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Modelling and Analysis of Real-World Wind Turbine Power Curves: Assessing Deviations from Nominal Curve by Neural Networks

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ABSTRACT

The power curve of a wind turbine describes the generated power versus instantaneous wind speed. Assessing wind turbine performance under laboratory ideal conditions will always tend to be optimistic and rarely reflects how the turbine actually behaves in a real situation. Occasionally, some aerogenerators produce significantly different from nominal power curve, causing economic losses to the promoters of the investment. Our research aims to model actual wind turbine power curve and its variation from nominal power curve. The study was carried out in three different phases starting from wind speed and related power production data of a Senvion MM92 aero-generator with a rated power of 2.05 MW. The first phase was focused on statistical analyses, using the most common and reliable probability density functions. The second phase was focused on the analysis and modelling of real power curves obtained on site during one year of operation by fitting processes on real production data. The third was focused on the development of a model based on the use of an Artificial Neural Networks that can predict the amount of delivered power. The actual power curve modelled with a multi-layered neural network was compared with nominal characteristics and the performances assessed by the turbine SCADA. For the studied device, deviations are below 1% for the producibility and below 0.5% for the actual power curves obtained with both methods. The model can be used for any wind turbine to verify real performances and to check fault conditions helping operators in understanding normal and abnormal behaviour.

Keywords

Wind energy, power curve, producibility estimates, aero-generator, anemometric campaign, Artificial Neural Network

Published version Renewable Energy: <https://doi.org/10.1016/j.renene.2019.03.075> available in <https://www.sciencedirect.com/science/article/pii/S0960148119303805> © <2019>. This manuscript version is made available under the CC-BY-NC-ND 4.0 license <http://creativecommons.org/licenses/by-nc-nd/4.0/>. This paper is accepted for publication in Renewable Energy (2019).

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33 **Nomenclature**

| | | |
|----|----------------|--|
| 34 | a_n | Fourier coefficient |
| 35 | b_n | Fourier coefficient |
| 36 | E_g | annual delivered energy [kWh] |
| 37 | f_n | nominal frequency [Hz] |
| 38 | h_{eq} | equivalent hours [h] |
| 39 | I_n | nominal current [A] |
| 40 | P | wind turbine power [kW] |
| 41 | P_n | wind turbine nominal power [kW] |
| 42 | $R.H.$ | relative humidity [%] |
| 43 | T | air temperature [°C] |
| 44 | U_n | nominal voltage [V] |
| 45 | v | wind speed [m/s] |
| 46 | V_{med} | average wind speed [m/s] |
| 47 | V_{Max} | maximum wind speed [m/s] |
| 48 | ρ | air density [kg/m ³] |
| 49 | | |
| 50 | ANN parameters | |
| 51 | A_i | activation potential |
| 52 | w_{ij} | interconnection synaptic weights between i -th and j -th neuron layers |
| 53 | x_i | neuron input data |
| 54 | y_i | neuron output data |
| 55 | α | momentum |
| 56 | Δ | estimated and actual power curve deviation |
| 57 | η | learning rate |
| 58 | Φ | neuron activation function |

59 **1. Introduction**

60 Wind power is a key actor in the field of renewable energy sources. Production capacity has
61 risen exponentially in recent years [1]. The wind energy in Europe, issuing about 10.4% of the
62 electricity demand in 2016, is an important technology that can help in meeting the goals the
63 EU has set itself to achieve a low carbon energy policy by 2050 [2]. Due to the shortage of
64 traditional energy resources and ecological degradation of the environment, the generation of
65 electricity from wind power has experienced rapid development [3,4]. Achieving the
66 aforementioned goal in 2050 is made possible developing modern wind turbines with high
67 levels of reliability and power production. Nonetheless, a reliable prediction of power
68 production within a small margin of error has always been a major issue.

69 The amount of energy that a turbine can produce depends on several parameters including the
70 wind regime of the specific site and the main characteristics of the wind turbine [4]: on the wind
71 intensity and wind direction, on the rotor diameter and rotor height, on weather conditions such
72 as temperature, density and air pressure, and also depends on the wind turbulence in the
73 immediately preceding time. For this reason, the assessment of the site productivity is a
74 preliminary crucial step in the wind farm realization.

75 Another fundamental step is to combine the anemometric studies with the power curves of the
76 wind turbine supplied by the manufacturers, even to match between the wind turbine and the
77 specific site in order to obtain maximum energy and reliability benefits [4]. An accurate
78 assessment of the power generated by a wind turbine is important since expenses in operation
79 and maintenance represent 10% of the total cost of any wind energy project [5].

80 The main parameter that represents the relationship between wind speed and the power output
81 of a wind turbine [6] is the power curve, governed by a cubic relationship of these variables [7].

82 A comparison between measured power output versus power output given by the manufacturer

83 power curve (MPC) shows a similar trend but with real data is always scattered. This is because,
84 besides the wind speed, there are more important variables involved in turbine power output
85 such as atmospheric pressure, turbulence intensity, wind direction variability, both vertical and
86 horizontal shear, atmospheric stability, drive train temperature and so on [8]. Moreover, the
87 standard conditions under which the MPC is derived are different from those under which the
88 turbine is operated. Furthermore, local orography and wake effects produced by other turbines
89 need to be taken into consideration in power estimations of wind farms in field conditions [7].
90 Several techniques have being used to model the turbine power curve: parametric [9], non-
91 parametric [10,11] and stochastic [12] methods. In this context, several attempts have been
92 made to identify a reliable model to assess the wind turbine power curve [13]. In [14,15] a
93 discrete approximation approach is applied where the power delivered by the wind turbine,
94 model output, is only a function of the hub wind speed and air density. However, in [8,16] it is
95 recognized that other input parameters significantly influence the correct evaluation of the
96 power output of a wind turbine. In [17] a parametric approach using a set of mathematical
97 equations was tested.

98 Normally, wind power curves of each new turbine are obtained in wind tunnels on scale models;
99 later, prototypes are tested directly on the field by the same manufacturing companies. Each
100 company guarantee the power generation curves of the generator and the availability of its
101 operation at exact percentages, often close to 100%. It is clear that a single percentage point of
102 lower productivity can conduct to a loss of profit. If the production of the wind turbine differs
103 negatively from the expected productivity, the investors can claim compensation due to
104 economic losses. The mismatch among declared and actual wind power curve often results in
105 contentious between investors and manufactures.

106 Another option to assess the WTPC is represented by Wind Turbine Condition Monitoring
107 (WTCM) systems that are increasingly installed with the primary goal of providing wind
108 turbine component specific information to wind farm operators to be used for optimal
109 maintenance planning [18]. Their economic benefit to operation and maintenance costs has
110 been investigated [19,20], and proven to be substantial although it largely depends on the fault
111 detection rate [21]. While many commercial solutions, techniques and methods are available
112 [22,23], their related cost and complexity deter operators from a widespread deployment [24].
113 The use of data from the Supervisory Control And Data Acquisition (SCADA) system appears
114 therefore as a potential solution for WTCM due to its availability at no additional cost. The
115 SCADA system usually samples data at relatively high frequency (typically 1 Hz) with standard
116 practice to store 10-min averaged values of the parameters characterising the operating and
117 environmental conditions.

118 There are a small number of works in the literature in which authors use the non-parametric
119 methods for example through the application of artificial intelligence-based tools to model the
120 wind turbine power curve as a power performance validation tool. Artificial Neural Networks
121 (ANN) have been demonstrated to be well suited for solving nonlinear problems with multiple
122 input variables [25] and, as such, have been successfully applied to the prediction of wind speed
123 and power generated by wind farms.

124 In [26], the authors used experimental data collected from three wind farms in Southern Italy
125 and trained a two-hidden layer neural network to predict the wind energy output; in [27], field
126 data collected from seven wind farms were used for the analysis and prediction of power
127 generation from wind farms, developing a neural network with three input (wind speed, relative
128 humidity and generation hours) and one output, the energy output of wind farms. In the study
129 [28], it is demonstrated that the neural network based Measure-Correlate-Predict (MCP)
130 method performs very well respect to the correlation, root-mean-square error and the distance
131 in the wind speed frequency distribution . In [13] the authors use the ANN for modelling the
132 power curve of a wind turbine located in a specific site, in [29] use the genetic algorithm, in

133 [27,30] developed an ANN model to determine the delivered power of wind power plant, in [31]
134 was proposed a dynamic model based on RBF neural network to consider the nonlinear time-
135 variant essence of wind power generation systems.

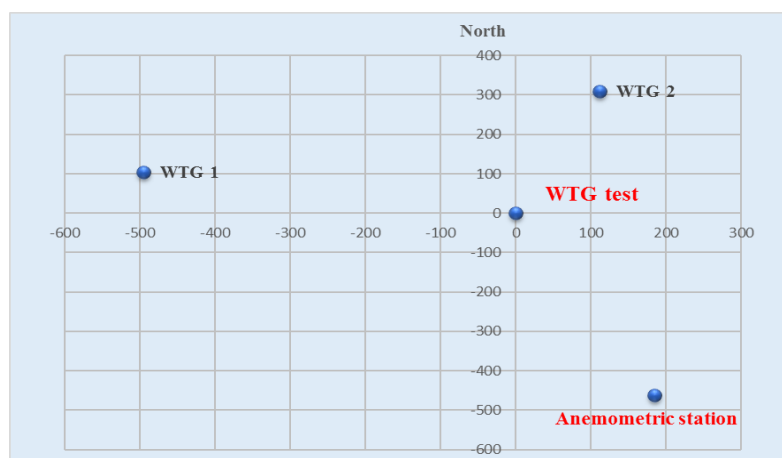
136 The purpose of this study is to explore the possibility of generating a WTPC using artificial
137 intelligence techniques such as neural networks and using data from real installations. The tool
138 should provide to wind farm managers the opportunity to compare the real WTPC with the data
139 provided by the manufacturer and thus be helpful in any disputes arising from productivity
140 indices lower than expected. The authors then investigated power output time series of a real
141 wind turbine SEVION MM92, being part of a wind farm installed in Southern Italy, comparing
142 the performances declared in the technical datasheet.

143 After a preliminary statistical analyses of input data, the authors extracted the actual power
144 curve of the wind turbine for different air density ranges and with a bin of 0.10 m/s. In order to
145 compare this curve with the curve provided by manufacturer, two different methods have been
146 applied to fit the manufacturer curve at the same bin: spline interpolation and Fourier series
147 interpolation function. In the last part of the paper the authors, employing an ANN and an
148 optimization technique based on the application of a Genetic Algorithm (GA), created a model
149 that returns a WTPC from real data. The reliability of this model was confirmed by the low
150 value of the Standard deviation of about 44 kW respect to the nominal power of 2050 kW of
151 the examined turbine. Furthermore, the developed neural network model takes into account a
152 significantly higher number of variables related to the description of the phenomenon (ten input
153 data and one output data) respect conventional and/or statistical models.

154 2. Anemometric campaign

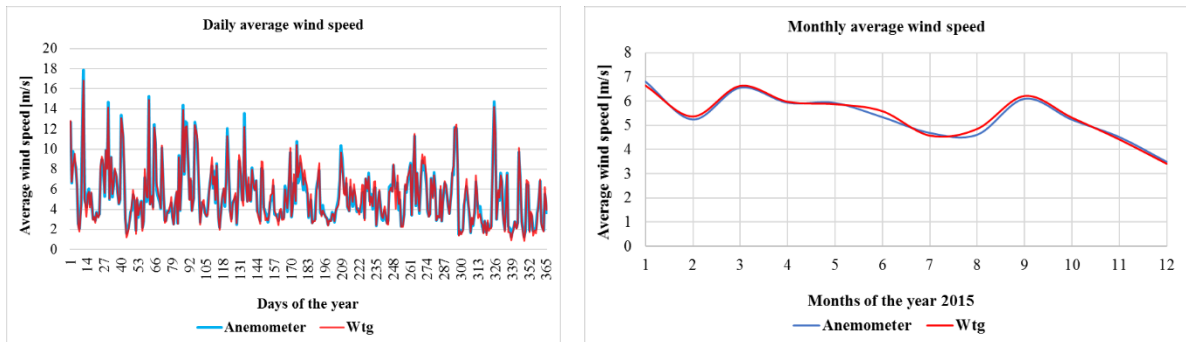
155 The use of wind as a source of kinetic energy for the electricity production is subordinated to the
156 occurrence of a several conditions that make the installation of wind farm competitive and
157 profitable. For this reason, a preliminary feasibility study is always accompanied by an
158 anemometric campaign. Our study employs an annual anemometric campaign linked to a wind
159 turbine.

160 Two anemometers have been used: one anemometer is located in the wind farm and another is
161 located over wind turbine. For security and privacy reasons details about position and property of
162 the wind plant cannot be disclosed. However, we can say that the wind farm is located in southern
163 Italy and that the area is characterized by a simple and flat orography, free of natural obstructions.
164 The SEVION MM92 generator (WTG test) used in this study is located about 500 m from the
165 anemometric station and about 800 meters from the other nearby turbines (Fig. 1).
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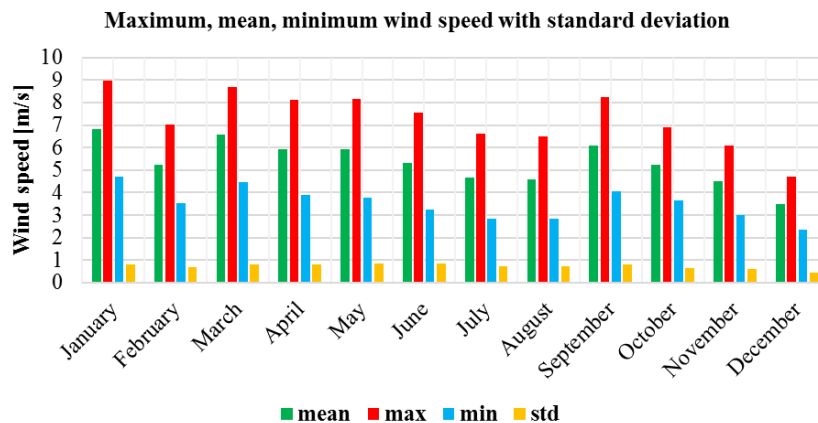
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Fig. 1. Aero-generators and anemometric station positions.

171 The main variables measured by the anemometric station at different heights are: maximum,
 172 average and minimum wind speeds, standard deviation, wind direction, air temperature, relative
 173 humidity and atmospheric pressure with a time step of 10 minutes. In Fig. 2 are shown the daily
 174 wind speed trends, measured by the anemometer and by the WGT anemometer of the turbine.
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 178 Fig. 2. Wind speed trends at different time steps.
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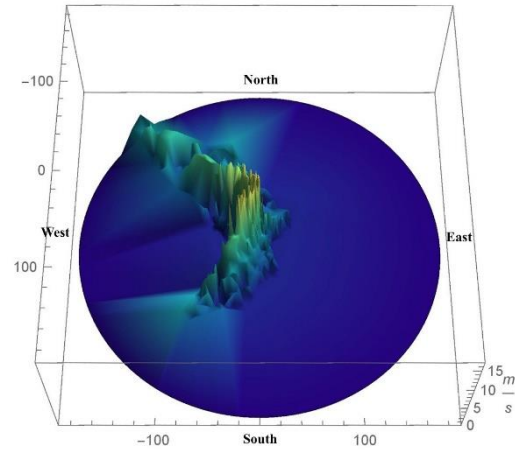
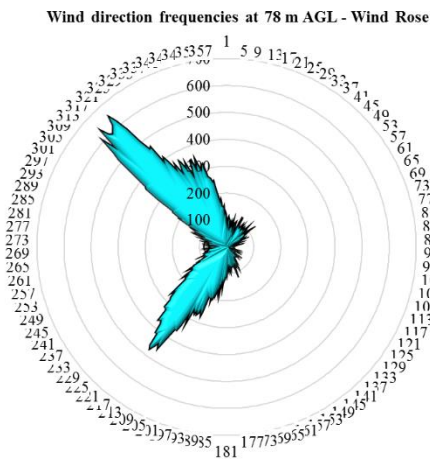
180 Fig. 3 shows the average, maximum and minimum wind speed and the standard deviation (StD)
 181 at 82 meters a.s.l. (the highest point); this site is characterized by an annual average wind speed of
 182 5.37 m/s.
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184
 185
 186 Fig. 3. Average, maximum and minimum wind speed and StD.
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188 The maximum and minimum wind speed values are almost equidistant from the average value:
 189 this means that the site is not characterized by a high level of wind turbulence.

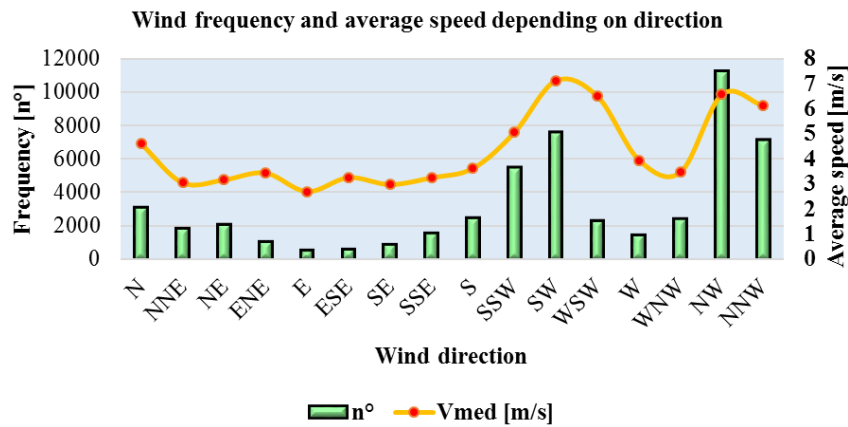
190 Fig. 4 shows the 2D wind rose and a 3D representation that also considers the intensity of wind
 191 with direction.
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Fig. 4. 2D and 3D wind roses.

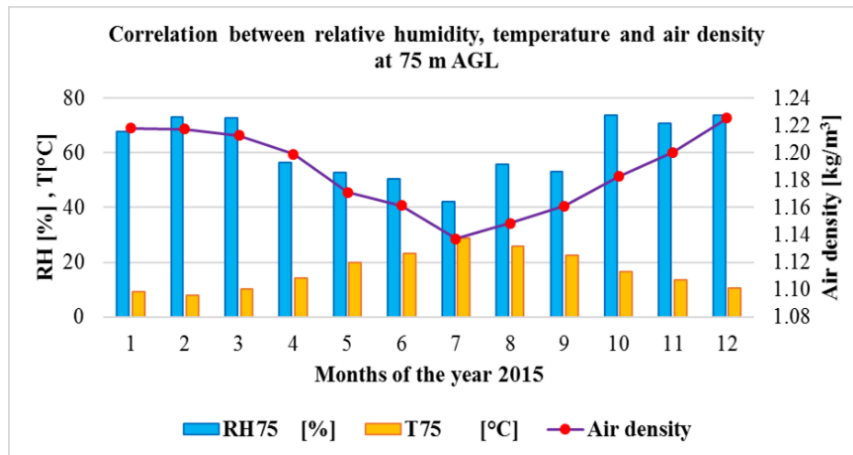
Data clearly shows that Mistral (north-westerly wind) represents the most frequent direction; on the other hand, Libeccio (westerly or south-westerly wind) issues wind with the greatest intensity; all other wind directions are rarely detected (Fig. 5).



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Fig. 5. Frequency distribution and average wind speed.

In addition to wind speed and direction data, even air density (ρ) was considered; to improve the accuracy of models we used a variable air density calculation with a time step of 10 minute (Fig. 6).



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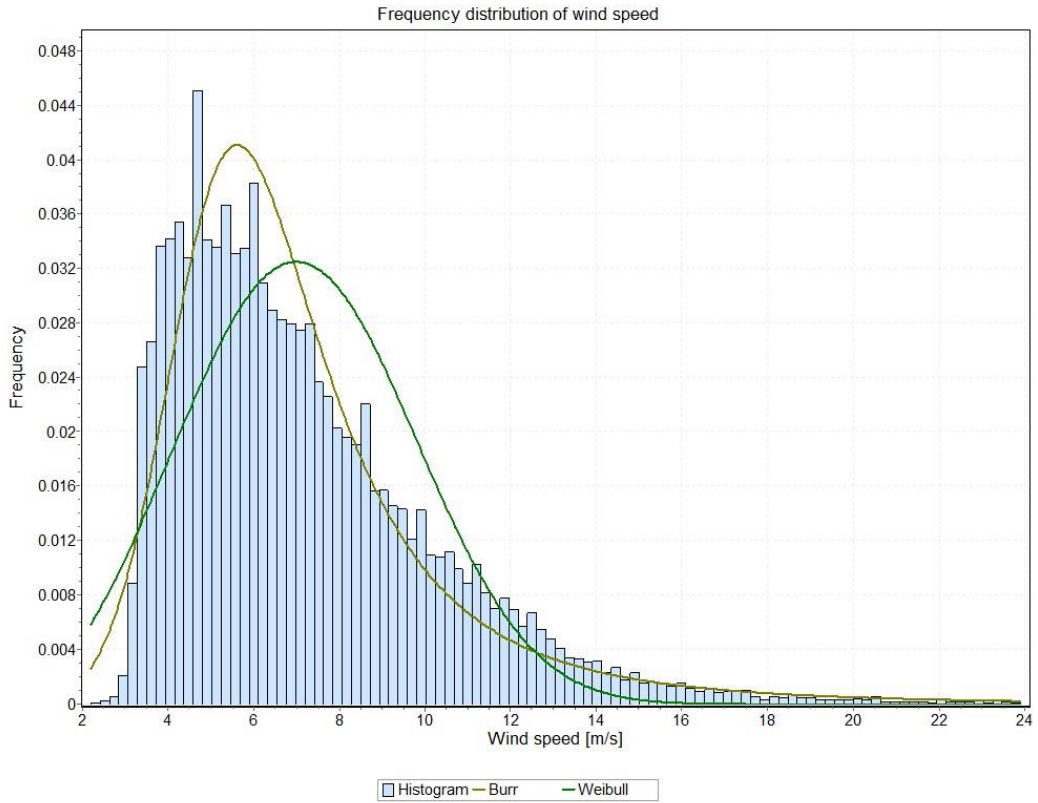
Fig. 6. Monthly average temperature, density and humidity of the air at 75 meters above ground level.

214 2.1. Statistical analysis of wind data

215 A pre-processing phase was performed on the 10-min average data series to eliminate invalid data
216 points [32]. In the following, the most important parameter is the average wind speed measured at
217 the maximum height, the nearest to the real turbine rotor height. Then, because the reliability and
218 goodness of a site producibility analysis depends on the fitting of the Probability Density Functions
219 (PDF), a statistical analysis was carried out by using fitting algorithms to adapt data-points to PDF.
220 Due to the nature of the data processed, Weibull and Burr PDF were chosen.

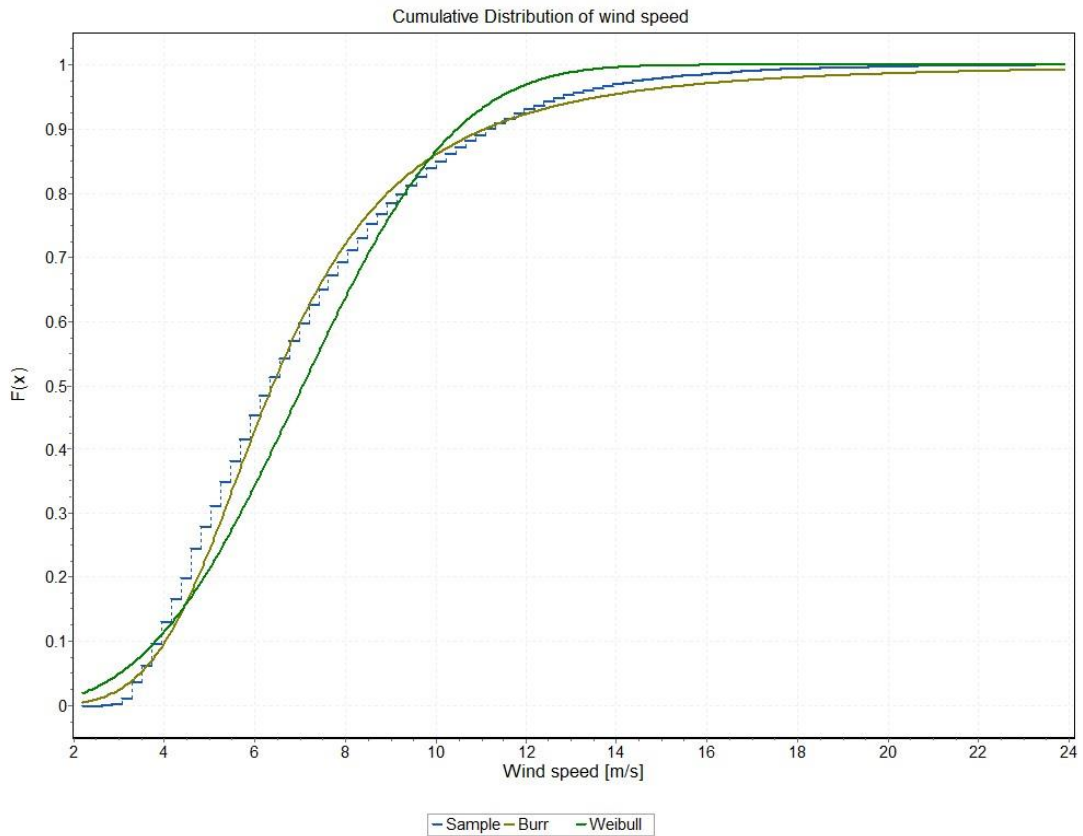
221 The Weibull distribution is one of the most widely used statistical distributions in reliability
222 engineering and wind speed analysis; on the other hand the Burr distribution has been recently
223 applied to wind speed problems with good results. In [33], three types of probability distributions
224 have been used to estimate the wind energy potential in Malaysia; a comparison shows that of all
225 the three distributions used, Burr distribution provides the best fit. In [34], the study investigates
226 the wind speed characteristics recorded in the urban area of Palermo, in the south of Italy, by a
227 monitoring network composed by four weather stations. Even in this case the results show that,
228 concerning the accuracy of fitting the empirical data, Burr PDF has the best agreement.

229 Figs. 7 and 8 show the Weibull and Burr distribution fitting over the experimental data concerning
230 average wind speed and linked cumulative function.
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Fig. 7. Weibull and Burr distribution fitting over experimental frequency distribution of average wind speed.



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Fig. 8. Weibull and Burr cumulative fitting over experimental data of average wind speed.

Table 1 show the equations and the parameters value of Weibull and Burr distribution for the probability density function and survival function of average wind speed respectively in which α is the shape or slope parameters, β and k are the scale parameters.

Table 1: Equations and parameters value of Weibull and Burr distribution.

| PDF parameters | | |
|---------------------|---|---|
| | Weibull distribution | Burr distribution |
| PDF | $f(x) = (\alpha / \beta^\alpha) \cdot x^{\alpha-1} \cdot e^{-(x/\beta)^\alpha}$ | $f(x) = \frac{\alpha \cdot k}{\beta} \cdot \left(\frac{x}{\beta}\right)^{\alpha-1} \cdot \left[1 + \left(\frac{x}{\beta}\right)^\alpha\right]^{-k-1}$ |
| Cumulative Function | $F(x) = 1 - e^{-(x/\beta)^\alpha}$ | $F(x) = 1 - \left[1 + (x/\beta)^\alpha\right]^{-k}$ |
| Survival Function | $S(x) = e^{-\left(\frac{x}{\beta}\right)^\alpha}$ | $S(x) = \left[1 + \left(\frac{x}{\beta}\right)^\alpha\right]^{-k}$ |
| k | - | 4.2461 |
| α | 1.5644 | 1.8049 |
| β | 5.9977 | 12.061 |

247

248 3. Case study

249 Wind turbines assures their maximum performances under nominal and constant operating
250 conditions and obviously, these conditions can be achieved only in a wind tunnel. Indeed, as seen
251 before, the wind and its related variables are almost never constant. Other operating parameters
252 that can be used to study the actual behaviour of the wind turbines are:

- 253 • wind speed turbulence percentage, defined as the ratio of the standard deviation of the wind
254 speed and its average value in the ten-minute interval;
- 255 • wind direction turbulence percentage, defined as the ratio of the standard deviation of the
256 wind direction and its average value in the ten-minute interval;
- 257 • wind speed gust ratio, defined as the ratio between the maximum value of the wind speed
258 in the ten-minute interval and the average value of the speed, in the same interval;
- 259 • wind specific power, defined as power flowing through the surface unit perpendicular to
260 the velocity wind trajectory, $P_w = 0.5 \cdot \rho \cdot v^3$;
- 261 • wind specific energy.

262 The used dataset is related to an anemometric campaign linked to a SENVION MM 92 turbine
263 with a nominal power of 2050 kW, a rotor diameter of 92.5 m and an electric pitch regulation
264 system on each blade. In Table 2 are shown the main technical features of the wind turbine.

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Table 2: Main features of the SENVION MM92 turbine.

| Data sheet SENVION MM92 | |
|-------------------------|-------------------|
| Nominal power | $P_n = 2050$ [kW] |
| Nominal voltage | $U_n = 690$ [V] |

| | |
|-------------------|------------------|
| Nominal current | $I_n = 1715$ [A] |
| Nominal frequency | $f_n = 50$ [Hz] |
| Rotor diameter | 92.5 [m] |
| Blades length | 42.5 [m] |
| High tower | 100 [m] |
| Total high | 146.3 [m] |

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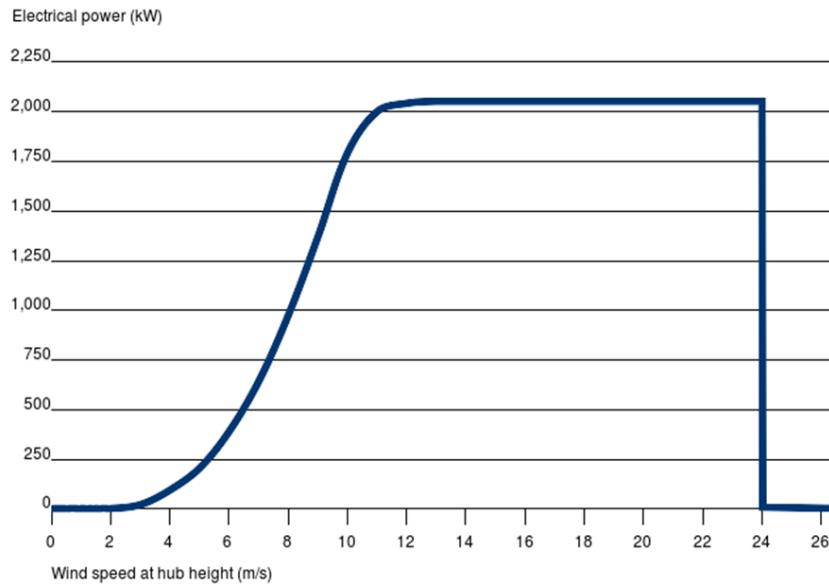
In the dataset issued by the wind farm owner the power output from the wind generator is averaged over steps of 10 minutes; over 52460 recorded data points the wind turbine has provided electrical power in 34445 points, equivalent to 5740 operating hours; the generator has been inactive or absorbing energy from the grid for 18015 intervals, equal to 3002 hours. These hours are not to be confused with the concept of equivalent hours (h_{eq}) which is nothing more than the dummy number of hours in which the wind turbine should work at its nominal power to deliver an amount of electricity equal to that delivered in the same year operating at different power levels depending on wind speed, defined as:

278
$$h_{eq} = \frac{E_g}{P_n} \quad (1)$$

279 where E_g is the energy delivered in a year by the generator [kWh], P_n is nominal power of the
280 wind turbine [kW].

281 3.1. Power curve issued by manufacturers

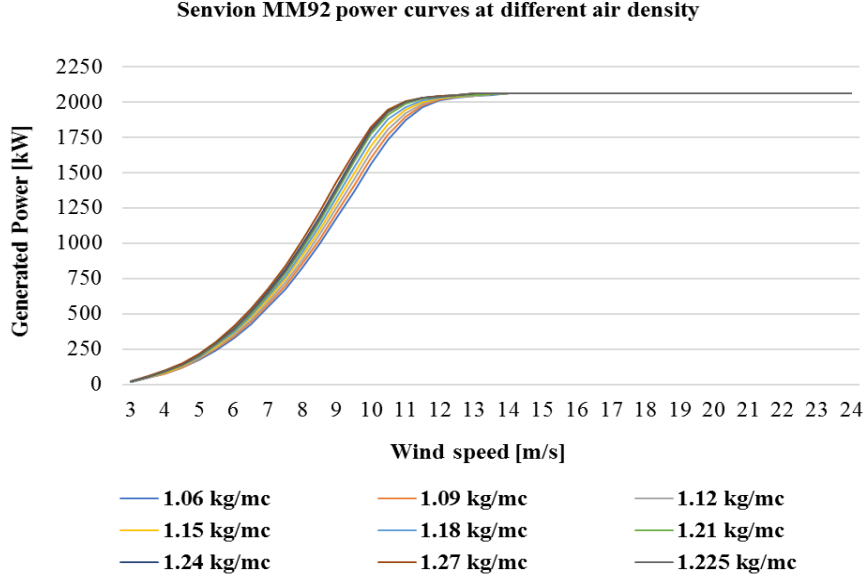
282 The power curves issued by the manufacturers provide the user the theoretical performances with
283 wind speed changes. Fig. 9 shows the manufacturer WTPC of the SENVION MM92 turbine.
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Fig. 9. Graphical WTPC issued by manufacturer related to the air density value of 1.225 kg/cm^3 .

Obviously, the power output increases as the density increases as shown in Fig. 10;



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Fig. 10. WTPC variation with air density.

295 3.2. Producibility assessment

296 In order to facilitate the comparison between data coming from statistical or neural models with
297 official data issued by manufacturers (data often provided in tabular form or in graphical form), it
298 is advisable to adopt a methodology that allows to derive from the datasheets an analytical form
299 of the WTPC. To this aim, to analyse the behaviour of the exanimated turbine and to carry out an
300 accurate producibility assessments, the authors decided to reduce the wind speed bin of the official
301 WTPC of a factor 10; from 1 to 0.1 m/s, obtaining more accurate curves. Two methods of
302 numerical interpolation have been used:

- 303 • Spline interpolation function;
- 304 • Fourier series.

305 The two methods are employed to transform the official WTPC usually provided by the
306 manufactures in histograms or tables form with a resolution of 1 m/s, in an analytical function,
307 easier to use when comparing with other curves.

308

309 3.2.1 Spline interpolation function

310 The spline function is a special interpolation method that uses polynomial equations. Its
311 application involves subdividing the interpolation interval into sub-intervals and interpolating
312 the starting function in each of them trough low degree polynomials. This approach allows to
313 solve the difficulties encountered when trying to interpolate the whole function with a single
314 high degree polynomial. In the case study examined in the paper, the interpolation interval
315 $[0, V_{\max}]$ of the power output function has been divided into sub-intervals through the following
316 nodes succession:

$$317 \Delta_m = \{0 = v_0 < v_1 < \dots < v_n = V_{\max}\} \quad (2)$$

318 The authors, to perform the interpolation of the data set, used a natural cubic spline that is a
319 third-degree polynomial in each interval $[v_i, v_{i+1}]$ with $i = 0, 1, \dots, n-1$. The form of each cubic
320 spline in $[v_i, v_{i+1}]$ is:

$$321 P(v) = a \cdot v^3 + b \cdot v^2 + c \cdot v + d \quad (3)$$

322 in which the coefficients a, b, c, d are calculated imposing the following constraints:

- 323 1. the $P(v)$ assumes the values $P(v_i)$ and $P(v_{i+1})$;
- 324 2. the tangent to the curve at the point $[v_i, P(v_i)]$ forms equal angles with the segments
- 325 joining $P(v_i)$ to $P(v_{i-1})$ and $P(v_i)$ to $P(v_{i+1})$.

326 3.2.2 Fourier series interpolation

327 Fixed the value of the air density, the function $P(v)$ allows to calculate the delivered power by

328 the wind turbine varying the wind speed value. This function is considered periodic, limited and

329 integrable in the period $[0, V_{\max}]$. The Fourier series development of this function, arrested at the

330 eighth order is given by the following equation:

$$331 \quad P(v) = a_0 + \sum_{n=1}^8 \left[a_n \cdot \cos\left(\frac{2\pi \cdot n}{V_{\max}} \cdot v\right) + b_n \cdot \sin\left(\frac{2\pi \cdot n}{V_{\max}} \cdot v\right) \right] \quad (4)$$

332 where a_0 , a_n and b_n are Fourier coefficients defined by the following equations:

$$333 \quad a_0 = \frac{1}{V_{\max}} \int_0^{V_{\max}} P(v) dv \quad (5)$$

$$334 \quad a_n = \frac{2}{V_{\max}} \int_{-V_{\max}}^{V_{\max}} P(v) \cdot \cos\left(\frac{2\pi \cdot n}{V_{\max}} \cdot v\right) dv \quad (6)$$

$$335 \quad b_n = \frac{2}{V_{\max}} \int_{-V_{\max}}^{V_{\max}} P(v) \cdot \sin\left(\frac{2\pi \cdot n}{V_{\max}} \cdot v\right) dv \quad (7)$$

336 The two methods concisely introduced above, permits to generate a WTPC from tabular technical

337 datasheet with a wind speed step of 0.1 m/s, and a comparative analysis of the results obtained

338 with the two methods is performed. The process has been applied of each official dataset varying

339 with air density. The results showed that the reliability of the “enriched” WTPC obtained by the

340 two methods is high and the two curves are practically superimposed except for the initial part. As

341 shown in Fig. 11, only for low wind speed there is a small difference. However, comparing the

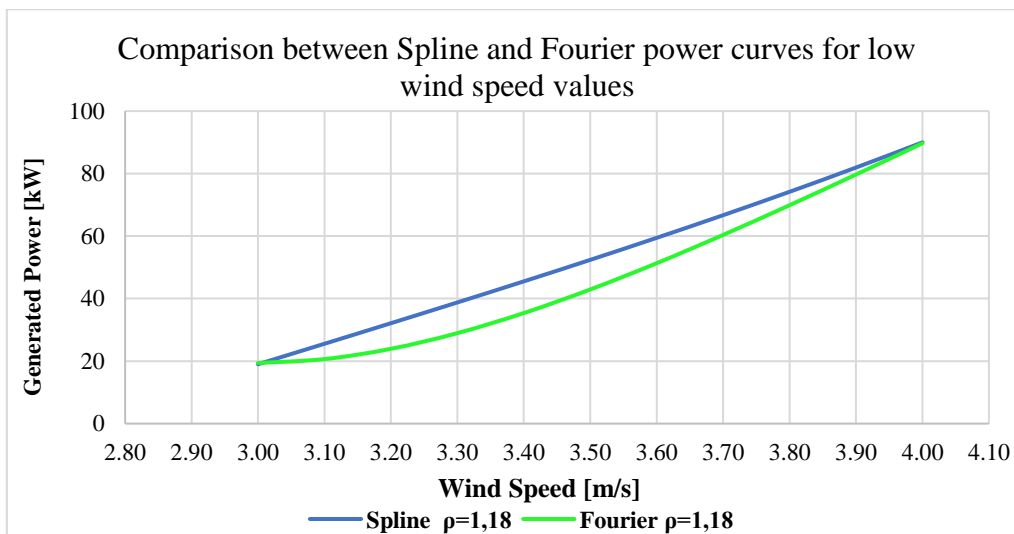
342 two curves obtained by the two methods with the graphical WTPC issued by the manufacturer, it

343 can be seen that in this zone the power curve obtained with the spline interpolating function slightly

344 overestimates the power output.

345

346



349 Fig. 11. Comparison between Spline and Fourier power curves with air density of 1.186 [kg/m³].

350

351 Therefore, it has been assumed that the enriched WTPCs generated by the Fourier series method,
 352 although more complex and more expensive in terms of computational load, are more adequate
 353 than WTPC generated by cubic spline.

354 The producibility assessment, collected in Table 3, was made by using the enriched WTPC
 355 obtained by Fourier series interpolation applied to official data and for an mean air density of 1.186
 356 [kg/m³]. The generated energy (E_g) and the number of equivalent hours (h_{eq}) were calculated
 357 changing the wind detection height. In bold are underlined the data monitored at 103 m of altitude
 358 measured contemporary from hub anemometer and park anemometer.

359

360 Table 3: Producibility assessment by Fourier series applied to official WTPC.

361

| Producibility assessment | | | |
|--------------------------|------------|-----------------|-----------------|
| Anemometric station | Height | E_g | h_{eq} |
| | [m] | [MWh] | [h] |
| Hub anemometer | 103 | 4,357.22 | 2,125.48 |
| Park anemometer | 103 | 4,217.14 | 2,057.14 |
| Park anemometer | 82 | 4,027.35 | 1,964.56 |
| Park anemometer | 80 | 3,901.14 | 1,903.00 |
| Park anemometer | 60 | 3,746.29 | 1,827.46 |
| Park anemometer | 40 | 3,629.01 | 1,770.25 |

362

363 To compare the above evaluation with the real productivity, the authors decided to use the wind
 364 data recorded with the hub anemometer (Table 4).

365

366 Table 4: Comparison between actual and estimated producibility.

367

| Producibility | | | | |
|--|-----------------------|------------|-----------------|-----------------|
| | Anemometric station | Height | E_g | h_{eq} |
| | | [m] | [MWh] | [h] |
| WTG Sevion MM92 | Hub anemometer | 103 | 3,988.87 | 1,945.79 |
| Power curve issued by manufacture | Hub anemometer | 103 | 4,357.22 | 2,125.48 |

368

369 In this case, the power curve issued by manufactured conducts to an overestimation of 8% of the
 370 actual production of energy. If we exclude a discrepancy between the actual and the officially
 371 declared characteristics of the turbine, the differences, in terms of generated energy and equivalent
 372 hours, could be attributed to the following machine stop reasons:

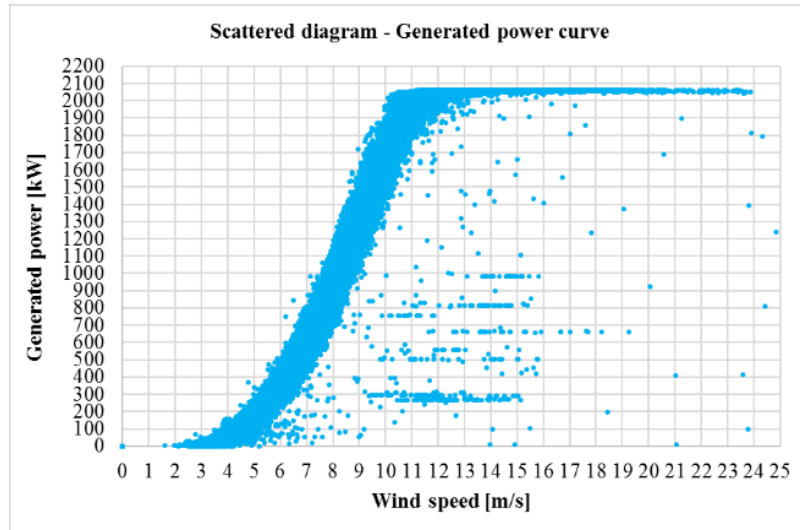
- 373 • maintenance, TERNA (an Italian electricity transmission system operator) dispatching and
- 374 realignment;
- 375 • average wind speed next to the cut-off wind speed;
- 376 • average wind speed next to the cut-in wind speed.

377 3.3. Extraction of experimental WTPC

378 In the wind practice, given the manufacturers WTPC, and given the analyses produced in the
 379 anemometric campaigns, it is possible to evaluate the producibility of the aerogenerator. Anyway,

380 the mismatch among declared and actual WTPC often results in contentious between investors and
381 manufactures. Consequently, the aim of this work is to deploy a mathematical tool capable of
382 demonstrating whether an installed aerogenerator produces in accordance with what is stated in
383 the technical datasheets. The first step was to group the actual power output versus the
384 contemporary wind speed value. As expected, the points are distributed on a sigmoid curve (Fig.
385 12).

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Fig. 12. Actual wind turbine power output vs wind speed.

391 In a simplified approach, since each operating condition is a function of only two variables,
392 velocity and density of air, it is possible to obtain the mathematical function representing the
393 WTPC for the given air density applying a mathematical model (such as those described in section
394 3.2) exploiting data and applying Ordinary Least Squares (OLS) technique. To deploy an
395 experimental WTPC the authors followed two different approaches; the first based on a simplified
396 mathematical model based on an interpolation procedure with OLS application, the second based
397 on a complex model that exploits the learning ability of an artificial neural network.

398 4. Experimental WTPC with simplified approach

399 The first operation was to remove from the previous graph all those abnormal operating
400 conditions that can be defined as outliers of the dataset. Then, the dataset was reduced from
401 52460 to 33429 values, as depicted in (Fig. 13).

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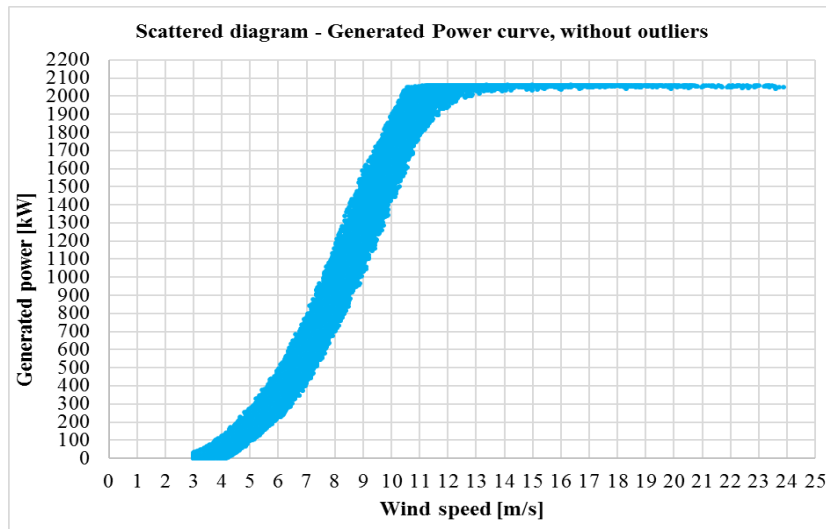


Fig. 13. Actual wind turbine power output vs wind speed without outliers.

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Data points of the reduced dataset have been used in a curve fitting procedure, a process of constructing a curve, or mathematical function, that has the best fit to a series of data points.

409 4.1 Experimental WTPC with cubic spline interpolation

410 In our implementation, the OLS method has been applied to set of third order curves in Matlab
411 environment. The computational load is significantly affected by the number of points to which
412 the OLS method has to be applied. In our case the application of Fourier series interpolation
413 requires a much higher calculation time and, considering the minimum deviation shown in Fig.
414 11, the cost / benefit ratio has led us to choose the cubic spline in comparison with Fourier
415 series.

416 Three distinct simplified models have been defined:

- 417 1. Model 1 is a model that allows to determine WTPCs depending on both the speed and
418 the air density. The air density range used in the model 1 is: (1.12-1.24 kg/m³);
- 419 2. Model 2 is a model that allows to determine the WTPC depending on wind speed and
420 for given average air density value recorded in the reference year (1.186 kg/m³);
- 421 3. Model 3 is a model that allows to determine the WTPC depending on wind speed and
422 two different air density values, summer average air density value and winter average
423 air density value.

424 The comparison between the WTPCs obtained from the models and the WTCPs issued by the
425 manufactures demonstrates a high corresponds. As example, in Fig. 14 is illustrated the
426 comparison between the WTPC obtained by the Model 2 curve fitting procedure (red curve)
427 versus the manufacturers WTPC obtained in section 3.2 considering constant the air density
428 and equal to 1.186 kg/m³ with wind speed step of 0.1 m/s (green line).

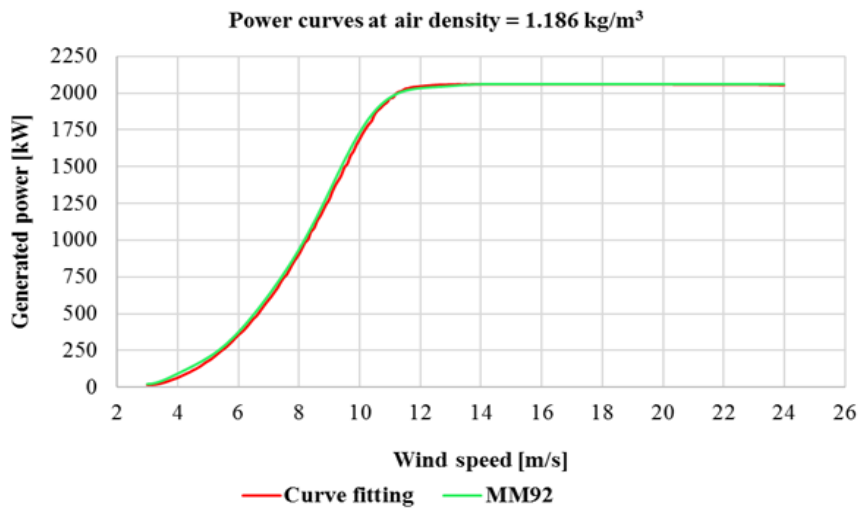


Fig. 14. Model 2 and manufacturer WTPC.

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The graph shows a very good relationship between the results of the implemented mathematical model with manufacturer data. This means that the wind turbine model under examination has a very good compliance with official datasheet.

4.2 Experimental WTPC with Fourier series interpolation

Concerning the generation of WTPC using real data and applying Fourier series interpolation, this operation has been implemented on the SCADA control system of the aero-generator that allows to extract, on the basis of the data measured in a year, the experimental WTPC in a tabular form. In Table 5 are compared the results obtained with Model 2 and those obtained with Fourier series interpolation.

Table 5: Comparison between experimental Fourier WTPC and experimental cubic spline WTPC (Model 2) with wind speed step of 1 m/s.

| Comparison between experimental WTPC for air density 1.186 kg/m ³ | | | | | | | |
|--|--------------------------|-----------------|----------|-----------|--------------------------|-----------------|----------|
| V_{med} | FOURIER (8 harmonics) | CUBIC SPLINE | Δ | V_{med} | FOURIER (8 harmonics) | CUBIC SPLINE | Δ |
| [m/s] | [kW] | [kW] | [%] | [m/s] | [kW] | [kW] | [%] |
| 3.00 | 13.97 | 15.00 | 7.35 | 14.00 | 2057.29 | 2057.79 | 0.02 |
| 4.00 | 70.32 | 67.83 | -3.54 | 15.00 | 2057.21 | 2057.42 | 0.01 |
| 5.00 | 177.03 | 178.20 | 0.66 | 16.00 | 2057.46 | 2057.59 | 0.01 |
| 6.00 | 344.67 | 349.15 | 1.30 | 17.00 | 2055.56 | 2057.92 | 0.11 |
| 7.00 | 586.90 | 585.67 | -0.21 | 18.00 | 2058.00 | 2058.00 | 0.00 |
| 8.00 | 894.30 | 896.06 | 0.20 | 19.00 | 2057.55 | 2057.55 | 0.00 |
| 9.00 | 1267.59 | 1273.01 | 0.43 | 20.00 | 2057.29 | 2057.29 | 0.00 |
| 10.00 | 1634.88 | 1673.60 | 2.37 | 21.00 | 2056.20 | 2056.20 | 0.00 |
| 11.00 | 1929.67 | 1950.85 | 1.10 | 22.00 | 2055.32 | 2055.32 | 0.00 |
| 12.00 | 2034.96 | 2042.33 | 0.36 | 23.00 | 2056.21 | 2056.21 | 0.00 |
| 13.00 | 2052.98 | 2055.68 | 0.13 | 24.00 | 2051.67 | 2051.67 | 0.00 |

Δ Average value for different wind velocity range

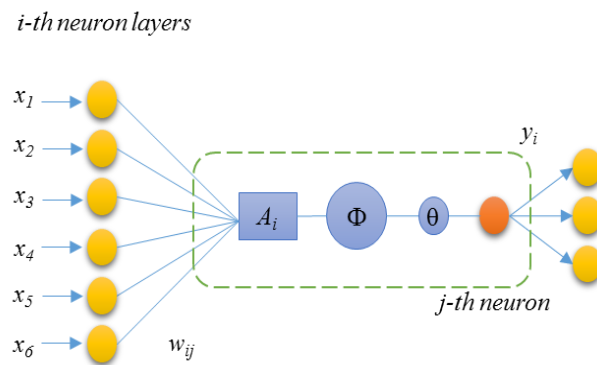
| | | |
|------------------|---------------|-------|
| wind speed range | 3 - 24 [m/s] | 0.47% |
| wind speed range | 10 - 24 [m/s] | 0.27% |
| wind speed range | 13 - 24 [m/s] | 0.02% |

446

447 Where Δ represent the percentage deviation between the Fourier and cubic spline WTPC.

448 **5. Experimental WTPC with Artificial Neural Network**

449 An ANN is a mathematical model consisting of artificial neurons that is inspired by a real
 450 biological neural network. Artificial neurons are arranged in layers and exchange information with
 451 other neurons and/or with themselves and interconnections define the topology of ANN [35]. The
 452 ANNs can be used to simulate complex relationships between inputs and outputs that other analytic
 453 functions cannot represent [36,37]. Indeed, an ANN receives external signals on a layer of input
 454 nodes, each of which is connected with numerous internal nodes, organized in multiple layers.
 455 Each node processes the received signals through its activation function (Φ) [38] and transmits the
 456 result to subsequent nodes as schematically shown in Fig. 15.
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Fig. 15. Artificial neural network scheme [35].

462 In an ANN, the link between input and output is not defined by explicit relationships but is obtained
 463 through an empirical training process based on the presentation of matching input and outputs
 464 patterns. In most cases, it is an adaptive system that changes its structure in relation to external
 465 information flowing through the network during the learning phase [35]. The training algorithm
 466 modifies some network parameters at each iteration to get the desired response from the analysed
 467 phenomenon (supervised learning mode). These parameters are the numerical weights (w_{ij})
 468 associated with the synaptic connections between the neurons of the network.

469 A dataset of actual input and output examples is used: in this case the dependent variable, the
 470 output power of the wind turbine, is a function of one or more independent variables. To the aim
 471 to internally validate the ANN training phase, a comparison between the output forecasted by the
 472 ANN and actual data is required. To this porpoise, 15% of dataset was not used during the training
 473 phase. The 5014 data points used for validation phase have been selected randomly. Furthermore,
 474 given the complexity of the analysed phenomenon, the authors applied an optimization process: a
 475 heuristic algorithm based on natural selection and biological evolution principles that belongs to a
 476 family of optimization techniques [39]. This procedure permits to optimize the topology of the
 477 ANN and some parameters involved in the activation functions.

478 5.1. ANN dataset

479 To train the ANN, a large database of experimental data was implemented: 12 different parameters
480 with 10-minute intervals were collected; 10 inputs and 1 output. Inputs are:

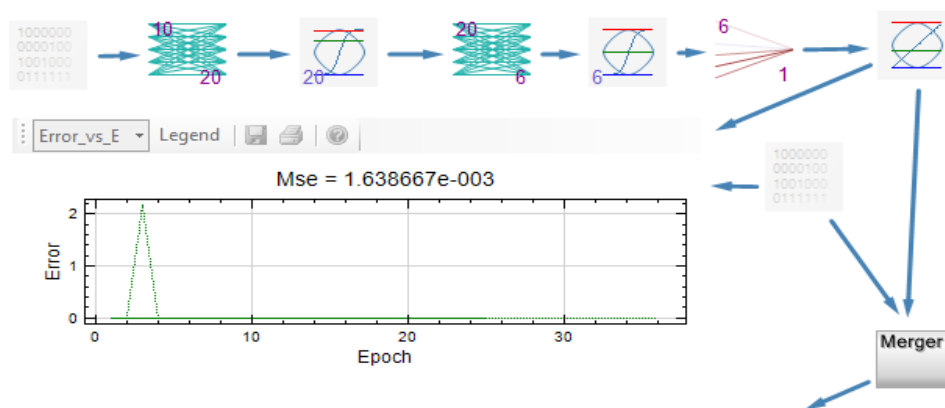
- 481 1. average hub wind speed [m/s];
- 482 2. average air density [kg/m³];
- 483 3. relative humidity [%];
- 484 4. atmospheric pressure [Pa];
- 485 5. air temperature [°C];
- 486 6. wind direction [°];
- 487 7. turbulence percentage of wind direction [%];
- 488 8. turbulence percentage of wind speed [%];
- 489 9. wind speed gust ratio;
- 490 10. wind specific power [W/m²].

491 The output data is the average output power [kW].

492 5.2. ANN development

493 After the pre-processing phase, several ANN topologies were explored. Particularly, several
494 topologies of ANNs, varying the number of neurons belonging to the hidden layers, varying the
495 type of activation functions, and changing the structure of the connections have been analysed. In
496 the following Fig. 16, the configuration of the selected best ANN is sketched.

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500 Fig. 16. Structure and topology of the selected best ANN.

501

502 This ANN is a Multilayer Perceptron (*MLP*) with Feed-forward back-propagation [40]: a
503 network where information moves in one direction, forward, from input nodes, hidden nodes,
504 and output nodes. More in detail, the network is organized in:

- 505 • 4 neuron layers;
- 506 • 1 input neuron layers with;
 - 507 – a neuron for each input signal (10 inputs);
- 508 • 2 neurons hidden layers; respectively of 20 and 6 neurons;
- 509 • 1 output neuron.

510 In Fig. 16 it also possible to observe the deviation between expected and calculated results
511 (validation phase) that after only 40 epochs is was already close to 10⁻³.

512 Among each neurons layer, it is possible to identify an activation function node that determines
513 if the output of the layer can be propagated. In our case we used a combination of linear and
514 hyperbolic tangent functions (tanh-sigmoid) [35]. Furthermore, different simulations have been
515 carried out changing the epochs of the training phase.

516 In order to obtain a more reliable model, after the identification of the best structure, an
 517 optimization phase was conducted. The use of an evolutionary process has allowed to iteratively
 518 update the values of a set of key parameters of the network to obtain better results: learning rate
 519 (η), momentum (α) and noise level for each weight layers and type of activation functions.
 520 After the optimization phase, the best ANN topology has been trained and validated for a total
 521 of 100,000 epochs, corresponding to a computational time of about 140 hours with a machine
 522 characterized by 50 core e 200 GB of RAM.

523 6. RESULTS

524 During the post processing phase, it is possible to evaluate the goodness of the ANN model,
 525 evaluating the error between expected and calculated results. In Table 6 are collected the values
 526 of the Mean Absolute Error (MAE), Median and Standard Deviation [35]; all the quantities are
 527 expressed in kW.

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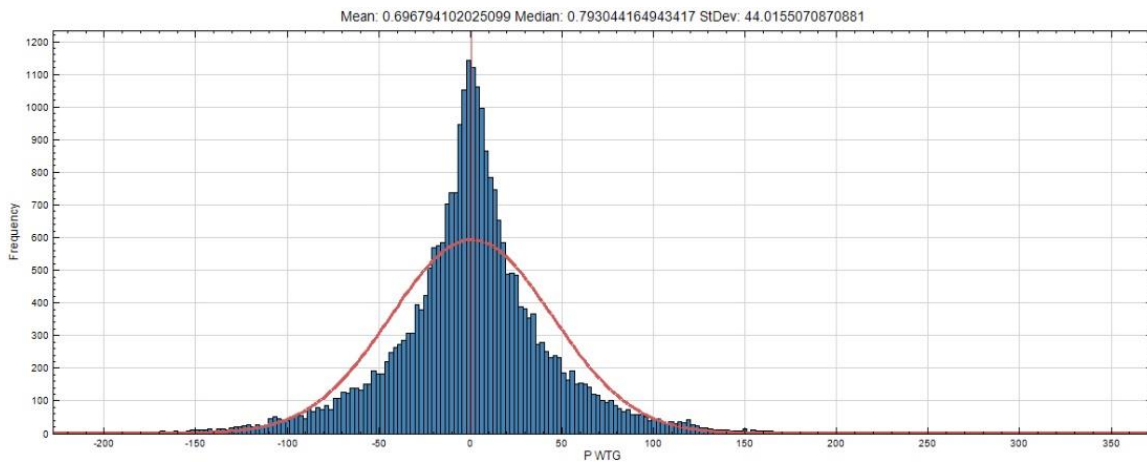
Table 6: Results of the ANN model.

| Models | Training | | | | Validation | | | |
|----------------|----------|--------|--------|----------------------|------------|--------|--------|----------------------|
| | MAE | Median | StD | Confidence range 95% | MAE | Median | StD | Confidence range 95% |
| <i>MLP ANN</i> | 0.697 | 0.793 | 44.015 | 86.278 | 1.119 | 0.985 | 44.529 | 87.294 |

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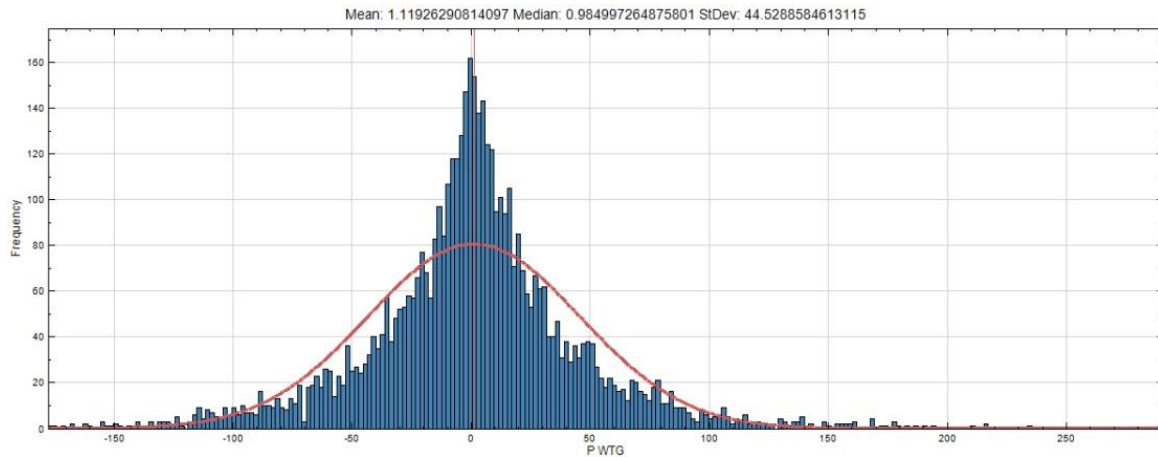
The MAE of 0.67 kW and 1.11 kW, during the training and validation phases respectively, represent a very good result because, in both cases, practically the ANN does not overestimate or underestimate the desired result. Another important result is the StDv, indeed the range of ± 44 kW represent about 2.14 % of wind turbine nominal power.

In Fig. 17 and Fig. 18, are shown the Mean Absolute Error (MAE) frequency distribution for the training and validation phase.



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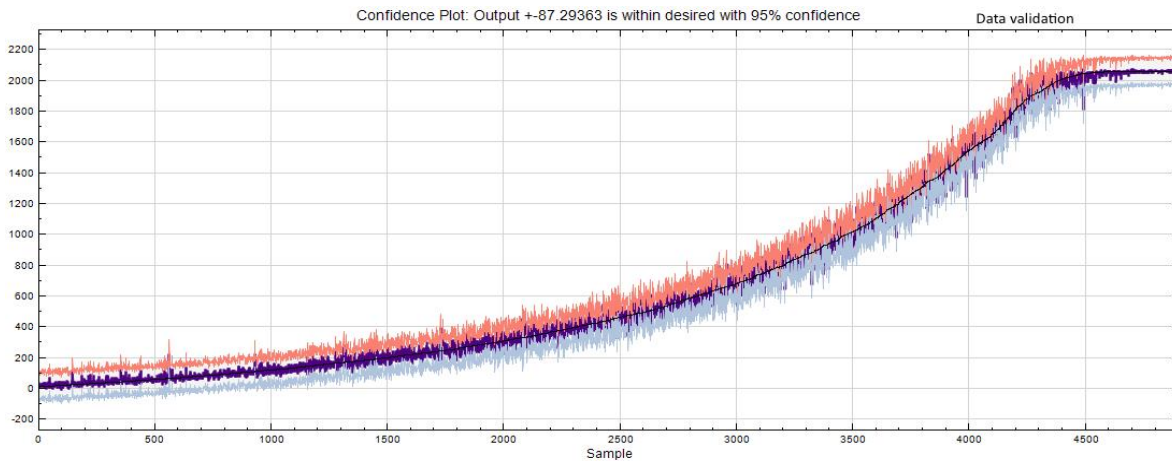
Fig. 17. MAE frequency distribution in the training phase.



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Fig. 18. MAE frequency distribution in the validation phase.

Figs. 17 and 18 show a symmetric error frequency distribution and well centred around the null value: this attests the excellent performance of the proposed neural model. The number of training epochs, although very high, did not determine the phenomenon of overfitting, for which ANNs sometimes become very good at predicting the output for the data already presented during the training phase, but they are poor in the validation phase, when are presented input values that have not been used in the training phase. Fig. 19 shows confidence plot of the power output in the range of 95% for validation dataset.



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Fig.19. ANN Confidence plot for validation dataset.

The authors compared the Experimental WTPC with Fourier series interpolation (developed in the SCADA management system) with the data provided by WTPC obtained with neural network approach. In Fig. 20 is represented the comparison between the ANN and Fourier WTPC related the following conditions:

- average wind direction 237 [°];
- relative humidity $R.H. = 62\%$;
- air temperature $T = 17\text{ [}^\circ\text{C]}$;
- turbulence percentage of wind direction 17.5%;
- turbulence percentage of wind speed = 16.75 %;
- wind speed gust ratio = 1.43.

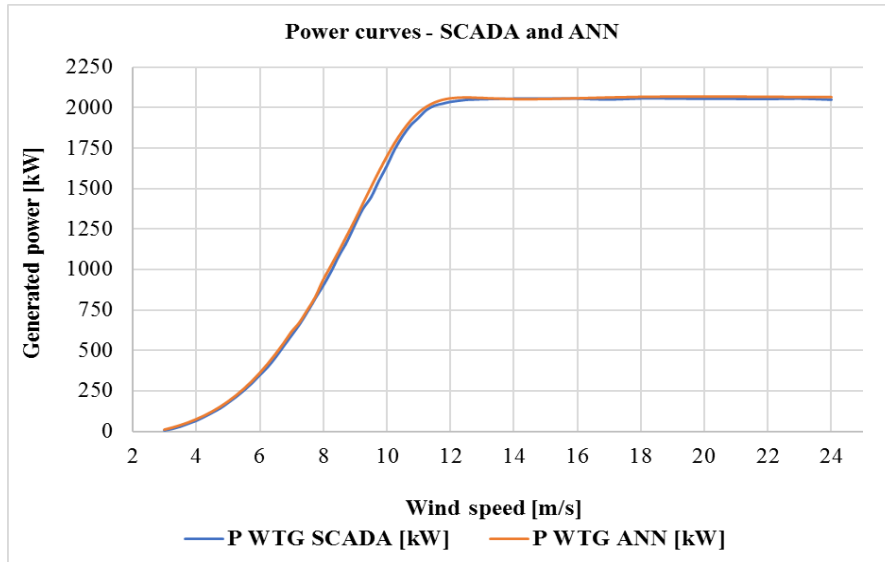


Fig. 20. ANN and SCADA WTPC.

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It is important to underline how the neural model relies on a total of 10 climatic parameters, which make the model very sophisticated and accurate, with a high reliable power output calculation in any weather conditions. To generate the WTPC used for the comparison in Fig. 20, mean annual values of average wind direction, relative humidity, air temperature, turbulence percentage of wind direction, turbulence percentage of wind speed, and wind speed gust ratio have been employed. To emphasise the high reliability of the results, in Fig. 21 and Fig. 22 are illustrated the comparison between the actual data versus the predicted data and the distribution of residuals. In Fig. 21 the prediction of the ANN fits very well with the actual power curve; all data are around the 1:1 line and the determination coefficient is close to 1.

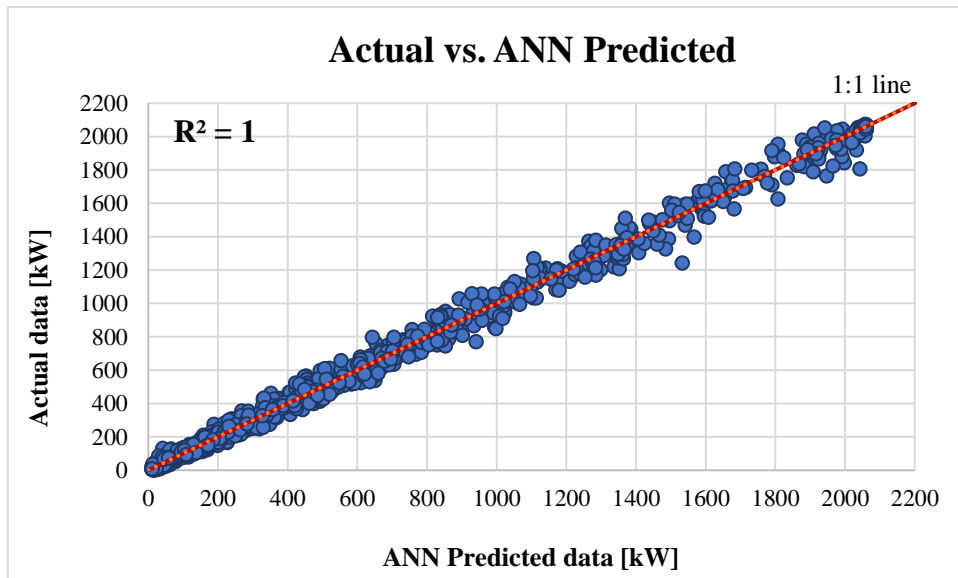
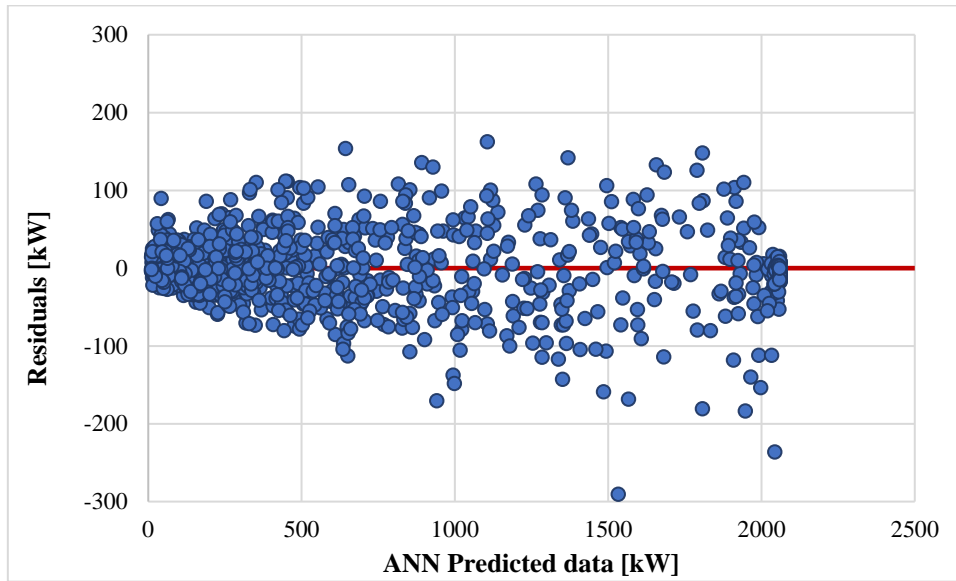


Fig. 21. Actual vs. ANN predicted data.

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589 As displayed in the Fig. 22, the residuals do not depend on the power level considered but are
 590 of the same order of magnitude along the WTPC; in 95% of cases are within a short range of
 591 ± 100 kW, about the 5% of the turbine nominal power.
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 595 Fig. 22. Residuals distribution between the actual and predicted data.
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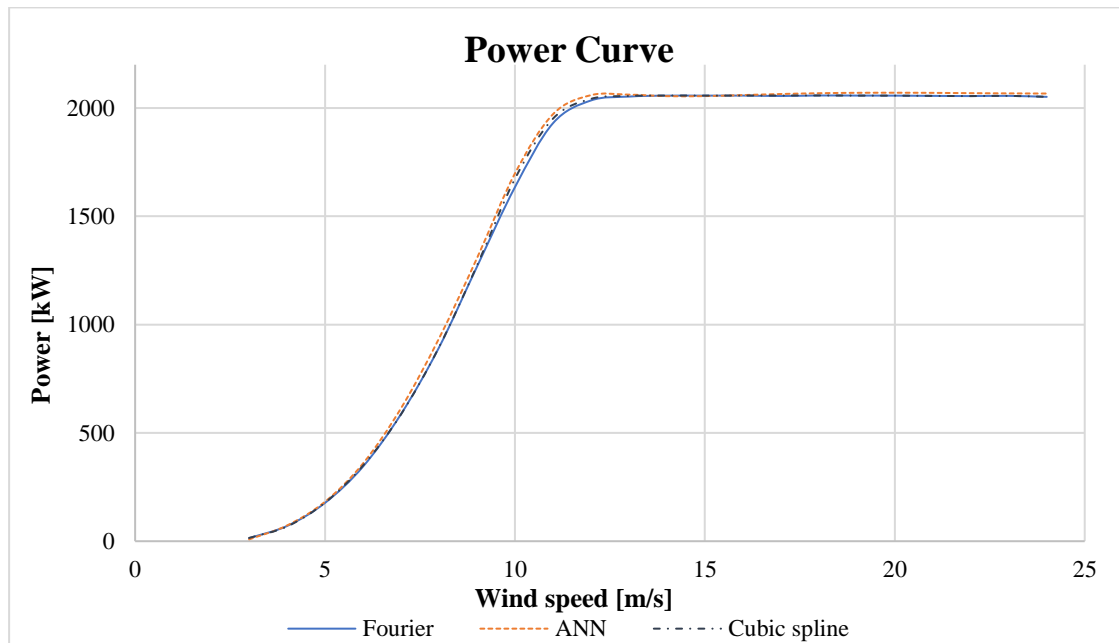
597 Table 7 shows instead the comparison between the two WTPC for wind speed step of 1 m / s and
 598 the evaluation of the corresponding error in tabular form.

599
 600 Table 7: Comparison between Fourier and ANN WTPC with wind speed step of 1 m/s.
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| Comparison between experimental WTPC for air density 1.186 kg/m ³ | | | | | | | |
|--|--------------------------|---------|----------|---------------|--------------------------|---------|----------|
| V_{med} | FOURIER (8 harmonics) | ANN | Δ | V_{med} | FOURIER (8 harmonics) | ANN | Δ |
| [m/s] | [kW] | [kW] | [%] | [m/s] | [kW] | [kW] | [%] |
| 3.00 | 13.97 | 8.28 | -40.73% | 14.00 | 2057.29 | 2054.87 | -0.12% |
| 4.00 | 70.32 | 72.56 | 3.19% | 15.00 | 2057.21 | 2055.22 | -0.10% |
| 5.00 | 177.03 | 182.32 | 2.99% | 16.00 | 2057.46 | 2059.79 | 0.11% |
| 6.00 | 344.67 | 358.91 | 4.13% | 17.00 | 2055.56 | 2064.78 | 0.45% |
| 7.00 | 586.90 | 613.89 | 4.60% | 18.00 | 2058.00 | 2068.42 | 0.51% |
| 8.00 | 894.30 | 936.10 | 4.67% | 19.00 | 2057.55 | 2070.32 | 0.62% |
| 9.00 | 1267.59 | 1306.94 | 3.10% | 20.00 | 2057.29 | 2070.69 | 0.65% |
| 10.00 | 1634.88 | 1698.34 | 3.88% | 21.00 | 2056.20 | 2070.04 | 0.67% |
| 11.00 | 1929.67 | 1971.29 | 2.16% | 22.00 | 2055.32 | 2068.67 | 0.65% |
| 12.00 | 2034.96 | 2059.42 | 1.20% | 23.00 | 2056.21 | 2067.69 | 0.56% |
| 13.00 | 2052.98 | 2061.78 | 0.43% | 24.00 | 2051.67 | 2066.93 | 0.74% |
| Δ Average value for different wind velocity range | | | | | | | |
| wind speed range | | | | 3 - 24 [m/s] | | -0.26% | |
| wind speed range | | | | 10 - 24 [m/s] | | 0.83% | |
| wind speed range | | | | 13 - 24 [m/s] | | 0.43% | |

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In this case the percentage deviations are slightly greater than those evaluated from the comparison between the WTPC obtained by the cubic spline and Fourier series interpolation.



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Fig.23. Comparison among the Fourier (manufacturer), ANN and cubic spline (Model 2) derived WTPC.

611 As example, in Fig. 23 is illustrated the comparison among: the Fourier application of issued
612 WTPC, the ANN WTPC and the spline WTPC of the Model 2. The simultaneously comparison
613 among the three models, with fixed air density value, and the results predicted in the same
614 conditions by the ANN, gives rise to graphs with practically overlapping curves, which are not
615 useful for understanding. Furthermore, it is important to underline the manner in which the
616 neural algorithm is much more accurate and flexible because allows to consider variable climate
617 and different physical weather conditions at the same time, instead the curves of the three
618 models would allow to plotting only constant density trends.

619 7. Conclusion

620 The field of research concerning the truthfulness and reliability of Wind Turbine Power Curves
621 is very important for designers but especially for investors. Small discrepancies between the
622 declared technical characteristics and the actual characteristics of the turbine can lead to
623 substantial errors in the assessment of energy productivity. For this reason, it is important to
624 have reliable mathematical procedures that allow to deduce the WTPC from the experimental
625 data so that the investors and the plant management can compare the actual characteristics with
626 those declared by the producers.

627 Based on this observation, two different approaches were studied to define WTPCs using one
628 year of monitored data over a commercial real plant. In particular, in this work have been
629 presented a simplified mathematical approach based on the elaboration of wind speed data and
630 power output with two different interpolation procedures, and a neural approach that allows to
631 immediately calculate the actual power curve taking into account simultaneously many more
632 climatic variables that influences the electric power generation.

633 The simplified mathematical approach involved the generation of three distinct models which
634 were developed on the basis of data recorded by a wind turbine SCADA monitoring system.

635 These models therefore allow to determine the WTPC according to the wind speed and to the
636 value of the air density. The first model takes into account a variability range of air density, the
637 second considers a fixed air density value, and the third model issues the WTPC taking into
638 account two constant seasonal values of air density. The generated power curves, have been
639 compared with those one officially issued by the manufacturer.
640 To make possible this comparison, being the WTPCs supplied by the manufacturer
641 characterized by a wind speed step of 1 m/s, it was necessary to interpolate the values obtaining
642 a new wind speed step of 0.1 m/s. The reliability of WTPC based on Fourier series interpolation
643 it was assessed even by comparing the energy expected and produced by the plant.
644 The neural network approach, thanks to its ability to solve complex problems, has allowed the
645 development of a mathematic tool able to quickly and reliably predict the WTPC employing
646 the dataset of climatic variables that are normally always recorded by the SCADA system of
647 the wind turbine.
648 A further comparison was made between the WTPC obtained from the ANN and those one
649 obtained with Fourier series interpolation. The results of both methods show that the developed
650 instrument can predict turbine power with a minimum error. The strength of the ANN tool
651 instrument relies in the high reliability of the forecasted power output even with limited input
652 dataset. In particular, unlike other simplified models, which are often already available in the
653 management software of wind power plants, the proposed approach is able to employ many
654 more interesting parameters in a simple and immediate way, obtaining a very good evaluation
655 of the producibility.
656 The possibility to use an extremely reliable instrument in assessing the WTPC in many different
657 weather conditions allows to help the operators of wind farms in demonstrating a possible
658 deviation of the wind turbine energy performances with respect to the official data declared by
659 the manufacturer. The ascertainment of this deviation can in fact mean, for the producer the
660 payment of huge penalties, and for the owner the recovery of lost revenues due to an erroneous
661 evaluation of the energy producibility.

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668 Courtesy of Senvion Gmbh WindeuroPe acknoWledges The kind cooPeraTion of The
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682 [https://books.google.it/books?hl=it&lr=&id=dip2LwCRCscC&oi=fnd&pg=PT8&dq=](https://books.google.it/books?hl=it&lr=&id=dip2LwCRCscC&oi=fnd&pg=PT8&dq=Wind+Energy+Handbook,+Wiley,+2001&ots=IdBFTxJrFd&sig=0BnOv1ekClxDuStoi)
683 [Wind+Energy+Handbook,+Wiley,+2001&ots=IdBFTxJrFd&sig=0BnOv1ekClxDuStoi](https://books.google.it/books?hl=it&lr=&id=dip2LwCRCscC&oi=fnd&pg=PT8&dq=Wind+Energy+Handbook,+Wiley,+2001&ots=IdBFTxJrFd&sig=0BnOv1ekClxDuStoi)

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