcal T$  are given by:

$$
\mathcal{T}_{I,J,K} = \epsilon(I,\hat{J},\hat{K},I',\hat{J}',\hat{K}') \det \begin{bmatrix} P_{1I} \\ P_{2J} \\ P_{3K} \end{bmatrix}
$$

214 .

215 Denote by  $V_i$  the vector space such that  $G(s_i, h_i) \subseteq \mathbb{P}^{\binom{h_i+1}{s_i+1}-1} = \mathbb{P}(V_i)$ . The tri-216 focal Grassmann tensor for three projections  $P_1, P_2, P_3$  from  $\mathbb{P}^k$  to  $\mathbb{P}^{h_1}, \mathbb{P}^{h_2}$  and  $\mathbb{P}^{h_3}$ , 217 with profile  $(\alpha_1, \alpha_2, \alpha_3)$ , is, up to a multiplicative non zero constant, the  $\binom{h_1+1}{h_1-\alpha_1+1} \times$ 218  $\binom{h_2+1}{h_2-\alpha_2+1}\times\binom{h_3+1}{h_3-\alpha_3+1}$  tensor  $\mathcal{T}\in V_1\otimes V_2\otimes V_3$ , whose entries are  $\mathcal{T}_{I,J,K}$  with lexico-219 graphical order of the families  $\{I\}$ ,  $\{J\}$ , and  $\{K\}$  of multi-indices.

 5. The Rank of trifocal Grassmann tensors. In the classical case of pro-221 jections from  $\mathbb{P}^3$  to  $\mathbb{P}^2$ , the rank of the trifocal tensor is known to be 4, (e.g. see [\[1\]](#page-13-4),  $[12]$ , while the rank of the quadrifocal tensor turns out to be 9,  $[12]$ . Nothing further is known in general about the ranks of Grassmann tensors. In this Section first we provide a canonical form for the matrix [\(4.1\)](#page-5-1), in analogy to what was done for the 225 two views case. Then, using this canonical form, we compute  $R(\mathcal{T})$  in the general case, i.e. when the center of projections are in general position (see Assumption [5.1\)](#page-6-0). The non general cases are discussed in Section [6.](#page-9-0)

228 5.1. Canonical form. Let  $L_1$ ,  $L_2$  and  $L_3$  be the vector spaces of dimension  $h_1 + 1$ ,  $h_2 + 1$  and  $h_3 + 1$  respectively, spanned by the columns of  $P_1^T$ ,  $P_2^T$  and  $P_3^T$ 229 230 and let  $\Lambda_1 = \mathbb{P}(L_1)$ ,  $\Lambda_2 = \mathbb{P}(L_2)$  and  $\Lambda_3 = \mathbb{P}(L_3)$ .

231 We consider, for each triplet of distinct integers  $r, s, t = 1, 2, 3$ , the following 232 integers:

<span id="page-6-2"></span><span id="page-6-1"></span>233 (5.1) 
$$
i_{r,s} = h_r + h_s + 1 - k;
$$

<span id="page-6-3"></span>234 (5.2) 
$$
i = h_1 + h_2 + h_3 + 1 - 2k;
$$

$$
j_{\overline{35}} \quad (5.3) \qquad \qquad j_{r,s} = i_{r,s} - i = k - h_t.
$$

237 Our generality assumption is the following:

238 ASSUMPTION 5.1. For any choice of r, s, t with  $\{r, s, t\} = \{1, 2, 3\}$ , the intersec-239 tion  $\Lambda_{rs} = L_r \cap L_s$  with  $L_t$  span  $\mathbb{C}^{k+1}$ , or, equivalently, the span of each pair of centers 240 do not intersect the third one.

241 This assumption implies, in particular, that for any choice of a pair  $r, s$ , the span of 242  $L_r$  and  $L_s$  is the whole  $\mathbb{C}^{k+1}$ , or, in other words, that the two centers  $C_r$  and  $C_s$  do 243 not intersect.

 Under Assumption [5.1,](#page-6-0) applying Grassmann formula one sees that the three num-245 bers above have the following meaning:  $i_{r,s} = dim(L_r \cap L_s) \geq 0$ , for any choice of  $r, s, i = dim(L_1 \cap L_2 \cap L_3) \geq 0$  and  $j_{r,s}$  is the affine dimension of the center  $C_t$  i.e.  $k - h_t = j_{rs}$  for  $r, s, t = 1, 2, 3$ .

248 Hence we can choose bases as follows:

<span id="page-6-0"></span>
$$
L_1 \cap L_2 \cap L_3 = \langle v_1, \dots, v_i \rangle
$$
  

$$
L_1 \cap L_2 = \langle v_1, \dots, v_i, w_1, \dots, w_{j_{1,2}} \rangle
$$

$$
L_1 \cap L_3 = \langle v_1, \ldots, v_i, u_1, \ldots, u_{j_{1,3}} \rangle
$$

$$
L_2 \cap L_3 = \langle v_1, \ldots, v_i, s_1, \ldots, s_{j_{2,3}} \rangle
$$

249 250 so that:

$$
L_1 = \langle v_1, \dots, v_i, w_1, \dots, w_{j_{1,2}}, u_1, \dots, u_{j_{1,3}} \rangle,
$$
  
\n
$$
L_2 = \langle v_1, \dots, v_i, w_1, \dots, w_{j_{1,2}}, s_1, \dots, s_{j_{2,3}} \rangle,
$$
  
\n
$$
L_3 = \langle v_1, \dots, v_i, u_1, \dots, u_{j_{1,3}}, s_1, \dots, s_{j_{2,3}} \rangle.
$$

251

Through the left action of  $GL(h_i + 1)$  on  $P_i$ ,  $i = 1, 2, 3$ , one can assume that the columns of  $P_1^T$ ,  $P_2^T$ , and  $P_3^T$  are, respectively:

<span id="page-7-1"></span><span id="page-7-0"></span>
$$
[v_1, \ldots, v_i, w_1, \ldots, w_{j_{1,2}}, u_1, \ldots, u_{j_{1,3}}],
$$
  
\n
$$
[v_1, \ldots, v_i, w_1, \ldots, w_{j_{1,2}}, s_1, \ldots, s_{j_{2,3}}],
$$
  
\n
$$
[v_1, \ldots, v_i, u_1, \ldots, u_{j_{1,3}}, s_1, \ldots, s_{j_{2,3}}].
$$

252 With this assumption,

253 (5.4) 
$$
\{v_1, \ldots, v_i, w_1, \ldots, w_{j_{1,2}}, u_1, \ldots, u_{j_{1,3}}, s_1, \ldots, s_{j_{2,3}}\}
$$

254 is a basis of  $\check{C}^{k+1}$ .

255

256 With the right action of  $GL(k+1)$  we can reduce [\(5.4\)](#page-7-1) to the canonical basis.

257 With this choice, the matrix  $(4.1)$  becomes the block matrix:

<span id="page-7-2"></span>258 (5.5) 
$$
\Phi_{h_1,h_2,h_3}^k := \begin{bmatrix} I_i & \mathbf{0} & \mathbf{0} & I_i & \mathbf{0} & \mathbf{0} & I_i & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & I_{j_{1,2}} & \mathbf{0} & \mathbf{0} & I_{j_{1,2}} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & I_{j_{1,3}} & \mathbf{0} & \mathbf{0} & \mathbf{0} & I_{j_{1,3}} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & I_{j_{2,3}} & \mathbf{0} & I_{j_{2,3}} \end{bmatrix}.
$$

259 **5.2. The rank.** The canonical form  $\Phi_{h_1,h_2,h_3}^k$  of matrix [\(4.1\)](#page-5-1) allows one to suc-260 cessfully compute the rank of trifocal Grassmann tensors.

THEOREM 5.2. Let  $P_l: \mathbb{P}^k \to \mathbb{P}^{h_l}$ ,  $l = 1, 2, 3$ , be maximal rank projections whose 262 centers satisfy Assumption [5.1.](#page-6-0) The trifocal Grassmann tensor  $\mathcal T$  for projections  $\{P_l\}$ , 263 with profile  $(\alpha_1, \alpha_2, \alpha_3)$ , has rank:

264 (5.6) 
$$
\sum_{a_2=0}^{j_{12}} \sum_{a_3=0}^{j_{13}} \sum_{b_3=0}^{j_{23}} {j_{12} \choose a_2} {j_{13} \choose a_3} {j_{23} \choose b_3} {i \choose \alpha_1 - a_2 - a_3} {i - \alpha_1 + a_2 + a_3 \choose \alpha_2 - j_{12} + \alpha_2 - b_3},
$$

265 where  $i = h_1 + h_2 + h_3 + 1 - 2k$  and  $j_{rs} = k - h_t$  for  $\{r, s, t\} = \{1, 2, 3\}.$ 

266 Proof. Let  $\Phi_{h_1,h_2,h_3}^k$  be the canonical form of matrix [\(4.1\)](#page-5-1) associated to the given 267 projections  $P_l: \mathbb{P}^k \to \mathbb{P}^{h_l}$ ,  $l = 1, 2, 3$ , and let  $[\Phi_{h_1,h_2,h_3}^k]_r^s$  denote the submatrix of 268  $\Phi_{h_1,h_2,h_3}^k$  consisting of consecutive columns from column r, included, to column s, 269 included. To generate each entry of the tensor  $\mathcal T$  one must choose:

270 -  $a_1$  columns from  $[\Phi_{h_1,h_2,h_3}^k]_1^i$ , 271 272 -  $a_2$  columns from  $[\Phi_{h_1,h_2,h_3}^k]_{i+1}^{i+j_{12}},$ 273 274 -  $a_3$  columns from  $[\Phi_{h_1,h_2,h_3}^k]_{i+j_12}^{i+j_12+j_13}$ , 275 with  $a_1 + a_2 + a_3 = \alpha_1$ . 276 Similarly one has to choose: 277 -  $b_1$  columns from  $[\Phi_{h_1,h_2,h_3}^k]_{i+j_1}^{2i+j_12+j_{13}+j_{13}}$ 278 279 -  $b_2$  columns from  $[\Phi_{h_1,h_2,h_3}^k]_{2i+j_12+j_13+1}^{2i+2j_12+j_{13}}$ 280 281 -  $b_3$  columns from  $[\Phi_{h_1,h_2,h_3}^{k}]_{2i+2j_{12}+j_{13}+j_{23}}^{2i+2j_{12}+j_{13}+j_{23}}$ 282 with  $b_1 + b_2 + b_3 = \alpha_2$ . 283 Finally one has to choose: 284 -  $c_1$  columns from  $[\Phi_{h_1,h_2,h_3}^{k}]_{2i+2j_12+j_{13}+j_{23}+1}^{3i+2j_{12}+j_{13}+j_{23}}$ 285 286 -  $c_2$  columns from  $[\Phi_{h_1,h_2,h_3}^{k}]_{3i+2j_{12}+j_{13}+j_{23}+1}^{3i+2j_{12}+2j_{13}+j_{23}+1}$ 287 288 -  $c_3$  columns from  $[\Phi_{h_1,h_2,h_3}^k]_{3i+2j_12+2j_13+j_23+1}^{3i+2j_12+2j_{13}+2j_{23}}$ 289 with  $c_1 + c_2 + c_3 = \alpha_c$ . 290 Moreover to get non vanishing entries of  $\mathcal{T}$ , the following equalities must be 291 satisfied: 292 •  $a_1 + b_1 + c_1 = i$ 293 •  $a_2 + b_2 = j_{12}$ 294 •  $a_3 + c_2 = j_{13}$ 

295 •  $b_3 + c_3 = j_{23}$ .

296 From the above conditions, the number of non vanishing entries of the tensor is 297 given by:

<span id="page-8-0"></span>298 (5.7) 
$$
\sum_{a_2=0}^{j_{12}} \sum_{a_3=0}^{j_{13}} \sum_{b_3=0}^{j_{23}} {j_{12} \choose a_2} {j_{13} \choose a_3} {j_{23} \choose b_3} {i \choose \alpha_1 - a_2 - a_3} {i - \alpha_1 + a_2 + a_3 \choose \alpha_2 - j_{12} + a_2 - b_3}.
$$

299 Clearly [\(5.7\)](#page-8-0) gives an upper bound for  $R(\mathcal{T})$ . To prove that (5.7) is equal to  $R(\mathcal{T})$ , 300 we use the slices-based characterization of the rank recalled at the end of Section [2.1.](#page-1-0) 301 In our case the positions of the non zero entries of  $\mathcal T$  are different for different faces, 302 i.e. if  $T_{\bar{I},\bar{J},\bar{K}}\neq 0$ , the  $T_{\bar{I},\bar{J},K}=0$  for all  $K\neq \bar{K}$ . The reason is that once the columns 303 determined by the multi-indexes  $I$  and  $J$  are chosen there is at most one possible  $304$  choice of the columns determined by K which gives a non vanishing minor. 305 This completes the proof.  $\Box$ 

306 The above result is further illustrated by the two following explicit examples.

<span id="page-8-1"></span>Example 5.3. In the case of the classical  $3 \times 3 \times 3$  trifocal tensor, i.e. of three projections from  $\mathbb{P}^3$  to  $\mathbb{P}^2$  with profile  $(2, 1, 1)$ , we get:  $i = 1$  and  $i_{rs} = 2$  for each r, s. Hence, in this case,  $(5.5)$  is:

$$
\Phi^3_{2,2,2}:=\left[\begin{array}{cccc|c}1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1\end{array}\right].
$$

307 The only non vanishing elements of the tensor are:  $\mathcal{T}_{131}, \mathcal{T}_{113}, \mathcal{T}_{221}, \mathcal{T}_{312}$ , hence  $R(\mathcal{T})$  = 308 4.

<span id="page-9-1"></span>*Example* 5.4. In the case of three projections from  $\mathbb{P}^4$  to  $\mathbb{P}^3$ ,  $\mathbb{P}^3$  and  $\mathbb{P}^2$ , with profile  $(2, 2, 1)$ , we get:  $i = 1, i_{12} = 3$ , and  $i_{13} = i_{23} = 2$ . Hence, in this case,  $(5.5)$ becomes:

$$
\Phi_{3,3,2}^4 := \left[\begin{array}{cccc|cccc} 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 \end{array}\right]
$$

.

309 The trifocal tensor  $\mathcal T$  is a  $6 \times 6 \times 3$  tensor and its non vanishing elements are: 310  $\mathcal{T}_{123}, \mathcal{T}_{161}, \mathcal{T}_{213}, \mathcal{T}_{251}, \mathcal{T}_{341}, \mathcal{T}_{431}, \mathcal{T}_{522}, \mathcal{T}_{612}$ , hence  $R(\mathcal{T}) = 8$ .

311 Moreover, one sees that  $\mathcal T$  is a linear combination of :

312 
$$
e_1^1 \otimes e_2^2 \otimes e_3^3
$$
,  $e_1^1 \otimes e_6^2 \otimes e_1^3$ ,  $e_2^1 \otimes e_1^2 \otimes e_3^3$ ,  $e_2^1 \otimes e_5^2 \otimes e_1^3$ ,   
31.2, 3.3, 1.2, 2.2, 3. 1.2, 2.2, 3. 1.2, 2.2, 3.

e 1 <sup>3</sup> ⊗ e 2 <sup>4</sup> ⊗ e 3 1 , e 1 <sup>4</sup> ⊗ e 2 <sup>3</sup> ⊗ e 3 1 , e 1 <sup>5</sup> ⊗ e 2 <sup>2</sup> ⊗ e 3 2 , e 1 <sup>6</sup> ⊗ e 2 <sup>1</sup> ⊗ e 3 2 313 , 314

315 where  $\mathbf{e}_s^{\mathbf{r}}$  is the s-element of the canonical base of the vector space  $V_r = \mathbb{C}^{\binom{h_r+1}{h_r-\alpha_r+1}}$ .

<span id="page-9-0"></span> 6. The non general case. In this Section we consider cases in which Assump- tion [5.1](#page-6-0) is not satisfied, and the rank depends on the degenerate geometric configura- tions of the projections. This is evident also in the simplest case of the classical trifocal tensor for which the rank is 4 for general projections (example [5.3\)](#page-8-1) and becomes 5 when the three centers are on a line (example [6.2\)](#page-10-0).

321 If Assumption [5.1](#page-6-0) is not satisfied one can no longer obtain canonical form [\(5.5\)](#page-7-2) 322 for the combined projection matrices, because the integers defined in [\(5.1\)](#page-6-1), [\(5.2\)](#page-6-2), and 323 [\(5.3\)](#page-6-3) lose their geometric meaning and, moreover, [\(5.3\)](#page-6-3) may no longer hold.

324 In this situation one can obtain a different canonical form, from which the rank 325 of the Grassmann tensor can be computed in concrete cases.

- 326 We introduce the following notations:
- 327  $g := \dim (L_1 \cap L_2 \cap L_3);$
- 328  $g_{rs} := \dim (L_r \cap L_s);$
- 329  $l_{rs} := g_{rs} g;$
- 330  $\alpha_{rs}$  the non negative integer such that the span  $\langle L_r, L_s \rangle$  has dimension 331  $k + 1 - \alpha_{rs};$
- 332  $\oint_{rs}$  the non negative integer such that the span  $\langle \Lambda_{rs}, L_t \rangle$  has dimension 333  $k + 1 - \beta_{rs}$ .

334 By Grassmann formula, these integers are linked to the ones in [\(5.1\)](#page-6-1), [\(5.2\)](#page-6-2), and [\(5.3\)](#page-6-3) 335 as follows:  $g = i + \alpha_{rs} + \beta_{rs}$  and  $g_{rs} = i_{rs} + \alpha_{rs}$  for any r, s.

336 Arguing as in the previous Section, where g and  $l_{rs}$  now play the role of i and  $j_{rs}$ 337 respectively, by choosing the first  $g + l_{12} + l_{13} + l_{23}$  vectors of the canonical base of 338  $\mathbb{C}^{k+1}$  one gets the following canonical form for the matrix [\(4.1\)](#page-5-1), which now depends 339 also on  $\alpha_{rs}$  and  $\beta_{rs}$ .

$$
\Psi^k_{h_1,h_2,h_3} := \left[\begin{array}{ccccccc} I_g & \mathbf{0} & \mathbf{0} & Z^1_1 & I_g & \mathbf{0} & \mathbf{0} & Z^1_2 & I_g & \mathbf{0} & \mathbf{0} & Z^1_3 \\ \mathbf{0} & I_{l_{1,2}} & \mathbf{0} & Z^2_1 & \mathbf{0} & I_{l_{1,2}} & \mathbf{0} & Z^2_2 & \mathbf{0} & \mathbf{0} & \mathbf{0} & Z^2_3 \\ \mathbf{0} & \mathbf{0} & I_{l_{1,3}} & Z^3_1 & \mathbf{0} & \mathbf{0} & \mathbf{0} & Z^3_2 & \mathbf{0} & I_{l_{1,3}} & \mathbf{0} & Z^3_3 \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & Z^4_1 & \mathbf{0} & \mathbf{0} & I_{l_{2,3}} & Z^4_2 & \mathbf{0} & \mathbf{0} & I_{l_{2,3}} & Z^4_3 \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & Z^5_1 & \mathbf{0} & \mathbf{0} & \mathbf{0} & Z^5_2 & \mathbf{0} & \mathbf{0} & \mathbf{0} & Z^5_3 \end{array}\right].
$$

- 340 In the matrix  $\Psi_{h_1,h_2,h_3}^k$ , the submatrices  $Z_t^p$ , with  $t = 1, 2, 3$  and  $p = 1, 2, 3, 4$  have
- 341  $(h_t + 1 g l_{rt} l_{st})$  columns. Moreover, by an iterated use of Grassmann formula,
- 342 one sees that  $k + 1 g l_{12} l_{13} l_{23} = 2(\alpha_{rs} + \beta_{rs}) (\alpha_{12} + \alpha_{13} + \alpha_{23})$  so that the
- 343 matrices  $Z_t^5$  have  $2(\alpha_{rs} + \beta_{rs}) (\alpha_{12} + \alpha_{13} + \alpha_{23})$  rows.
- Suitable left actions of  $GL(h_i + 1)$  on the views give the following form for  $\Psi_{h_1,h_2,h_3}^k$ :

$$
\Psi^k_{h_1,h_2,h_3}:=\left[\begin{array}{cccccccc}I_g&\mathbf{0}&\mathbf{0}&\mathbf{0}&\bigcup I_g&\mathbf{0}&\mathbf{0}&\mathbf{0}&\bigcup I_g&\mathbf{0}&\mathbf{0}&\mathbf{0}\\ \mathbf{0}&I_{l_{1,2}}&\mathbf{0}&\mathbf{0}&\mathbf{0}&I_{l_{1,2}}&\mathbf{0}&\mathbf{0}&\mathbf{0}&\mathbf{0}&\mathbf{0}&Z^2_3\\ \mathbf{0}&\mathbf{0}&I_{l_{1,3}}&\mathbf{0}&\mathbf{0}&\mathbf{0}&\mathbf{0}&Z^3_2&\mathbf{0}&I_{l_{1,3}}&\mathbf{0}&\mathbf{0}\\ \mathbf{0}&\mathbf{0}&\mathbf{0}&Z^4_1&\mathbf{0}&\mathbf{0}&I_{l_{2,3}}&\mathbf{0}&\mathbf{0}&I_{l_{2,3}}&\mathbf{0}\\ \mathbf{0}&\mathbf{0}&\mathbf{0}&Z^5_1&\mathbf{0}&\mathbf{0}&\mathbf{0}&Z^5_2&\mathbf{0}&\mathbf{0}&\mathbf{0}&Z^5_3 \end{array}\right].
$$

345 The following examples illustrate how, depending on  $h_t$ , the form of  $\Psi_{h_1,h_2,h_3}^k$  can 346 be further simplified by choosing additional vectors in the canonical basis of  $\mathbb{C}^{k+1}$ , as 347 columns of the matrices  $Z_t^p$ .

348 Moreover it is clear that the rank of the Grassmann tensor  $R(\mathcal{T})$  depends on 349 the entries of the matrices  $Z_t^p$ , hence an explicit formula for  $R(\mathcal{T})$  is not provided. 350 Nevertheless, as shown in the examples below, in specific concrete cases the number 351 of non vanishing elements of the tensor can be computed, and thus an upper bound 352 for  $R(\mathcal{T})$  can be obtained.

353 Example 6.1. In the case of three projections from  $\mathbb{P}^5$  to  $\mathbb{P}^2$ ,  $\mathbb{P}^2$  and  $\mathbb{P}^2$ , with 354 profile  $(2, 2, 2)$ , we get:  $g = g_{rs} = l_{rs} = 0$ ,  $\alpha_{rs} = 0$  and  $\beta_{rs} = 3$ , for each r, s. In 355 this case  $\Psi_{2,2,2}^5$  reduces to  $[Z_1^5 | Z_2^5 | Z_3^5]$ , where each  $Z_t^5$  is a  $(6 \times 3)$  matrix. Up to now 356 we have not yet fixed any vector of the basis, so that, with a further choice of the 357 reference frame, we get:

$$
\Psi^5_{2,2,2}:=\left[\begin{array}{cccc|c}1&0&0&0&0&z_{11}&z_{12}&z_{13}\\0&1&0&0&0&z_{21}&z_{22}&z_{23}\\0&0&1&0&0&z_{31}&z_{32}&z_{33}\\0&0&0&1&0&0&z_{41}&z_{42}&z_{43}\\0&0&0&0&1&0&z_{51}&z_{52}&z_{53}\\0&0&0&0&0&1&z_{61}&z_{62}&z_{63}\end{array}\right]
$$

<span id="page-10-0"></span>.

.

358 The trifocal tensor T is a  $3 \times 3 \times 3$  tensor and for generic choices of  $z_{ij}$ , all its 359 elements are non vanishing and thus no significant upper bound for the rank can be 360 given.

361 The following example is a degenerate configuration of the classical trifocal tensor.

*Example* 6.2. In the case of three projections from  $\mathbb{P}^3$  to  $\mathbb{P}^2$  with profile  $(2,1,1)$ and centers of projection on a line, one has:  $g = g_{rs} = 2$ ,  $l_{rs} = 0$ ,  $\alpha_{rs} = 0$  and  $\beta_{rs} = 1$ , for each r, s. In this case  $\Psi_{2,2,2}^3$  reduces to

$$
\Psi^3_{2,2,2} := \left[\begin{array}{cccc|c} 1 & 0 & z_{11} & 1 & 0 & z_{12} & 1 & 0 & z_{13} \\ 0 & 1 & z_{21} & 0 & 1 & z_{22} & 0 & 1 & z_{23} \\ 0 & 0 & z_{31} & 0 & 0 & z_{32} & 0 & 0 & z_{33} \\ 0 & 0 & z_{41} & 0 & 0 & z_{42} & 0 & 0 & z_{43} \end{array}\right]
$$

Further changes of coordinates, both in the ambient space and in the views, gives:

Ψ 3 2,2,2 := 1 0 0 1 0 0 1 0 0 0 1 0 0 1 0 0 1 0 0 0 1 0 0 0 0 0 a 0 0 0 0 0 1 0 0 b , 11

## 362 with a and  $b \neq 0$ .

The only non vanishing elements of the tensor are:

$$
T_{113}, T_{131}, T_{212}, T_{221}, T_{311},
$$

363 hence  $R(\mathcal{T}) = 5$ , while the rank of the classical general trifocal is 4.

364 6.1. Border ranks. Examples [\(5.3\)](#page-8-1) and [\(6.2\)](#page-10-0) seen above, provide evidence, 365 already in the classical setting of projective reconstruction in  $\mathbb{P}^3$ , of the fact that the 366 rank of tensors is not semicontinuous.

 Indeed, it is very easy to construct a one dimensional family of triplets of point (centers of projection) which do not lie on a line but converge to a triplet of points on a line. In other words a family of rank 4 tensors which converges to a rank 5 one. The general situation is still more intricate: even in the first non classical cases 371 of  $\mathbb{P}^4$  as ambient spaces, we provide some topical examples which display the breadth of phenomena that can occur.

<span id="page-11-0"></span>*Example* 6.3. In the case of three projections from  $\mathbb{P}^4$  to  $\mathbb{P}^2$ ,  $\mathbb{P}^2$  and  $\mathbb{P}^2$ , with profile  $(2, 2, 1)$ , Assumption [5.1](#page-6-0) doesn't hold, and we get:  $g = 0, g_{rs} = l_{rs} = 1$ ,  $\alpha_{rs} = 0$  and  $\beta_{rs} = 1$ , for each r, s. In this case  $\Psi_{2,2,2}^4$  reduces to

$$
\Psi^4_{2,2,2} := \left[\begin{array}{cccc|c} 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & z_{13} \\ 0 & 1 & 0 & 0 & 0 & z_{22} & 1 & 0 & 0 \\ 0 & 0 & z_{31} & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & z_{41} & 0 & 0 & z_{42} & 0 & 0 & z_{43} \\ 0 & 0 & z_{51} & 0 & 0 & z_{52} & 0 & 0 & z_{53} \end{array}\right]
$$

.

373 Again, a further change of coordinates in the ambient space, gives:

Ψ 4 2,2,2 := 1 0 0 1 0 0 0 0 a 0 1 0 0 0 0 1 0 0 0 0 0 0 1 0 0 1 0 0 0 1 0 0 0 0 0 b 0 0 0 0 0 1 0 0 c ,

374 with  $a, b, c \neq 0$ .

The trifocal tensor  $\mathcal T$  is a  $3 \times 3 \times 3$  tensor and its non vanishing elements are:  $\mathcal{T}_{111}, \mathcal{T}_{122}, \mathcal{T}_{131}, \mathcal{T}_{213}, \mathcal{T}_{311}$ , from which one easily deduce that  $R(\mathcal{T}) = 4$ , because the tensor is a linear combination of:

$$
(e_1^1 + e_3^1) \otimes e_1^2 \otimes e_1^3, \quad e_1^1 \otimes e_2^2 \otimes e_2^3, \quad e_1^1 \otimes e_3^2 \otimes e_1^3, \quad e_2^1 \otimes e_1^2 \otimes e_3^3.
$$

 Starting from the above example, one can consider the following degenerate con- figurations for lines  $C_A, C_B, C_C$ , which are centers of projection. Notice that each of these configurations can easily obtained as a limit of a sequence of non degenerate configurations of centers of projection.

 $379$  a)  $C_A$ ,  $C_B$ ,  $C_C$  lie in the same hyperplane and no two of them intersect each 380 other;

381 b)  $C_A, C_B, C_C$  span  $\mathbb{P}^4$  but two of them have nonempty intersection;

382 c)  $C_A, C_B, C_C$  lie in the same hyperplane and two of them have nonempty in-383 tersection.

384 With suitable choices of coordinates and similarly to the rank calculations per-385 formed above, one sees that, respectively:

a)  $g = g_{rs}, l_{rs} = 0,$  $\alpha_{rs} = 0$  and  $\beta_{rs} = 2$ , for each r, s. In this case  $\Psi_{2,2,2}^4$  reduces to

$$
\Psi^4_{2,2,2}:=\left[\begin{array}{cccc|c}1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & z_{11} & z_{12} \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & z_{21} & z_{22} \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & z_{31} & z_{32} \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & z_{41} & z_{42} \end{array}\right].
$$

The non vanishing elements of the tensor are:

$$
\mathcal{T}_{113}, \mathcal{T}_{121}, \mathcal{T}_{122}, \mathcal{T}_{131}, \mathcal{T}_{132}, \mathcal{T}_{211}, \mathcal{T}_{212}, \mathcal{T}_{311}, \mathcal{T}_{312}
$$

386 and  $R(\mathcal{T})$  jumps to 5. With the same notation of example [5.4,](#page-9-1) one sees that 387  $\tau$  is a combination of:

$$
{\bf e}_1^1\otimes{\bf e}_1^2\otimes{\bf e}_3^3,\quad {\bf e}_1^1\otimes{\bf e}_2^2\otimes({\bf e}_1^3+{\bf e}_2^3),\quad {\bf e}_1^1\otimes{\bf e}_3^2\otimes{\bf e}_1^3,
$$

$$
\begin{aligned} \tfrac{3}{2} \otimes \mathrm{e}_1^2 \otimes \mathrm{e}_1^2 \otimes (\mathrm{e}_1^3 + \mathrm{e}_2^3), \ \ \, \mathrm{e}_3^1 \otimes \mathrm{e}_1^2 \otimes (\mathrm{e}_1^3 + \mathrm{e}_2^3). \end{aligned}
$$

391 b) 
$$
g = 0
$$
,  $g_{12} = l_{12} = 2$ ,  $g_{13} = g_{23} = l_{13} = l_{23} = 0$ ,  
\n392  $\alpha_{12} = 2$ ,  $\beta_{12} = 0$ ,  $\alpha_{13} = \alpha_{23} = 0$ ,  $\beta_{13} = \beta_{23} = 2$ .  
\n393 In this case  $\Psi_{2,2,2}^4$  reduces to

$$
\Psi^4_{2,2,2}:=\left[\begin{array}{cccc|c}1 & 0 & 0 & 1 & 0 & 0 & 0 & z_{11} & z_{12} \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & z_{21} & z_{22} \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & z_{31} & z_{32} \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & z_{41} & z_{42} \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0\end{array}\right]
$$

.

.

The non vanishing elements of the tensor are:

$$
\mathcal{T}_{123},\mathcal{T}_{213}
$$

394 and  $R(\mathcal{T})$  drops to 2;

c)  $g = 1, g_{12} = g_{23} = 1, l_{12} = l_{23} = 0, g_{13} = 2, l_{13} = 1, \alpha_{12} = \alpha_{23} = 0, \beta_{12} = 0$  $\beta_{23} = 2, \alpha_{13} = 1, \beta_{13} = 1.$  In this case  $\Psi_{2,2,2}^4$  reduces to

$$
\Psi^4_{2,2,2}:=\left[\begin{array}{cccc|c}1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0\\0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0\\0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & a\\0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & b\\0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & c\end{array}\right]
$$

The non vanishing elements of the tensor are:

$$
\mathcal{T}_{113}, \mathcal{T}_{121}, \mathcal{T}_{131}, \mathcal{T}_{212}, \mathcal{T}_{311},
$$

395  $R(\mathcal{T}) = 4$ , and again  $\mathcal{T}$  is a linear combination of

$$
e_1^1 \otimes e_1^2 \otimes e_3^3, \quad e_1^1 \otimes (e_2^2 + e_3^2) \otimes e_1^3, \quad e_2^1 \otimes e_1^2 \otimes e_2^3, \quad e_3^1 \otimes e_1^2 \otimes e_1^3.
$$

 In case a) this shows that the border rank of the tensor is strictly less than its rank, 397 i.e.  $\underline{R}(\mathcal{T}) < R(\mathcal{T})$ .

REFERENCES

<span id="page-13-12"></span><span id="page-13-9"></span><span id="page-13-6"></span><span id="page-13-4"></span><span id="page-13-2"></span>

- <span id="page-13-13"></span><span id="page-13-11"></span><span id="page-13-10"></span><span id="page-13-8"></span><span id="page-13-3"></span><span id="page-13-0"></span> versity Press, Cambridge, second ed., 2003. With a foreword by Olivier Faugeras. [11] R. I. Hartley and F. Schaffalitzky, Reconstruction from projections using grassmann tensors, Int. J. Comput. Vision, 83 (2009), pp. 274–293, [https://doi.org/10.1007/](https://doi.org/10.1007/s11263-009-0225-1)
- <span id="page-13-7"></span> [s11263-009-0225-1,](https://doi.org/10.1007/s11263-009-0225-1) [http://dx.doi.org/10.1007/s11263-009-0225-1.](http://dx.doi.org/10.1007/s11263-009-0225-1) [12] A. Heyden, A common framework for multiple view tensors, in Computer VisionECCV'98, Springer Berlin Heidelberg, 1998, pp. 3–19.
- <span id="page-13-14"></span>433 [13] W. V. D. HODGE AND D. PEDOE, Methods of Algebraic Geometry, vol. 1, Cambridge University Press, 1994.
- <span id="page-13-1"></span> [14] J. M. Landsberg, Tensors: geometry and applications, vol. 128 of Graduate Studies in Math-ematics, American Mathematical Society, Providence, RI, 2012.
- <span id="page-13-5"></span> [15] L. Oeding, The quadrifocal variety, Linear Algebra Appl., 512 (2017), pp. 306–330, [https://](https://doi.org/10.1016/j.laa.2016.09.034) [doi.org/10.1016/j.laa.2016.09.034,](https://doi.org/10.1016/j.laa.2016.09.034) [https://doi-org.ezproxy.depaul.edu/10.1016/j.laa.2016.](https://doi-org.ezproxy.depaul.edu/10.1016/j.laa.2016.09.034) [09.034.](https://doi-org.ezproxy.depaul.edu/10.1016/j.laa.2016.09.034)