

# 1 **Rational runoff coefficient for rational formula**

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## 7 **ABSTRACT**

8

9 The Rational Formula (RF), also known as the CIA formula, is probably the most applied equation  
10 in practical hydrology to compute the peak discharge despite its various drawbacks, due to its  
11 simplicity and effective compromise between theory and data availability. Thus, after more than a  
12 century, the estimation of peak discharge through the RF is still an important and challenging aspect  
13 of engineering hydrology. The RF assumes the linearity of the hillslope response, neglects the time  
14 to ponding and the antecedent soil moisture condition, and requires estimating the critical duration  
15 of rainfall and of the runoff coefficient, which both are highly controversial. Moreover, the runoff  
16 coefficient is simply assumed to be return period-independent and event time-independent. This  
17 paper proposes an advanced rational formula that makes it possible to derive the peak discharge at  
18 the hill slope scale, where the above assumptions of the classical RF are relaxed. It is based on three  
19 simplified and more or less consolidated models: i) the Green-Ampt model for infiltration, ii) the  
20 kinematic wave model for infiltration excess transportation, and iii) the intensity-duration-  
21 frequency model to describe the yearly maximum rainfall intensity, which of course also require  
22 assumptions. Physically based runoff coefficient tables, which however are not affected by  
23 subjectivity, are arranged and applications of the derived procedure are performed.

24

25 **Keywords:** rational formula, hydrologic response, hillslope scale, Green-Ampt model, runoff  
26 coefficient, kinematic wave.

27

## 28 **1. Introduction**

29

30 The science of hydrology holds a central role in the field of environmental Earth science,  
31 being intimately connected to meteorology, climatology, hydrogeology and ecology (Sivapalan,  
32 2003, 2005). In particular, the knowledge of hillslope hydrology is fundamental for understanding  
33 flood phenomenon and for predicting peak discharge and its probability distribution, which is  
34 necessary in many practical applications (the design of hydraulic structures, as well as urban and  
35 extra-urban planning, etc.). The estimation of peak discharge and runoff values for use in designing  
36 certain hydraulic structures is still an important and challenging aspect of engineering hydrology  
37 (Viessman and Lewis 2003, Grimaldi and Petroselli, 2015).

38 In order to determine the peak discharge, under the Hortonian mechanism of runoff  
39 generation, the traditional approach of equating the critical duration of rainfall,  $t_{cr}$ , to the time of  
40 concentration,  $T_c$ , is usually addressed (Thompson, 2006). This assumption is valid for planes with  
41 low infiltration loss. In this case, the full plane area contributes to the maximum peak discharge  
42 (Chen and Wong, 1993). For planes with high infiltration loss (Baiamonte, 2016), the duration of  
43 the critical rainfall associated with the maximum peak discharge may be shorter than the time of  
44 concentration. Under this condition, only part of the plane contributes to the maximum peak  
45 discharge (Baiamonte and Agnese, 2016). However, under the Hortonian mechanism of runoff  
46 generation, when soils exhibit low permeability, the time of concentration for a watershed is widely  
47 used as a time parameter to estimate peak discharges in hydrologic designs. Fang et al. (2008)  
48 empirically estimated  $T_c$  for 96 Texas watersheds using five empirical equations: Williams (1922),  
49 Kirpich (1940), Johnstone–Cross (1949), Haktanir–Sezen (1990), and Simas–Hawkins (2002)  
50 methods. By using the NRCS velocity method, the Authors found that the average relative  
51 difference between the estimations of the time of concentration was 50% with peaks of 90%.

52 Grimaldi et al. (2012) demonstrated that available approaches to estimate  $T_c$  may yield numerical  
53 predictions that differ from each other by up to 500%.

54 Recently de Almeida et al. (2016) emphasized the lack of a universally accepted definition  
55 for this parameter. After an extensive bibliographic review, by using thirty empiric methodologies  
56 to estimate  $T_c$  and data from a rural watershed, Almeida et al. (2016) showed the variability of  
57 empiric methodologies used in its estimations.

58 The importance of  $T_c$  estimation is related to its use in the rational formula (RF) to  
59 determine the design rainfall. The rational formula dates back to the 1850s in Ireland (Mulvaney,  
60 1851; Bedient and Huber, 1988) and it is still widely applied to analyze the peak discharge of a  
61 small basin for a rainfall of  $T_c$  duration, thus, under the assumption that the intensities of both  
62 rainfall and infiltration or loss are uniformly distributed in time and space. The RF success is likely  
63 due to multiple factors. The formula is very easy to apply, the input data is easy to obtain as the  
64 formula only requires the intensity–duration–frequency (IDF) curves,  $T_c$  and the runoff coefficient,  
65  $C$ . Finally, the formula is not entirely empirical, and the concept of the critical rainfall is easy to  
66 understand and is persuasive (Grimaldi and Petroselli, 2015). Therefore, simplicity and its limited  
67 data requirements motivate why it is still applied, investigated and interpreted.

68 In order to reduce the subjectivity of the hydrologist in the RF application, Grimaldi and  
69 Petroselli, (2015) introduced a rainfall excess estimation method (CN4GA), which makes it possible  
70 to use the curve number,  $CN$ , instead of  $C$ , and the use of an appropriately adapted  
71 geomorphological unit hydrograph (WFIUH-1par). A comparison between RF and the alternative  
72 procedure showed that the latter overestimates the peak and that the RF underestimates it.  
73 Moreover, the Authors showed that the RF in its original formulation leaves the practitioner too  
74 much freedom in its application because the original  $C$  tables provide a range of values with a poor  
75 classification of soil properties.

76 Wijesinghe and Wijesekera (2011) showed that the Unit Hydrograph can be derived using  
77 the peak discharge obtained from the RF and the unit depth of rainfall in calculating the rainfall

78 intensity. The Authors took into account possible alternatives for selecting sub catchments and the  
79 selection of a guideline to determine  $C$  and the  $T_c$ . Wijesinghe and Wijesekera (2011) found a  
80 significant variation of the results with the selected alternatives.

81 Recently, Wang and Wang (2018) developed the Rational Method Prime (RMP) that follows  
82 the basic principle of the RF but instead recalculates catchment variables by taking into account  
83 runoff control effects and evaluates runoff control efficiencies by using two indices. The Authors  
84 recognized three merits in the suggested RMP: (1) it provides an integrated response of the whole  
85 catchment with runoff controls; (2) it interprets runoff control effects by plotting runoff flow rate-  
86 rainfall duration curves; and (3) it connects the design of runoff controls and storm sewers that are  
87 based on different design principles and rainfall statistics. Case study results showed that runoff  
88 controls reduced peak flow rates in a wide range by 5.8-92%, corresponding to reduction factors for  
89 the return period of the maximum flow rate from 0.04 to 0.76. Like Grimaldi and Petroselli (2015),  
90 Wang and Wang (2018) also showed that the original RF is based on many assumptions, which also  
91 cause its weakness.

92 Whatever the criterion of  $T_c$  estimation is, the use of RM also involves the  $C$  estimation,  
93 which is a crucial point when one wants to determine the peak discharge. Indeed, errors in  
94 computing  $C$  linearly influence the peak discharge.

95 Advancements in the  $C$  empirical estimations have resulted in many reliable experimental  
96 efforts (Dhakal et al., 2012; Dhakal et al., 2013; Grillone et al., 2014). Recently, Dhakal et al.  
97 (2012) found that  $C$  values derived from the two data sets were essentially the same for 90  
98 watersheds in Texas and by using land-use/land cover (LULC) data for 1992 and 2001. Volumetric  
99 runoff coefficients ( $C_v$ ) were estimated by using observed rainfall and runoff depths from more than  
100 1,600 events observed in the watersheds. Watershed-median and watershed average  $C_v$  values were  
101 computed, and both are consistent with data from the National Urban Runoff Program (NURP),  
102 which had 20 projects to study pollutants from 76 U.S. urban watersheds, with drainage areas  
103 ranging from 0.004 to 115 km<sup>2</sup> (USEPA 1983). Two regression equations of  $C_v$  versus percent

104 impervious area were developed and combined into a single equation that can be used to  
105 empirically estimate  $C_v$  values for similar Texas watersheds.

106 A well-accepted practice consists in considering  $C$  return period-dependent, which was not  
107 considered in the original RF. Dhakal et al. (2013) derived rate-based runoff coefficients as a  
108 function of the return period,  $C(T)$ , for 36 undeveloped watersheds in Texas using peak discharge  
109 frequency from previously published regional regression equations and rainfall intensity frequency  
110 for return periods  $T$  of 2, 5, 10, 25, 50, and 100 years. The  $C(T)$  values and return period  
111 adjustments  $C(T)/C(T = 10 \text{ years})$  determined in their study are most applicable to undeveloped  
112 watersheds.

113 Tay and Afshar (2014) compared computed  $C$  using a linear empirical method with the runoff  
114 coefficient assigned for different land use and surface type, revealing that the linear empirical  
115 formula gives reliable results. The Authors justified their findings of a smaller value of the  $C$   
116 coefficient because the RF is limited to a watershed area equal to 80 hectares or smaller, and  
117 evaluated the dependency of the rational formula runoff coefficients on the return period for two  
118 locations in Texas.

119 Froehlich (2016) developed numerical solutions for dimensionless peak discharge in the form  
120 of graphical relationships, and also as mathematical expressions that approximated the graphs  
121 closely. Comparing peak discharge found by the proposed approach to the rational formula  
122 provided a means of evaluating the relationship between runoff coefficients and rainfall return  
123 periods. When compared to runoff coefficient adjustment factors found by others for 36  
124 undeveloped watersheds in Texas, the return period adjustment factors calculated by Froehlich  
125 (2016) illustrated a large difference that result because of the wide variability of parameters that  
126 influence small catchment runoff (such as precipitation, soils, and land-surface cover) within a  
127 region as vast as Texas.

128 However, Chin (2017) showed that Froehlich (2016) equated the incremental rainfall excess  
129 within each time interval to the incremental runoff predicted by the Natural Resources Conservation

130 Service (NRCS) curve-number model when calculating the runoff hydrograph, and this could give  
131 physically unrealistic results (e.g., Chin 2013; Morel-Seytoux and Verdin 1981).

132 In conclusion, the issue of empirically-based peak discharge estimation is widely argued and a  
133 need for simple, yet adaptive modeling guidelines for engineers and researchers using these tools  
134 still exists, specifically for ungauged basins where no series discharge and runoff data is available.  
135 Indeed, although many definitions and estimation procedures to determine the peak discharge can  
136 be found in the technical literature, a universally accepted definition of a physically based peak  
137 discharge and of the related time of concentration is not known.

138 With reference to physically based approaches, there have been a multitude of studies on the  
139 hydrologic response at the hill slope scale. One approach for deriving the hillslope response utilizes,  
140 in a distributed form, the differential equations of unsteady overland flow, specifically developed at  
141 the hydrodynamic scale, in order to account for the spatial heterogeneity of soil characteristics,  
142 topography, roughness, and vegetation cover on the hillslope (Baiamonte et al., 2014; Baiamonte et  
143 al., 2017). This approach seemingly mimics the complete hydraulics of flow. However, the very  
144 complex patterns generated by spatial heterogeneity can cause considerable doubts in the prediction  
145 of hillslope response (Cundy and Tendo, 1985, Sivapalan, 2003; Blöschl et al., 2013), even by very  
146 sophisticated approaches.

147 Sivapalan (2003) stated that “the root cause of the difficulties is the tremendous  
148 heterogeneity of the land surface condition, soils, vegetation, land use, etc., and the space–time  
149 variability of climatic inputs, occurring over a wide range of space and time scales. The  
150 heterogeneity is pervasive, and never seems to disappear at whatever scale we observe, ranging  
151 from largescale geological formations, the soil catena at hillslope and basin scales, down to the  
152 macropores that are ubiquitous at the plot scale”. Sivapalan (2003) also emphasized that theory  
153 development will only advance if we can develop simple models (which may be mere caricatures of  
154 the basin system). As theory advances, the simple models will give way to the development and

155 testing of more complex ones that capture more of the essential characteristics of real hillslopes and  
156 basins.

157 Recently, in agreement with this line of thinking and under constant rainfall intensity,  
158 Baiamonte and Singh (2016a) derived the hillslope time of concentration,  $T_c$ , by combining two  
159 simplified, well-known and more or less consolidated models, i) the Green-Ampt model to account  
160 for infiltration and ii) the kinematic wave to model the rainfall excess transportation. The solutions  
161 were valid under the Horton's mechanism of runoff production, which is typical of arid and  
162 semiarid regions, generally characterized by short duration, high rainfall intensities and by  
163 hillslopes without significant vegetation cover (Agnese et al., 2011; 2014). Baiamonte and Singh  
164 (2016b) also derived  $T_c$  relationships for hillslopes of complex topography, which may present  
165 concavity or convexity and that can be convergent or divergent. The same issue was previously  
166 investigated by Agnese et al. (2001, 2017) who applied the non-linear storage model at the hillslope  
167 scale rather than the kinematic wave model.

168 Recently Baiamonte and Singh (2017) derived a simplified analytical solution for the  
169 probability distribution of peak discharge (GABS model) under the assumption that the runoff  
170 generation is mainly Hortonian, starting from the above-mentioned Baiamonte and Singh (2016a),  
171 where the hillslope response was limited to constant rainfall intensity. Thus, the infiltration process  
172 is governed by the well-known Green-Ampt equation, whereas the rainfall excess transportation  
173 was modelled by the kinematic wave. In particular, the Authors by introducing the IDF curves in  
174 Baiamonte and Singh (2016a) to describe the maximum rainfall intensity, employed a line of  
175 physically based approaches that have not been attempted before, giving rise to a unique probability  
176 distribution of peak discharge for infiltrating hillslopes, based on measurable parameters (Agnese et  
177 al., 2016).

178 The objective of this paper is to show that this kind of approach may lead to an advanced  
179 rational formula at the hillslope scale where all of the issues previously described regarding the  
180 limits in using the classical RF are removed. The suggested procedure accounts for the physical soil

181 hydrology of the hillslope and for the statistical hydrology describing rainfall events according to  
 182 the IDF model for computing the design rainfall intensity. Thus, all parameters can be estimated  
 183 from known or measurable physical characteristics and subjectivity in peak discharge estimation is  
 184 relaxed. It accounts for the non-linearity of the hillslope response, which needs to be considered  
 185 especially for small basins (Robinson et al. 1995; Ostrowski, 2003), and for  $C$  event time-  
 186 dependence and return period-dependence. The  $C$  evaluation is not affected by personal evaluations  
 187 and involves a critical duration of rainfall that is linked to the simultaneous infiltration and  
 188 transportation processes. Physically based runoff coefficient tables are arranged and applications are  
 189 performed.

190

## 191 2. Theory

192

### 193 2.1 Unsaturated soil condition

194

195 By using the kinematic wave model, first introduced for impervious hillslopes (Woolhiser and  
 196 Liggett 1967), and the Green-Ampt infiltration model (Green and Ampt, 1911), Baiamonte and  
 197 Singh (2016a) showed that if a rainfall intensity,  $i$  (m/s), of indefinite duration is applied to a  
 198 hillslope of known geometry, the time of equilibrium,  $t_{eq}$  (s), can occur in the 1<sup>st</sup> or in the 2<sup>nd</sup>  
 199 domain ( $t_{eq} < t_k$  or  $t_{eq} \geq t_k$ , respectively) of the kinematic plane, depending on the normalized  
 200 kinematic wave arrival time,  $\tau_k$ , and the associated normalized infiltration capacity,  $f_{*k}$ :

201

$$202 \left\{ \begin{array}{l} \tau_k = \frac{t_k}{t_c} = \tau_p + \frac{\rho^{-1}}{(f_{*k} - \rho^{-1})} - \frac{\rho^{-1}}{(1 - \rho^{-1})} + \psi_k \\ f_{*k} = \frac{f_k}{i} = 1 - \frac{(1 - \rho^{-1})(f_{*k} - \rho^{-1})^2}{(f_{*k} + 1 - 2\rho^{-1})\rho^{-2}} \left( \tau_{eq,i}^2 - \frac{2\rho^{-1}\psi_k}{f_{*k} - \rho^{-1}} - \psi_k^2 \right) \end{array} \right. \quad (1)$$

203

204 where  $\rho = i/K_s$ , is the rainfall intensity normalized with respect to saturated hydraulic conductivity,  
 205  $K_s$  (mm/h), whereas the dimensionless group  $\Psi_k$  equals:

206

$$207 \quad \Psi_k = \ln \left( \frac{i(f_k - K_s)}{f_k(i - K_s)} \right) \quad (2)$$

208

209 In Eq. (1),  $\tau_p$  is the time to ponding,  $t_p$  (s), according to Green-Ampt infiltration model:

210

$$211 \quad \tau_p = \frac{t_p}{t_c} = \frac{1}{\rho(\rho-1)} \quad (3)$$

212

213 which is normalized, as well as the time  $t_k$ , with respect to the sorptivity time scale,  $t_c$  (s):

214

$$215 \quad t_c = \frac{\theta_s \Psi_m}{K_s} (1-s) = \omega(1-s) \quad (4)$$

216

217 where  $\theta_s$  ( $\text{m}^3 \text{m}^{-3}$ ) is the saturated volumetric water content,  $\Psi_m$  (m) is the matric potential at the  
 218 wetting front. In Eq. (4),  $t_c$  is rewritten in order to consolidate the soil hydrological parameters  $\theta_s$ ,  
 219  $\Psi_m$  and  $K_s$ , in a characteristic time of the infiltration process,  $\omega$  (s), independently of the antecedent  
 220 soil moisture content (ASMC), which is expressed as saturation degree,  $s$ , in the right hand.

221 In Eq. (1),  $\tau_{eq,i}$  is a parameter synthesizing both the hillslope geometry and soil hydrological  
 222 characteristics, and equals the ratio between the time to equilibrium for an impervious hillslope,  
 223  $t_{eq,imp}$ , and the sorptivity scale,  $t_c$ :

224

$$225 \quad \tau_{eq,i} = \frac{t_{eq,imp}}{t_c} = \frac{1}{\omega(1-s)\sqrt{k_*i}} \quad (5)$$

226

227 where  $k_*$  is another parameter synthetically accounting for the gross hillslope “geometry”, which  
228 was first introduced by Robinson and Sivapalan (1996):

229

$$230 \quad k_* = \frac{\sqrt{S_0}}{n_{Mann} L} \quad (6)$$

231

232 where  $L$  (m) is the length of the hillslope,  $S_0$  is the slope, and  $n_{Mann}$  ( $s \text{ m}^{-1/3}$ ) is the Manning friction  
233 factor.

234 By introducing the intensity-duration-frequency (IDF) curves, Baiamonte and Singh (2017)  
235 showed that  $t_k$  not only separates the two domains where the time to equilibrium occurs but, for any  
236 probability of non-exceedance, also corresponds to the critical duration of rainfall,  $t_{cr}$  (s), i.e. the  
237 duration of rainfall for which the maximum discharge,  $q_{cr}$  (m/s), at the bottom of the hillslope is  
238 achieved (see Fig. 4, Baiamonte and Singh, 2017). Therefore, in Eq. (1), the subscript “ $k$ ” could be  
239 replaced with the subscript “ $cr$ ” that stands for critical conditions.

240 Assuming a simple scaling behavior of the rainfall intensity,  $i_{cr}$  with  $t_{cr}$ , and the equality in  
241 the probability distribution according to the  $(n - 1)$  scaling exponent of the IDF curves:

242

$$243 \quad i_{cr}(T) \stackrel{d}{=} t_{cr}^{n-1} a_T \quad (7)$$

244

245 where  $a_T$  (m/s) is the maximum hourly rainfall intensity of an assigned return period,  $T$  (years),  
246 Baiamonte and Singh (2017) derived the probability distribution of peak discharge,  $P(q_{max})$ ,  
247 according to a denoted GABS model. Thus, the latter can be used under the assumption of Green-  
248 Ampt infiltration and for a hillslope defined by  $\theta_s$ ,  $K_s$ ,  $\psi_m$  (i.e.  $\omega$ ), by ASMC (i.e.  $s$ ), by  $L$ ,  $n_{Mann}$ ,  $S_0$   
249 (i.e.,  $k_*$ ) for which a rainfall intensity, according to Eq. (7), is applied.

250 For fixed soil ( $\omega = 13.5$  h), hillslope geometry ( $k_* = 0.143$ ) and scaling exponent ( $n = 0.36$ ),  
 251 **Figure 1** illustrates an example of  $P(q_{max})$  relationships, which interestingly show that the influence  
 252 of ASMC on the peak discharge becomes less and less important as the event probability of non-  
 253 exceedance,  $P$ , increases. Moreover, for a fixed probability, the effect of ASMC agrees with what  
 254 would be expected, so one can move from the dry antecedent soil condition to the unsaturated  
 255 condition, to saturated conditions and to impervious hillslope condition, which corresponds to the  
 256 highest peak discharge, for any  $P$ .

257 Assuming unsaturated soil conditions, to derive an analytical runoff coefficient,  $C$ ,  
 258 according to the GABS model, in order to consolidate rainfall, soil and hillslope geometry into three  
 259 parameters, it was necessary to change some parameters. In particular, the normalized rainfall  
 260 intensity,  $\rho$ , the normalized infiltration capacity,  $f_{*k}$ , and the hillslope geometry parameter,  $k_*$ ,  
 261 appearing under constant rainfall intensity, in Eqs. (1) were rewritten as follows:

$$263 \quad \rho = \frac{i}{K_s} \rightarrow \rho_T = \frac{a_T}{K_s} \quad (8a)$$

$$264 \quad f_{*k} = \frac{f_k}{i} \rightarrow f_{*cr} = \frac{f_{cr}}{K_s} \quad (8a)$$

$$265 \quad k_* = \frac{\sqrt{S_0}}{n_{Mann} L} \rightarrow k_* K_s^{-1} = \frac{\sqrt{S_0}}{n_{Mann} L K_s} \quad (8b)$$

266  
 267 Rearranging Eqs. (1), according to Eq. (7) and taking into account Eqs. (8), the system can be  
 268 rewritten as a function of the three parameters  $\rho_T$ ,  $t_c$  and  $k_* K_s^{-1}$ :

$$270 \quad \left\{ \begin{array}{l} t_{cr} = t_c \left( \frac{1}{f_{*cr} - 1} - \frac{1}{\rho_T t_{cr}^{n-1}} + \psi_{cr} \right) \\ f_{*cr} = \rho_T t_{cr}^{n-1} - \frac{(f_{*cr} - 1)^2 \rho_T t_{cr}^{n-1} (\rho_T t_{cr}^{n-1} - 1)}{\rho_T t_{cr}^{n-1} + f_{*cr} - 2} \left( \frac{1}{(k_* K_s^{-1}) \rho_T t_{cr}^{n-1} t_c^2} - \frac{2\psi_{cr}}{f_{*cr} - 1} - \psi_{cr}^2 \right) \end{array} \right. \quad (9)$$

271

272 with the  $\Psi_{cr}$  parameter expressed as:

273

$$274 \quad \psi_{cr} = \ln \left( \frac{1 - f_{*cr}^{-1}}{1 - \rho_T^{-1} t_{cr}^{1-n}} \right) \quad (10)$$

275

276 Usefully, the same parameters  $t_c$ ,  $\rho_T$  and  $k_* K_s^{-1}$  also appear in the derivation of the runoff

277 coefficient relationship reported below:

278

$$279 \quad C = \frac{q_{cr}}{q_{cr,imp}} = \left( \left( k_* K_s^{-1} \rho_T \right)^{n/1+n} t_{cr}^{n-1} t_c \left( \frac{1 - f_{*cr} \rho_T^{-1} t_{cr}^{1-n}}{f_{*cr} - 1} + \Psi_{cr} \right) \right)^2 \quad (11)$$

280

281 It should be noted that the runoff coefficient differs from that defined in the classical rational  
282 formula where the peak discharge is expressed with respect to critical rainfall intensity. Indeed, in  
283 Eq. (11) the peak discharge is expressed with respect to the peak discharge,  $q_{cr,imp}$ , corresponding to  
284 an impervious hillslope with the same geometry ( $k_*$ ), and rainfall ( $a_T$ ) of the studying hillslope. The  
285  $q_{cr,imp}$  relationship for deriving Eq. (11) was expressed according to Baiamonte and Singh (2017, see  
286 Eq. 9 and Eq. 11):

287

$$288 \quad q_{cr,imp} = k_*^{(1-n)/(1+n)} a_T^{2/(1+n)} \quad (12)$$

289

290 Of course, for an assigned triplet of values ( $\rho_T$ ,  $t_c$  and  $k_* K_s^{-1}$ ), using Eq. (11) requires solving the  
291 system of Eq. (9) in order to detect the critical duration of rainfall,  $t_{cr}$ , and the corresponding  
292 infiltration capacity,  $f_{*cr}$ , which also appear in it. Knowledge of Eq. (11) allows the important roles  
293 of rainfall, soil hydrological characteristics and hillslope geometry to be compacted in the

294 parameters  $\rho_T$ ,  $t_c$  and  $k_* K_s^{-1}$ , respectively, in order to derive a runoff coefficients table in a matrix  
 295 form. As an alternative, the following relationship could be used to directly detect the peak  
 296 discharge:

$$298 \quad q_{cr} = C q_{cr,imp} = \frac{(k_* K_s^{-1}) t_c^2 \left( \frac{f_{*cr} - \rho_T t_{cr}^{n-1} (1 + (f_{*cr} - 1) \Psi_{cr})}{f_{*cr} - 1} \right)^2}{K_s^{1-4/(1+n)}} \quad (13)$$

299  
 300 However Eq. (13) is less general than Eq. (11), since the saturated hydraulic conductivity  
 301 appears in the  $k_* K_s^{-1}$  parameter but also appears in the denominator.

302 Before deriving the runoff coefficient tables, some important relationships between the  
 303 involved parameters were studied. For fixed  $\rho_T = 100$  and  $n = 0.36$ , **Figure 2** shows the relationship  
 304 of the normalized infiltration capacity,  $f_{*cr}$ , versus the critical duration of rainfall,  $t_{cr}$  (**Figure 2a**),  
 305 and versus the temporal scale of the infiltration process,  $t_c$  (**Figure 2b**), with  $k_* K_s^{-1}$  as a parameter.  
 306 The figure shows that a non-monotonic behavior occurs. With reference to **Figure 2a**, for a fixed  
 307  $f_{*cr}$ , two critical durations and two temporal scales providing peak discharges could be defined.  
 308 From a practical point of view, the falling limb of  $f_{*cr}$  versus  $t_{cr}$  is not very interesting, since it refers  
 309 to a very long duration of rainfall that can be achieved for a rainfall intensity that attains the  
 310 saturated hydraulic conductivity where  $f_{cr} \sim K_s$ . The latter consideration is supported by the limiting  
 311 condition of the illustrated curves, which are represented by the dashed line with the equation  $f_{*cr} =$   
 312  $\rho_T t_{cr}^{n-1}$  (also reported).

313 Of course, for a fixed  $f_{*cr}$ , at increasing  $k_* K_s^{-1}$ , because of the slope increases or the  
 314 decreases in the Manning roughness (smoother hillslopes) of the hillslope length, the critical  
 315 duration of rainfall decreases. Similar considerations, in agreement with the  $t_c$  physical meaning,  
 316 can be done for **Figure 2b** and for **Figure 3a**, which illustrates the corresponding critical duration  
 317 versus  $t_c$  relationships.

318 For the purpose of this study, **Figure 3b** shows the most significant variable, i.e. the runoff  
319 coefficient  $C$  as a function of the soil characteristics,  $t_c$ . Interestingly, according to what  $C$  is  
320 expected to be in the range  $0 - 1$ , and for the fixed hillslope geometry, with decreasing  $t_c$ , as an  
321 example, because there are decreases the soil porosity or increases in ASMC,  $C$  increases until it  
322 attains a limiting value, which is less than one, as expected.

323 This limiting condition corresponds to the saturated soil condition and will be inspected in  
324 the following section. Before studying the runoff coefficient for saturated soil conditions, some  
325 further relationships between the involved parameters in dimensional form are illustrated. Indeed,  
326 grouping the variables from one hand can help in detecting analytical solutions; on the other hand, it  
327 risks falling into losing the physical meaning in a meaningless range of the grouped variables.

328 For  $\rho_T = 100$ ,  $n = 0.36$  and for just one value of  $k \cdot K_s^{-1} = 0.25$ ,  $C$  coefficient relationships are  
329 reported versus the time dimension variables,  $t_p$  (Eq, 3),  $t_c$  (Eq, 4) and  $t_{cr}$  (Eq, 9) in **Figure 4a** and  
330 versus the flux dimension variable,  $f_{cr}$  (Eq, 9),  $i_{cr}$  (Eq, 7) and  $q_{cr}$  (Eq, 13) in **Figure 4b**. In the latter,  
331 the critical rainfall excess,  $r_{cr} = i_{cr} - f_{cr}$  is also plotted, since it could be usefully compared with  $C$   
332 versus  $i_{cr}$  and versus  $q_{cr}$  relationships, laying as expected in between them. For  $f_{cr}$ , the non-  
333 monotonic already discussed behavior appears, whereas in **Figure 4a** the time to ponding is  
334 inversely related to the  $C$  coefficient and as the rainfall duration increases, the runoff coefficient  
335 decreases.

336

## 337 **2.2 Saturated soil condition ( $s = 1, t_c = 0, f_{*cr} = 1$ )**

338

339 As observed above, the saturated condition is an important condition for the general solution  
340 (Eq. 9 and Eq. 11) since it represents its limiting condition and can be easier studied since it is not  
341 influenced by the sorptivity time scale, thus one less parameter occurs, giving that for  $s = 1, t_c = 0$   
342 (Eq. 4).

343 For this particular case, from the beginning of the rainfall, the peak discharge can be written  
 344 by using the relationship introduced by Baiamonte and Agnese (2010, see Eq. 11) also used by  
 345 Baiamonte and Singh (2016a, Eq. 3b) and Baiamonte and Singh (2016b, Eq. 31), and subtracting  $K_s$   
 346 from the rainfall (Eq. 7), because of the steady state infiltration:

$$348 \quad q_{cr} = k_* \left( a_T t_{cr}^{n-1} - K_s \right)^2 t_{cr}^2 \quad (14)$$

349  
 350 For impervious hillslope, the corresponding critical duration of rainfall can be derived by imposing  
 351 that  $q_{cr}$  matches the critical rainfall intensity minus  $K_s$  in Eq. (14), yielding:

$$353 \quad t_{cr} = \frac{1}{\sqrt{k_* \left( a_T t_{cr}^{n-1} - K_s \right)}} \quad (15)$$

354  
 355 Eq. (15) can be rewritten by introducing the  $\rho_T$  parameter and showing that an asymptotic critical  
 356 duration of rainfall occurs:

$$358 \quad t_{cr} = \frac{1}{\sqrt{k_* K_s \left( \rho_T t_{cr}^{n-1} - 1 \right)}} \quad \text{with} \quad t_{cr} < \frac{1}{\rho_T^{1/(n-1)}} \quad (16)$$

359  
 360 Eq. (16) is implicit in  $t_{cr}$ , but it can be made explicit with respect to the geometry parameter  $k_* K_s$ ,  
 361 which however differs from that previously introduced ( $k_* K_s^{-1}$ , Eq. 8b):

$$363 \quad k_* K_s = \frac{1}{t_{cr}^2 \left( \rho_T t_{cr}^{n-1} - 1 \right)} \quad (17)$$

364

365 For  $n = 0.36$ , Eq. (17) is plotted in **Figure 5a** as a function of  $t_{cr}$ , with  $\rho_T$  as a parameter. The figure  
 366 shows that, similarly to **Figure 2a**, although with reference to  $f_{*cr}$ , a value of the critical duration,  
 367 denoted as maximum,  $t_{cr,max}$ , occurs. In this simple case, putting the partial derivative of Eq. (6b) as  
 368 equal to zero with respect to  $t_{cr}$ , yields:

$$370 \quad \frac{d(k_* K_s)}{d t_{cr}} = \frac{2t_{cr} - (1+n)\rho_T t_{cr}^n}{t_{cr}^2 (t_{cr} - \rho_T t_{cr}^n)^2} = 0 \quad (18)$$

371

372 Eq. (18) makes it possible to detect the maximum value of the critical duration of rainfall,  $t_{cr,max}$ :

373

$$374 \quad t_{cr,max} = \left( \frac{\rho_T (1+n)}{2} \right)^{1/1-n} \quad (19)$$

375

376 By substituting Eq. (19) in Eq. (17), the corresponding minimum value of  $k_*K_s$ ,  $(k_*K_s)_{min}$ , can be  
 377 derived:

378

$$379 \quad (k_* K_s)_{min} = \frac{(1+n)^{(n+1)/(n-1)}}{1-n} \left( \frac{\rho_T}{2} \right)^{2/(n-1)} \quad (20)$$

380

381 Eq. (20), plotted in **Figure 5a**, splits the rising and the falling limbs of the  $k_*K_s$  versus  $t_{cr}$   
 382 relationships. For the rising limbs ( $t_{cr} > t_{cr,max}$ ) and for any  $\rho_T$  value, at increasing  $k_*K_s$ , the  $k_*K_s$   
 383 versus  $t_{cr}$  function quickly approaches the vertical asymptote with the equation  $t_{cr,asym} = \rho_T^{(1/1-n)}$  (see  
 384 Eq. 16). As an example, the latter is illustrated in **Figure 5b**, for  $\rho_T = 50$  (dash dot line).

385 As for unsaturated soil conditions, deriving the  $C$  coefficient for  $s = 1$  requires that the peak  
 386 discharge (Eq. 14) can be rewritten as a function of  $\rho_T$  by substituting Eq. (16) in Eq. (14):

387

388  $q_{cr} = K_s (\rho_T t_{cr}^{n-1} - 1)$  (21)

389

390 Thus, the  $C$  coefficient, as for the unsaturated soil conditions, can be derived as the ratio  
 391 between the peak discharge for saturated condition (Eq. 21) and that for impervious hillslopes (Eq.  
 392 12):

393

394 
$$C = \frac{q_{cr}}{q_{cr,imp}} = \frac{\rho_T t_{cr}^{n-1} - 1}{(k_* K_s)^{(1-n)/(1+n)} \rho_T^{2/(1+n)}} \quad (22)$$

395

396 Eq. (22) is plotted in **Figure 5b**, which shows a similar non-monotonic behavior of the  $C$   
 397 coefficient versus the geometry parameter,  $k_* K_s$ , i.e. compared to that reported in **Figure 3b**,  
 398 although versus  $t_c$ .

399 Interestingly, the  $C$  value corresponding to  $(k_* K_s)_{min}$ ,  $C((k_* K_s)_{min})$ , which can be derived by  
 400 combining Eq. (22), Eq. (19) and Eq. (20), is independent on  $\rho_T$  parameter, and only depends on the  
 401 rainfall structure,  $n$ :

402

403 
$$C((k_* K_s)_{min}) = \left( \frac{1-n}{2} \right)^{\frac{2}{1+n}} \quad (23)$$

404

405 Eq. (23) is reported in **Figure 5b**, verifying this occurrence. The knowledge of  $C((k_* K_s)_{min})$   
 406 allows plotting, for saturated conditions, the  $C$  coefficient as a function of the rainfall ( $\rho_T$ ) and  
 407 hillslope geometry parameter ( $k_* K_s$ ), in the  $C$  range, useful from a practical point of view ( $C \geq$   
 408  $C((k_* K_s)_{min})$ ), in order to also study the influence of the rainfall structure in the runoff coefficient.

409 The runoff coefficient  $C$ , according to Eq. (22), is plotted in **Figure 6** for different rainfall  
 410 structure values ( $n = 0.2 - 0.52$ , step 0.04) and covering most of the practical  $k_* K_s$ ,  $\rho_T$ ,  $n$  and  $C$   
 411 values. Two different views were represented. **Figure 6a** shows that the obtained  $C$  surfaces for

412 high  $\rho_T$  values, whereas **Figure 6b** shows the  $C$  surfaces for low  $\rho_T$  values. Inspection of **Figure 6**  
413 leads to the following conclusion in agreement with what is expected. i) For high  $\rho_T$  values and for  
414 low  $k* K_s$  values,  $C$  increases with the rainfall structure (see the illustrated case in **Figure 6a**,  $k* K_s =$   
415  $10^{-5}$ ,  $\rho_T = 44.5$ ); ii) for low  $\rho_T$  values  $C$  is independent of the rainfall structure (see the illustrated  
416 case in **Figure 6b**,  $k* K_s = 10^{-5}$ ,  $\rho_T = 2.5$ ). However, iii) the influence of  $n$  on  $C$  coefficient becomes  
417 less and less evident as  $k* K_s$  increases, for any  $\rho_T$  value, meaning that the quicker the hillslope  
418 response is, the smaller the influence of the rainfall structure is; iv) the increasing trend of  $C$  with  $n$   
419 slightly reverses for high  $k* K_s$  values, as can be observed in **Figure 6a**, where for  $n = 0.2$  the dark  $C$   
420 surface is highlighted.

421

### 422 3. Runoff coefficient tables

423

424 As previously stated, the saturated soil condition is important, since it represents the limiting  
425 condition of the general  $C$  runoff coefficient solution (Eq. 11). The latter, for  $n = 0.36$ , is reported in  
426 **Figure 7**, as a function of the soil parameter ( $t_c$ ), and for different  $\rho_T$  and  $k* K_s^{-1}$  values.  $C$   
427 relationships belonging to the same  $\rho_T$  values are grouped by oval symbols. As expected with  
428 increasing  $\rho_T$ ,  $C$  increases and, for fixed  $\rho_T$ ,  $C$  increases with  $k* K_s^{-1}$ , since the response of the  
429 hillslope becomes faster and faster.

430 For  $\rho_T = 1$  ( $k* K_s^{-1} = 25$  and  $5$ ) and for  $\rho_T = 5$  ( $k* K_s^{-1} = 25$  and  $0.05$ ) the limiting condition  
431 represented by the  $C$  runoff coefficient of the saturated condition (horizontal lines, Eq. 22) is  
432 plotted. As can be observed, the  $C$  coefficient for unsaturated soil conditions (Eq. 11) approaches to  
433 the limiting saturated soil condition ( $t_c \sim 0$ ) as the  $t_c$  parameter decreases, for example because of  
434 ASMC increases ( $s \sim 1$ ). The described occurrence in a certain sense validates the approach  
435 followed to derive Eq. (11, for  $0 \leq s \leq 1$ ) as well as Eq. (12, for  $s = 1$ ).

436 Similar considerations are valid for **Figure 8** where, for  $\rho_T = 10$  and  $n = 0.36$ , the  $C$   
 437 coefficient for unsaturated soil conditions (Eq. 11) is plotted versus  $k_* K_s^{-1}$  and versus the critical  
 438 duration of rainfall,  $t_{cr}$ , normalized with respect to the asymptotic  $t_{cr}$  value,  $t_{cr,asym} = \rho_T^{(1/1-n)}$ .

439 The  $C$  coefficient for both the unsaturated (Eq. 11) and saturated soil conditions (Eq. 22), can  
 440 also be expressed as a function of the ratio  $t_{*cr} = t_{cr}/t_{cr,asym}$ , thus making it possible to simplify the  $C$   
 441 coefficient solutions. In particular, for the unsaturated case both  $C$  coefficient and the peak  
 442 discharge can be rewritten as:

$$444 \quad C = \frac{q_{cr}}{q_{cr,imp}} = \frac{\left(k_* K_s^{-1}\right)^{\frac{2n}{1+n}} \left(t_{*c} - t_{*cr}^n (f_{*cr} - 1)\right)^2}{\rho_T^{\frac{4n}{n^2-1}} (f_{*cr} - 1)^2} \quad (24)$$

$$445 \quad q_{cr} = \frac{k_* K_s^{-1} \left(t_{*c} - t_{*cr}^n (f_{*cr} - 1)\right)^2}{\rho_T^{\frac{2}{n^2-1}} (f_{*cr} - 1)^2} K_s^{\frac{2}{1+n}} \quad (25)$$

446 where  $t_{*c}$  is the  $t_c$  parameter that has also been normalized with respect to  $t_{cr,asym}$  ( $t_{*c} = t_c/t_{cr,asym}$ ).  
 447 Whereas, for saturated soil conditions,  $C$  expressed as a function of  $t_{*cr}$  yields:

$$450 \quad C = \frac{q_{cr}}{q_{cr,imp}} = \frac{t_{*cr}^{n-1} - 1}{\left(k_* K_s\right)^{(1-n)/(1+n)} \rho_T^{2/(1+n)}} \quad (26)$$

451 where the corresponding  $t_{cr}$  (Eq. 16) can also be expressed in a dimensionless form:

$$454 \quad t_{*cr} = \frac{1}{\rho_T^{1/(1-n)} \sqrt{k_* K_s (t_{*cr}^{n-1} - 1)}} \quad (27)$$

455

456 Eq. (26) is also plotted in **Figure 8**, together with Eq. (24), showing a  $C$  tendency similar to  
457 that illustrated in **Figure 7**. From **Figure 8**, it seems that the general solution better approaches the  
458 limiting condition for low  $k*K_s^{-1}$  and high  $t_{cr}$  than vice versa.

459 Finally, it should be noted that, although the geometry parameter differs in both  $C$   
460 formulations,  $k*K_s^{-1}$  in Eq. 11 for  $0 \leq s \leq 1$ , and  $k*K_s$  in Eq. 22 for  $s = 1$ , the latter matches the  
461 limiting condition of the general solution as well, since for unit  $K_s$  the geometry parameter becomes  
462 equal.

463 The high variability of the parameters involved in  $C$  estimation (more for  $k*K_s^{-1}$  and  $t_c$  than  
464  $\rho_T$ ), limits the use of the  $C$  plot reported in **Figure 7**, where a different order of magnitude of the  
465 involved parameters were considered. Towards the aim to attempt a framework useful for practical  
466 applications, for the fixed  $n = 0.36$  considered above, a runoff coefficient table was structured.

467 According to the limited range of variability of the  $C$  coefficient (0 – 1), the table was  
468 organized for fixed  $C$  values rounded to one decimal place, and for different rainfall and hillslope  
469 geometry parameters. Following the  $k*K_s^{-1}$  and  $\rho_T$  ranges of variability explored in **Figure 8**, for  
470 fixed  $k*K_s^{-1}$  and  $\rho_T$  values, **Table 1** reports the corresponding  $t_c$  values.

471 Using **Table 1** first requires determining  $\rho_T$  value, meaning that a statistical analysis to check  
472 a simple scaling behavior of the IDF curves (Eq. 7) and, for a fixed return period,  $T$ ,  $a_T$  estimation  
473 should be performed. Once  $K_s$  for the hillslope is selected (or measured),  $\rho_T$  reported in column can  
474 be entered. Measuring hillslope length and slope, Manning coefficient estimation allows calculating  
475  $k_*$  (Eq. 6) and then  $k*K_s^{-1}$  to be entered in row. Measuring or estimating soil porosity,  $\theta_s$ ,  $\psi_m$ ,  
476 according to texture and structure of the soil, and assuming AMSC, allows calculating  $t_c$  (Eq. 4),  
477 which corresponds to a  $C$  runoff coefficient value. Log-Log regression could be considered, if the  
478 actual  $t_c$  value is far and in between two contiguous  $t_c$  values reported in **Table 1**, for the assigned  
479 coefficient  $\rho_T$  and  $k*K_s^{-1}$ . Bold values in circular brackets reported in **Table 1** correspond to the  
480 runoff coefficient  $C$  of the saturated soil conditions ( $s = 1$ ,  $t_c = 0$ ), which of course give values less

481 than those (for unsaturated soil conditions) reported in the corresponding row, at the second column  
482 from the left.

483

#### 484 **4. Application and comparison with the CIA formula**

485

486 In this section, in order to list the implications of the described procedure for the runoff  
487 coefficient that usually needs to be estimated when applying the rational formula (CIA), a  
488 comparison with the latter has been performed.

489 Consider a hillslope with the geometric characteristics reported in **Table 2**. The slope equals  
490 17.8%, the length is  $L = 466$  m and the Manning coefficient was fixed  $n_{Mann} = 0.125 \text{ m}^{-1/3} \text{ s}$ .,  $K_s =$   
491  $0.72 \text{ mm/h}$  was assumed from the texture and structural characteristics of the soil, which gives  $k \cdot K_s^{-1}$   
492  $= 0.01$ .

493 Assume that the mean soil hydrological characteristics are known, which usually present high  
494 spatial variability even though the same land management (Papanicolaou et al., 2015; Cullotta et al.,  
495 2016; Baiamonte et al., 2017); they are synthetically described by the parameters reported in **Table**  
496 **2**, where for a dry ASMC ( $s = 0$ ), the soil sorptivity time scale  $t_c$  equals around 151 h.

497 The application was carried out by using the maximum hourly intensity data-series of the  
498 Acireale station (Sicily), whose location is reported in **Figure 9**. For the available high sample size  
499 ( $N = 60$ ), a simple scaling behavior was checked and a rainfall structural parameter  $n = 0.36$  was  
500 estimated (Baiamonte and D'Asaro, 2016). Also assume that one wants to determine the peak  
501 discharge associated with a return period  $T = 15.4$  years, so that the corresponding probability of  
502 non-exceedance equals 0.94 (**Table 2**). The Gumbel distribution (EV1) fitted the hourly maximum  
503 rainfall intensities well, and the  $a_T$  parameter was estimated according to the parameter  $\alpha = 0.07$   
504  $\text{mm}^{-1}$  and to the mode  $u = 32.4 \text{ mm/h}$ , yielding  $a_T = 72.4 \text{ mm/h}$ . As a consequence,  $\rho_T = a_T / K_s =$   
505 100.

506 In conclusion, the triplet of parameters ( $\rho_T$ ,  $t_c$ ,  $k_*K_s^{-1}$ ) needed to apply the suggested procedure  
 507 are all known. For the values of these three parameters, **Table 1** provides a runoff coefficient  $C =$   
 508 0.8, which needs to be multiplied for the peak discharge, corresponding to a hillslope with the same  
 509 geometry, but impervious,  $q_{cr,imp}$ . The latter could be determined by Eq. (12), which is rewritten  
 510 here, as a function of the two EV1 parameters  $u$  and  $\alpha$  (Baiaomonte and Singh, 2017):

511

$$512 \quad q_{cr,imp} = (3.6k_*)^{(1-n)/(1+n)} \left( u - \frac{1}{\alpha} \ln \left( \ln \frac{T}{T-1} \right) \right)^{2/(n+1)} \quad (28)$$

513

514 where the  $3.6 k_*$  factor makes it possible to express  $L$  in (m) and the Manning friction factor,  $n_{Mann}$ ,  
 515 in ( $m^{-1/3}s$ ),  $u$  is expressed in ( $mm h^{-1}$ ),  $\alpha$  in ( $mm^{-1}$ ), in order to obtain  $q_{cr,imp}$  in ( $mm h^{-1}$ ). For the  
 516 Acireale station, under conditions of an impervious hillslope and for  $T = 15.4$  years, the application  
 517 of Eq. (28) gives  $q_{cr,imp} = 97.53 mm h^{-1}$ , which multiplied for  $C = 0.8$  (Eq. 24, 26) gives,  $q_{cr} = C$   
 518  $q_{cr,imp} = 78.03 mm/h$  ( $2.02 m^3/s$ ).

519 The corresponding critical duration of rainfall  $t_{cr}$ , Eq. (9), which is not requested if using the  
 520 runoff coefficient table, for the sake of comparison with the CIA formula, is also reported in **Table**  
 521 **2** ( $t_{cr} = 0.46 h$ ,  $f_{cr} = 9.75 mm/h$ ).

522 Following the CIA formula, the critical duration of rainfall and the runoff coefficient should  
 523 be estimated according to the time of concentration empirical relationships, which usually do not  
 524 account for the selected return period, time to ponding, soil permeability and ASMC. Many studies  
 525 have been performed in order to derive empirical relationships and many papers have also  
 526 compared these formulae, also showing that they can provide contradictory results (Grimaldi et al.,  
 527 2012). In this study, the critical duration of rainfall of the CIA formula was calculated according to  
 528 two empirical relationships, which are usually applied for small basins, thus, both are in agreement  
 529 with the limited extension of the considered hillslope (around  $0.1 Km^2$ ). They are the well-known

530 Kirpich formula (Kirpich, 1940),  $t_{cr}^{(1)}$ , and that developed by the USA Department of Public Works  
531 (1995),  $t_{cr}^{(2)}$ :

532

$$533 \quad t_{cr}^{(1)} = 0.01947 \frac{L^{0.77}}{S_0^{0.385}} \quad (29)$$

534

535 where the  $L$ (m) is the main length of the hillslope, and

536

$$537 \quad t_{cr}^{(2)} = 60 \left( 11.9 \frac{L^3}{H} \right)^{0.385} \quad (30)$$

538

539 where the maximum distance between the watershed divide and the outlet,  $L$ , is in miles, whereas  
540 the maximum elevation difference between the watershed divide and the outlet,  $H$ , is in feet. Both  
541  $t_{cr}^{(1)}$  and  $t_{cr}^{(2)}$  are in minutes. Results of the applications of Eq. (29) and Eq. (30) gave very similar  
542 results, 4.3 and 4.31 min, which corresponds to a critical rainfall intensity,  $i_{cr} = 391$  mm/h. This was  
543 to be expected because both are limited to very small basin areas.

544 The application of the CIA formula also requires the hard estimation of the runoff  
545 coefficient,  $C$ , which, as observed in section 1, probably represents the crucial point of the  
546 hydrologic study.  $C$  could be estimated by using one of the available runoff coefficient tables,  
547 which however are often based on a qualitative hillslope description. As for the critical rainfall  
548 duration, two procedures, i.e. runoff coefficient tables, were selected for the  $C$  coefficient  
549 estimation. According to the limited hydraulic conductivity ( $K_s = 0.72$  mm/h) and the slope value  
550 (greater than 7%), and the selected return period, runoff coefficient values reported in Chow et al.  
551 (1988) and in NRCS USDA (1986) provide  $C$  values around 0.4-0.44. Similar values could be  
552 obtained if referring to the descriptive characteristics of the four SCS soil groups. These  $C$  values  
553 correspond to a peak discharge more than twice ( $4-4.45$  m<sup>3</sup>/s) that determined according to the

554 suggested procedure ( $2.02 \text{ m}^3/\text{s}$ ), which is likely due to the very high rainfall intensity obtained for  
555 the two  $t_{cr}$  formulations considered for the CIA formula (Eq. 29 and Eq. 30). A lower suitable value  
556 was indeed obtained for the suggested procedure ( $i_{cr} = 118.3 \text{ mm/h}$ , **Table 2**).

557 Of course, the different results do not allow us to conclude that the suggested procedure  
558 provides more reliable results than the classical CIA formula, since very simplifying hypotheses are  
559 assumed in both procedures. However, the suggested procedure, which is also affected by the  
560 assumption of the Green-Ampt and kinematic wave models, could be use in place or together with  
561 the classical tables they need, which are usually derived on empirical bases and for this reason can  
562 be applied only when strictly hydrological similitudes with the conditions for their derivations are  
563 established. On the contrary, the suggested procedure is physically based and so is not affected by  
564 subjectivity, since it does not depends on arbitrary evaluations of the factors influencing the  
565 estimation of the runoff coefficient, the critical duration of rainfall and thus the peak discharge.

566

## 567 **5. Conclusions**

568

569 In order to theoretically derive an advanced rational formula giving a “rational” runoff  
570 coefficient,  $C$ , and the peak discharge,  $q_{cr}$ , a number of assumptions have been made. These  
571 assumptions, some of which are mentioned (homogenous and isotropy of the soil, planar hillslope,  
572 uniform AMSC profile, etc.) are linked to the three simplified and more or less consolidated models  
573 that were combined: (i) the Green-Ampt model (GA) to account for the infiltration, (ii) the  
574 kinematic wave model (KW) to account for the rainfall excess transportation, and (iii) the intensity-  
575 duration-frequency curves (IDF) to describe the maximum rainfall intensity, for fixed return period,  
576  $T$ .

577 This procedure strongly differs from empirical ones, since it leads to physically based runoff  
578 coefficients that are not affected by personal evaluations, on the basis of parameters with a clear  
579 physical meaning, describing rainfall, hillslope geometry and soil. Thus, most of the limits of the

580 rational formula were removed (i.e. linearity of the hillslope response, subjectivity in  $C$  estimation,  
581  $C$  time independence and return period independence, subjectivity in the estimation of the critical  
582 duration of rainfall, neglecting time to ponding).

583 Differently from the past, it is shown that this kind of approach leads to arranging rational  
584 runoff coefficient tables where no qualitative descriptions need to be fixed. These tables reproduce  
585 the physically based solutions of the mentioned approach, where the characteristics of rainfall, soil  
586 and hillslope geometry are set according to parameters of clear physical meaning. Thus, they can be  
587 used for a revisited rational method in place of the classical tables that are usually derived on  
588 empirical bases and for this reason can be applied only when strictly hydrological similitudes with  
589 the conditions for their derivations are established.

590 Although the work is directed towards deriving the peak discharge at the hillslope scale for a  
591 fixed rainfall structure parameter,  $n$ , it could be also apply for different  $n$  values, in order to detect  
592 its influence on the peak discharge estimation. This latter issue was only addressed for saturated soil  
593 condition. Moreover, following this line of thinking, this approach may even open doors for  
594 deriving peak discharge for hill slopes with a complex topography for which, solutions were already  
595 obtained under impervious hill slope conditions. Finally, it seems to be promising to also consider  
596 the network response contribute and complex design hyetograph, which could be advisable  
597 especially for rainfall with a long critical duration, which were not considered in this work.

598

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Figure\_1.

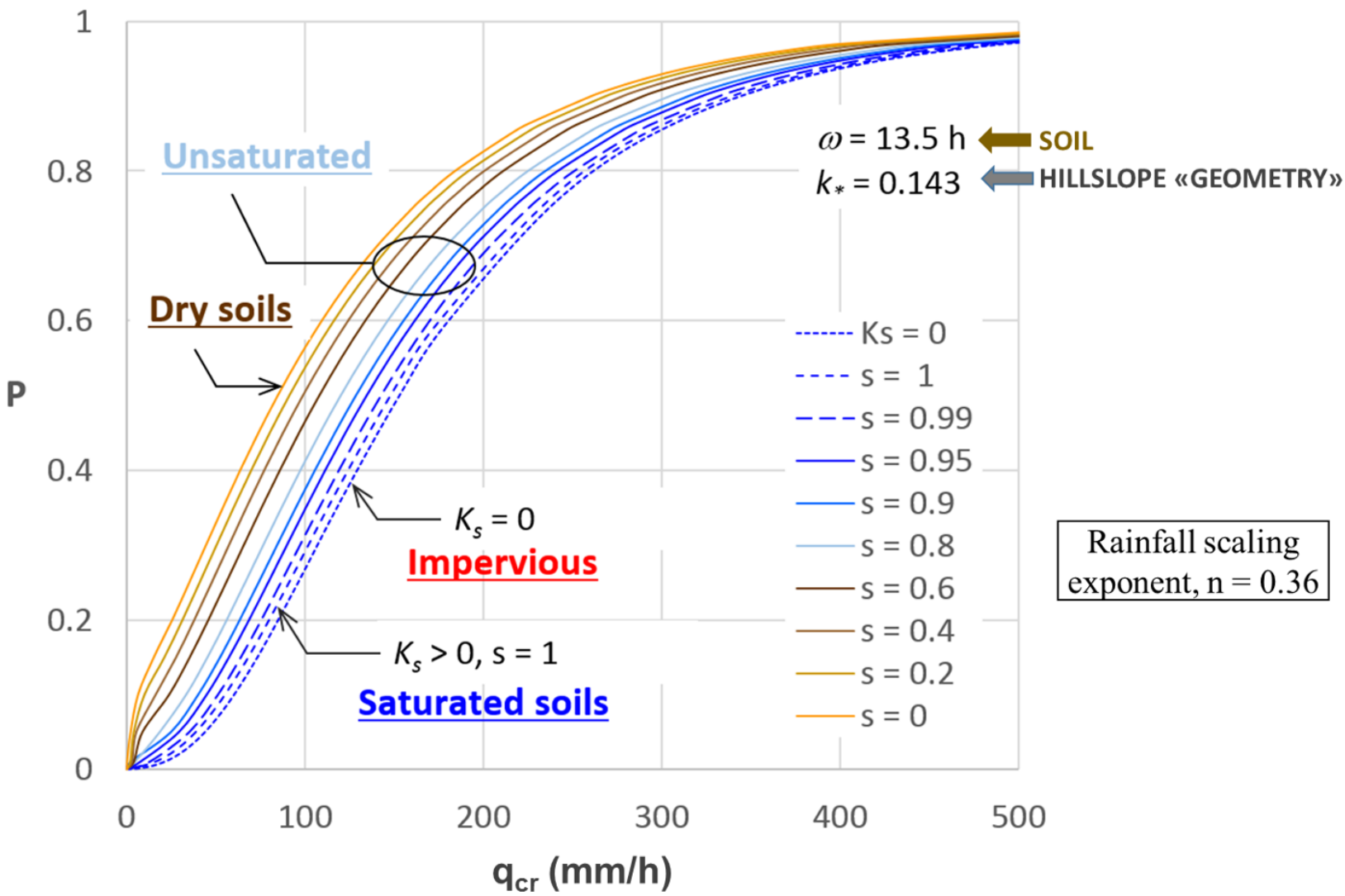


Figure 2ab.

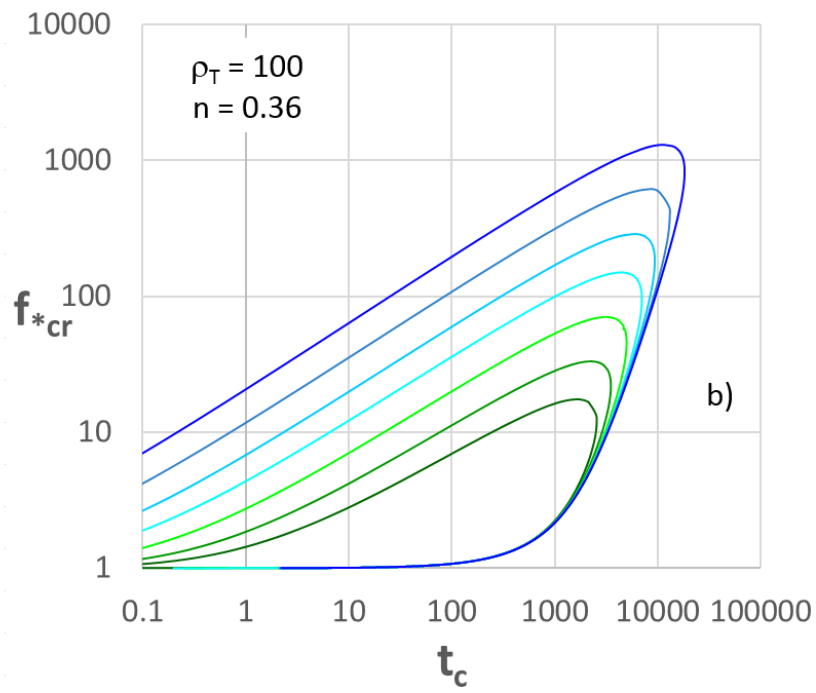
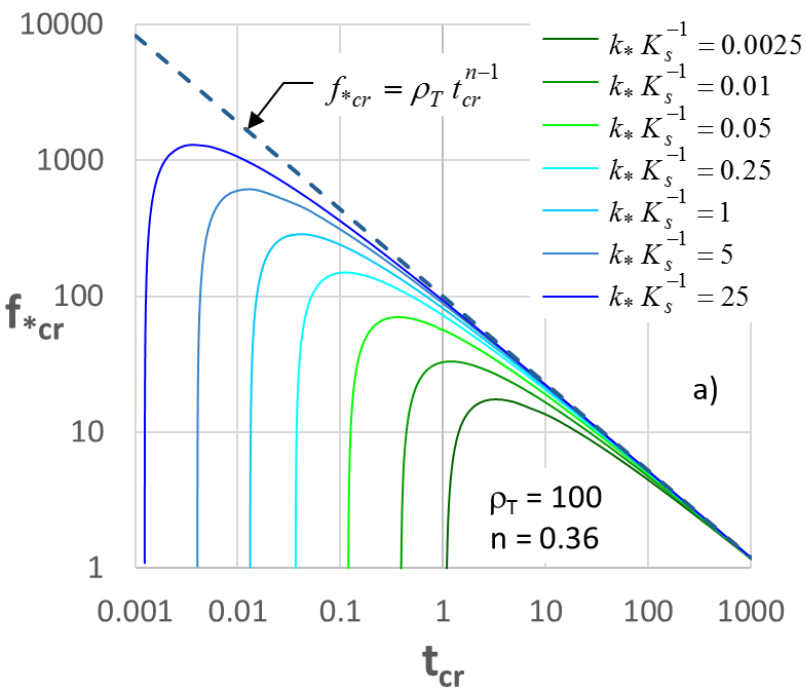
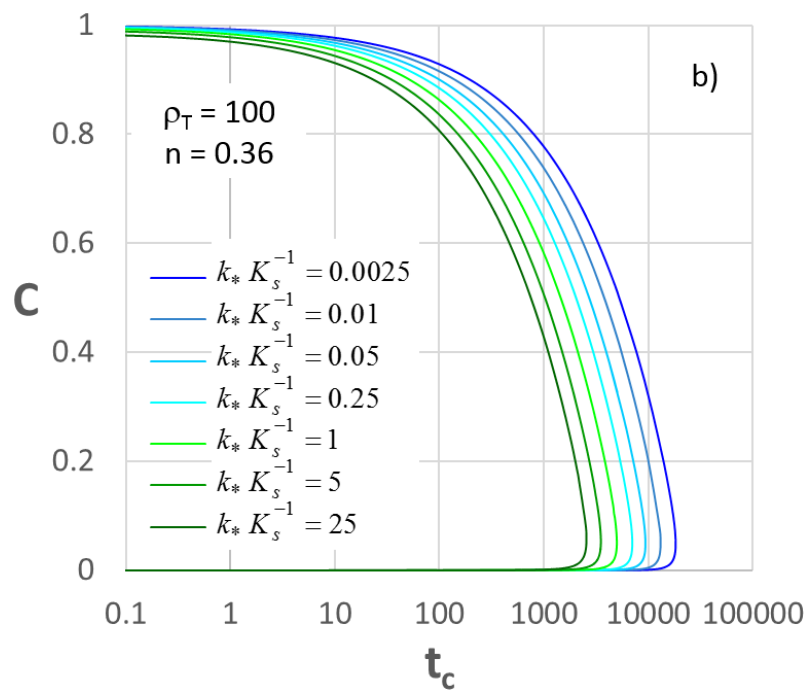
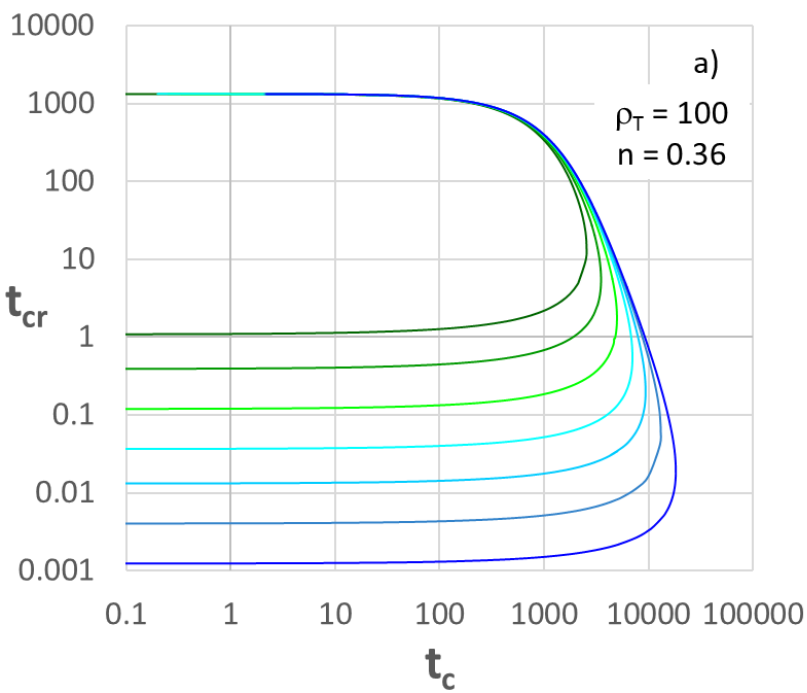


Figure 3ab.



**Figure 4ab.**

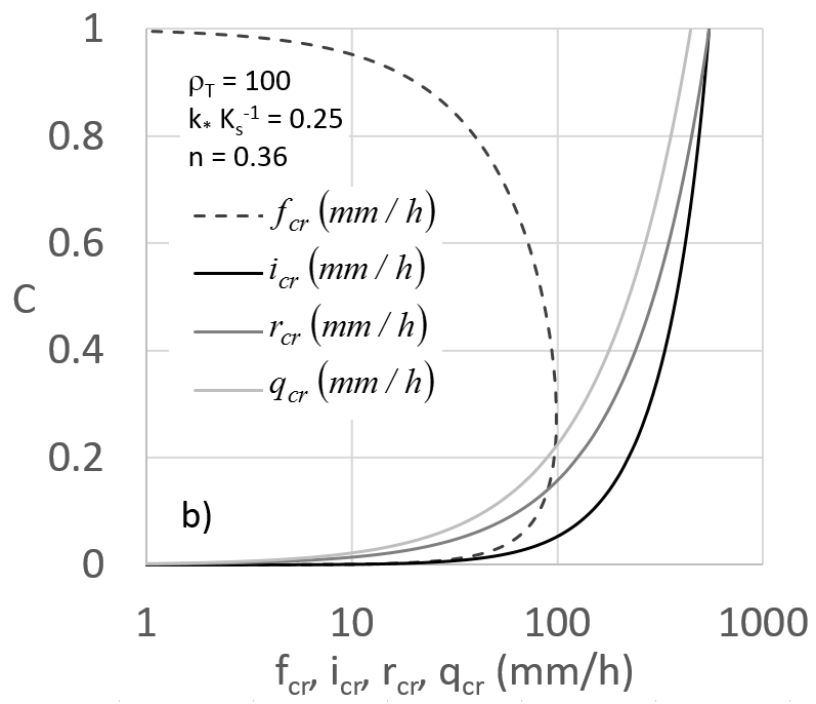
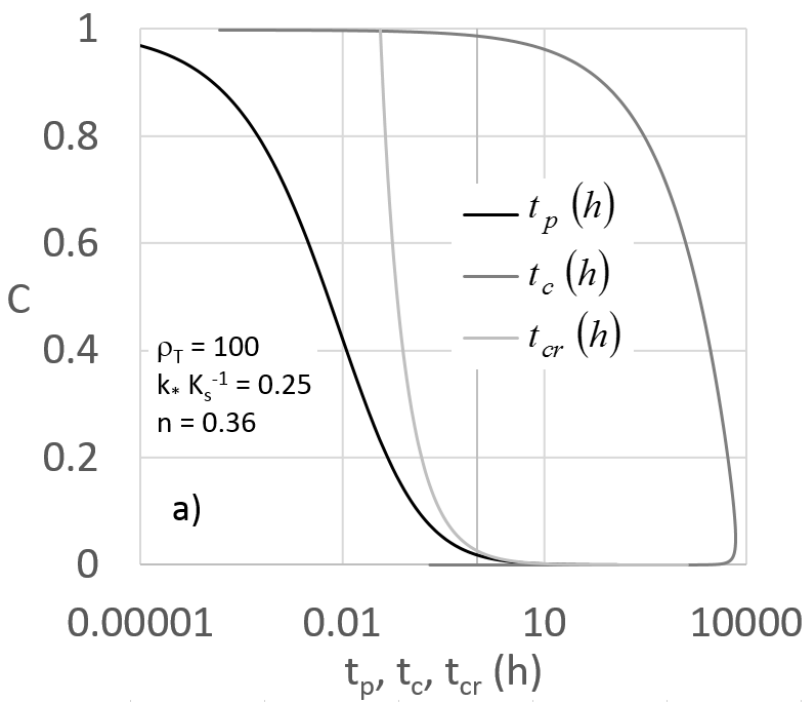


Figure 5ab.

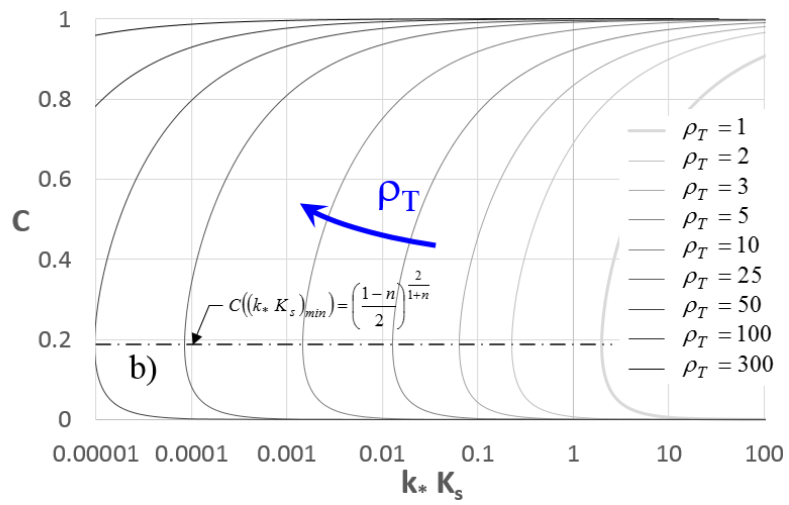
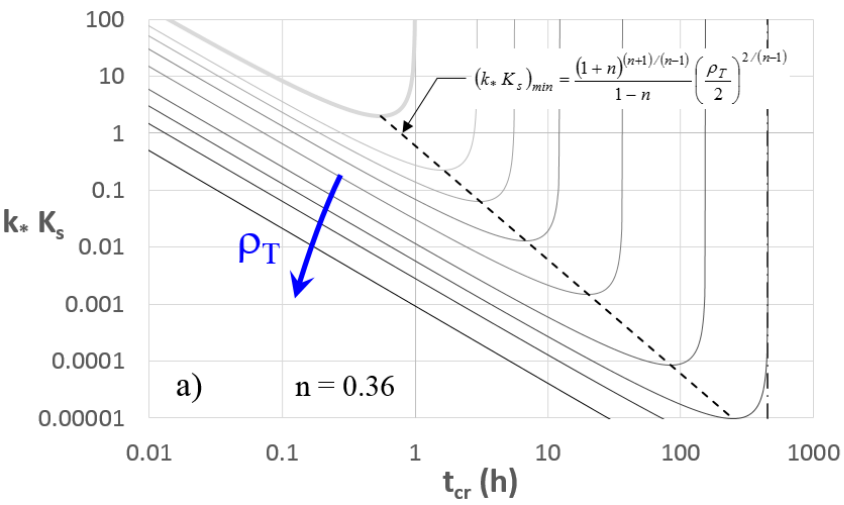
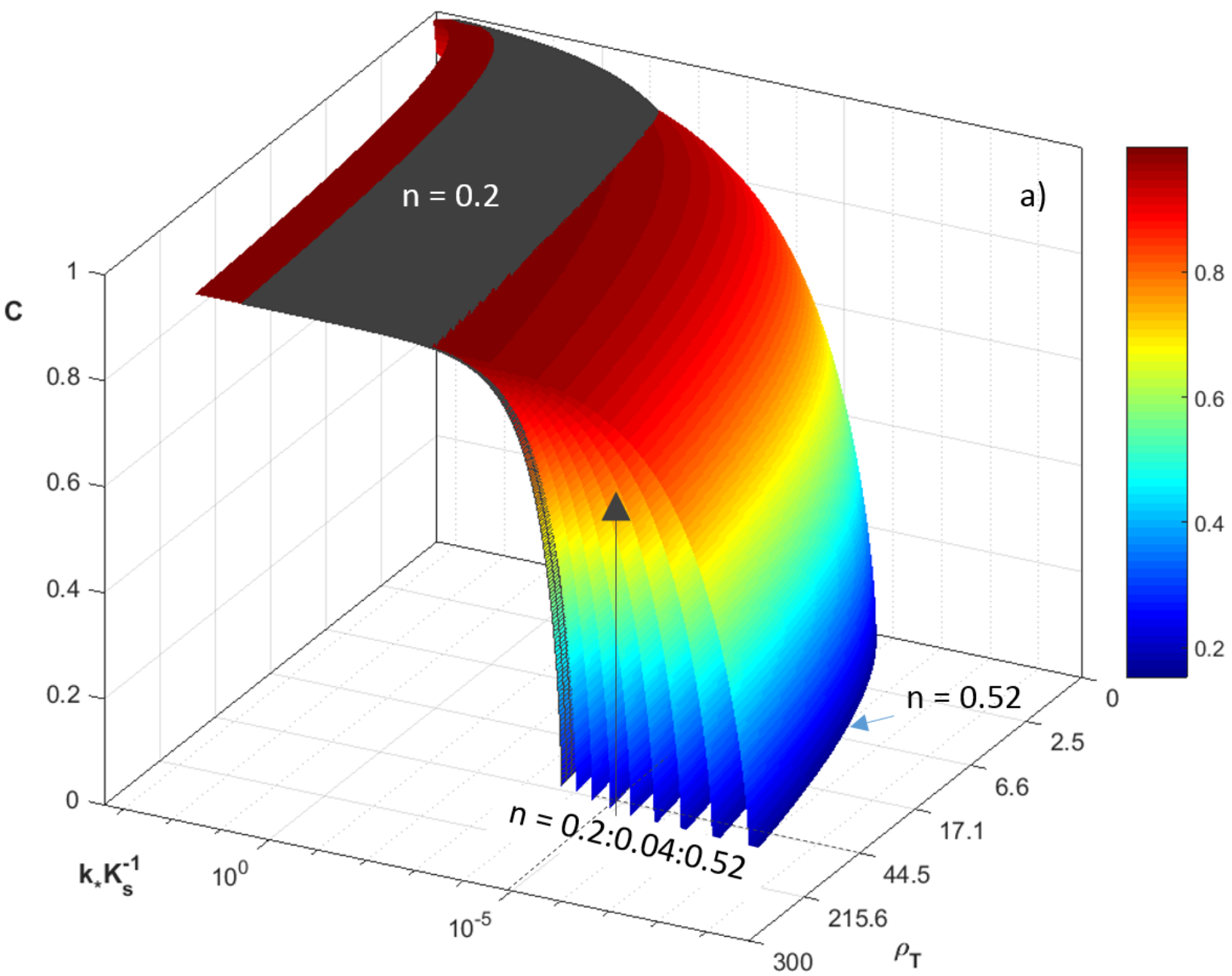


Figure 6a.



**Figure 6b.**

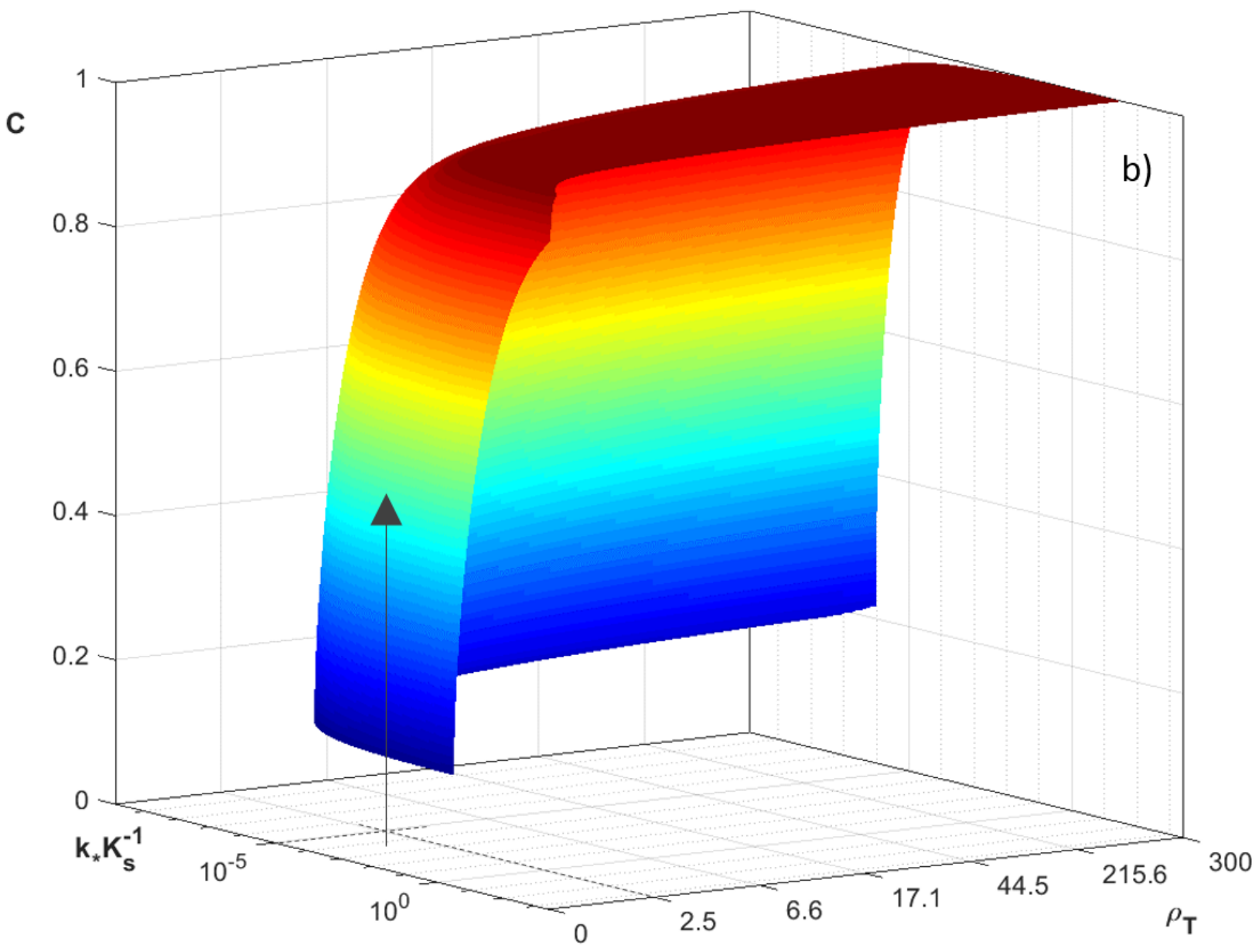


Figure 7.

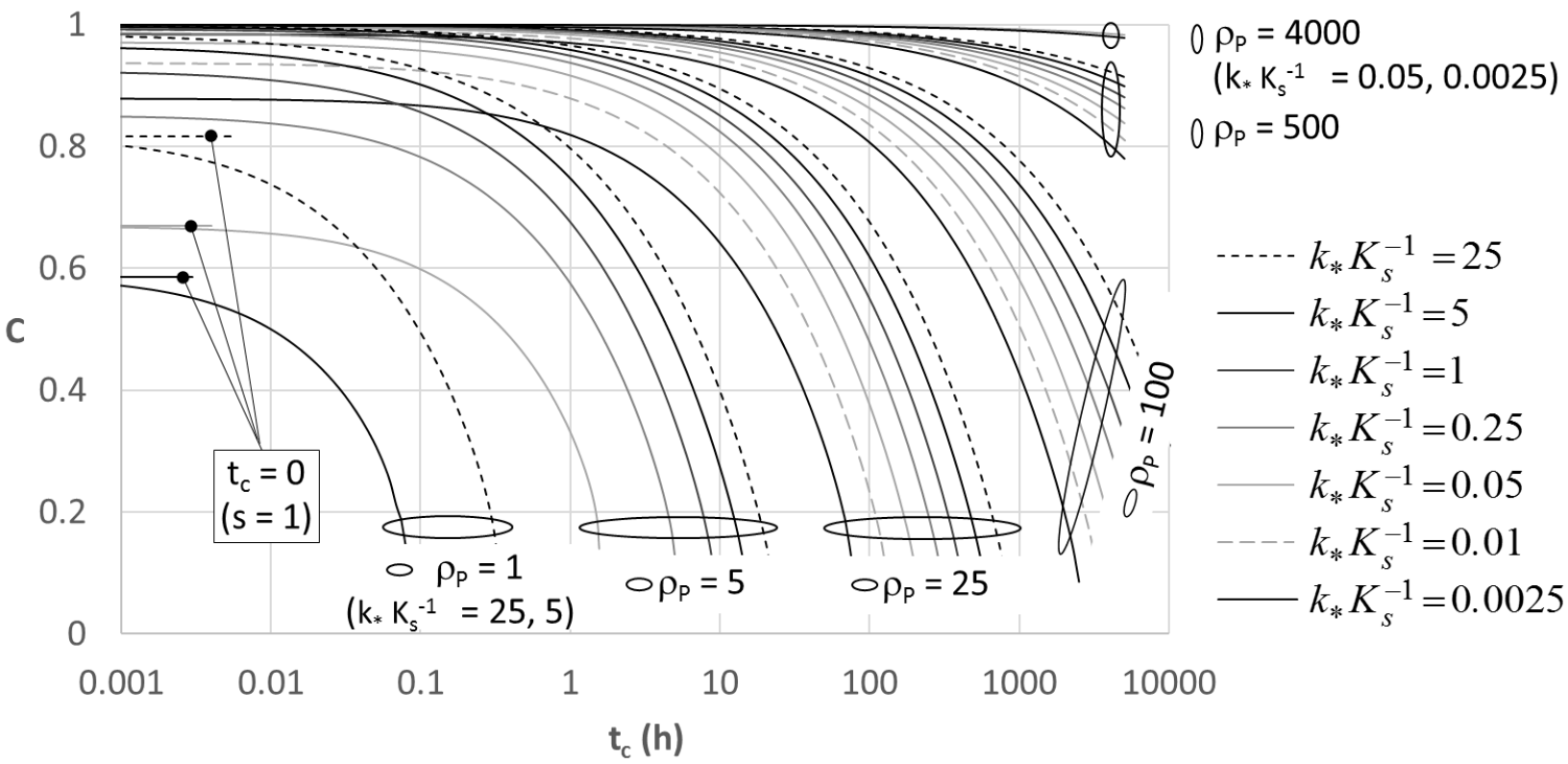


Figure 8.

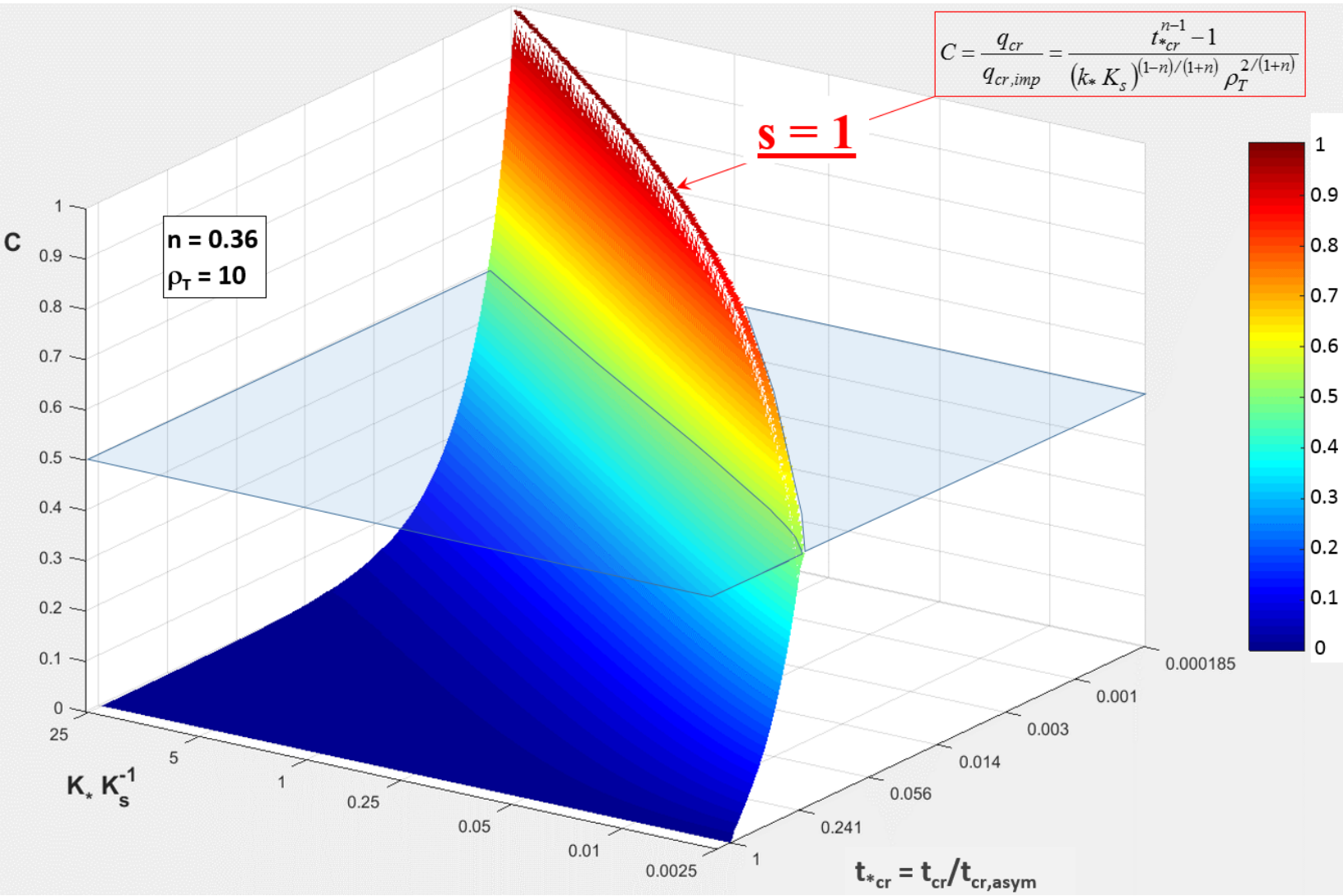
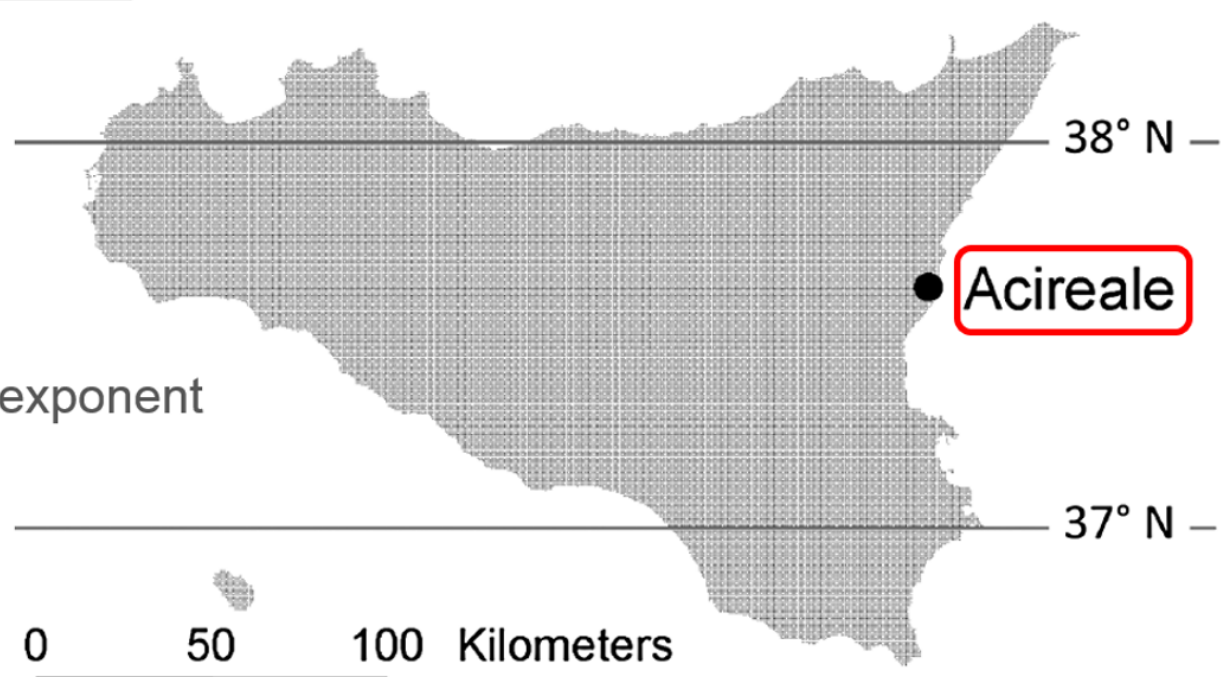


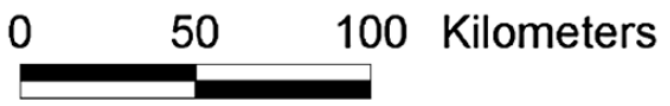
Figure 9.



Tyrrhenian Sea



IDF scaling exponent  
 $n = 0.36$



## 1 FIGURE CAPTIONS

2

3 Fig. 1 – For  $\omega = 13.5$  h,  $k_* = 0.143$ ,  $n = 0.36$ , for impervious hillslopes ( $K_s = 0$ ) and for different  
4 ASMC,  $s$ , probability distributions of the peak discharges,  $q_{cr}$ , according to the GABS model  
5 (Baiaumont and Singh, 2017).

6 Fig. 2 – For  $\rho_T = 100$  and  $n = 0.36$ , relationships between  $f_{*cr}$  and a) the critical duration of rainfall,  
7  $t_{cr}$ , and b) the sorptivity time scale,  $t_c$ , with  $k_*K_s^{-1}$  as a parameter. The limiting condition of  $f_{*cr}$  at  
8 increasing  $t_{cr}$  (dashed line) is also represented (Fig. 2a)

9 Fig. 3 – For  $\rho_T = 100$  and  $n = 0.36$ , relationships between a) the critical duration of rainfall,  $t_{cr}$ , and  
10 b) the runoff coefficient,  $C$ , versus the sorptivity time scale,  $t_c$ , with  $k_*K_s^{-1}$  as a parameter.

11 Fig. 4 – For  $\rho_T = 100$ ,  $k_*K_s^{-1} = 0.25$  and  $n = 0.36$ , relationships between the runoff coefficient,  $C$ , a)  
12 and the time dimension variables,  $t_p$  (Eq. 3),  $t_c$  (Eq. 4) and  $t_{cr}$  (Eq. 9), and b) versus the flux  
13 dimension variable,  $f_{cr}$  (Eq. 9),  $i_{cr}$  (Eq. 7),  $r_{cr}$  and  $q_{cr}$  (Eq. 13).

14 Fig. 5 – For saturated soil conditions ( $t_c = 0$ ,  $s = 1$ ), relationships a) between  $k_*K_s$  parameter and the  
15 critical duration of rainfall,  $t_{cr}$ , and b) between the runoff coefficient,  $C$ , and  $k_*K_s$  parameter, for  
16 different  $\rho_T$  values. The minimum value of  $k_*K_s$ ,  $(k_*K_s)_{min}$  (Eq. 20), and the corresponding  $C$  value,  
17  $C((k_*K_s)_{min})$ , are represented (Fig. 5a and Fig. 5b, respectively).

18 Fig. 6 – For saturated soil conditions ( $t_c = 0$ ,  $s = 1$ ), two different 3D views, a) and b), of the runoff  
19 coefficient,  $C$ , versus  $k_*K_s^{-1}$  and versus  $\rho_T$  parameters, for different values of the rainfall structure  
20 parameter,  $n$ . For a fixed  $k_*K_s^{-1} = 10^{-5}$ , the arrow illustrates the  $n$ -dependence and the  $n$ -  
21 independence of  $C$  a) for a high  $\rho_T = 44.5$  and b) for a low  $\rho_T = 2.5$ , respectively.

22 Fig. 7 – Runoff coefficient,  $C$  (Eq. 11), versus the sorptivity time scale,  $t_c$ , for different  $k_*K_s^{-1}$  and  $\rho_T$   
23 values. Ovals group the  $C(t_c)$  relationships for fixed  $\rho_T$ . For  $\rho_T = 1$  ( $k_*K_s^{-1} = 25, 5$ ) and for  $\rho_T = 5$   
24 ( $k_*K_s^{-1} = 25, 0.05$ ), the limiting condition of  $C$  for saturated soil conditions ( $t_c = 0$ ,  $s = 1$ , Eq. 22) is  
25 also illustrated.

26 Fig. 8 – For  $\rho_T = 10$  and  $n = 0.36$ , 3D plot of the runoff coefficient,  $C$ , versus  $k_*K_s^{-1}$  and versus the  
27 normalized critical duration of rainfall,  $t_{*cr}$ , according to Eq. (24). The runoff coefficient,  $C$ ,  
28 corresponding to the saturated soil conditions ( $t_c = 0$ ,  $s = 1$ , Eq. 26) as the limiting condition of the  
29 general  $C$  solution (Eq. 24) is also illustrated.

30 Fig. 9 – Location of Acireale raingauge, in the Sicilian Region, for which the maximum hourly  
31 rainfall intensity data-series of the Acireale station (Sicily), with a high sample size ( $N = 60$ ), was  
32 considered in the application (Table 2).

Table 1 – Runoff coefficient table, for rainfall structure parameter,  $n = 0.36$ . Bold values in brackets are the  $C$  coefficient corresponding to saturated soil conditions ( $t_c = 0$ ,  $s = 1$ , Eq. 26).

$k_* K_s^{-1}$	$C$	$t_c$ (h)								
		$\rho_T = 1$	$\rho_T = 2$	$\rho_T = 3$	$\rho_T = 5$	$\rho_T = 10$	$\rho_T = 25$	$\rho_T = 50$	$\rho_T = 100$	$\rho_T = 300$
0.00001	1.0									<b>(0.958)</b>
	0.9									52
	0.8								<b>(0.784)</b>	312
	0.7							14.41		794
	0.6							49.11		1506
	0.5							100.82		2473
	0.4							167.59		3651
	0.3							<b>(0.252)</b>	244.78	5095
	0.2							0.38	317.25	6679
	0.1							14.15	340.07	8163
0.0001	1.0								<b>(0.929)</b>	<b>(0.986)</b>
	0.9								2.88	139
	0.8							<b>(0.798)</b>	33.31	624
	0.7							8.13	94.86	1464
	0.6							18.99	189.43	2752
	0.5							37.91	315.93	4360
	0.4						<b>(0.343)</b>	62.17	474.16	6437
	0.3						0.33	90.19	661.44	8900
	0.2						1.03	116.74	865.90	11679
	0.1						0.29	126.75	1030.58	14346
0.0005	1.0							<b>(0.908)</b>	<b>(0.967)</b>	<b>(0.999)</b>
	0.9							0.15	12.25	216
	0.8							<b>(0.733)</b>	7.80	923
	0.7							0.45	24.89	2089
	0.6							3.25	51.63	3910
	0.5							7.82	87.20	6297
	0.4							13.84	132.50	9107
	0.3							20.79	186.34	12642
	0.2							26.99	243.49	16624
	0.1							27.67	285.02	20494
0.0025	1.0							<b>(0.957)</b>	<b>(0.985)</b>	<b>(0.997)</b>
	0.9							<b>(0.879)</b>	2.98	311
	0.8							1.55	18.52	1255
	0.7							6.07	47.15	2920
	0.6							13.38	89.57	5637
	0.5					<b>(0.480)</b>		23.48	147.57	8875
	0.4					0.28		36.23	218.89	12934
	0.3					0.77		51.13	304.12	17733
	0.2					1.13		66.62	397.95	23392
	0.1					0.80		76.32	461.11	28976

$k_* K_s^{-1}$	C	$t_c$ (h)								
		$\rho_T = 1$	$\rho_T = 2$	$\rho_T = 3$	$\rho_T = 5$	$\rho_T = 10$	$\rho_T = 25$	$\rho_T = 50$	$\rho_T = 100$	$\rho_T = 300$
0.01	1.0						<b>(0.938)</b>	<b>(0.978)</b>	<b>(0.992)</b>	<b>(0.998)</b>
	0.9						0.49	6.06	34.7	464
	0.8					<b>(0.751)</b>	4.44	29.44	147.79	1737
	0.7					0.19	12.20	69.95	341	4307
	0.6					0.95	23.89	129.14	632	7555
	0.5					2.14	39.59	210.53	1029	11661
	0.4					3.70	59.26	312.09	1496	17252
	0.3					5.50	82.54	431.21	2076	23778
	0.2					7.15	107.92	564.44	2719	31276
	0.1					7.37	128.74	690.50	3356	38747
0.05	1.0						<b>(0.971)</b>	<b>(0.990)</b>	<b>(0.996)</b>	<b>(0.999)</b>
	0.9					<b>(0.886)</b>	1.61	10.33	51	707
	0.8					0.43	8.39	45.70	216	2845
	0.7				<b>(0.669)</b>	1.58	20.62	102.45	484	5742
	0.6				0.10	3.42	38.52	191.39	896	10548
	0.5				0.36	5.95	62.29	305.44	1456	16442
	0.4				0.72	9.14	91.99	448.06	2113	23998
	0.3				1.13	12.88	127.36	620.93	2932	33312
	0.2				1.48	16.79	166.92	815.20	3845	43695
	0.1				1.42	19.33	203.35	1005.17	19843	54185
0.25	1.0					<b>(0.947)</b>	<b>(0.986)</b>	<b>(0.995)</b>	<b>(0.998)</b>	<b>(1)</b>
	0.9				<b>(0.851)</b>	0.17	2.94	15.19	71	687
	0.8				0.06	1.26	13.22	67.79	287	2906
	0.7			<b>(0.671)</b>	0.35	3.33	31.20	152.58	685	7280
	0.6			0.05	0.85	6.42	57.21	279.28	1263	14523
	0.5			0.16	1.54	10.55	91.57	435.38	2049	23001
	0.4		<b>(0.304)</b>	0.33	2.42	15.73	134.48	632.62	2971	33760
	0.3		0.0003	0.52	3.44	21.87	185.73	874.06	4050	46448
	0.2		0.01	0.68	4.47	28.61	243.70	1153.56	5391	60899
	0.1		0.000048	0.66	5.02	34.35	299.69	1428.43	6661	75614
1	1.0					<b>(0.973)</b>	<b>(0.993)</b>	<b>(0.997)</b>	<b>(0.999)</b>	<b>(1)</b>
	0.9			<b>(0.835)</b>	<b>(0.924)</b>	0.41	4.33	21.39	98	784
	0.8			0.02	0.28	2.09	18.48	82.13	399	4394
	0.7		<b>(0.691)</b>	0.13	0.83	5.11	42.92	199.09	950	10530
	0.6		0.03	0.33	1.66	9.53	78.13	358.43	1726	18694
	0.5		0.10	0.62	2.78	15.39	124.56	577.25	2714	30374
	0.4		0.20	0.98	4.19	22.71	182.52	848.91	3938	45016
	0.3		0.31	1.40	5.85	31.43	251.84	1177.78	5528	61446
	0.2		0.41	1.82	7.64	41.20	330.61	1545.13	7193	80855
	0.1		0.39	2.02	9.03	50.25	408.13	1917.83	8906	100766

$k_* K_s^{-1}$	C	$t_c$ (h)								
		$\rho_T = 1$	$\rho_T = 2$	$\rho_T = 3$	$\rho_T = 5$	$\rho_T = 10$	$\rho_T = 25$	$\rho_T = 50$	$\rho_T = 100$	$\rho_T = 300$
5	1.0			<b>(0.924)</b>	<b>(0.964)</b>	<b>(0.987)</b>	<b>(0.997)</b>	<b>(0.999)</b>	<b>(1)</b>	<b>(1)</b>
	0.9		<b>(0.860)</b>	0.01	0.10	0.73	6.36	32.93	139	911
	0.8		0.02	0.13	0.59	3.27	26.45	128.13	612	6960
	0.7		0.09	0.37	1.47	7.69	60.93	265.90	1269	15815
	0.6	<b>(0.586)</b>	0.22	0.75	2.78	14.09	110.47	503.31	2344	27273
	0.5	0.010	0.39	1.26	4.51	22.54	175.71	819.33	3805	41960
	0.4	0.03	0.62	1.89	6.68	33.10	257.15	1187.17	5521	62842
	0.3	0.05	0.87	2.65	9.26	45.71	354.63	1643.30	7611	86045
	0.2	0.07	1.14	3.46	12.13	59.98	465.67	2159.50	9997	112586
	0.1	0.06	1.28	4.09	14.72	73.79	576.13	2679.91	12077	141333
25	1.0		<b>(0.935)</b>	<b>(0.965)</b>	<b>(0.983)</b>	<b>(0.994)</b>	<b>(0.998)</b>	<b>(0.999)</b>	<b>(1)</b>	<b>(1)</b>
	0.9	<b>(0.817)</b>	0.01	0.05	0.21	1.13	9.09	51.78	180	797
	0.8	0.001	0.083	0.266	0.96	4.79	37.32	207.14	739	10800
	0.7	0.02	0.23	0.67	2.28	11.09	85.62	411.12	1790	18965
	0.6	0.05	0.45	1.25	4.19	20.17	154.94	695.03	3291	36337
	0.5	0.10	0.75	2.04	6.72	32.14	246.19	1152.69	5198	60152
	0.4	0.16	1.12	3.02	9.87	47.07	360.08	1675.99	7696	87409
	0.3	0.22	1.56	4.18	13.64	64.94	496.46	2297.32	10608	120290
	0.2	0.29	2.04	5.48	17.90	85.26	652.01	3019.41	13934	157050
	0.1	0.32	2.43	6.65	21.97	105.32	807.50	3897.72	17294	196498

Table 2 – Calculus example of rainfall ( $\rho_T$ ), soil ( $t_c$ ) and hillslope geometry ( $k*K_s^{-1}$ ) parameters (shaded row) considered in the application of the suggested procedure, according to the parameters from which they depend. The critical duration of rainfall, calculated according to two different empirical relationships,  $t_{cr}^{(1)}$  and  $t_{cr}^{(2)}$ , and the corresponding critical rainfall intensity,  $i_{cr}$ , when using the classical CIA formula are also reported.

PRESENT SOLUTION						CIA	
RAINFALL		SOIL		HILLSLOPE "GEOMETRY"			
$\langle h_{60} \rangle$ (mm)	<b>40.94</b>	$K_s$ (mm/h)	<b>0.72</b>	$H_{max}$ (m)	<b>324</b>	Width (m)	<b>200</b>
st.dev (mm)	<b>18.96</b>	$\theta_s$ (cm <sup>3</sup> /cm <sup>3</sup> )	<b>0.55</b>	$H_{min}$ (m)	<b>241</b>	Area (m <sup>2</sup> )	93282
$\alpha$ (mm <sup>-1</sup> )	0.07	$\Psi_m$ (mm)	<b>199</b>	$S_0$	17.8%	Area (ha)	9
u (mm)	32.41	$\omega$ (h)	151.3	<b>L (m)</b>	<b>466</b>	$t_{cr}^{(1)}$ (h)	0.0717
<b>n</b>	<b>0.36</b>	<b>s</b>	<b>0</b>			$t_{cr}^{(2)}$ (h)	0.0718
<b>T (years)</b>	<b>15.4</b>			<b>k (m<sup>1/3</sup>/s)</b>	<b>8</b>	$i_{cr}$ (mm/h)	390.4
P	0.94	$t_p$ (h)	0.0057	<b><math>n_{Mann}</math> (s/m<sup>1/3</sup>)</b>	<b>0.125</b>		
$a_T$ (mm/h)	72.4			$k^*$	0.00724		
$t_{cr}$ (h)	0.46						
$i_{cr}$ (mm/h)	118.3						
$\rho_T$	<b>100</b>	<b><math>t_c</math> (h)</b>	<b>151.3</b>	<b><math>k^* K_s^{-1}</math></b>	<b>0.01</b>		