



# Influence of Biochar on the Properties of Antibacterial PBAT/Carvacrol Films

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## Abstract

In recent years, there has been an increasing interest in antibacterial biopolymeric films. Among the different approaches for tuning the release kinetic of antibacterial compounds, the use of natural fillers allows for this purpose while optimizing the processability and the mechanical properties of the products. In this work, the effect of three different concentrations of biochar (BC) was investigated on the morphological, rheological, mechanical, and thermal properties of Polybutylene adipate terephthalate/Carvacrol/BC (PBAT/CV/BC) ternary biocomposites. The films were fabricated by means of melt mixing and compression molding and compared to PBAT/BC samples. The carvacrol kinetic release was evaluated as a function of the BC concentration in the ternary system. Results highlighted that BC allows tuning the properties of PBAT and of PBAT/CV samples and modifying the release kinetic of CV. Finally, the antimicrobial analysis revealed that PBAT/CV films exhibited excellent antimicrobial properties against *Escherichia coli*, *Listeria monocytogenes*, *Salmonella Enteritidis*, and *Staphylococcus aureus*.

**Keywords** Biocomposites · PBAT · Biochar · Carvacrol · Antibacterial properties

## Introduction

Bacterial infections are persistent and noteworthy challenges in food and biomedical industries [1]. In general, the conventional use of antibiotics or preservatives is a suitable approach to avoid and/or mitigate bacterial proliferation and infections. However, in the frame of a constant bacterial evolution and drug resistance phenomena, the research and development of novel biopolymeric materials able to control the release of antibacterial compounds are in continuous advance [2, 3]. In this context, antimicrobial additives from natural sources, including plant essential oils, are a promising alternative for food and biomedical industries due to their availability, low toxicity, and sustainability [4]. In this context, carvacrol (CV) is a promising bactericidal

compound widely explored as additive for biopolymeric matrices [5].

Biodegradable polymers, including poly(butylene adipate terephthalate) (PBAT) [6], thermoplastic starch [7], and poly(lactic acid) [8] are considered suitable polymeric matrices for antibacterial materials development. In particular, PBAT is a fully biodegradable aromatic copolyester with good ductility and a potential alternative to polyolefin-based materials [9] that can be applied to many fields such as shopping bags, garbage bags, cutlery, and mulch film [10]. PBAT was often combined with inorganic nanoparticles [6, 11, 12] or essential oils [13–16] to develop antibacterial devices for active food packaging or biomedical applications [17].

However, the high melt viscosity, low crystallization rate, low tensile strength, and high cost currently restrict PBAT processability and industrial applications [9]. To overcome these drawbacks, PBAT is typically mixed with solid particles to make high-performance composite materials [9]. Several kinds of particles have been proposed as fillers for PBAT aiming at producing biodegradable materials with enhanced performances including lignin [18], silica [19], and cellulose [20]. Recently, biochar (BC) has been considered one of the most promising eco-friendly fillers for sustainable composites fabrication [21]. BC is

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a high carbon-content material produced by pyrolysis of biomass and has been extensively studied as a filler material for polymers [22]. In most of the existing research, the incorporation of BC into polyesters has been considered as a method for enhancing the mechanical properties of these polymers [21]. In particular, the use of BC for the fabrication of PBAT-based composites showed several interesting improvements in terms of chemical stability and mechanical improvements [23, 24].

However, the type and concentration of filler in ternary antimicrobial biocomposites can also affect the controlled release of the antibacterial compound [25–28].

In this work, three different concentrations of BC were used to prepare ternary PBAT/CV/BC biocomposite films by means of melt mixing and compression molding. The morphological, rheological, mechanical, and thermal properties of PBAT/CV/BC films were evaluated and compared to binary PBAT/BC composites with the same BC parts per hundred of resin (PHR). Moreover, the CV kinetic release was evaluated and modeled through a power law equation as a function of the BC concentration in the ternary systems. Finally, the antimicrobial activity of all the materials containing CV was tested *in vitro* against the main food-borne pathogenic bacteria.

## Materials and Methods

### Materials

PBAT (BASF, Ecoflex® F Blend C1200, Germany) in pellet form was used as raw polymer matrix. According to the supplier, it is a biodegradable, statistical, aliphatic-aromatic copolyester based on the monomers 1,4-butanediol, adipic acid, and terephthalic acid in the polymer chain. Its mass density is 1.25–1.27 g/cm<sup>3</sup>, with a melting point at 110–120 °C, and melt flow rate (MFR) at 190 °C in the range 2.5–4.5 g/10 min. The monoterpene phenol Carvacrol (liquid state, purity ≥ 98%), was purchased from Sigma Aldrich (Munich, Germany). The biochar used in this work is a commercial food grade (E153) supplied by Special

Ingredients (Savona, Italy) as an ultrafine powder obtained by pyrolysis of coconut husk.

### Film Preparation

PBAT was melt mixed with BC (5, 10, and 20 PHR) and/or CV (20 PHR), resulting in the PHR composition reported in Table 1.

Before processing, PBAT and BC were vacuum-dried at 70 °C for 4 h and at 80 °C for 12 h, respectively.

All the samples were processed by means of a Brabender laboratory mixer (Brabender, model PLE330, Duisburg, Germany) equipped with a mixing chamber of 50 cc set at 170 °C and 60 rpm. The mixing time was 5 min for PBAT and PBAT/BC samples since it was the minimum time required to obtain a plateau of the torque value during mixing. The PBAT/CV/BC mixtures were prepared by melt mixing PBAT and BC for 4 min and then adding CV for the last minute of mixing in order to minimize the evaporation of the compound, according to our previous works [29, 30]. The concentration of 20 PHR for CV was chosen according to our previous work demonstrating its efficacy at this concentration [30]. The PBAT-based samples were then collected and quenched in liquid nitrogen aiming at reducing the CV evaporation after processing.

Films of pure PBAT or PBAT/BC and PBAT/CV/BC biocomposites were prepared using a 15 cm × 15 cm × 0.2 mm height square mold in a Carver laboratory press equipped with water cooling plates (Carver Inc., Wabash, USA) set at 170 °C. The materials were preheated for 2 min in the hot-press and then pressed at 140 bar for 3 min. Then the heating flow in the plates was turned off and cooling water at 15 °C was flow within the plates for 7 min, needed to reach 35 °C, before removing the samples. All the prepared films were stored at 4 °C and characterized within 24 h of fabrication.

### Characterizations

#### Morphological Analysis

Morphology of BC particles, PBAT, PBAT/BC, and PBAT/CV/BC films was observed through scanning electron microscopy (SEM) model Quanta 200 F (FEI, Hillsboro, OR, USA) with the voltage set at 20 kV. SEM micrographs of PBAT-based materials were acquired from samples fractured in liquid nitrogen and gold-sputtered for 90 s. The size of the BC particles was measured from the SEM micrographs using ImageJ software.

**Table 1** Formulations in parts per hundred of resin of the PBAT-based samples

Sample	PBAT [PHR]	Biochar [PHR]	CV [PHR]
PBAT	100	0	0
PBAT/BC_5	100	5	0
PBAT/BC_10	100	10	0
PBAT/BC_20	100	20	0
PBAT/CV	100	0	20
PBAT/CV/BC_5	100	5	20
PBAT/CV/BC_10	100	10	20
PBAT/CV/BC_20	100	20	20

## Rheological Analysis

The dynamic rheological measurements were performed by using a plate-plate rotational rheometer (ARES G2, TA instruments, New Castle, DE, USA) equipped with a parallel-plate geometry (plate diameter=25 mm; gap=1 mm). The instrument has been set to operate at 170 °C in air atmosphere and frequency sweep mode in the range 0.1–100 rad/sec with a strain of 5%. The tests were performed in triplicate.

## Mechanical Analysis

The mechanical tensile tests were measured according to ASTM D882 by means of a universal testing machine (UTM) model Instron 3365 (Instron, Norwood, MA, USA). Rectangular specimens (10×100 mm) were fixed to the UTM gauges distant 50 mm from each other. Before each test, the actual thickness of the sample was measured by using dial gauges. The measurements were performed at a displacement rate of 100 mm/min. The mechanical properties obtained from the resulting stress-strain curves were calculated as the average of 7 tests, with an adequate reproducibility ±7%. Additionally, as per ASTM D882 guidelines, separate specimens were tested to determine the tensile modulus. In this case a displacement rate of 1 mm/min was adopted.

## Differential Scanning Calorimetry

The calorimetric properties of the materials were studied by using a Differential Scanning Calorimeter (DSC) model DSC131 (Setaram Instrumentation, Caluire, France) equipped with a chiller. PBAT-based film fragments with approximately the same weight (~10 mg) were sealed in aluminum pans. The analysis was carried out with one cycle of heating from 0 °C to 200 °C, a cooling cycle from 200 °C to 0 °C, and a second heating cycle from 0 °C to 200 °C. All the cycles were conducted at 10 °C/min under nitrogen flow. The crystallinity of PBAT samples was evaluated according to Eq. 1:

$$\chi_c (\%) = \frac{\Delta H_m}{\Delta H_{PBAT}^0 \times X_{PBAT}} \times 100 \quad (1)$$

where  $\Delta H_m$  is the melting enthalpy valued by the calorimeter during the second heating scan;  $X_{PBAT}$  represents the PBAT weight fraction and  $\Delta H_{PBAT}^0$  represents the melting enthalpy of 100% crystalline PBAT (114 J/g [17]). The values were calculated as the average of five measurements (data reproducibility: ±7%).

## Water Contact Angle Measurements

The static contact angles test was performed using an FTA 1000 (First Ten Ångstroms, Cambridge, UK) instrument using distilled water (DW) as fluid. In particular, a droplet of DW (~4 µL) was dropped on the film, and the images were taken after 10 s from the DW deposition. At least 7 spots of each sample were measured, and the average value was taken.

## Carvacrol Release Kinetics

The release curves of CV as a function of time from PBAT/CV/BC composites were evaluated in distilled water at 4 °C using a UV-Vis spectrophotometer (model Specord 252 spectrophotometer, Analytik Jena, Jena, Germany) monitoring the characteristic peak of CV at 273 nm [8]. This temperature was chosen to imitate a potential working condition of the films as active food packaging for food stored in domestic fridges. The calibration line correlating the absorbance and the CV concentration (mg/L) was created by measuring the absorbance of CV/water mixtures at different concentrations from 1 to 50 mg/L of CV, much lower than CV solubility limit in water that is between 750 mg/L [31] and 960 mg/L [32]. PBAT/CV/BC films were cut in rectangles of 10×3 cm × 0.2 mm (weight~0.75 g) and dipped in a reservoir containing 10 mL of distilled water, cooled at 4 °C and gently shaken using an orbital laboratory shaker at 100 rpm (Lab Companion, model OS 4000). For each time point, the UV-Vis absorbance of the medium was evaluated and the sample was moved from its reservoir to another one containing 10 mL of fresh distilled water, pre-cooled at 4 °C. This approach was used to avoid misleading results ascribable to the CV evaporation from the medium during the storage and to maintain the concentration of the compound lower than 20% of the solubility limit during the test, according to [33]. The release curves represent the cumulative release of CV calculated by serially adding the CV amount released after each time point. Three samples of each material were analyzed and the average value was reported.

## FTIR-ATR Analysis

FTIR-ATR spectra were obtained by using a Spectrum One spectrometer (PerkinElmer Inc., Wellesley, MA, USA) set to perform 16 scans in the range of 4000–400 cm<sup>-1</sup> with a resolution equal to 4 cm<sup>-1</sup>.

## Bacterial Strains

In order to evaluate the antibacterial properties of biocomposite PBAT films containing CV and 0, 5, 10, and 20% of BC, four bacterial strains belonging to the American Type Culture Collection (ATCC) were used as indicators of microorganisms (sensitive to antimicrobial compounds). In particular, two Gram-positive (*Listeria monocytogenes* ATCC19114 and *Staphylococcus aureus* ATCC33862) and two Gram-negative (*Escherichia coli* ATCC25922 and *Salmonella* Enteritidis ATCC13076) bacteria were chosen as representative of the main food-borne bacterial pathogens. All bacteria were reactivated in Brain Heart Infusion (BHI) broth (Condalab, Madrid, Spain) incubated at 37 °C for 24 h.

## Evaluation of Antibacterial Properties

Biocomposite PBAT films were evaluated in vitro for antibacterial properties following the approach reported by Lopresti et al. (2022). Briefly, the washed cells of the four indicator strains were inoculated at the final concentration of  $10^6$  CFU/mL into 20 mL volume sterile cups (Biogenerica Srl, Pedara, Italy) containing 6 mL of sterile saline solution [NaCl 0.9% (w/v)] and 2 discs (2-cm diameter) of each material. The cups were incubated at 37 °C and the antibacterial activity was evaluated soon after inoculation (0 d) and after 1 and 3 d of incubation. The cell suspensions were firstly serially diluted (Health Canada, 2015) and then spread plated on *E. coli*-Coliforms Chromogenic Medium (CHROMagar™) (Condolab, Madrid, Spain) to enumerate the residual *E. coli*, on *Listeria* Selective Agar Base (LSAB) supplemented with SR0140E (Oxford) for *L.*

*monocytogenes*, on Hektoen Enteric Agar (HEA) (Microbiol Diagnostici, Uta, Italy) for *S. Enteritidis*; and on Baird Parker (BP) added with rabbit plasma fibrinogen (RPF) (Oxoid) for *St. aureus*. CHROMagar™, LSAB, and HEA were incubated aerobically at 37 °C for 24 h, while BP was incubated aerobically at 37 °C for 48 h. Plate counts were performed in duplicate.

## Statistical Analysis

Microbiological data were subjected to One-Way Variance Analysis (ANOVA) using XLStat software version 7.5.2 for Excel (Addinsoft, NY, USA) and the differences between mean were determined by Tukey's test at  $p < 0.05$ .

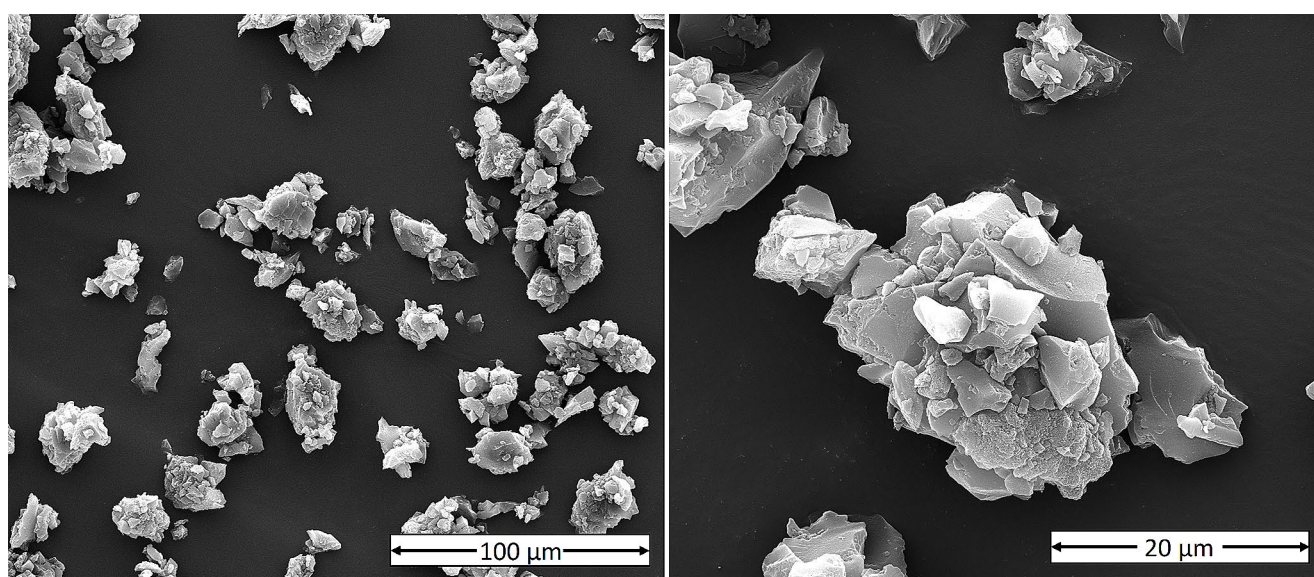
## Results and Discussion

### Morphology of PBAT-Based Composites Films

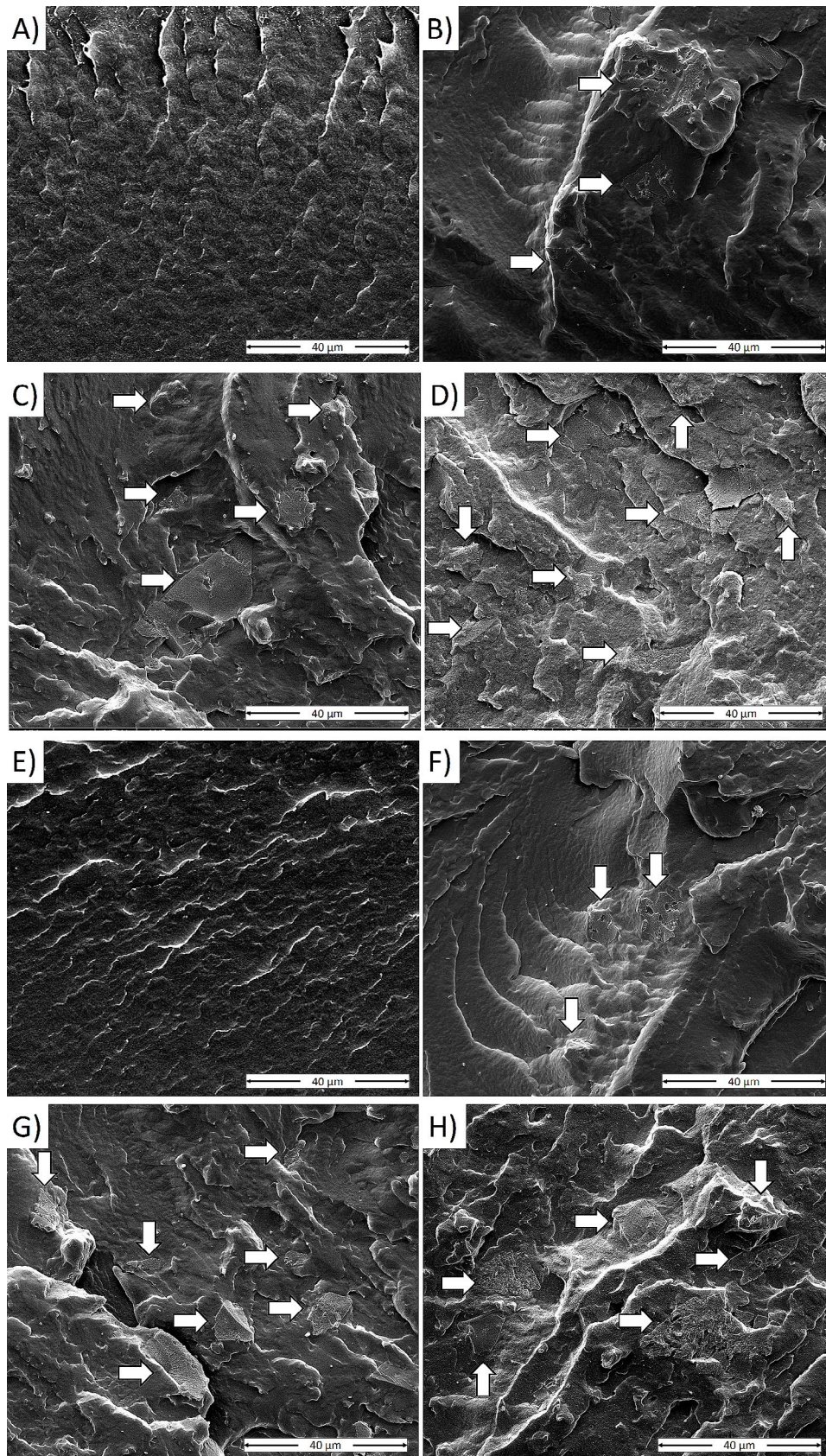
SEM images reported in Fig. 1 were carried out in order to investigate the morphology of the BC microparticles.

Raw coconut husk usually shows a fibrous structure and high lignin content [34]. Due to the pyrolysis process, it is no longer possible to identify the original lignocellulosic structure. Instead, an irregular porous structure and a variable granulometry can be observed, with particle dimensions ranging from 5 to 40  $\mu\text{m}$ , according to ImageJ analysis.

The SEM images of the fractured surfaces of the PBAT/BC (Fig. 2A-D) and PBAT/CV/BC (Fig. 2E-H) composites suggest the good dispersion of the BC particles in the PBAT matrix.



**Fig. 1** SEM images at different magnifications of the BC particles used in this work



**Fig. 2** SEM images at low magnification of the fractured surface of (A) PBAT, (B) PBAT/BC\_5, (C) PBAT/BC\_10, (D) PBAT/CV/BC\_20, (E) PBAT/CV, (F) PBAT/CV/BC\_5, (G) PBAT/CV/BC\_10, and (H) PBAT/CV/BC\_20 composites

More in detail, SEM images of PBAT and PBAT/CV blend samples appeared more homogeneous than the composites and, as expected, no presence of particles was detected. Furthermore, the absence of pores on the PBAT/CV surface can reasonably suggest the formation of a homogenous blend between PBAT and CV without evident demixing phenomenon.

The arrows in Fig. 2 indicate the bigger particles identified in the fractured surfaces although many smaller BC particles can be recognized in the micrographs of PBAT/BC and PBAT/CV/BC samples. As expected, the number of particles increased upon increasing the BC concentration, independently from the presence of CV in the composites. Furthermore, the size of the BC particles increased upon increasing the BC concentration in the composites, suggesting the formation of aggregates. Moreover, if compared to PBAT/BC composites (Fig. 2B-D), the ternary PBAT/CV/BC systems (Fig. 2F-H) showed BC particles with higher diameter, in particular at the highest concentrations (10 PHR and 20 PHR). This result suggests that the dispersion of the BC particles is more difficult when mixed with PBAT/CV blends, probably because of the lower viscosity of the samples containing CV, as will be discussed later.

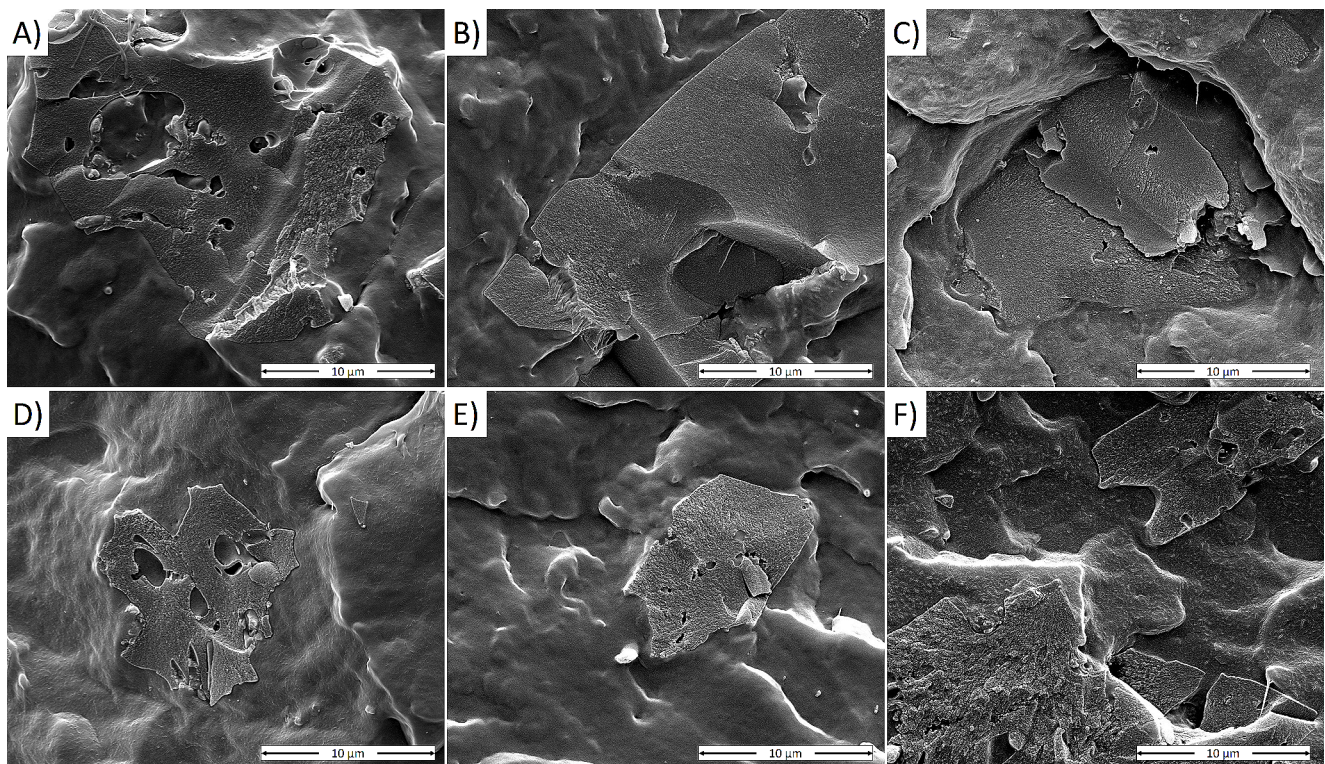
The SEM images of the composites are shown in Fig. 3 at higher magnification to better observe the PBAT/BC interface. The inclusion of BC particles led to a final structure of

the biocomposites in which the separation surface between particle and matrix is difficult to identify. Although qualitative, this result suggests a good polymer/filler affinity, also in the presence of CV in the composites, and it was confirmed by rheological and DSC analysis that will be discussed after.

Moreover, the porous structure of the BC appears partially filled by the polymer. This result can be ascribed to the high pressure set for the hot press processing likely able to fill the fillers' pores with the melt polymer matrix.

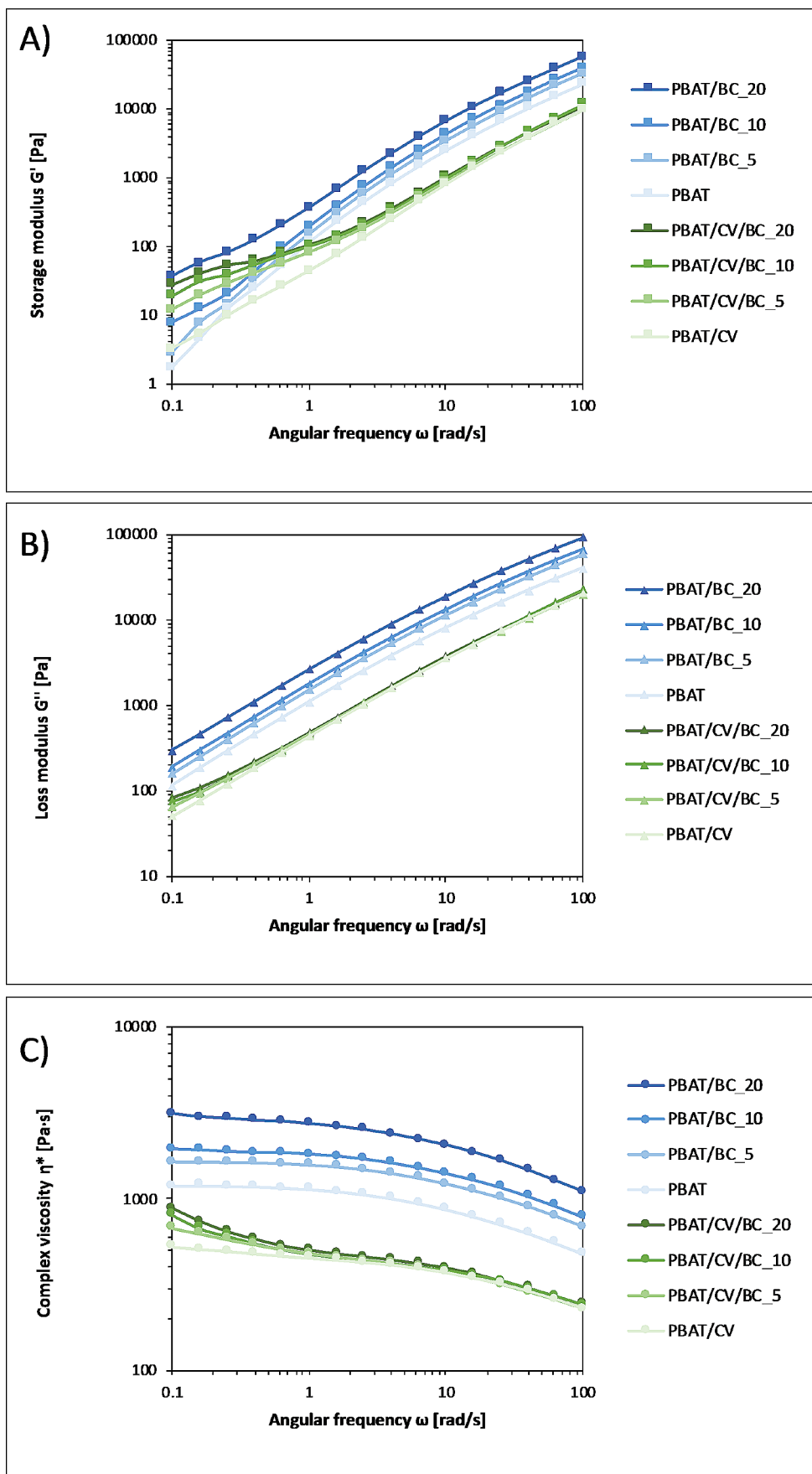
### Rheological Characterization of PBAT-Based Composites

The effect of CV and BC concentration on the rheological properties of the PBAT-based biocomposites was analyzed by measuring the storage modulus ( $G'$ ), the loss modulus ( $G''$ ), and the complex viscosity ( $\eta^*$ ) as a function of frequency (Fig. 4). If compared with pure PBAT, PBAT/BC biocomposites showed a progressive increase in the values of  $G'$  (Fig. 4A),  $G''$  (Fig. 4B), and complex viscosity (Fig. 4C) as the filler concentration increased. In scientific literature, a similar effect was already observed for polymeric composites filled with BC [35–37]. However, the



**Fig. 3** SEM images at high magnification of the fractured surface of (A) PBAT/BC\_5, (B) PBAT/BC\_10, (C) PBAT/BC\_20, (D) PBAT/CV/BC\_5, (E) PBAT/CV/BC\_10, and (F) PBAT/CV/BC\_20 composites

**Fig. 4** (A) Storage modulus, (B) Loss modulus, and (C) Complex viscosity of melt PBAT-based composites



effect of the filler on the rheological behavior of the PBAT-based samples was different in the presence or not of CV in the mixture.

The addition of BC to PBAT induced an increase of  $G'$  for all the investigated frequencies although it was more noticeable at low frequencies. As a consequence, as the filler concentration increases, the slope of  $G'$  of PBAT/BC composites at low frequencies decreases, resulting in a more pronounced solid-like behavior, in particular for the PBAT/BC composites at the highest filler concentrations. This behavior can be ascribed to the formation of an interconnected network within the polymer matrix which limits the long-range movement of the polymer chains [38]. This result can be related to good particle dispersion and good compatibility with the polymer matrix [39]. In PBAT/BC samples, also  $G''$  shows a similar trend since it increases as the BC concentration increases although the increase of  $G''$  is lower than those of  $G'$ . Similar results have been highlighted by Pinheiro et al. [40] who attribute these findings to the increased particle dispersion, which improves the stress transfer between the matrix and the filler and consequently causes an increase of both  $G'$  and  $G''$ .

The addition of 20 PHR of CV in the systems (PBAT/CV and PBAT/CV/BC samples), caused a reduction of both  $G'$  and  $G''$  moduli and the complex viscosity if compared to PBAT. This result can be probably ascribed to the low molecular weight of CV which may facilitate the macromolecular sliding and orientation under applied shear stress [41]. By observing the rheological behavior of the PBAT/CV/BC samples (and in particular those containing 20 PHR of BC), the presence of CV differently affects the value of  $G'$  at low and high frequencies. In fact, at high frequencies, the behavior of  $G'$  and of the complex viscosity of samples

that contain CV are mainly governed by the PBAT/CV matrix behavior resulting in an overlapping of the curves regardless of the concentration of BC. On the other hand, the rheology of the same samples is governed by the filler at low frequencies due to the formation of an interconnected network, as already observed for the systems without CV.

As a result, by comparing the complex viscosity of PBAT/BC samples with that of PBAT/CV/BC samples from 0.1 rad/s to 1 rad/s it is possible to observe a transition from a pseudo-Newtonian behavior for PBAT/BC samples to a shear thinning behavior for PBAT/CV/BC, mostly visible at high BC concentrations [42].

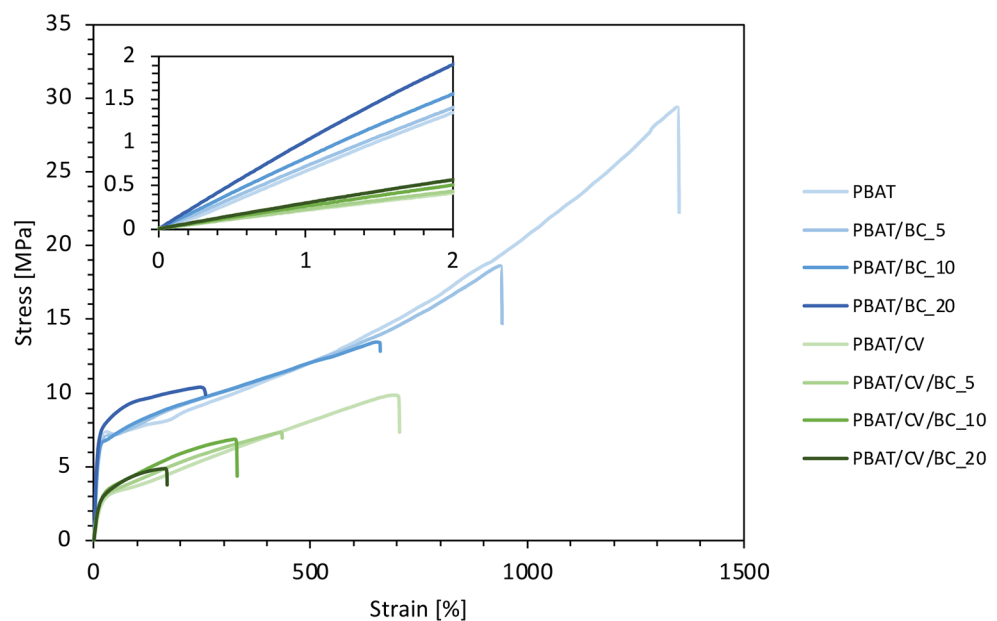
## Mechanical Properties

Tensile tests were performed to evaluate the effect of BC and CV on the mechanical behavior of PBAT. Fig. 5 shows the stress-strain curves obtained for biocomposites containing increasing amounts of BC (5, 10, and 20 PHR) in the absence or presence of CV (20 PHR). Figure 6A, B and C show elastic modulus ( $E$ ), tensile strength (TS), and elongation at break (EB), respectively, as a function of the BC concentration.

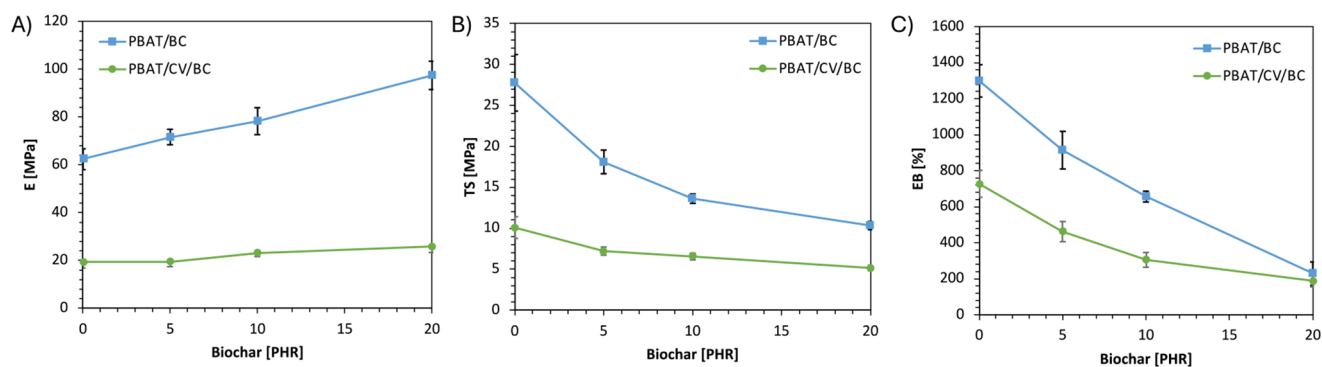
Pure PBAT shows the mechanical behavior of a ductile polymer highlighting relatively low elastic modulus ( $\sim 62$  MPa), relatively high tensile strength ( $\sim 27$  MPa), and high ultimate strain ( $\sim 1298\%$ ), values consistent with those found in the scientific literature [41–43]. The high tensile strength values can be ascribed to the ability of PBAT polymer chains to reorganize when subjected to high elongations thus undergoing to a stress-induced crystallization [44].

Graphs in Fig. 6 show that the elastic modulus of PBAT and PBAT/CV increased upon increasing the content of BC

**Fig. 5** Representative nominal stress-strain curves of PBAT-based biocomposites







**Fig. 6** (A) Elastic modulus, (B) tensile strength, and (C) elongation at break of PBAT and PBAT/CV films as a function of biochar PHR

while tensile strength and elongation at break decreased. The same Figure highlights that the presence of CV led to a decrease in all the mechanical properties investigated with respect to the polymer matrix.

The addition of BC particles (PBAT/BC samples) increased the elastic modulus of the polymer matrix by 15%, 25%, and 54% for biocomposites containing increasing percentages of BC up to 20 PHR demonstrating the reinforcing role of BC for PBAT. Those results agree with the scientific literature [36, 45–51] and can be ascribed to a reduction of the polymeric chain mobility due to the presence of solid particles. Furthermore, the BC particles can divert part of the stress from the polymer matrix, increasing the material stiffness [23].

On the other hand, as observed by SEM analysis, high BC concentration caused particle aggregation phenomena and cluster formation which may lead to an increase in stress concentration areas and a decrease in tensile strength (of about 32%, 46%, and 60%) ascribable to a reduction of the elongation at break (of about 32%, 48%, and 63% for BC PHR equal to 5, 10, and 20, respectively). The filler, therefore, was able to make the material more rigid and less ductile than pure PBAT but not brittle since the values of elongation at break remain above 200%, which is of interest for several applications including flexible food packaging.

The effect of the CV addition to the PBAT/BC samples decreased the reinforcing action of BC particles if compared to that observed on PBAT. In fact, the elastic moduli of PBAT/CV samples remain constant with the addition of 5 PHR of BC and then increase by 19% and 33% for PBAT/CV/BC\_10 and PBAT/CV/BC\_20, respectively. A decrease in tensile strength of 29%, 36%, and 48% and a decrease in elongation at break of 36%, 58% and 73% was found for PBAT/CV/BC\_5, PBAT/CV/BC\_10, and PBAT/CV/BC\_20 samples, respectively, if compared to PBAT/CV.

The decrease in the elastic modulus can be related to the molecular interactions among PBAT molecules and CV which could facilitate the sliding of the polymer chains during deformation compromising the mechanical strength of

the material, as already observed in several previous works [52–54] and coherently with the rheological analyses. This characteristic may also affect the ability of the BC particles to transfer the stress on the polymer matrix, resulting in the lower reinforcing action recorded with the tensile tests.

Regarding the reduction of tensile strength, an important factor may be the partial replacement of the strong interactions existing between the polymer chains with weak interactions between the polymer chain and CV molecules, according to the rheological measurements [41]. The presence of 20 PHR of CV caused a reduction of the elongation at break of the films except for those containing 20 PHR of BC where the elongation with and without CV was found to be comparable. A similar behavior was highlighted by the study conducted by Cardoso et al. [52] on PBAT films with increasing concentrations of oregano essential oil (OEO). The authors found that for high OEO concentrations (higher than 5 PHR), the additive is able to weaken the interactions between the polymer chains reducing the elongation of their PBAT-based films [52]. Other studies reported similar behaviors, including those carried out by Guo et al. about soy protein isolate (SPI) and PBAT films [55] and Lopez-Mata et al. [56] about chitosan films incorporated with CV.

For flexible food packaging applications, the TS of polymeric materials should be greater than 3.5 MPa [41]. The results obtained for all the samples show higher values, adequate values of tensile strength, and high values of elongation at break and therefore make them suitable for such applications.

### Thermal Properties of the PBAT-Based Films

Fig. 7A and B show the cooling and heating curves obtained by DSC of the biocomposites. Table 2 shows the crystallization temperature ( $T_c$ ), melting temperature ( $T_m$ ), enthalpy of crystallization ( $\Delta H_c$ ), melting enthalpy, and crystallinity ( $X_c$ ).

Pure PBAT shows a  $T_c$  of 72 °C, a  $T_m$  of 122 °C, and a crystallinity of 11.7%. By adding BC particles, an increase

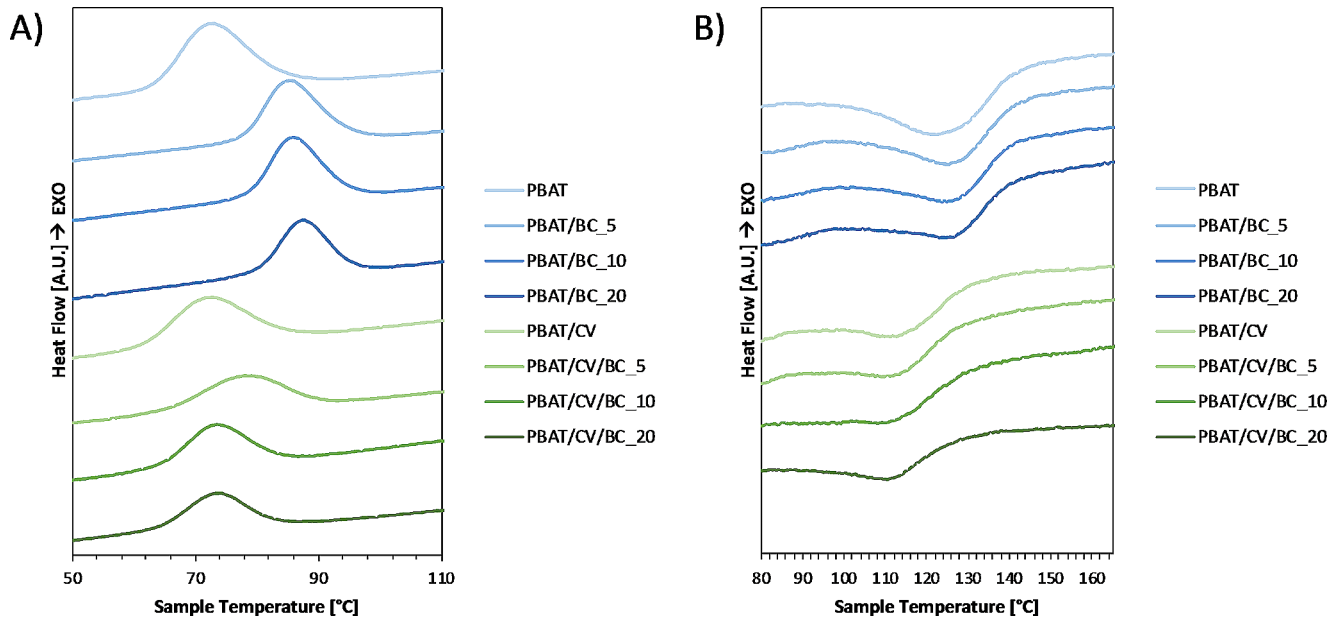


Fig. 7 DSC (A) cooling and (B) second heating thermograms of PBAT-based films

**Table 2** Thermal properties of PBAT-based films

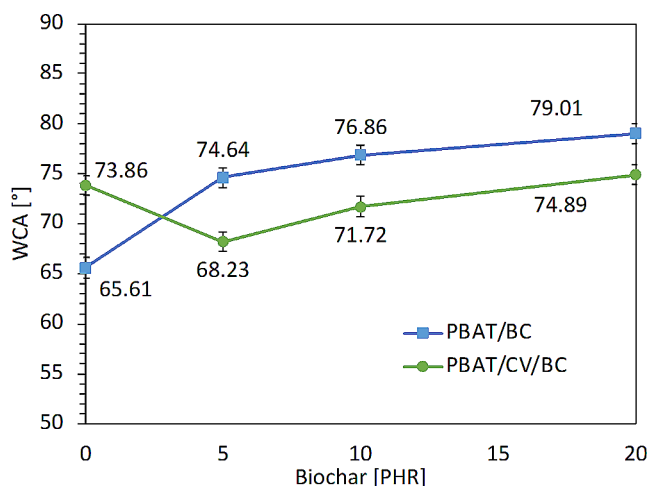
Sample	Cooling		II Heating		
	$T_c$ [°C]	$\Delta H_c$ [j/g]	$T_m$ [°C]	$\Delta H_m$ [j/g]	$X_c$ [%]
PBAT	$72.19 \pm 2.17$	$20.95 \pm 0.59$	$122.51 \pm 0.91$	$13.34 \pm 0.13$	$11.7 \pm 0.13$
PBAT/BC_5	$85.22 \pm 2.39$	$15.56 \pm 0.54$	$125.34 \pm 1.19$	$10.1 \pm 0.11$	$9.31 \pm 0.14$
PBAT/BC_10	$85.88 \pm 3.01$	$15 \pm 0.65$	$126.33 \pm 1.37$	$8.46 \pm 0.13$	$8.16 \pm 0.16$
PBAT/BC_20	$87.6 \pm 2.77$	$11.81 \pm 0.67$	$126.78 \pm 1.92$	$7.82 \pm 0.15$	$8.23 \pm 0.15$
PBAT/CV	$71.86 \pm 2.1$	$14.96 \pm 0.64$	$112.55 \pm 2.17$	$6.02 \pm 0.04$	$6.33 \pm 0.05$
PBAT/CV/BC_5	$77.91 \pm 2.35$	$11.6 \pm 0.37$	$113.34 \pm 1.72$	$6.02 \pm 0.11$	$6.61 \pm 0.12$
PBAT/CV/BC_10	$73.47 \pm 2.35$	$11.58 \pm 0.63$	$110.46 \pm 1.95$	$6.7 \pm 0.12$	$7.64 \pm 0.14$
PBAT/CV/BC_20	$73.3 \pm 2.96$	$10.02 \pm 0.33$	$110.45 \pm 1.95$	$6.11 \pm 0.2$	$7.51 \pm 0.17$

of up to 15 °C in  $T_c$  was observed while a very slight increase of  $T_m$  (up to 4 °C) and a very slight decrease (down to 3%) in crystallinity was detected. The significant increase in crystallization temperature can be ascribed to the nucleating effect of the BC particles. In fact, particles having aromatic chemical structures are able to act as a nucleating agent for semi-crystalline polymers containing an aromatic ring in their backbones through  $\pi$ - $\pi$  interactions [57]. Therefore, the crystallization of the butylene terephthalate (BT) units of PBAT can be favored by the aromatic structure of the particle.

However, a decrease in  $\Delta H_c$  and  $\Delta H_m$  was observed as the BC content increased as well as a very slight reduction in crystallinity. This result can be likely explained by assuming that BC particles are able to accelerate the crystallization of PBAT while they inhibit the growth of crystallites by hindering the rearrangement of the PBAT molecular chains during the crystallization process [58], thus leading to an incomplete crystallization during cooling [57].

Finally, the increase in  $T_m$  can be related to the promotion of crystallization kinetics allowing the formation of a more ordered crystalline structure characterized by smaller and more perfect grains that melt at a higher temperature [17, 57, 58], although other investigations will be carried out to support this hypothesis.

The addition of CV (PBAT/CV samples) does not change the  $T_c$  value, while it slightly increases upon increasing the BC concentration (PBAT/CV/BC samples). The same behavior was found by da Costa et al. [59] on PHBV film with essential oil of oregano and clay nanoparticles. However, the addition of CV led to a decrease in  $T_m$  also in the presence of the filler. Similarly, there is a reduction in the crystallinity of the films probably due to the increased mobility of the polymer chain due to CV. Similar results were already observed in previous works of da Costa et al. [59], Cui et al. [60] Liu et al. [61] and Silva et al. [62]. If compared to PBAT/CV samples, a very slight increase in crystallinity is then observed in PBAT/CV/BC samples as the amount of BC increases. This can be explained by considering the



**Fig. 8** WCA measurements of PBAT and PBAT/CV films as a function of the biochar PHR

combination of two factors: the increased mobility of the polymer chains promoted by CV and the nucleating effect of the BC particles, as mentioned previously.

### Wettability of the PBAT-Based Films

Water contact angle (WCA) is essential for investigating the wettability of biopolymeric films, and therefore the hydrophilic or hydrophobic character of their surface. Fig. 8 shows the trend of the contact angle as a function of the percentage of BC added to PBAT and PBAT/CV samples.

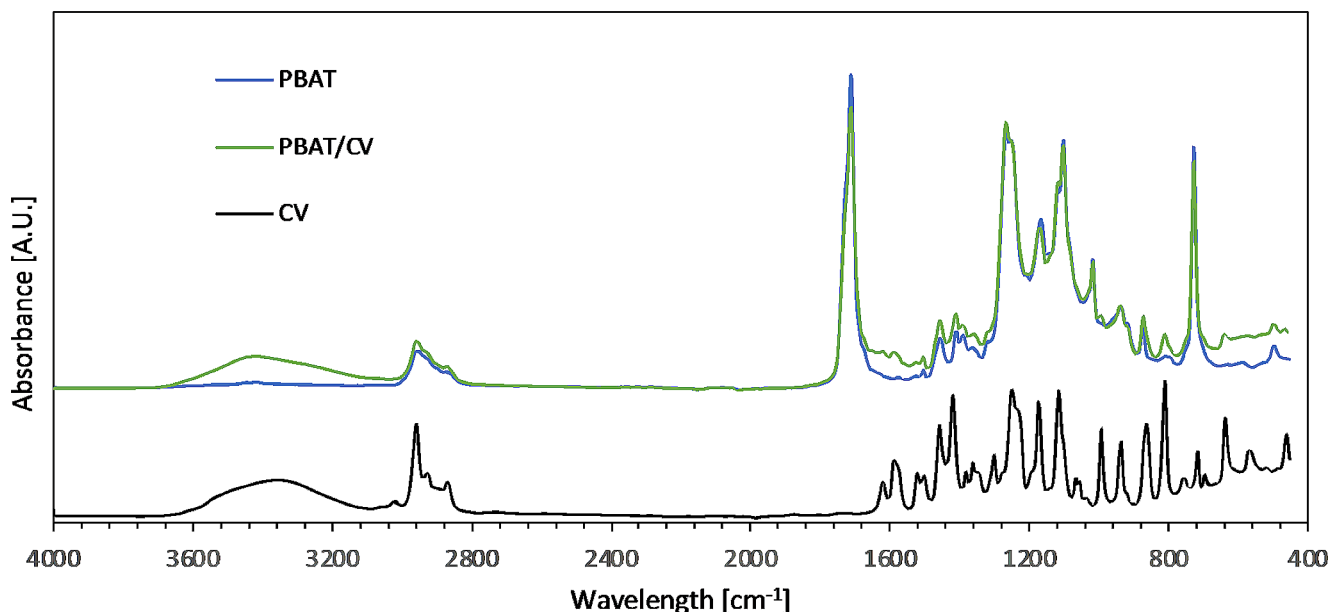
Generally, a polymeric film can be defined as hydrophobic if its WCA is greater than  $65^\circ$  [63–65], while lower WCA values are obtained by hydrophilic materials. WCA data revealed that all the films are characterized by a hydrophobic

behavior, especially as the filler content increases. These results are of considerable interest as regards a possible application of the materials obtained in the packaging sector since a low hydrophilicity in the materials is desired [30]. More in detail, pure PBAT has an average WCA value of about  $66^\circ$ , a value in agreement with the results found in the scientific literature [63], which gives the film a slightly hydrophobic character despite the presence of polar groups [64]. The addition of CV (PBAT/CV samples) led to a slight increase of the WCA that raised at  $\sim 74^\circ$ . This result can be explained considering the hydrophobic characteristic of CV [66–68] which could affect the chemical surface properties of the PBAT/CV blends as will be discussed after.

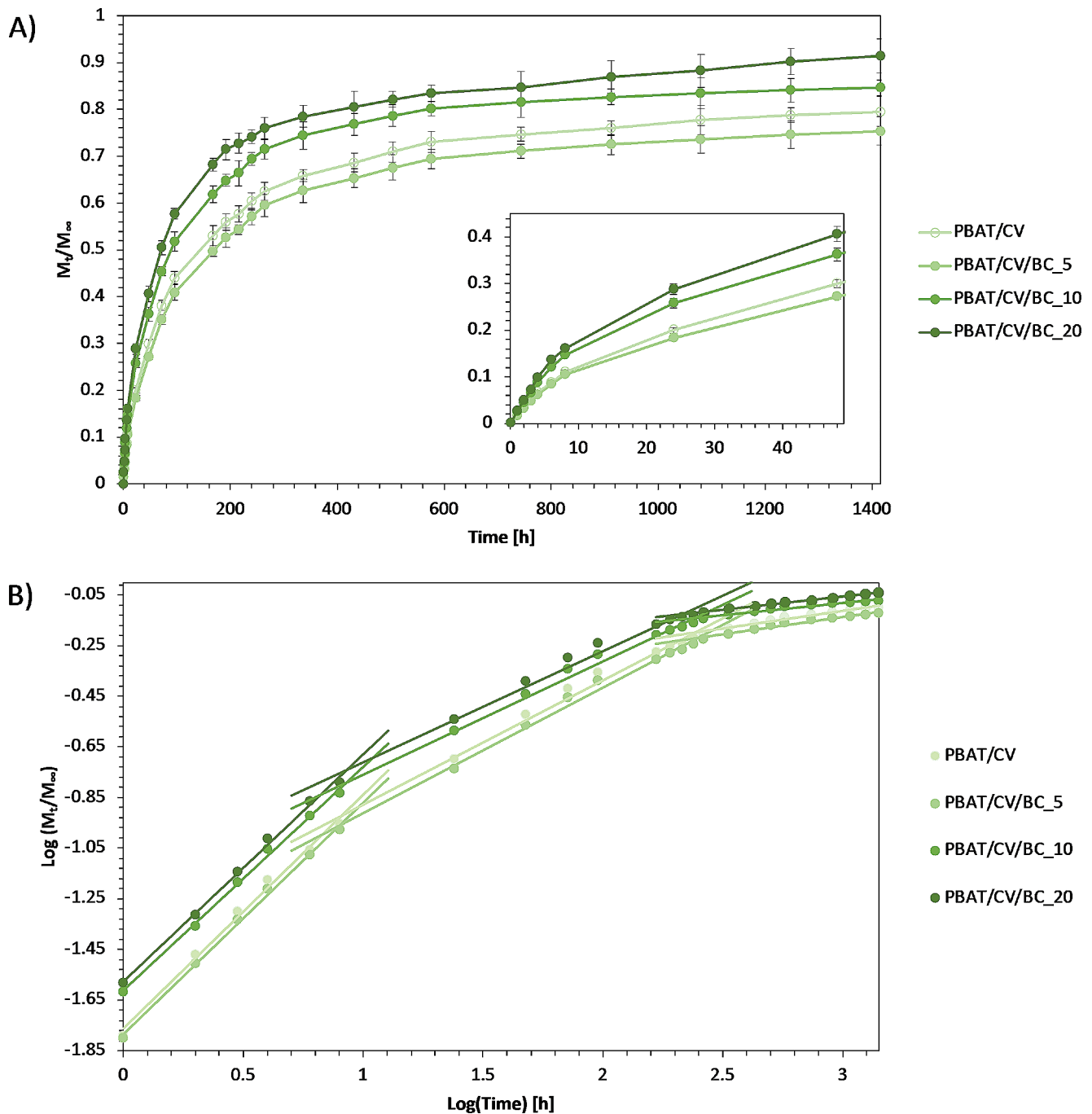
The WCA values of the CV-containing composite films (PBAT/CV/BC samples) are slightly lower, however the hydrophobic character of the materials is still maintained.

Increasing BC content made the PBAT/CV/BC samples increasingly hydrophobic and this is mainly ascribable to the hydrophobic nature of the particles. In fact, the hydrophobicity of BC is strongly dependent on the pyrolysis temperature used for the manufacturing process, i.e. the higher the processing temperature the higher the loss of hydroxyl groups of the lignocellulosic backbone, leading to more hydrophobic particles [50].

In order to better understand the effect of CV on the chemical properties of PBAT surface, FTIR-ATR analysis was carried out and reported in Figs. 9, 10. The typical FTIR peaks related to PBAT are clearly visible, including -OH vibrations at ca.  $3400\text{--}3450\text{ cm}^{-1}$ , whereas bands at  $2957\text{ cm}^{-1}$  and  $2874\text{ cm}^{-1}$  can be assigned to a  $\text{CH}_2$  stretching mode. The carbonyl groups ( $\text{C}=\text{O}$ ) presented a strong peak around  $1710\text{ cm}^{-1}$ , with a sharp peak representing  $\text{CH}_2$



**Fig. 9** FTIR-ATR spectra of PBAT, Carvacrol, and PBAT/CV blend



**Fig. 10** Release kinetics of CV from PBAT-based films in distilled water at 4 °C expressed as (A)  $M_t/M_\infty$  vs. Time and (B)  $\text{Log}(M_t/M_\infty)$  vs.  $\text{Log}(\text{Time})$

groups at  $720\text{ cm}^{-1}$ . The C–O bond in the ester linkage was observed at around  $1275\text{--}1250\text{ cm}^{-1}$  [24].

CV shows characteristic peaks at  $3378\text{ cm}^{-1}$  (–OH),  $2960\text{ cm}^{-1}$  (CH stretching),  $1459\text{ cm}^{-1}$ ,  $1382\text{ cm}^{-1}$  and  $1346\text{ cm}^{-1}$  (CH deformation), and  $866\text{ cm}^{-1}$  and  $812\text{ cm}^{-1}$  (aromatic ring) [29]. FTIR-ATR of PBAT/CV blends showed that several CV bands were overlapped by the more intense PBAT peaks. Nevertheless, the broad peak related

to –OH vibrations at ca.  $3200\text{--}3450\text{ cm}^{-1}$  in PBAT/CV is much more intense than in PBAT samples [69, 70]. Other peaks of PBAT/CV spectrum that can be related to CV molecules are the CH stretching at  $2960\text{ cm}^{-1}$ , the CH deformation at  $1459\text{ cm}^{-1}$  and, more evidently, the hydrophobic benzene ring at  $812\text{ cm}^{-1}$  [71], demonstrating the effective mixing of PBAT and CV.

It is well known that oxygenated moieties on polymeric surfaces are able to decrease the WCA of samples by increasing the cohesive forces with water [69, 70]. However, the increase of the WCA of PBAT when blended with CV (PBAT/CV samples) suggests that the resulting hydrophobic character of the film is mainly governed by the hydrophobic benzene ring of CV present on the surface of the PBAT/CV films.

### Carvacrol Release Kinetic

Fig. 9A shows the cumulative release of CV expressed as the ratio between the amount released at a given time  $t$  ( $M_t$ ) and the theoretical amount of CV incorporated in the samples ( $M_\infty$ ), equal to the absolute amount of drug incorporated within the system, as a function of time. For all the systems, the CV release was characterized by three phases: (i) a burst phase in the first 8 h of release; (ii) a second phase characterized by a slower release rate; and (iii) a release plateau after 312 h.

The BC content affected the amount of CV released if compared to PBAT/CV samples. In fact, PBAT/CV/BC\_5 samples presented the lowest final value of  $M_t/M_\infty$ , however, a higher value of this ratio was observed as the amount of BC increased, respectively for the 10 PHR and 20 PHR systems. During the first 8 h the fractions released by the PBAT/CV, PBAT/CV/BC\_5, PBAT/CV/BC\_10, and PBAT/CV/BC\_20 samples are respectively 11%, 10%, 15%, and 16%. After 48 h the fractions rise to 20%, 18%, 26%, and 29%. At the end of the test, after 1416 h, values equal to 79%, 75%, 85% and 91% are reached. It is therefore possible to deduce that low quantities of filler hinder the diffusion of CV molecules due to the tortuous path they create, high quantities have an opposite effect, leading to the creation of preferential paths that ease the CV diffusion out of the polymer matrix. This result is also coherent with SEM analysis that highlighted the presence of BC aggregates in particular at high filler concentrations for PBAT/CV/BC samples.

To study the diffusion mechanism and kinetics, the experimental data (reported in Fig. 9B by plotting the Log ( $M_t/M_\infty$ ) versus Log (time) were fitted using the following power law model [8] (Eq. 2):

$$\frac{M_t}{M_\infty} = kt^n \quad (2)$$

Where  $t$  is the release time,  $k$  is a kinetic constant related to the rate of release of the substance and  $n$  is the diffusion exponent which indicates the mechanism of release. If  $n$  is lower than 0.5 the release is governed by diffusion phenomena (Fickian); if  $n$  is 1 the release is governed by swelling phenomena, if  $n$  is between 0.5 and 1.0, an anomalous diffusion occurs due to a combination of diffusion and swelling phenomena [30]. Table 3 summarizes the values of the power law parameters obtained from the release kinetics of CV.

The analysis of the parameter  $n$  indicates that, in the first stage, the release mechanisms of all the samples are characterized by an anomalous transport although mainly controlled by swelling phenomena since all the values are around 0.9. In the second and third stages, Fickian diffusion release mechanism was detected. The release kinetics is confirmed by the analysis of  $k$ , in fact, the systems loaded at 10 PHR and 20 PHR of BC are those with the fastest release in all three stages.

Although it is often regarded as an instability problem, the burst release of active compounds can enhance their antimicrobial effectiveness by increasing their availability during the first hours of contact with the target surface [72]. Then, the sustained release of the antimicrobial compounds allows for prolonged antibacterial effects.

### Antibacterial Properties of PBAT-Based Films

The results of the antibacterial properties of the biocomposite PBAT/CV/BC films against the main four pathogenic bacteria (*E. coli*; *L. monocytogenes*; *S. Enteritidis* and *St. aureus*), responsible for food-borne diseases outbreaks [73], are reported in Table 4. At the beginning of monitoring (0 d), all pathogenic bacteria showed levels almost the same as those for inoculation, confirming that inoculums occurred at  $10^6$  CFU/mL. Significant differences ( $p < 0.0001$ ) were found from the 2nd sampling time (1 d) for the levels of all strains object of investigation among the different biocomposite PBAT films. In particular, except for the PBAT and PBAT/BC\_20 samples produced without the addition of CV, all other materials containing CV regardless of the percentage of BC added, determined a decrease of the four pathogenic bacteria below the detection limit ( $< 1$  Log CFU/mL).

These observations are not surprising because the inability of PBAT film to inhibit the growth of pathogenic bacteria

**Table 3** Power law parameters obtained from the release kinetics of CV

Sample	I Stage			II Stage			III Stage		
	k [h <sup>-1</sup> ]	n	R <sup>2</sup>	k [h <sup>-1</sup> ]	n	R <sup>2</sup>	k [h <sup>-1</sup> ]	n	R <sup>2</sup>
PBAT/CV	0.172	0.922	0.993	0.254	0.491	0.989	0.588	0.139	0.970
PBAT/CV/BC_5	0.168	0.915	0.997	0.245	0.496	0.993	0.579	0.137	0.966
PBAT/CV/BC_10	0.200	0.883	0.997	0.299	0.448	0.990	0.691	0.096	0.953
PBAT/CV/BC_20	0.207	0.896	0.996	0.316	0.440	0.984	0.687	0.106	0.995

**Table 4** Antimicrobial activity of PBAT-based films against the main food-borne bacterial pathogens

Strains/Samples	Day		
	0	1	3
<i>E. coli</i> ATCC25922			
PBAT	6.18 ± 0.11 a	6.09 ± 0.12 a	6.06 ± 0.18 a
PBAT/BC_20	6.05 ± 0.17 a	5.14 ± 0.15 b	5.09 ± 0.12 b
PBAT/CV	6.04 ± 0.16 a	< 1 c	< 1 c
PBAT/CV/BC_5	5.95 ± 0.19 a	< 1 c	< 1 c
PBAT/CV/BC_10	6.09 ± 0.13 a	< 1 c	< 1 c
PBAT/CV/BC_20	6.06 ± 0.10 a	< 1 c	< 1 c
<i>p-value</i>	0.586	< 0.0001	< 0.0001
<i>L. monocytogenes</i> ATCC19114			
PBAT	6.13 ± 0.16 a	6.06 ± 0.21 a	6.04 ± 0.16 a
PBAT/BC_20	6.16 ± 0.20 a	5.70 ± 0.14 b	5.45 ± 0.21 b
PBAT/CV	6.15 ± 0.17 a	< 1 c	< 1 c
PBAT/CV/BC_5	6.16 ± 0.11 a	< 1 c	< 1 c
PBAT/CV/BC_10	6.12 ± 0.18 a	< 1 c	< 1 c
PBAT/CV/BC_20	5.94 ± 0.13 a	< 1 c	< 1 c
<i>p-value</i>	0.552	< 0.0001	< 0.0001
<i>S. Enteritidis</i> ATCC13076			
PBAT	6.09 ± 0.13 a	6.03 ± 0.14 a	6.03 ± 0.14 a
PBAT/BC_20	6.10 ± 0.13 a	5.27 ± 0.13 b	5.25 ± 0.10 b
PBAT/CV	6.24 ± 0.20 a	< 1 c	< 1 c
PBAT/CV/BC_5	6.03 ± 0.14 a	< 1 c	< 1 c
PBAT/CV/BC_10	6.23 ± 0.15 a	< 1 c	< 1 c
PBAT/CV/BC_20	5.97 ± 0.10 a	< 1 c	< 1 c
<i>p-value</i>	0.220	< 0.0001	< 0.0001
<i>St. aureus</i> ATCC33862			
PBAT	6.14 ± 0.15 a	6.07 ± 0.10 a	6.06 ± 0.13 a
PBAT/BC_20	6.20 ± 0.14 a	5.90 ± 0.14 b	5.85 ± 0.11 b
PBAT/CV	5.90 ± 0.14 a	< 1 c	< 1 c
PBAT/CV/BC_5	6.12 ± 0.15 a	< 1 c	< 1 c
PBAT/CV/BC_10	6.17 ± 0.13 a	< 1 c	< 1 c
PBAT/CV/BC_20	6.18 ± 0.17 a	< 1 c	< 1 c
<i>p-value</i>	0.210	< 0.0001	< 0.0001

such as *E. coli* and *St. aureus* was previously reported by Venkatesan & Rajeswari [74]. Therefore, the resulting inhibition activity of these materials is due to the presence of CV rather than BC. In fact, it is well known that this phenolic monoterpene is able to inhibit the growth of Gram-positive and Gram-negative undesired pathogenic bacteria when it is included in polymeric matrices [5, 7, 75]. In particular, the inhibition activity of these materials is explained for Gram-positive bacteria by the ability of CV to move through the peptidoglycan layer and then act on the cytoplasmic membrane [76], while for the Gram-negative bacteria to spread and destroy their external lipopolysaccharide covering [77, 78].

Units are Log CFU/mL. Results indicate the mean values ± standard deviation (S.D.) of two plate counts. Data within a column followed by the same letter are not significantly different according to Tukey's test. Abbreviations: *E.*,

*Escherichia*; *L.*, *Listeria*; *S.*, *Salmonella*; *St.*, *Staphylococcus*; PBAT, Polybutylene adipate terephthalate; CV, carvacrol; BC, biochar.

## Conclusions

In this work, the chemo-physical characterization and antimicrobial potential of PBAT-based biocomposite films loaded with 20 PHR of CV and different amounts of BC (5, 10, and 20 PHR) were evaluated and compared to PBAT/BC films. The results revealed that the addition 20 PHR of CV to PBAT/BC composites strongly modify their chemical physical properties. In fact, rheological measurements showed an overall decrease in the complex viscosity of the samples, thus highlighting the sliding efficacy of CV for the PBAT molecules. The mechanical properties revealed that

the ternary PBAT/CV/BC samples still maintain values of elastic modulus, tensile strength, and elongation at break suitable for applications in flexible active food packaging. WCA analysis underlined that the hydrophobic character of the materials is still maintained. The BC content affected the amount of CV released if compared to PBAT/CV samples although it was not able to strongly reduce the burst release of CV. In fact, low quantities of BC hinder the diffusion of CV molecules due to the tortuous path they generate, while high quantities have the opposite effect, leading to the creation of preferential paths that ease the CV diffusion out of the polymer matrix. From the microbiological point of view, all PBAT films containing CV regardless of the percentage of BC added, showed the ability to inhibit all four pathogenic bacteria below the detection limit. In the future, in vivo tests with food matrices will be carried out to assess the antimicrobial efficacy of the PBAT/CV/BC films as flexible food packaging.

**Author Contributions** F.L., L.B., G.P., and G.G.: Investigation; F.L., L.B., G.G., and R.G.: Data curation; F.L., L.B., and R.G.: Writing—original draft preparation; F.L.: Writing—review & editing; L.B.: Conceptualization; L.B. and R.G.: Supervision.

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**Data Availability** No datasets were generated or analysed during the current study.

## Declarations

**Conflict of interest** The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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