

1 **Title: Behavior of Rare Earth Elements in an Aquifer Perturbed by CO₂ Injection : Environmental**
2 **Implications**

3 **Highlights**

- 4 • The behavior of dissolved REY in groundwater within the critical zone is difficult to evaluate
5 • Behavior of REY and trace metals was studied upon an aquifer perturbed by CO₂ injection
6 • A geochemical model was used to evaluate dissolved REY behavior
7 • REE complexation is/are the main factors controlling REE release and fractionation
8 • REY fractionation can be used to predict bulk water-rock interaction

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23 **Keywords :**

24 Rare Earth Elements, CO₂ perturbation, REE model complexation, lanthanide tetrad effect, field
25 experiment, Critical zone

26

27 **Abstract**

28 Three cubic-meters of CO₂-saturated water was injected into a subsurface fractured aquifer in a post-
29 mined area, using a push-pull test protocol. Groundwater samples were collected before and after
30 CO₂-injection to quantify geochemical changes. CO₂-injection initially reduced the pH of water from
31 7.3 to 5.7, led to the enrichment of major ions (Ca²⁺, Mg²⁺, and alkalinity), and dissolved trace metals
32 (including Fe, Mn, As, and Zn) in the groundwater. Rare earth elements (REE) and yttrium
33 concentrations were also measured in these samples before and after CO₂ perturbation, to evaluate
34 their behavior. An enrichment of total Y plus REE (REY) occurred. REY fractionation was observed
35 with higher heavy REE (HREE) enrichment compared to light REE (LREE), and significant variations in
36 La/Yb and Y/Ho ratios were observed following CO₂ perturbation. Enrichment by a factor of three was
37 observed for Y, Lu, and Tm, and by nearly one order of magnitude for Dy and Yb. A geochemical
38 model was used to evaluate the amount of REE aqueous ions complexed throughout the experiment.
39 Modeling of the results showed that speciation of dissolved REE with carbonate, along with
40 desorption from iron oxyhydroxide surface were the main factors controlling REE behavior. This
41 study increases an understanding of dissolved REE behavior in the environment, and the potential
42 use for applying iron oxides for REE recovery from mine drainages. Furthermore, the description of
43 REE fractionation patterns may assist in surveying CO₂ geological storage sites, surveying
44 underground waste disposal sites, and for understanding the formation of ore deposits and fluid
45 inclusions in geological formations.

46 **Introduction**

47 The behavior of rare earth elements (REEs) in natural environments has been extensively studied
48 (Michard 1989; Johannesson et al. 1995, 1997; Choi et al. 2009; Noack et al. 2014). REEs are valuable
49 as components in electronic and renewable energy technologies. The European Community classifies
50 REEs as critical raw materials (European Community, 2010, 2017).

51 An understanding of REE mobility, their accumulation, and their global cycling mechanisms in the
52 natural environment, is important for evaluating their reserves for future use (Pourret and Tuduri
53 2017), and to anticipate environmental issues involved in the intensive exploitation of these
54 materials (Filho 2016; Edahbi et al. 2018a).

55 Extraction of REEs and associated critical elements have been optimized using in-situ leaching
56 techniques to enhance their extraction rates (Haschke et al. 2016; Vahidi et al. 2016). Dissolved REE
57 fractionation patterns have been used as a proxy for understanding many geodynamic processes,
58 such as magmatic melting and water-rock interactions (Bau 1996, 1999; Irber 1999). Dissolved REE
59 studies also suggest methods for tracing the environmental impacts of human activity, including

60 shale gas hydraulic fracturing fluid analysis (Yang et al. 2018). The physico-chemical properties of
61 REEs allow them to be used as robust proxies for studying low and high-temperature geochemical
62 reactions (Pérez-López et al. 2010; Hannigan and Sholkovitz 2001).

63 The geological storage of CO₂, which is part of carbon capture and storage (CCS) technology, has
64 been considered a potential method for reducing the accumulation of atmospheric greenhouse gases
65 (IPCC 2005). Geological storage involves injecting CO₂ previously captured from industrial processes
66 into deep underground rock formations. The aim of doing so is to permanently remove CO₂ from the
67 atmosphere. The effectiveness of storage depends on the reservoir storage site's capacity and
68 integrity. CO₂ storage capacity is driven by porosity, reservoir permeability, and the existence of a
69 sufficient impermeable barrier or cap rock for containing the CO₂ permanently. Several types of
70 geological formations have been identified as having a suitable capacity for CCS. To date, these
71 reservoirs include deep saline aquifers, coal bed formations, oil and gas reservoirs exploited through
72 enhanced oil recovery technics using CO₂, and finally, depleted oil and gas reservoirs. Among these
73 storage sites, deep saline aquifers have been identified for having larger storage capacity and
74 worldwide distribution (see Bachu et al. 2007 and global references on the website
75 www.globalccsinstitute.com).

76 Once injected, part of the CO₂ will be physically trapped beneath the impermeable cap rock, which
77 acts as a seal, and the remaining CO₂ will dissolve into the aqueous phase. Part of this dissolved CO₂
78 may react with reservoir rocks to form a new mineral (CO₂ mineral trapping), or alternatively, may
79 remain dissolved into the aqueous phase (solution trapping) (Bachu et al. 1994). As such, an
80 understanding of CO₂-water-rock interaction processes are of primary importance for validating the
81 feasibility and effectiveness of CCS. To study this phenomenon, numerous experiments have been
82 performed under laboratory conditions alongside geochemical models (Little and Jackson 2010; Liu
83 et al. 2012; Humez et al. 2013; Pearce et al. 2015). Modeling of experimental data offers accuracy for
84 chemical reaction descriptions, but is fairly limited in terms of time and scale. The study of natural
85 CO₂-rich hydrothermal water offers good analogues for studying long-time CO₂-water-rock
86 interaction, but appears limited in its ability to describe chemical phenomena with a good degree of
87 precision (Gilfillan et al. 2009; Keating et al. 2010; Gal et al. 2012). Several field experiments have
88 been performed as pilot tests for identifying the scale of CCS sites, and to identify technical and
89 scientific gaps (see Jenkins et al. 2015, and references therein).

90 The above-noted experimental works have shown that several issues must be resolved, including the
91 environmental risks involved in CCS processes. Several preliminary experiments, in the field and in
92 the laboratory, suggest the potential risks of releasing dissolved trace metals into subsurface

93 freshwater aquifers. The release of dissolved metals may be caused by CO₂-enriched brine leaking
94 from an initial deep storage reservoir, and moving toward the surface. Among other phenomena, the
95 remobilization of trace metals is related to in-situ pH and redox perturbations involved in CO₂-
96 injection or leakage. In particular, CO₂ perturbation may involve pH acidification, thereby enhancing
97 dissolution of the reservoir rock, and generating the release of trace metals that may initially be
98 present in the reservoir rock minerals, or that have been sorbed onto mineral surfaces (Kharaka et al.
99 2006; Little and Jackson 2010; Wilkin and Digiulio 2010; Siirila et al. 2012; Wunsch et al. 2013; Rillard
100 et al. 2014; Jenkins et al. 2015). Understanding the fate and transport of trace metal elements in
101 subsurface geological environments is therefore important. These issues are also valuable for other
102 geoenvironmental applications such as toxic waste disposal, long-term underground storage of
103 radioactive waste, and monitoring of waste landfills.

104 CO₂-water-rock interactions are driven by the crystallization of newly-formed minerals at the fluid-
105 rock interface. Thus, the feasibility of CO₂ storage is based on a surface-driven process and elements
106 of the lanthanide series which, along with yttrium, represent a promising geochemical tool for
107 describing processes occurring at the interface between injected fluids and host rock. The behavior
108 of REY in groundwater may help to understand the reactions occurring at the water-rock interfaces
109 following interaction with injected CO₂.

110 Shand et al. (2005) highlight that the concentration of dissolved REE in groundwater is controlled by
111 several factors. These include the release of chemical elements resulting from weathering processes,
112 groundwater pH and redox reactions, adsorption processes, complexing ligands in groundwater, and
113 physical hydrogeological factors (hydrodynamic processes and residence time). Studies of dissolved
114 REE behavior within geothermal fluids and natural CO₂-rich water sources have produced useful
115 results. A fractionation pattern for REEs occurs during CO₂, water, and rock interaction processes, and
116 a strong relationship has been identified between dissolved REEs and water pH (Lewis et al. 1998;
117 Michard 1989; Shand et al. 2005; Inguaggiato et al. 2015). The use of REEs as tracers of high salinity
118 brine leakage detection in CCS applications has been studied under laboratory conditions (McLing et
119 al. 2014). However, several issues were presented, such as the high salinity content of samples,
120 which caused analytical problems for trace metal analyses.

121 REE signatures in fluid inclusions is also a key parameter for enhancing an understanding of the
122 behavior of deep geological fluids. In particular, REE fractionation patterns are good proxies for
123 evaluating the physico-chemical conditions, fluid-mixing, and precipitation processes operating
124 during the genesis of valuable geological resources, such as oil, gas, and ore deposits (Zwahlen et al.
125 2019; Shu and Liu 2019; Perry and Gysi 2018).

126 During mineral crystallization, REEs behave as a function of their ionic charge and radius (charge and
127 radius controlled (CHARAC) processes; see Bau 1996). During aqueous processes, however, these
128 metal cations react based on their external electronic configuration. As such, the main change in the
129 features of normalized REE concentrations, and in the Y/Ho ratio, can be recognized through
130 interactions at the rock-fluid interface (Bau et al. 1998, 2003; Irber 1999; Negrel et al. 2000;
131 Takahashi et al. 2002). Of special importance is the scavenging of REEs by metallic oxide and
132 hydroxide phases (i.e. oxyhydroxides such as iron and manganese oxyhydroxides), which affects REEs
133 species in aqueous media (De Carlo et al. 1998; Quinn et al. 2006; Schijf and Marshaal 2011; Liu et al.
134 2017). Complexation of REEs onto iron and manganese oxides is strongly pH-dependent (Bau 1999;
135 Verplanck et al. 2004; Quinn et al. 2006, 2007). The binding of these cations to an amorphous phase
136 such as ferrihydrite (e.g. $\text{Fe}(\text{OH})_3$) is important, due to the large reactive surface area of these
137 minerals. Using zerovalent iron nanoparticles for REE recovery from acid mine drainage under
138 laboratory conditions showed the ability of these oxyhydroxide minerals to remove REEs from a
139 solution (Crane and Sapsford 2018). Fractionation between light REEs (LREEs) and heavy REEs (HREEs)
140 occurs during scavenging onto metal oxides. This is primarily driven by dissolved species
141 complexation, particularly in the presence of carbonate species (De Carlo et al. 1998; Bau 1999; Ohta
142 and Kawabe 2001; Quinn et al. 2007; Schijf and Marshall 2011; Munemoto et al. 2015). Many studies
143 have evaluated REE complexation with organic and inorganic ligands. The complexation process of
144 REEs occurring on mineral or organic surfaces is key to understanding the behavior of dissolved REE
145 patterns in the environment (Wood 1990; Tang and Johannesson 2003; Pourret et al. 2007; Pourret
146 and Martinez 2009; Pourret et al. 2010).

147 Understanding dissolved REEs' behavior is challenging, due to the involvement and interdependence
148 of the phenomena described above. The aim of this experimental work is to study the potential
149 enrichment of dissolved trace metals and REY in groundwater perturbed by CO_2 , and its potential
150 application to CO_2 geological storage safety site assessment. An existing study employing this
151 experiment focused on major chemical elements and trace metal remobilization for studying the
152 potential environmental issues involved in CCS (Rillard et al. 2014). A second set of samples, collected
153 during the same fieldwork, was used to study the behavior of dissolved REE. The aim of the present
154 study is to quantify the effect of CO_2 and pH-perturbation of the aquifer on release of dissolved REY.
155 This work may enhance an understanding of the fate and transport of dissolved REEs and associated
156 trace metals into the environment, for evaluating the safety of geological CO_2 storage. The results
157 may also be useful for other geoengineering applications, including the geological storage of
158 radioactive waste and mine site remediation.

159

160

161 **1. Geological and hydrogeological site setting**

162 The detailed geological and hydrogeological settings of the experimental site is described in Rillard et
163 al. (2014). The experimental site is located in the Lodève area, Southern France, roughly 80 km north
164 of Montpellier. The local geology involves large Permian sandstones and shales lying on top of
165 Cambrian dolomites. Sandstones are dark-red in color, due to high concentrations of iron hydroxides,
166 while shales are characterized by grey coloring, due to their high organic matter content. The
167 experiment site is situated within a 400 meters-thick sandstone and shale formation. From the 1950s
168 to the early 1990s, this area had been extensively mined for uranium and arsenic using underground
169 galleries and open pit mines, due to the high concentration of trace metals in the bedrock.

170 Denser mineralized deposits representing higher concentrations of metals can be found in vein
171 deposits throughout the fractured network. The mineralization processes have been attributed to
172 hydrothermal fluid circulation and associated secondary mineral precipitation (Brockamp and Clauer
173 2013; Laurent et al. 2017). The primary mineralogical association between arsenic and uranium
174 refers to arsenuranospathite deposits (Dal Bo et al. 2015). This area is also characterized by
175 hydrothermal activity, with hot springs that are sometimes naturally enriched with CO₂, and locally
176 exploited for geothermal energy (Bonnaveira et al. 1999).

177 The sandstone and shale formations are characterized by low permeability (estimated by a pumping
178 test at 10⁻⁷ m.s⁻¹) and low total porosity (estimated at less than 5%). Groundwater circulation mainly
179 occurs via a network of rock fractures.

180 The following average modal composition of major minerals in the host-rock was determined:
181 dolomite (20%), albite (20%), K-feldspar (30%), quartz (15%), illite (10%), other (5% calcite and iron
182 oxihydroxide, siderite, and pyrite) (Brockamp and Clauer 2013; Chopin 2016). However, mineral
183 compositions may vary among different strata. Indeed, significant amounts of neoformed mineral
184 phases were observed along the surface fractures by camera logging, performed immediately after
185 experimental well implementation. In particular, the presence of amorphous iron oxihydroxides was
186 identified as a product of pyrite oxidation (Rillard et al. 2014).

187 **2. Materials and methods**

188 A field experiment using a CO₂-rich water injection was performed following a push-pull test protocol
189 (Haggerty et al. 1998; Istok et al. 1997; Matter et al. 2007). This experiment configuration offered an
190 intermediate scale between laboratory experiments, where chemical reactions can be constrained
191 and accurately described, and larger scale studies in natural CO₂ hydrothermal fields. In the latter,

192 the CO₂ quantity involved and the hydrodynamic processes (mixing, residence time, etc.) is difficult
193 to quantify. A 115 meters-deep well was drilled through the sandstone and shale formations. The
194 well was cemented and equipped with PVC tubing on the uppermost 20 meters, in order to avoid
195 contamination from surface and subsurface water. No equipment (or tubing) was installed from
196 20 meters to 115 meters deep in order to avoid contamination by well-tubing alteration, as shown in
197 previous works (Kharaka et al. 2006). The entire geological well-log is presented in Rillard et al.
198 (2014). Several hydrogeological prospections (pumping tests, packer test, microflow logging, tracing
199 test, well-logging, physicochemical groundwater logging) were performed to determine the most
200 suitable depth interval for injecting CO₂- saturated water. The injection was performed along a 3
201 meters interval located at a 56 meters depth within a fractured zone, through the sandstone layer.
202 This injection zone was isolated using a double-packer system.

203 A 3 m³ volume of baseline groundwater was initially pumped from the isolated well interval,
204 temporarily stored in a water tank, and then saturated with pure CO₂ using a bubbling system. Once
205 CO₂-saturation was reached (determined by a stable pH of 5.7, from an initial value of 7.3), the 3 m³
206 volume was injected through the packer system, following a single-well push-pull test protocol
207 (Haggerty et al. 1998; Istok et al. 1997; Matter et al. 2007). Samples were collected from the water
208 tank before and after CO₂-saturation in order to determine baseline groundwater composition. An
209 elapsed time of 80 hours was employed following the injection phase, to provide a sufficient
210 interaction time between the injected solution and the host rock. This 'interaction' time was
211 followed by a 80 hours pumping period at 0.5 m³/h debit to re-pump the 3 m³ injected volume, plus
212 the mixed-formation water. This protocol was adapted from studies by Haggerty et al. (1998), Istok
213 et al. (1997), and Matter et al. (2007).

214 Samples were systematically collected over the entire pumping period to evaluate the impact of CO₂
215 perturbation on groundwater chemical composition. A sampling protocol using a glovebox and inert
216 plastic bottles, previously filled with nitrogen gas, was used to minimize sample oxidation and
217 contamination. Samples used for metal analysis were filtered in the field using a 0.2 µm filter, and
218 acidified using HNO₃ Normapur (70%), until reaching a pH<2. A second set of samples was filtered in
219 the field using a 0.45 µm filter for major cations and anions analysis. Physicochemical parameters,
220 pH, conductivity, temperature, and redox potential were continuously monitored using a flow-
221 through cell, installed at the top of the well during the injection and pumping phase. Fe (II) was
222 measured in the field for individual samples collected, using a portable spectrophotometer-specific
223 IRON(II) LR[®] reagent. Alkalinity was measured in the field by HNO₃ acid titration of regularly-collected
224 individual samples.

225 A list of the samples used for specific REE analyses is presented in Table 1. The corresponding pH,
226 redox potential, and conductivity measured in the field, and the ratio of pumped volume divided by
227 injected volume illustrates the pumping stage evolution corresponding to each individual sample.
228 Samples 1 to 19 were collected at different intervals during the pumping phase. Sample 1 was
229 collected a few minutes after the start of pumping. Sample 19 was collected roughly 24 hours after
230 the start of pumping.

231

232

233 The analysis for REEs and several additional trace elements was performed at the Istituto Nazionale
234 di Geofisica e Vulcanologia (INGV) geochemical laboratory in Palermo, Italy. REEs were analyzed by
235 Q-ICP-MS (Agilent 7500ce). A mass spectrometer was calibrated in the range of 5-5000 ng/L, with 11
236 calibration points obtained by stepwise dilution of a REE multi-element standard solution. The
237 sensitivity variations were monitored using internal standards ^{103}Rh , ^{115}In , and ^{185}Re , mixed into the
238 sample before the nebulizer device. A 60 seconds rinse using a 2% HNO_3 solution and 0.5% HCl
239 solution, plus a 60 seconds rinse using a 2% HNO_3 solution reduced memory interferences between
240 samples. Standards and samples were replicated five times to evaluate the data precision by relative
241 standard deviation (RSD), which was always $<15\%$. The standard reference materials SLRS4
242 (Lawrence et al. 2006), and SpectraPure Standards SW1 and SW2 were analyzed to evaluate data
243 accuracy, which never exceeded 10%.

244

245 **3. Speciation model with PHREEQC**

246 To understand the parameters controlling REE behavior as a result of CO_2 -injection, a geochemical
247 model was used, with particular attention paid to sorption/desorption processes and speciation
248 phenomena.

249 The speciation modeling for Fe-oxide equilibrium calculations was achieved using hydrogeochemical
250 code PHREEQC ver. 3.3.9 (Parkhurst and Appelo 2013), as well as the wateq4f database. This
251 database was updated using the well-accepted stability constants for the 14 naturally occurring REEs
252 at zero ionic strength, and at 25°C . These constants account for inorganic aqueous complexes with
253 carbonate, hydroxyl, sulfate, chloride, and fluoride anions. The stability constants for LnCl_2^+ and
254 LnCl_2^+ were taken from Luo and Byrne (2001), and LnF_2^+ and LnF_2^+ were taken from Luo and Millero
255 (2004). Those for LnSO_4^+ were obtained from Schijf and Byrne (2004), and those for LnNO_3^{2+} from
256 Millero (1992). Constants for $\text{Ln}(\text{OH})_2^{2+}$ and $\text{Ln}(\text{OH})_2^+$ were taken from Lee and Byrne (1992), and those

257 for $\text{Ln}(\text{OH})_3(\text{a})$ from Klungness and Byrne (2000), respectively. Equilibrium constants for the
258 formation of LnCO_3^+ and $\text{Ln}(\text{CO}_3)_2^-$ were taken from Luo and Byrne (2001). Scavenging model
259 parameters for HFO were taken from Liu et al. (2017). Precipitation of HFO was quantified from
260 dissolved Fe measured in groundwater samples, and the corresponding equilibrium constants were
261 taken from Nordstrom et al. (1990). The number of REE binding sites in the reactive solid surfaces
262 was determined by the moles of HFO, precipitated and defined explicitly by the keyword data block
263 'EQUILIBRIUM PHASES'. The specific surface area (SSA) was defined relative to the moles of HFO, in
264 which the amount of specified binding sites changed as the SSA varied during the batch-reaction
265 simulation. Upon HFO formation, the two types of oxide surface binding sites ($= \text{S}^{\circ}\text{OH}$ and $= \text{S}^{\text{w}}\text{OH}$)
266 were assumed to be available for REE complexation. For surface complexation modeling, both the
267 surface-bound and diffuse layer species were taken as components of the system in the presence of
268 iron oxyhydroxides. In this modeling configuration, iron hydroxides were only considered as solid
269 phase (i.e., colloid or mineral phase).

270 All of the REEs considered in the model were trivalent, and followed the hypothesis of Bau (1999)
271 that oxidation-scavenging of Ce by metal oxides included three independent steps: (1) sorption of
272 Ce(III) from solution; (2) partial oxidation of Ce(III) to Ce(IV) on the iron or manganese oxide surface;
273 (3) partial desorption of Ce(IV) to solution.

274 **4. Results**

275 **4.1 REY enrichment and fractionation following CO_2 perturbation**

276 The physicochemical parameters (pH, redox, and conductivity) measured in the field during the
277 experiment are listed in Table 1 and represented in Fig. 1 as functions of the ratio of pumped volume
278 to injected volume (i.e. $V_p./V_{inj.}$). The evolution of iron and manganese, as major reactive metals
279 following the CO_2 -injection, is represented in Fig.2a. Other parameters that may influence REY
280 behavior such as aluminum content did not show clear evolution following CO_2 perturbation.
281 Dissolved organic carbon (DOC), as well as total organic carbon (TOC), were below the detection limit
282 for all the samples. A clear increase for As and Zn was correlated with CO_2 perturbation. The specific
283 behavior of these elements, as well as other major parameters (Ca^{2+} , Mg^{2+} ; alkalinity, etc.) are
284 presented in detail in Rillard et al. (2014). Only parameters that have been identified as having an
285 impact on REY behavior were studied in the present paper.

286

287 In this study, the entire REEs series plus Y was analyzed. A small number of representative elements
288 per group of REEs is presented in molar concentration as a function of $V_p./V_{inj}$ ratio (see Fig.3): Ce

289 for the LREE group, Gd and Dy for MREE, and Yb and Y for HREE. A $V_p./V_{inj.}$ ratio close to zero
290 represents the beginning of the pumping stage, and the most CO_2 -perturbed sample. A global
291 enrichment in REY concentration was observed following CO_2 -injection (Fig.3). The $\sum REY$ increased
292 from $1.2 \cdot 10^{-9}$ mol/L to $4.3 \cdot 10^{-9}$ mol/L, following a pH decrease (Fig.4). Higher enrichment was
293 observed for Y (from $8.1 \cdot 10^{-10}$ mol·L⁻¹ to $3.2 \cdot 10^{-9}$ mol·L⁻¹) (Fig.3, Y image). Global REE enrichment
294 following CO_2 perturbation was induced by acidification related to carbonic acid production.
295 Acidification enhanced host rock mineral weathering, releasing trace metals into the aqueous phase.
296 Global REY enrichment mimics the global increase in dissolved metals, such as iron and manganese
297 (see Fig.2, and Rillard et al. 2014). This was evident when comparing the baseline groundwater
298 composition (baseline groundwater sample) to the most perturbed CO_2 sample (Sample 1). However,
299 the enrichment was not homogeneous along the entire REEs spectrum. Enrichment in dissolved REEs
300 and global correlation with CO_2 perturbation appeared greater for Y and HREE (Fig.3). Good
301 correspondence between dissolved concentration and CO_2 perturbation was observed for Y, Dy, Er,
302 Tm, Yb, Lu, Gd, and Tb (i.e. MREE and HREE). A regular increase in the concentration of $\sum HREE$ (plus
303 Y) occurred alongside a pH decrease, as a result of CO_2 perturbation, until the end of the pumping
304 phase, as shown in Fig. 4. The increase in MREE appeared less-marked as a function of pH and
305 $V_p./V_{inj.}$ (Fig. 4). In contrast, no systematic evolution was observed for LREE as a function of pH and
306 $V_p./V_{inj.}$

307 Typical REE parameter (La/Yb , Ce/Ce^*) evolution as a function of $V_p./V_{inj.}$ is shown in Fig. 5. The
308 La/Yb ratio shows an abrupt decrease following CO_2 -injection, and a smooth increase toward the
309 baseline water ratio after $V_p./V_{inj.}$ reached 0.5. No clear evolution was apparent for Ce/Ce^* as a
310 function of $V_p./V_{inj.}$ A decrease in Ce anomaly appeared to have occurred immediately after CO_2 -
311 injection, but no clear trend was observed as a function throughout the entire pumping phase. The
312 fractionation of HREE compared to LREE appeared quite clear and was also confirmed by the
313 evolution of La/Yb ratio as a function of $V_p./V_{inj.}$ (Fig.5). The Eu/Eu^* anomaly was not considered,
314 since interference with BaO during analysis was suspected (Shabani et al. 1992; Dulski 1994; Zwahlen
315 et al. 2019). Despite the absence of a clear correlation between Ba and Eu (see supplementary
316 materials), the ratio Eu/Ba was >4000, and this may have led to a false positive Eu signal.

317 The entire REE pattern of the experimental samples, normalized to PAAS (Post Archean Australian
318 Shale), is shown in Fig.6a. In order to simplify the visual result, only the most representative
319 experimental samples are presented: baseline groundwater; $V_p./V_{inj.} = 0$, representing the most
320 perturbed sample; $V_p./V_{inj.} = 0.033$ was the second most perturbed sample; $V_p./V_{inj.} = 1.489$ was
321 representative of a sample collected at the end of the pumping phase. Data for Eu are not shown,
322 since interference with Ba was suspected, as discussed above. The REE pattern showed a net

323 enrichment of HREE in both the baseline groundwater composition (baseline groundwater sample),
324 following CO₂-injection. This trend did not change significantly due to CO₂ perturbation. However,
325 the fractionation of HREE was significant following CO₂ perturbation. For comparison, the entire REE
326 pattern normalized to PAAS for bulk rock samples analysis is also presented in Fig 6b. These samples
327 were collected on the same shale and sandstone formation as the experimental site in this study
328 (data issued by Chopin 2016). The normalized to PAAS concentration of the bulk rock shows a clear
329 enrichment of HREE compared to LREE, in correlation with the general trend of REE observed for
330 water samples. However, a weak MREE enrichment (particularly for Gd, Tb, and Dy), compared to
331 LREE was observed. This point was not clearly indicated in the water samples. However, the
332 amplitude of this enrichment in MREE concentration remained limited (lower than 0.2).

333 **4.2. REE speciation**

334 REE speciation calculation results are shown in Fig. 7. The baseline water plot represents the baseline
335 groundwater sample, while sample one, with the lowest pH, represents the earliest sample collected
336 following CO₂ perturbation. The total proportion of REE complexes (%Ln³⁺; %LnCO₃⁺ (CO₃)₂;
337 %LnSO₄; %LnHFO) are represented as a function of pH and Vp./Vinj. ratio (see Fig.8).

338 The dissolved REE-carbonate complex is indicated as the dominant species prior to CO₂ perturbation
339 (Fig. 7, baseline groundwater sample). The proportion of REE complexation with carbonate was
340 nearly homogenous along the entire REE pattern, with no particular fractionation effects. REEs
341 sorbed to iron oxyhydroxide (Fig.7, Ln-HFO) indicates the second highest proportion in baseline
342 groundwater composition. The proportion of Ln-HFO complex increased with atomic weight along
343 the REE series, with a higher proportion of HREE sorbed, compared to LREE, for all samples. The
344 proportion of REE-sulfate complexes (Ln-SO₄⁺) appeared low compared to the proportion of
345 carbonate complexes. A similar observation was made for free REE ions (Ln³⁺).

346 Following CO₂ perturbation (see Fig.7, sample 1, and Fig. 8), the REE-sulfate complex became the
347 dominant species, except for Tm, Yb and Lu, for which the REE-carbonate was the dominant species.
348 The proportion of Ln-SO₄ complex decreased regularly alongside REE atomic weight, and the opposite
349 trend was observed for REE-carbonate (see Fig. 7, sample one to sample 19). The REE-iron
350 oxyhydroxide complex (HFO) decreased following CO₂ perturbation; this is particularly significant
351 when comparing the baseline groundwater to sample one (Fig. 7). From sample one to sample 19,
352 the Ln-carbonate and Ln-HFO complex proportions increased, and REE-sulfate complex decreased as
353 CO₂ perturbation diminished (Fig. 7). However, the total concentration of sulfate in groundwater did
354 not change significantly following CO₂ perturbation. The baseline concentration in sulfate was
355 relatively high. The sulfate concentration stayed at the same order of magnitude over the entire

356 experiment (within 7 mol/L to $9 \cdot 10^{-3}$ mol/L; see Rillard et al. 2014). A weak variation in SO_4
357 concentration was, however, observed during the experiment, but was attributed to the mixing
358 process, in accordance with hydrogeological information observed in the field (Rillard et al. 2014).
359 The initial high amount of sulfate in baseline groundwater was attributed to mixing with local
360 groundwater contaminated by former mine activities in the area (influence of acid rock drainage,
361 sulfide mineral oxidation, etc.). Therefore, the higher proportion of Ln- SO_4 following CO_2
362 perturbation is related to the higher affinity of dissolved REEs for complexing with sulfate in acidic
363 conditions (Decree et al. 2015), but not to an increase in sulfate concentration induced by CO_2
364 perturbation.

365 Enrichment of dissolved REEs was greater for Y and HREE. This was clear when comparing the La/Sm
366 ratio normalized to PAAS $(\text{La}/\text{Sm})_{\text{N-PAAS}}$, and the La/Yb $_{\text{N-PAAS}}$ ratio (Fig.5). La/Sm and La/Yb ratios
367 decreased after CO_2 -injection, due to the higher amounts of HREE and MREE released in the water,
368 compared to the LREE. Similarly, a large increase in the Yb/Gd ratio (from 0.54 to 2.23) was observed
369 following CO_2 perturbation.

370 **5. Discussion**

371 **5.1. Effects of CO_2 perturbation on REY enrichment**

372 CO_2 perturbation increased the concentration of major elements (e.g. Ca^{2+} and Mg^{2+}) by nearly 30%,
373 and alkalinity doubled (Rillard et al. 2014). The pH initially decreased from 7.3 to 5.7, dissolved Fe
374 rose from $2.15 \cdot 10^{-5}$ mol/L to $1.17 \cdot 10^{-4}$ mol/L, and Mn from $1.35 \cdot 10^{-6}$ mol/L to $5.01 \cdot 10^{-6}$ mol/L.
375 Significant increases in dissolved trace metals, mainly As and Zn, were also observed (Rillard et al.
376 2014). Redox perturbation throughout the experiment was evaluated by comparing redox potentials
377 measured by electrodes in the field, with those calculated from the Fe (II)/Fe (III) equilibrium. Redox
378 potential was controlled by iron oxyhydroxide equilibrium, but was weakly perturbed and nearly
379 constant throughout the entire experiment (Fig.1).

380 The main geochemical reactions are identified by the geochemical model. The release of major
381 elements was attributed to weak dissolution and equilibrium of major minerals composing the host
382 rock, particularly dolomite and calcite. The increase in dissolved Fe was attributed to dissolution of
383 amorphous iron oxyhydroxides such as ferrihydrite (Fig. 2b). A good correlation was identified
384 between the saturation index of ferrihydrite and the dissolved iron concentration (Fig.2b). This is also
385 in agreement with the high amount of neoformed iron oxyhydroxide phases observed during drilling
386 operations. The release of dissolved iron is therefore mainly attributed to ferrihydrite dissolution.
387 The enrichment in Mn arose from the dissolution of Mn-oxides, but the specific phase could not be
388 identified using the geochemical model. The enrichment of trace metals, particularly As, is associated

389 with the desorption or dissolution of iron oxyhydroxides. The major factor for the enrichment of
390 trace metals induced by CO₂ is considered to be the acidic pH, derived from carbonic acid production.
391 The perturbation of redox conditions was not considered to be significant (Rillard et al. 2014).

392 The Ce/Ce* did not show clear evolution as a function of Vp./Vinj. (Fig. 5). The global anomaly of Ce
393 for all samples appeared negative (Tostevin et al. 2016), and a weak decrease appeared to have
394 occurred immediately after CO₂-injection, but this is not clearly evidenced in other samples. A cerium
395 anomaly is known for being a good proxy of redox potential variation (Bau and Alexander 2009; Frei
396 et al. 2013; Tostevin et al. 2016). A decrease in cerium anomaly can corroborate an oxidative
397 perturbation. However, the redox potential did not change significantly throughout the entire
398 experiment. The redox potential increased from +130 mV in baseline groundwater to +187 mV
399 following CO₂-injection (Fig.1).

400 Fig.10 illustrates the Y/Ho molar ratio as a function of Vp./Vinj. ratio. The Y/Ho ratio increased from
401 57 in baseline groundwater composition to >100 following CO₂ perturbation, before decreasing to
402 <80 when the V p./Vinj. ratio reached 1. The Y/Ho signatures are known to present different
403 behaviors during interactions with Mn and Fe-oxyhydroxides (Bau, 1996, 1999). An increase in Y/Ho
404 ratio was observed following CO₂ perturbation. Such enrichment of Y with respect to Ho was also
405 observed in natural CO₂-rich fluid by Shand et al. (2005). This result, however, is not consistent with
406 the dissolution/desorption of Fe/Mn-oxyhydroxides as the main sources of dissolved REEs. These
407 solids generally preferentially adsorb Ho, relative to Y, during authigenic crystallization (Bau 1999;
408 Bau and Koschinsky 2009). Therefore, the observed Y/Ho variation suggests that another REE-
409 enriched source released REEs into groundwater. This other source may be the desorption of REEs
410 from organic-rich shale surfaces (Haley et al. 2004; Takahashi et al. 2010). Another hypothesis is the
411 dissolution of carbonates or minerals, which may act as a source of anomalous Y (Tostevin et al.
412 2016). This final hypothesis is consistent with the dissolution of carbonate minerals (such as calcite
413 and dolomite) previously identified as a primary source of Ca²⁺, Mg²⁺, and alkalinity release, following
414 CO₂-injection (Rillard et al. 2014).

415 The enrichment in HREE relative to PAAS, within the entire REE series, in both baseline water
416 composition and following CO₂ perturbation, are described in natural CO₂ rich water (Shand et al.
417 2005), and in volcanic hydrothermal water sources (Inguaggiato et al. 2015, 2016, 2017). These data
418 support the preferential enrichment of HREE during weathering processes induced by CO₂
419 perturbation. However, as suggested above, some issues regarding analytical precision may have
420 affected some of the results. Due to the very limited volume of water in collected samples (less than
421 10 mL) and technical limitations, a preconcentration step could not be performed prior to REY

422 analyses with ICPMS. Overall REE concentrations ranged from 1241 pmol/L to 4300 pmol/L. These
423 concentrations are beyond the values in which REE preconcentration and a matrix-free method of
424 analysis are essential. However, the large variation observed in shale-normalized patterns for some
425 samples (the baseline groundwater sample in particular) may have been due to a lack of precision
426 resulting from chemical analyses. However, this point does not affect the general trend observed for
427 each individual REE element over the entire CO₂-injection experiment, as shown in Fig.3 and Fig.4.

428

429 **5.2 Effects of speciation and surface complexation on REE behavior**

430 Bau (1999) and Verplanck et al. (2004) showed that neoformed iron oxyhydroxides have a higher
431 affinity for HREE scavenging compared to LREE. Therefore, the higher enrichment of HREE may be
432 related to easier dissolution of neoformed hydroxide minerals such as ferrihydrite, following CO₂
433 perturbation (see Fig. 2, and Rillard et al. 2014). This hypothesis is supported by speciation
434 calculations showing a rapid decrease in the proportion of the Ln-HFO surface complex following CO₂
435 perturbation (see Fig.7, baseline groundwater sample 1, and Fig.8). HREE enrichment is also
436 illustrated in Fig.11, which represents the La/Yb ratio as a function of dissolved Fe concentration. As
437 the pH decreased, enhanced protonation of ferric oxide surfaces occurred, reducing the proportion
438 of negatively charged groups able to complex REE (Bethke, 2007). The modeling configuration only
439 considered the surface complexation onto solid iron hydroxide phases, as calculated in equilibrium,
440 with dissolved iron measured in the samples (this included the colloid or mineral phase comprising
441 the host rock of the aquifer). This model did not take into account solution complexation with iron
442 oxides. Thus, the development of a specific model is needed to study solution complexes with
443 dissolved iron. However, iron is known to easily form large-size colloids in a solution that mainly
444 control REE sorption and speciation (Pourret et al. 2007, 2010).

445

446 Crane and Sapsford (2018) studied the sorption and fractionation of REE ions with zerovalent iron
447 nanoparticles. The aim of their work was to test the potential of recovering the dissolved REEs
448 contained in an acidic rock drainage solution. Their results showed that HREE had a higher
449 concentration, and faster scavenging, on a neoformed iron oxyhydroxide surface, compared to LREE.
450 Differences in coordination numbers between LREEs and HREEs helped to explain this phenomenon.
451 The differences affected the hydration number, with the number of REE ions decreasing from nine
452 for LREEs to eight for HREEs, with a transition region between Sm to Gd (Wood, 1990). This higher
453 coordination number may therefore preferentially restrict the bulk diffusion of LREE compared to
454 HREE on sorption sites. However, the experimental conditions were significantly different in the work

455 presented by Cran and Sapsford (2018), compared to the present study (use of zerovalent iron with
456 larger pH variation and redox potential).

457

458 Furthermore, the global proportion of Ln-carbonate complexes decreased between baseline water
459 (baseline groundwater sample) and the most CO₂ perturbed sample (sample 1 in Fig.7 and Fig.8). This
460 decrease was less marked for HREE, and similar results were reported by Sholkovitz (1995), Byrne
461 and Sholkovitz (1996), De Carlo et al. (1998) and Bau (1996). The greater stability of HREE carbonate
462 complexes compared to LREE carbonates may explain the preferential release of HREE in water
463 under the experimental conditions of this study.

464 Speciation calculations show that in the more acidic samples (samples 1, 2, and 3), REE complexes
465 occurred mainly with sulfate (LnSO₄⁺), along with a higher proportion of complexed LREE (Fig.7 and
466 Fig.8). However, this phenomenon did not involve the obvious enrichment of dissolved LREE. The
467 proportion of REE sulfate complexes may have been overestimated by the model calculation. A
468 higher proportion of REE sulfate complexes under acidic conditions was observed by Decree et al.
469 (2015) and Verplanck et al. (2004).

470

471 This speciation model was constructed using the database on speciation constants of REEs available
472 to date. While these constants are well-documented for REE speciation carbonate and iron oxide
473 species, a lack of data remains for other constants dealing with REE speciation in the presence of
474 manganese oxyhydroxides, which may play an important role in dissolved REE behavior (Pourret et
475 al. 2007b; Pourret and Tuduri, 2017; Liu et al. 2017). However, this model was able to provide
476 important information for studying REE complexation with iron oxyhydroxides in Fe-rich
477 groundwater aquifer systems (Verplanck et al. 2004; Quinn et al. 2007; Liu et al. 2017). The
478 complexation with organic molecules (such as humic substances) was not taken into account in this
479 study, since the organic carbon concentration (TOC and DOC) of the samples was below the
480 detection limit. However, organic matter is known to effect important control on REE behavior
481 (Pourret 2007a, 2007b, 2009). Further developments in modeling techniques should incorporate Mn-
482 oxides, organic humic substances, and Fe-oxides simultaneously in a model, in order to better
483 understand the roles of particle colloids in REE mobility in natural systems (Liu et al. 2017).

484

485 **5.3 Tetrad effect**

486 Employing the tetrad effect (Masuda and Ikeuchi, 1979) can be useful for understanding the behavior
487 of REE on water-rock interaction processes. This is based on shale-normalized patterns divided into

488 four downward convex segments across the entire REE spectrum. A tetrad effect was observed in
489 patterns of the Ln-carbonate complex and REE iron oxyhydroxide complex, represented in samples
490 with pH values ranging between 5.70 and 6.51 (Fig.7). Four upward-curved segments (La-Nd (I), (Pm)-
491 Gd (II), Gd-Ho (III), and Er-Lu (IV) were observed. These patterns are commonly referred to as the M-
492 type lanthanide tetrad effect (TE) (Masuda et al. 1987; Bau 1996, 1999). The amplitude of the tetrad
493 effect can be used to study the partial dissolution of rock (Bau, 1996, 1999; Irber 1999; Monecke et
494 al. 2002). However, observed M-tetrad effects in Fig.7 were based on modeled complex abundance.
495 It therefore cannot be ruled out that the tetrad effect observed in Fig.7 had been induced by an
496 artifact from speciation modeling. The analytical quality of the data did not allow for quantifying the
497 tetrad effect amplitude with sufficient accuracy. However, the use of the tetrad effect to understand
498 REE behavior through water-rock interactions represents a good perspective for future studies. In
499 particular, the addition of a preconcentration stage will allow for better data accuracy and therefore,
500 better confidence for quantifying the TE, Eu, and Ce anomalies.

501

502 **Conclusions**

503 A 3 m³ volume of water saturated with CO₂ was injected into a subsurface fractured aquifer in host
504 rock naturally enriched with trace metals, including REEs. The CO₂-injection into groundwater caused
505 a rapid initial pH decrease (from 7.3 to 5.7), followed by a gradual increase. Chemical changes in the
506 studied system caused by CO₂-injection created significant changes in the geochemical behavior of
507 major elements, trace metals, and dissolved REEs. Enrichment by a factor of nearly four was
508 observed for dissolved REY species. This enrichment was greater for HREE than LREE. The behavior of
509 dissolved REE was evaluated using a geochemical model. Results indicate the main process
510 controlling the release of dissolved REY following CO₂-injection to be the dissolution of iron
511 oxyhydroxides, enhanced by complexation with aqueous carbonate species (LnCO₃²⁻ and LnCO₃⁻).
512 However, the Y/Ho ratio in groundwater suggests that a second source of REEs may have been
513 involved, such as the desorption from carbonate minerals or organic-rich shales.

514

515 This study demonstrates the capability of REEs to provide an indication of geochemical interfacial
516 processes occurring at the nanoscale level, during increased interaction between groundwater and
517 host rock, induced by CO₂-injection. This model can help to provide a better understanding of the
518 roles of particle colloids on REEs' mobility in natural systems. These results also present a starting
519 point for evaluation of the environmental impacts of REE exploitation, and for enhancing REE
520 recovery rates using metal oxides under field conditions such as mine drainage. In addition, this study
521 also presents several valuable tools for the understanding of geological fluid-mixing processes, or
522 fluid inclusions formations, which can be useful for understanding deep geological processes.

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527 **Acknowledgments**

528 The field experiments were performed with the financial support of INERIS, as a part of the EVARISTE
529 internal research project on the long term impacts of geological CO₂ storage. We thank the Istituto
530 Nazionale di Geofisica e Vulcanologia (INGV) from Palermo (Italy) and Dr. Sergio Bellomo for
531 analytical support. We also thanks Dr Jose Cruz (associate editor) and two anonymous reviewers for
532 their constructives comments that improved the quality of the original manuscript. Dr. Raul E.
533 Martinez is acknowledged for post-editing the English style.

534

535 **Conflict of interest:**

536

537 The authors declare no conflict of interest.

538

539 **References:**

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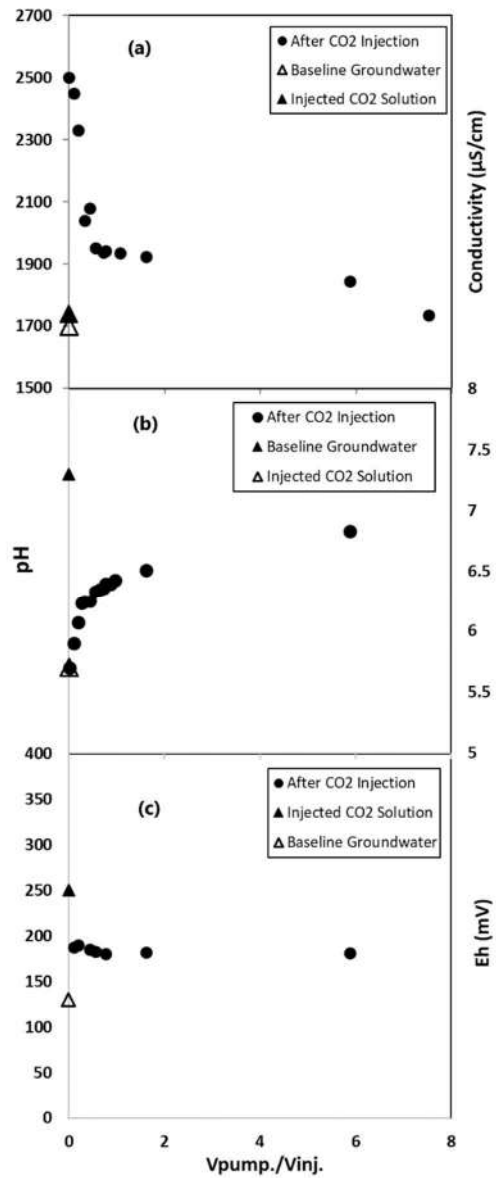


Fig. 1: (a) Conductivity ($\mu\text{S}/\text{cm}$) of groundwater as a function of a function of the ratio pumped (V_p) volume to injection volume ($V_{inj.}$). Values are indicated for baseline groundwater, injected CO₂ solution and groundwater re-pumped after CO₂ injection; (b) pH values as a function of ratio $V_p/V_{inj.}$; (c) Redox potential (Eh in mV) measured as a function of ratio $V_p/V_{inj.}$.

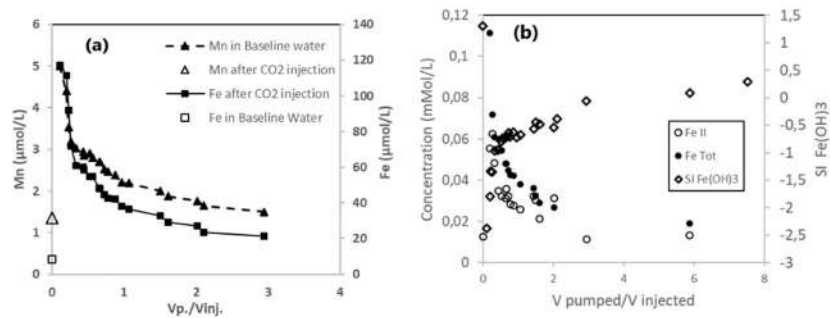


Fig. 2 : (a) Molar concentration ($\mu\text{mol/L}$) of dissolved iron (Fe, right axis) and manganese (Mn, left axis) as a function of the ratio pumped volume ($V_p.$) to injection volume ($V_{inj.}$);(b) Molar concentration (mMol/L) of total dissolved iron (Fe Tot, left axis), iron two Fe²⁺ (Fe II) and Saturation Index in Ferrihydrite Fe(OH)₃ (left axis) as a function of ratio $V_p./V_{inj.}$. Figure (b) is from Rillard et al 2014.

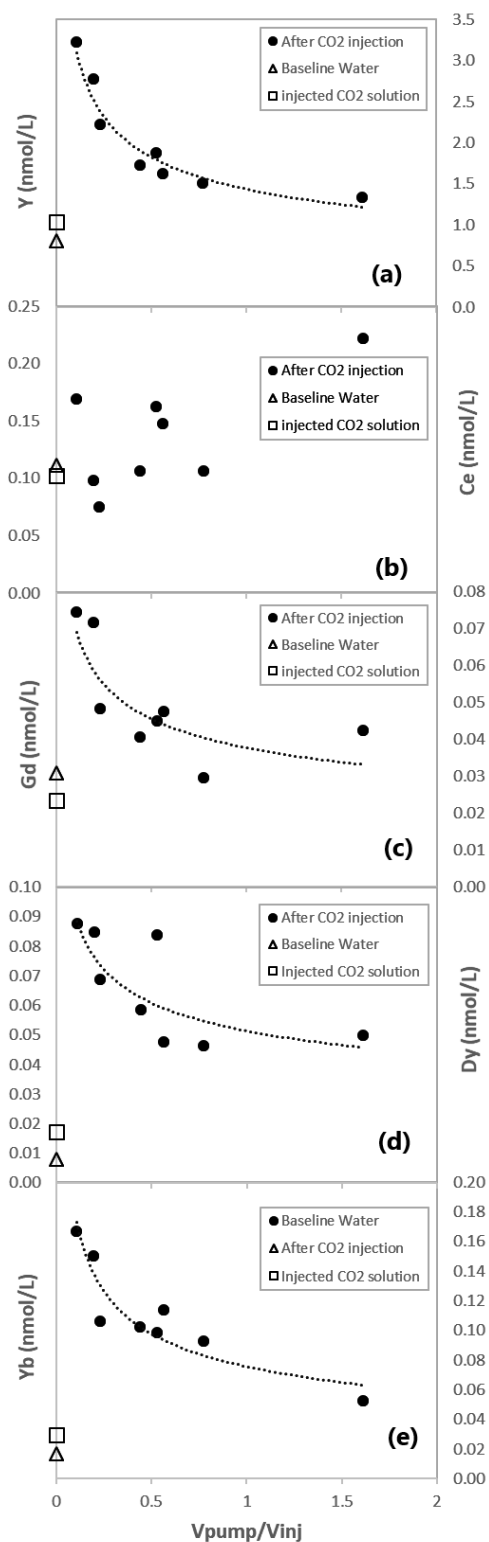


Fig. 3: (a) Molar concentration (nmol/L) in yttrium as function of the ratio pumped volume (V_p) to injection volume (V_{inj}), representative of HREE group; (b) Cerium concentration as a function of V_p/V_{inj} ratio, representative of LREE group; (c) Gadolinium concentration (nmol/L) as a function of ratio V_p/V_{inj} representative of MREE group; (d) Dysprosium concentration (nmol/L) as a function of

ratio V_p/V_{inj} . representative of MREE group; (e) Ytterbium concentration (nmol/L) as function of ratio V_p/V_{inj} . representative of HREE group.

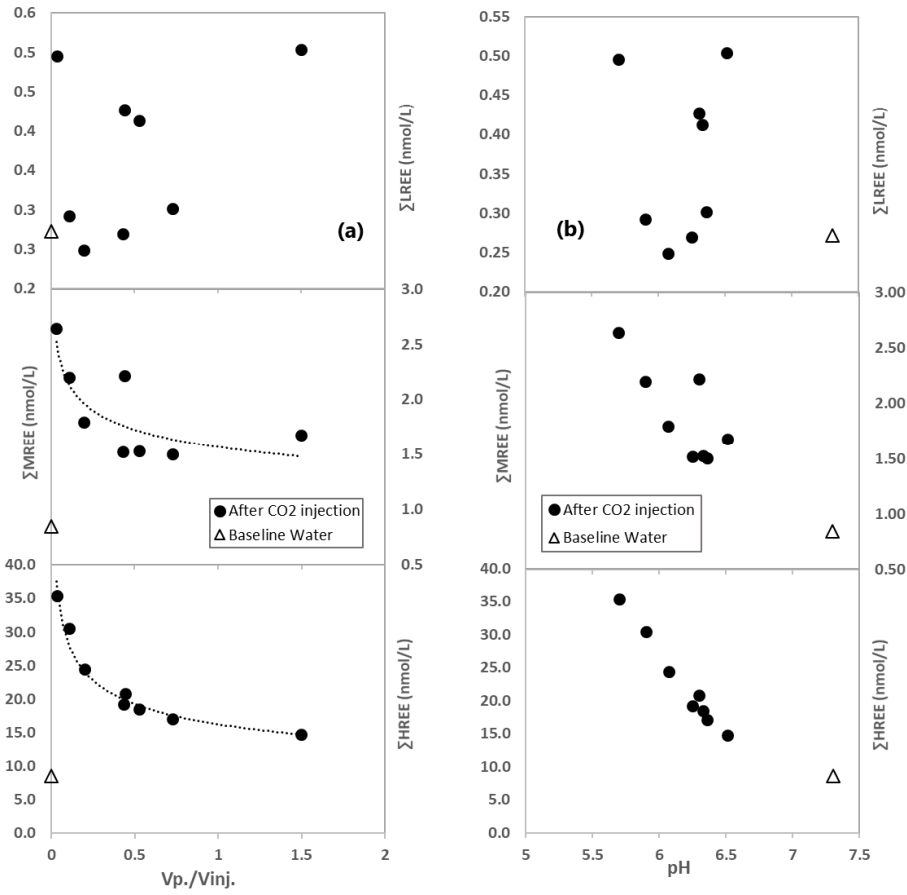


Fig. 4 : (a) Sum of LREE (La, Ce, Pr, Sm), MREE (Eu, Gd, Tb, Dy, Ho), HREE (Er, Tm, Yb, Lu, Y) as function of the ratio pumped volume ($V_p.$) to injection volume ($V_{inj.}$) ; (b) Sum of LREE (La, Ce, Pr, Sm), MREE (Eu, Gd, Tb, Dy, Ho), HREE (Er, Tm, Yb, Lu, Y) as function of pH

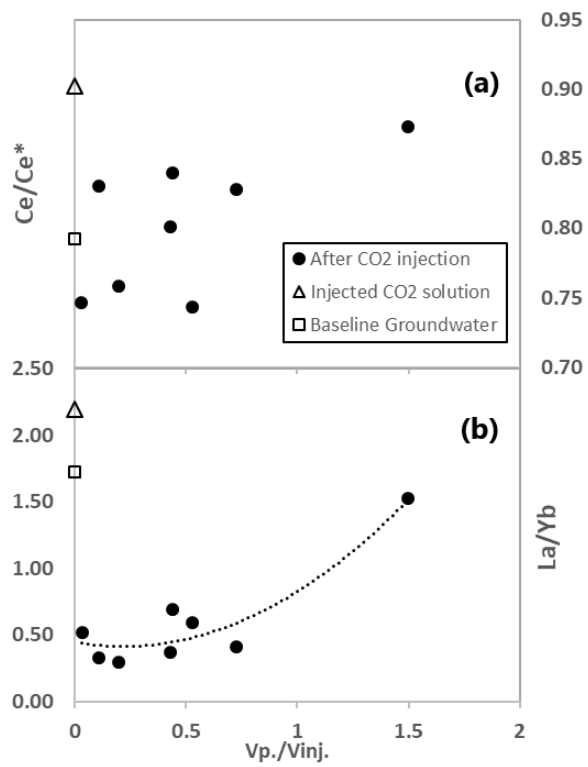


Fig. 5 : (a) Cerium anomaly Ce/Ce^* as a function of the ratio pumped volume ($V_p.$) to injection volume ($V_{inj.}$); (b) La/Yb ratio as a function of the ratio pumped volume ($V_p.$) to injection volume ($V_{inj.}$)

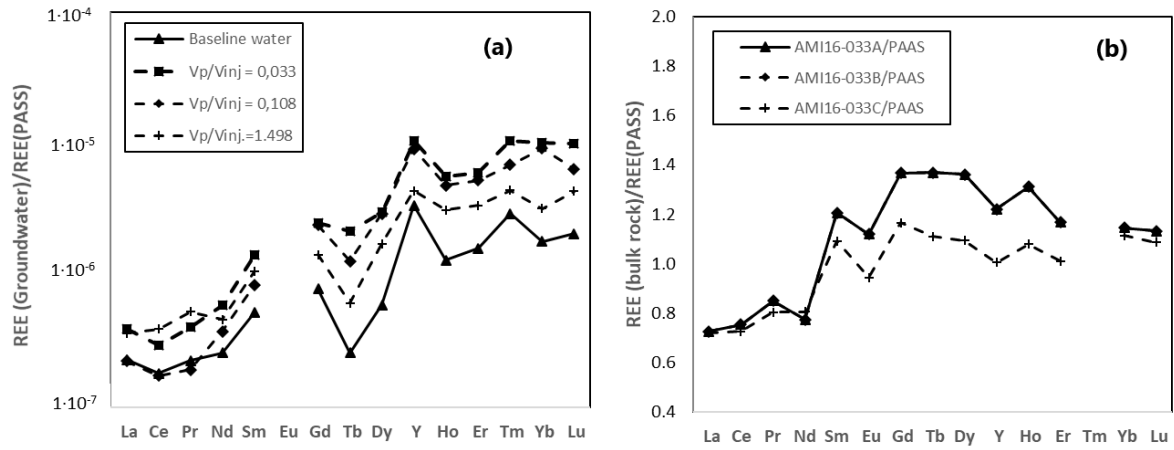


Fig. 6: (a) Dissolved REE concentrations in groundwater samples normalized to Post Archean Australian Shale (PAAS), four representative samples are illustrated (baseline groundwater, $V_p/V_{inj} = 0.033$, $V_p/V_{inj}=0.108$, $V_p/V_{inj}=1.498$); (b) REE concentrations in bulk rock samples normalized to Post Archean Australian Shale (PAAS), data issued from Chopin (2016).

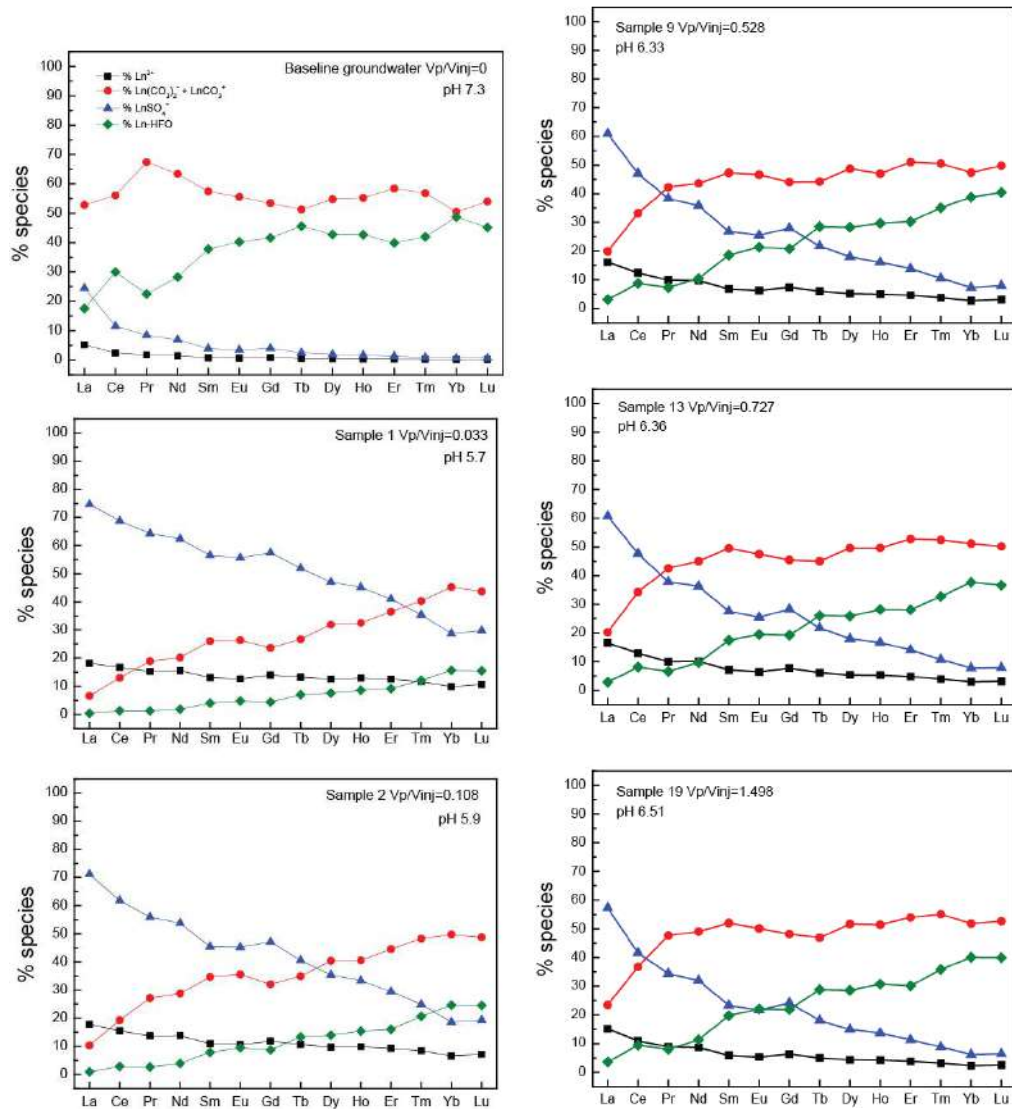


Fig. 7 : Proportion (%) of Ln-Carbonate, Ln-Sulphate, Ln-iron oxihydroxide complex and free Ln³⁺ calculated by the geochemical model in sample Baseline Water, and selected samples following CO₂ injection (Sample 1, Sample 2, Sample 9 , Sample 13, Sample 19). The pH values and Vp/Vinj ratio of each individual sample are indicated in the diagrams.

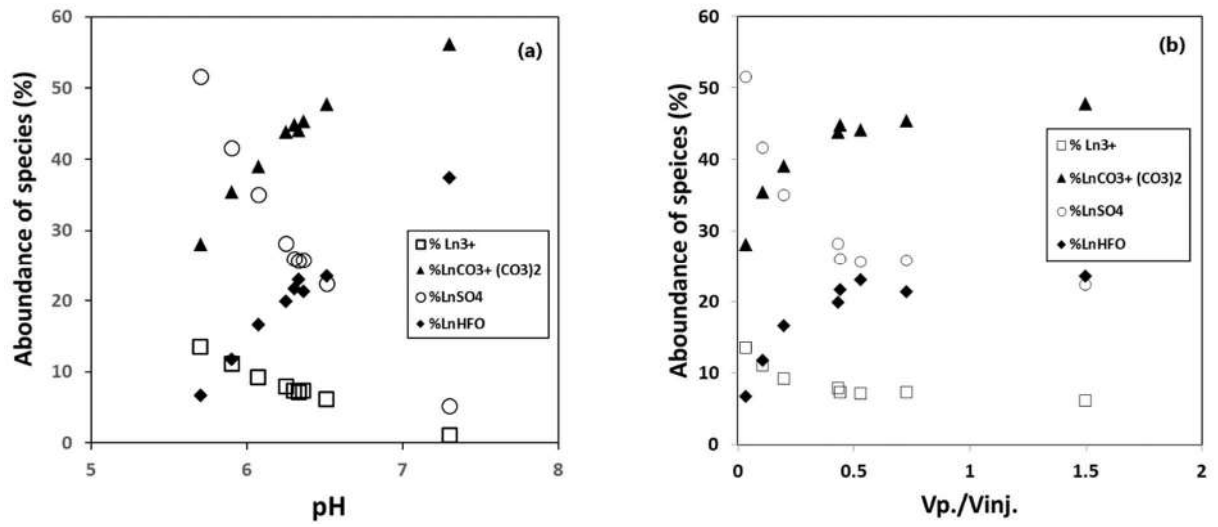


Fig.8: Total proportion (%) of Ln-Carbonate, Ln-Sulphate, Ln-iron oxihydroxide complex and free Ln³⁺ calculated by the geochemical model as a function of (a) pH and (b) the ratio pumped volume (Vp.) to injection volume (Vinj.)

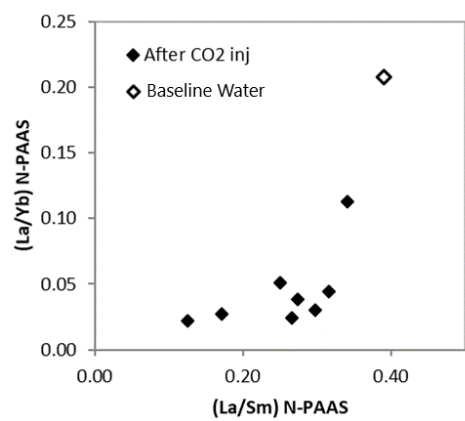


Fig. 9: La/Yd ratio as a function of La/Sm ratio (normalized to PAAS)

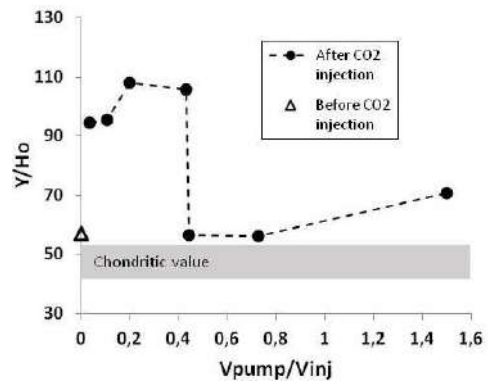


Fig.10: Y/Ho molar ratio as a function of Vpump./Vinj. ratio. Groundwater composition before CO₂ injection is represented by white triangle.

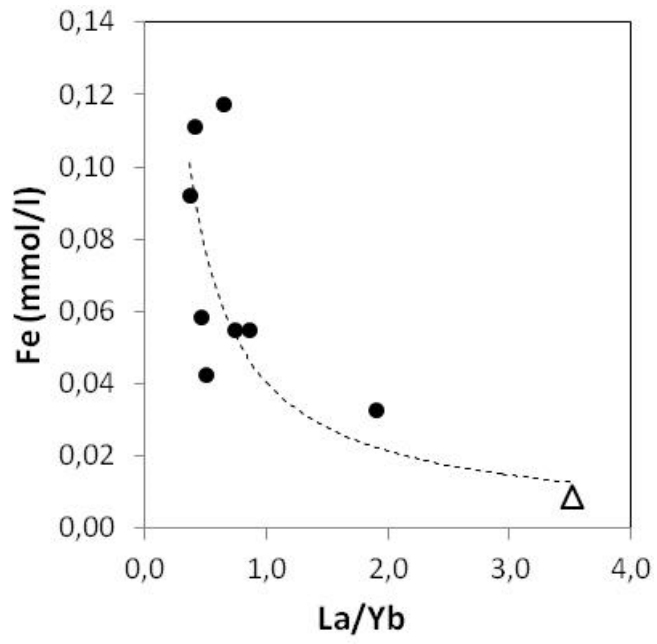


Fig.11: Molar concentration in dissolved iron (mmol/l) as a function of La/Yb ratio. Groundwater composition before CO₂ injection is represented by white triangle.

Table Caption:

Table 1 : List of studied samples. The corresponding ratio of pumped on injected volume (“V pump./V inj.”) and the field measured pH, redox Eh (mV) and conductivity ($\mu\text{S}/\text{cm}$) for each sample are reported. The sample “Baseline Water” corresponds to the baseline water before CO_2 saturation and the sample “Injected CO_2 Solution” corresponds to the baseline water saturated with CO_2 and injected for the experiment.

Sample	V pump./V inj.	pH	Eh (mV)	Conductivity ($\mu\text{S}/\text{cm}$)
Baseline Water	0	7,3	130	1697
Injected CO_2 Solution	0	5,7	250	1736
Sample 1	0,033	5,7	187,3	2500
Sample 2	0,108	5,9	189,6	2450
Sample 3	0,198	6,07	185,4	2330
Sample 7	0,432	6,25	183,1	2080
Sample 8	0,442	6,3	181,2	2040
Sample 9	0,528	6,33	180,7	1951
Sample 13	0,727	6,36	182,4	1938
Sample 19	1,498	6,51	180,9	1923

