

Finite elements with embedded interphases for strain localization in quasi-brittle materials

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Abstract

The paper presents a continuous-discontinuous numerical strategy for simulating localized failure in structures made of quasi-brittle materials using finite elements. The strategy is based on observing acting stresses scenarios, when a diffuse degradation is followed by high deformation bands localizing in certain regions of the structure. The numerical strategy should encompass both situations in accordance with the material's constitutive model. This objective is achieved by introducing a thin layer into a finite element at a certain level of the deformation process. In this study, the thin layer is modeled for the first time by an interphase mechanical device whose constitutive behavior is the same as the bulk material. This is possible since the interphase adds internal strains and stresses to the contact ones. As a consequence, no additional constitutive model and parameters are needed, unlike the zero-thickness interface or cohesive zone models commonly employed.

The proposed numerical strategy is illustrated in detail both at the element level and at the structural level. A new crack tracking algorithm has been developed based on decomposition of the model into substructures to allow cracks to cross arbitrary meshes. Some benchmark examples are presented showing the mesh-size and mesh-bias independence of results, together with the convergence behavior of the model.

Keywords: Localization, Interphase, Quasi-brittle materials, Isotropic Damage Model.

1. Introduction

2 During their softening stage, rate independent inelastic solids consist-
3 ing of quasi-brittle materials exhibit strain localization in relatively narrow

4 zones. Narrow zones are characterized by the formation of micro-cracks and
5 micro-voids whose evolution represents the macroscopic crack.
6 A number of researchers have been fascinated by the theoretical and com-
7 putational aspects of this mechanical phenomenon. In the pioneering work
8 of Rudnicki and Rice [1], later generalized by Ottosen and Runesson [2], the
9 onset of localized deformations is triggered by the attainment of a critical
10 stability condition at the constitutive level where discontinuous bifurcation
11 of the strain state occurs.
12 The evolution of the localization band can be modeled using the discrete or
13 the continuum approach.
14 In the discrete approach the localization band is depicted as a material vol-
15 ume confined by two surfaces, called *weak discontinuity surfaces*. Their dis-
16 tance or band thickness is generally considered constant and represents an
17 additional constitutive parameter of the material. In the band the strain
18 state can be decomposed in the *in plane* components and in the *out of plane*
19 components, being the former regular and the latter discontinuous. This is
20 known as *weak discontinuity* and can be captured by an apposite enrichment
21 of the strain field [3, 4].
22 If the thickness of the band is small compared to the typical dimensions of
23 the structure, the strain state can be assumed to be uniform throughout the
24 thickness. It can be evaluated measuring the displacement of the surfaces
25 delimiting the localization area. Adopting the zero thickness interface (ZTI)
26 model the in plane strain components are neglected and the out of plane
27 components are evaluated on the basis of the displacement jumps between
28 the two weak discontinuity surfaces. In literature this kinematic description
29 of the strain state of the band is known as *strong discontinuity* model [5, 6, 7].
30 The continuum modelling approach is mainly expressed in the formulation
31 of advanced constitutive models as the non-local [8] and gradient models [9],
32 where the response of a material point is related to its neighbours. In this
33 case the strain discontinuity is regularized on the material volume, enriching
34 the physical content of the local material models with one or more intrinsic
35 length parameters. Consequently, the constitutive equations describe more
36 accurately the real material behavior.
37 Most recently, the phase field theory has been applied to the problem of
38 strain localization [10, 11] by introducing the phase variable to describe the
39 smooth transition from the sound material to the localized material. In this
40 sense, phase field models belong to the class of regularized continuum mod-
41 els.

42 From a computational point of view research efforts have been mostly con-
43 centrated on the simulation of the localization phenomenon, using the finite
44 element method and adopting the discrete approach. In this field we can
45 distinguish between studies where the strong discontinuity is *interelement*
46 located and studies where it is *intraelement* located.

47 In the first case, the ZTIs are pre-defined between opposite sides of adjacent
48 elements or the mesh is rearranged in order to have ZTIs between two ele-
49 ments [12]. The specific cracking pattern resulting from the applied load is
50 a subset of the spider web depicted by the interface elements [13, 14]. Crack
51 formation, branching and coalescing are guided by the interface constitutive
52 law.

53 In the second case, different strategies have been used to extend the capabil-
54 ities of classical finite elements to model intraelement displacement jumps.
55 The Generalized-Finite Element Method (G-FEM) [15] and the Extended-
56 Finite Element Method (X-FEM) [16] are examples of such numerical strate-
57 gies. In both cases the approximation of the field variable is enriched making
58 use of the Partition of Unity Method (PUM) which first appeared in the work
59 of Babuška et al. [17]. The most significant advantage of these methods is
60 modelling discontinuities and their development without requiring the defi-
61 nition of a new mesh. These methods only increase the number of degrees of
62 freedom in the elements intersected by the crack. However, the numerical in-
63 tegration of elements crossed by a discontinuity requires a special treatment,
64 which is different in the presence of different interpolation basis (triangles,
65 quadrilaterals, etc.), for 2D or 3D cases [18]. Even though the additional
66 degrees of freedom cause a small increase in the overall computational cost,
67 the implementation itself is time-consuming.

68 The Phantom Node Method (PNM) has been derived from the work of
69 Hansbo and Hansbo [19] and can be considered as a variant of the X-FEM
70 [20, 21] since it reinterprets the approximation of the X-FEM displacement
71 field by the superposition of the displacement fields of two overlapped finite
72 elements. The advantage of PNM compared to X-FEM is that no discon-
73 tinuous interpolation functions are required since each overlapping element
74 furnishes the displacement field on one side of the crack.

75 A tracking algorithm based on the Virtual Element Method (VEM) has been
76 recently proposed [22]. This method introduces cohesive interfaces between
77 polygons characterized by any number of edges. The ease of implementa-
78 tion, the absence of a parent element, and the high performance even in the
79 presence of distorted elements or non-conforming meshes are the main ad-

80 vantages of the method. The main disadvantages are the need to insert new
81 nodes or move some of the existing ones, and the difficulty of handling crack
82 branching.

83 Self-propagating non-continuous crack models have been proposed in the
84 framework of meshless method [23] or finite element method [24].

85 The Augmented Finite Element Method (A-FEM) [25, 26, 27, 28] operates
86 at the element level and does not require enrichment of the shape functions
87 to approximate the displacement field. In a different manner with respect
88 to the PNM, A-FEM simulates weak and strong discontinuities by splitting
89 the element into two mathematically separable standard elements which are
90 adjacent to the discontinuity surface. Initially, additional degrees of freedom
91 are introduced to decompose the cracked element. Then, they are condensed
92 at the element equilibrium level. Hence, they are not present at the global
93 level.

94 Some of the benefits of A-FEM are [25, 26, 27, 28]:

- 95 • elements are split into standard finite elements, fully compatible with
96 standard finite elements packages;
- 97 • possibility to consider different material properties for the sub-elements;
- 98 • no need for level-set information or to necessarily know if a sub-element
99 is below or above the discontinuity;
- 100 • reduced computational cost;
- 101 • straightforward implementation to 3D cases.

102 The principal difference of our approach with the classical A-FEM and X-
103 FEM regards the possibility to follow the material failure from the strain
104 localization in a thin layer band to the crack opening by using the interphase
105 concept in place of the quite common ZTI model. The IPH was introduced by
106 Giambanco and Mróz [29] and implemented in the finite element framework
107 by Giambanco et al. [30]. The IPH model can be considered the enrichment
108 of the ZTI since it allows to model both the contact and the internal strains
109 of the thin layer. In addition, it extends the calculation of stresses also to
110 internal components. As a consequence, the thin layer response is more real-
111 istic and some phenomena such as the squeezing effect can be captured [30].
112 The most relevant point is that, unlike ZTI models, IPH does not require
113 a specific traction-displacement jump constitutive law and the constitutive

114 laws adopted for IPH can correspond to those of bulk material.
 115 Additional efforts have been made to formulate an original crack tracking
 116 algorithm. The model is decomposed into non-localized elements and sub-
 117 structures where elements intersected by cracks are grouped.
 118 The localization analysis is performed for a strain softening homogeneous
 119 continuum obeying the damage model with strain-based loading functions
 120 formulated by Jirásek [31]. However, the proposed numerical approach has
 121 general applicability and any constitutive model could be implemented. At
 122 the material point, the damage level is linked to a scalar variable representing
 123 the highest strain ever recorded during loading history. The localization band
 124 arises at the material point where the constitutive instability is detected but,
 125 according to the Delayed Embedded Crack (DEC) model [32, 33], the IPH
 126 is inserted upon stabilization of the band direction.
 127 The band direction is identified through a spectral analysis of a fracture ten-
 128 sor introduced in this study. Convergence and validation of the model are
 129 assessed through benchmark examples and comparisons with experimental
 130 and numerical data available in the literature.
 131 The paper is organized as follows. Section 2 shows the basic assumptions
 132 and derives the equilibrium equations for an IPH element. It also reports the
 133 fundamentals of the adopted constitutive model. In Section 3 and Section
 134 4 details about the implementation at the finite element and structural lev-
 135 els respectively are reported, with particular attention to the crack tracking
 136 algorithm. Section 5 gathers the results of four different applications, while
 137 Section 6 gives the main conclusions of this work.

138 2. Problem definition

139 The mechanical problem regards a solid body Ω (Fig. 1), defined in the
 140 Euclidean space R^3 , referred to the orthonormal frame $(0, \mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z)$. The
 141 body is constituted by a strain softening material, which under severe load-
 142 ing conditions presents a narrow zone Ω_b where strains concentrate. The
 143 body is subjected to volume forces \mathbf{f} , to tractions \mathbf{t} on Γ_t and to kinematic
 144 constraints $\mathbf{u} = \bar{\mathbf{u}}$ on Γ_u respectively.
 145 The thin material layer Ω_b has thickness w_b and is separated from the re-
 146 maining parts Ω^+ and Ω^- by the weak discontinuity surfaces Σ^+, Σ^- where
 147 the displacement field is continuous and its gradient suffers discontinuity.
 148 It is assumed that the band thickness is small if compared with the charac-
 149 teristic dimensions of the body and is modelled using an IPH model. Typical

150 of IPH or ZTI models, the band is also assumed to be locally planar. This
 151 means that any mechanical quantity related to band curvature is neglected.
 152 With these hypotheses at hand, in order to study the static and kinematic
 153 conditions of the localization band we refer to a local Cartesian coordinate
 154 system (x_b, y_b, z_b) with x_b, y_b axes lying within the middle plane Σ_b of the joint
 155 and the z_b axis coinciding with the normal unit vector \mathbf{n}_b directed towards
 156 the body Ω^+ , Figure 2. The thin layer is subject to the external tractions
 157 \mathbf{t} on the lateral surface Γ_b and to the contact tractions \mathbf{q}^+ and \mathbf{q}^- on the
 158 physical surfaces Σ^+ and Σ^- , respectively.

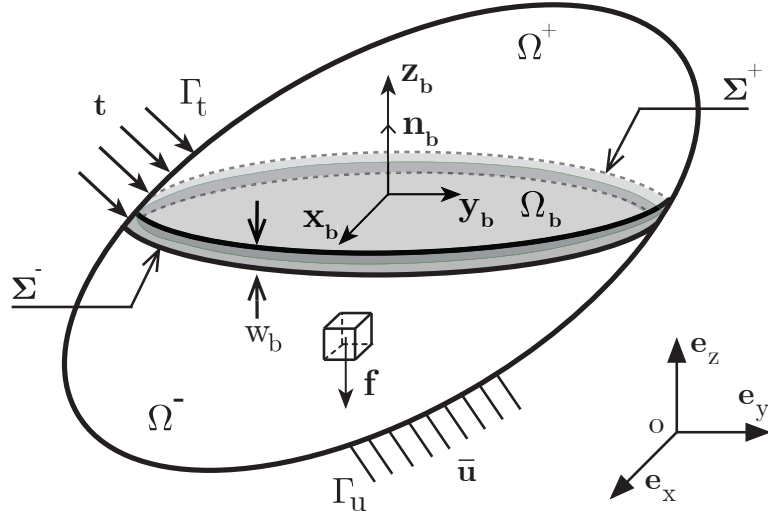


Figure 1: Schematic representation of a continuous body with a localization band.

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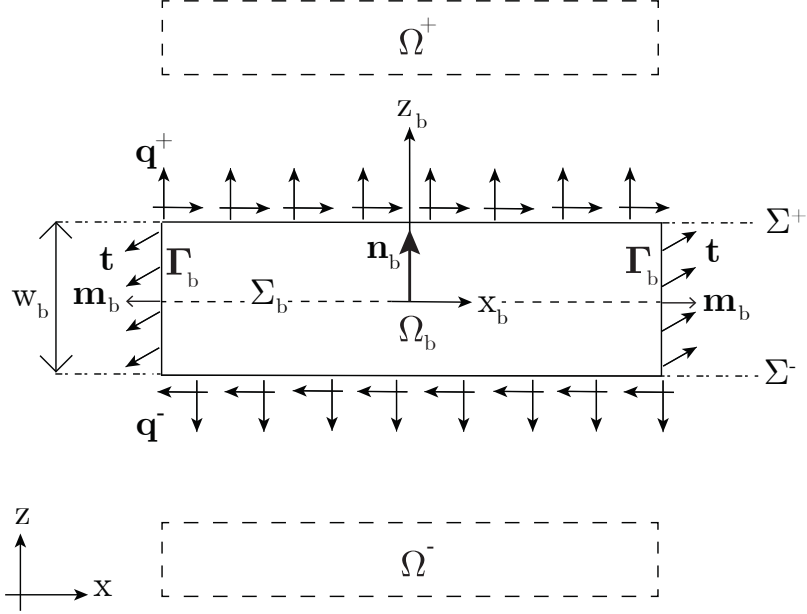


Figure 2: Schematic representation of the band volume.

161 *2.1. Geometry and Kinematics*

162 The geometric and kinematic assumptions for the localization band are:

- 163 • the localization band is planar;
- 164 • fibers in the z_b direction are maintained rectilinear along the deforma-
- 165 tion process;
- 166 • the band thickness w_b is small if compared to the characteristic dimen-
- 167 sions of the solid;
- 168 • the strain state is uniform along the band thickness and is obviously
- 169 equal to the average value along the same direction.

170 In view of the second hypothesis the displacement field \mathbf{u}_b in the band

171 can be easily obtained from the displacements \mathbf{u}_b^+ , \mathbf{u}_b^- in Σ^+ and Σ^- , thus

$$\mathbf{u}_b(x_b, y_b, z_b) = \left(\frac{1}{2} + \frac{z_b}{w_b}\right) \mathbf{u}_b^+(x_b, y_b) + \left(\frac{1}{2} - \frac{z_b}{w_b}\right) \mathbf{u}_b^-(x_b, y_b). \quad (1)$$

172 Due to other hypotheses, the thin layer representing the localization band
 173 collapses in its middle surface Σ_b and the strain state can be calculated from
 174 Eq. (1) in the following way

$$\boldsymbol{\varepsilon}_b = \frac{1}{w_b} \int_{-\frac{w_b}{2}}^{\frac{w_b}{2}} \nabla^s \mathbf{u}_b \, dz_b = \frac{1}{w_b} ([\mathbf{u}_b] \otimes \mathbf{n}_b)^s + \nabla^s \hat{\mathbf{u}}_b \quad (2)$$

175 where $(\cdot \otimes \cdot)^s$ is the symmetric part of the resulting tensor, \mathbf{n}_b is the
 176 unit vector normal to the middle surface of the localization band, ∇^s is the
 177 symmetric part of the gradient operator and

$$[\mathbf{u}_b] = \mathbf{u}_b^+ - \mathbf{u}_b^-, \quad \hat{\mathbf{u}}_b = \left(\frac{\mathbf{u}_b^+ + \mathbf{u}_b^-}{2} \right). \quad (3)$$

178 Let us note that the joint curvatures generated by the displacement field
 179 (1) and the related flexural effects are neglected. Therefore, the strain state
 180 of the IPH depends on the displacement discontinuity $[\mathbf{u}_b]$ between the sur-
 181 faces Σ^+ and Σ^- and on the displacements $\hat{\mathbf{u}}_b$ of the middle plane Σ_b of the
 182 localization band. Eq. (2) illustrates the decomposition of the total strain in
 183 two parts: the first term is the contact or irregular part $\boldsymbol{\varepsilon}_b^c$ while the latter
 184 is the internal or regular part $\boldsymbol{\varepsilon}_b^i$.

185 2.2. Forces and Equilibrium

186 Let us consider the IPH subject to the contact tractions \mathbf{q}^+ on the surface
 187 Σ^+ , \mathbf{q}^- on the surface Σ^- and to the external load \mathbf{t} on the solid boundary Γ_b .
 188 The principle of virtual displacements (PVD) asserts that the work produced
 189 by the contact tractions and the external loads must be equal to the internal
 190 work developed in the localization band, thus

$$\int_{\Sigma^+} \delta \mathbf{u}_b^+ \cdot \mathbf{q}^+ \, d\Sigma + \int_{\Sigma^-} \delta \mathbf{u}_b^- \cdot \mathbf{q}^- \, d\Sigma + \int_{\Gamma_b} \delta \mathbf{u}_b \cdot \mathbf{t} \, d\Gamma = \int_{\Omega_b} \delta \boldsymbol{\varepsilon}_b : \boldsymbol{\sigma}_b \, d\Omega. \quad (4)$$

191 Virtual displacements, preceded by the symbol δ , are assigned while the
 192 virtual strains must satisfy Eq. (2). Since the strain state is uniform along the
 193 band thickness, consistently the stress state can also be considered uniform
 194 along the same direction. Therefore the internal work assumes the following
 195 expression:

$$\int_{\Omega_b} \delta \boldsymbol{\varepsilon}_b : \boldsymbol{\sigma}_b \, d\Omega = \int_{\Sigma_b} [(\delta [\mathbf{u}_b] \otimes \mathbf{n}_b)^s + w_b \nabla^s \delta \hat{\mathbf{u}}_b] : \boldsymbol{\sigma}_b \, d\Sigma \quad (5)$$

196 which applying the divergence theorem to the second term of the right-
197 hand side becomes

$$\int_{\Omega_b} \delta \boldsymbol{\varepsilon}_b : \boldsymbol{\sigma}_b \, d\Omega = \int_{\Sigma_b} [(\delta [\mathbf{u}_b] \otimes \mathbf{n}_b)^s : \boldsymbol{\sigma}_b - w_b \delta \hat{\mathbf{u}}_b \cdot \operatorname{div} \boldsymbol{\sigma}_b] \, d\Sigma + w_b \int_{C_b} \delta \hat{\mathbf{u}}_b \cdot (\boldsymbol{\sigma}_b \cdot \mathbf{m}_b) \, dC. \quad (6)$$

198 C_b is the contour of the localization band middle surface and \mathbf{m}_b is the
199 unit vector normal to the contour line.

200 Substituting the integral (6) in the PVD and assuming that $\Sigma^+ \equiv \Sigma^- \equiv \Sigma_b$,
201 using positions (3) and considering that the surface forces on Γ_b are uniform
202 along the thickness of the thin layer, we have

$$\int_{\Sigma_b} \delta \mathbf{u}_b^+ \cdot \left(\mathbf{q}^+ + \frac{w^b}{2} \operatorname{div} \boldsymbol{\sigma}_b - \boldsymbol{\sigma}_b \cdot \mathbf{n}_b \right) \, d\Sigma + \int_{\Sigma_b} \delta \mathbf{u}_b^- \cdot \left(\mathbf{q}^- + \frac{w^b}{2} \operatorname{div} \boldsymbol{\sigma}_b + \boldsymbol{\sigma}_b \cdot \mathbf{n}_b \right) \, d\Sigma + \int_{C_b} \delta \hat{\mathbf{u}}_b \cdot (\mathbf{t} - \boldsymbol{\sigma}_b \cdot \mathbf{m}_b) \, dC = 0. \quad (7)$$

203 Since Cauchy's Theorem permits to write $\mathbf{q}^+ = \boldsymbol{\sigma}^+ \cdot \mathbf{n}_b$ and $\mathbf{q}^- = -\boldsymbol{\sigma}^- \cdot \mathbf{n}_b$
204 and (7) is valid for any virtual displacements $\delta \mathbf{u}^+$ and $\delta \mathbf{u}^-$, we finally obtain
205 the equilibrium equations of the IPH:

$$w_b \operatorname{div} \boldsymbol{\sigma}_b + [\boldsymbol{\sigma}_b] \cdot \mathbf{n}_b = \mathbf{0} \quad \text{on } \Sigma_b, \quad (8)$$

$$(\boldsymbol{\sigma}_b - \hat{\boldsymbol{\sigma}}_b) \cdot \mathbf{n}_b = \mathbf{0} \quad \text{on } \Sigma_b, \quad (9)$$

$$\boldsymbol{\sigma}_b \cdot \mathbf{m}_b = \mathbf{t} \quad \text{in } C_b, \quad (10)$$

206 where

$$[\boldsymbol{\sigma}_b] = \boldsymbol{\sigma}_b^+ - \boldsymbol{\sigma}_b^-, \quad \hat{\boldsymbol{\sigma}}_b = \frac{\boldsymbol{\sigma}_b^+ + \boldsymbol{\sigma}_b^-}{2}. \quad (11)$$

207 Eqs. (8) and (9) can be regarded as internal and external equilibrium

208 equations of the IPH and (10) represents the equilibrium boundary condi-
 209 tions.

210 In the circumstance that the same constitutive behavior of the bulk mate-
 211 rial is used for the IPH, w_b represents the only additional parameter to be
 212 provided in order to solve Equation (8). It is relevant to note that w_b is a
 213 parameter that needs to be specified in any model where the band is assumed
 214 to collapse in its middle plane. This is the case with IPH or ZTI models.

215 2.3. Constitutive Model

216 The proposed computational procedure allows the implementation of any
 217 constitutive model involving localization bands. The choice of a specific
 218 constitutive model is strictly related to the material to simulate.

219 The numerical examples herein presented are developed with the well-known
 220 isotropic damage model with a strain-based loading function reported in [31].
 221 In this simple model the stiffness moduli decrease proportionally in every
 222 direction and independently of loading direction, on the basis of the value
 223 assumed by the damage variable $D \in [0, 1]$. Consequently, the damaged
 224 secant stiffness tensor is expressed as

$$\mathbf{E}_s = (1 - D)\mathbf{E} \quad (12)$$

225 and the stress-strain relation can be written in the form

$$\boldsymbol{\sigma} = \mathbf{E}_s \boldsymbol{\varepsilon} = (1 - D) \mathbf{E} \boldsymbol{\varepsilon} \quad (13)$$

226 where \mathbf{E} is the elastic stiffness tensor.

227 The evolution of damage depends on the internal kinematic variable κ . This
 228 variable is equal to the maximum value ever reached by the *equivalent strain*
 229 $\tilde{\varepsilon}$ along the loading path, i.e. a scalar measure of the strain level.

230 The elastic domain and the loading-unloading conditions are defined by the
 231 following damage activation function and related Kuhn-Tucker conditions:

$$f(\tilde{\varepsilon}, \kappa) = \tilde{\varepsilon} - \kappa, \quad (14)$$

$$f(\tilde{\varepsilon}, \kappa) \leq 0, \quad \dot{\kappa} \geq 0, \quad \dot{\kappa} f(\tilde{\varepsilon}, \kappa) = 0. \quad (15)$$

232 A different behavior in tension and compression is typical for quasi-brittle
 233 materials. Microcracks mostly grow when the material is stretched and it

234 is natural to consider this fact in the definition of the equivalent strain.
 235 Therefore, the so-called *Mazars* definition of the equivalent strain is adopted:

$$\tilde{\varepsilon} = \sqrt{\sum_{I=1}^3 \langle \varepsilon_I \rangle^2} \quad (16)$$

236 where ε_I ($I=1,2,3$) are the principal strains and the McAuley brackets $\langle \cdot \rangle$
 237 denote the positive part.

238 With the previous definition of the equivalent strain the evolution of the
 239 damage variable can be defined in the following way

$$D = g(\kappa) = \begin{cases} 0 & \text{if } \kappa \leq \varepsilon_0 \\ 1 - \frac{\varepsilon_0}{\kappa} \exp\left(-\frac{\kappa - \varepsilon_0}{\varepsilon_f - \varepsilon_0}\right) & \text{if } \kappa > \varepsilon_0 \end{cases}, \quad (17)$$

240 being ε_0 and ε_f the elastic and post-elastic limit strains, respectively.

241 3. Numerical procedure at the finite element level

242 The solution of a structure subjected to external actions where strains
 243 concentrate in a narrow band is now implemented in the framework of the fi-
 244 nite element method. The fundamental relations are here numerically treated
 245 for a quadrilateral 2D element and an efficient procedure that exploits the
 246 A-FEM idea [25] is presented. The extension to meshes constituted of trian-
 247 gular 2D elements or generic 3D elements is straightforward since it involves
 248 the same fundamental relations. It is important to highlight that, within each
 249 element, the crack can only be straight in the present formulation. Varia-
 250 tions in the crack direction and crack branching inside the element will be
 251 included in future developments.

252 Stress and strain states are written using Voigt's notation.

253 3.1. Intraelement band

254 Let us suppose that the finite element is crossed by a planar localization
 255 band identifying the two parts Ω^+ and Ω^- of the quadrilateral element (Fig.
 256 3). Depending on the position and orientation of the localization band, the
 257 quadrilateral element can be split into two quadrilaterals (Fig. 3-a) or into
 258 a triangular and a pentagonal (Fig. 3-b) sub-element.

259 For the 2D problem the band is represented by the line Σ_b passing through
 260 point (x_{bp}, z_{bp}) and having the direction corresponding to the unit vector \mathbf{n}_b

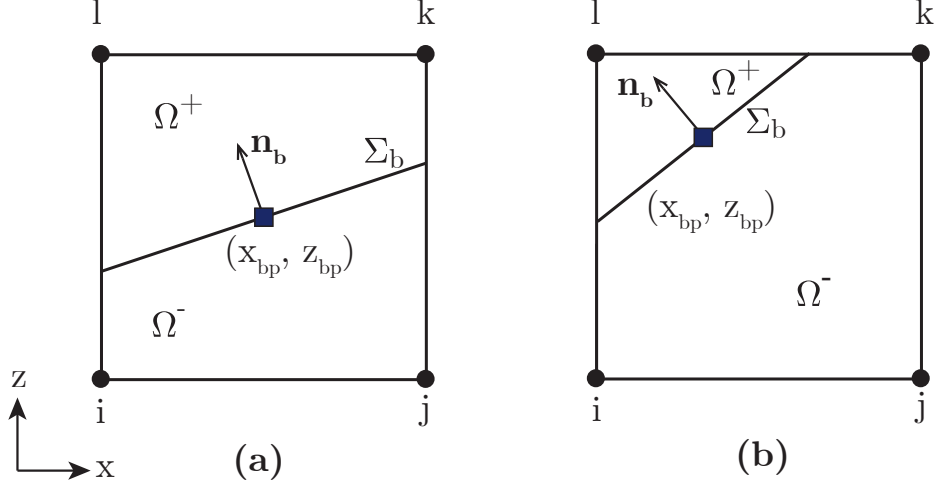


Figure 3: Four noded finite element crossed by the localization band: two quadrilateral sub-elements (a) and a triangular and a pentagonal sub-elements (b) cases.

261 pointing to the sub-domain Ω^+ .
 262 According to the A-FEM procedure the element Ω is replaced by the two
 263 sub-elements Ω^+ and Ω^- with degrees of freedom \mathbf{U}^+ and \mathbf{U}^- , respectively
 264 (Fig. 4). In the case of a pentagonal sub-element, this is considered as the
 265 assembly of three triangles as for Ω^- in Figure (4b). The interphase element
 266 Ω_b is embedded between the two elements by adding four additional nodes
 267 (m, n, r, s) which provide the degrees of freedom \mathbf{U}_b of the interphase.
 268 The subdivisions shown in Figure (4) hold for a 4-node quadrilateral element
 269 with bi-linear shape functions, which are the easiest numerical assumptions
 270 used as a first attempt in this work. In the presence of higher-order shape
 271 functions, in quadrilateral elements with more than 4 nodes, Ω^+ and Ω^-
 272 would each be subdivided into triangles, while for the interphase element six
 273 nodes are used, instead of four.

274 Considering the classical isoparametric formulation of quadrilateral finite
 275 elements, displacement and strain fields are derived from nodal displacements

$$\mathbf{u}^{(-,+)} = \mathbf{N} \mathbf{U}^{(-,+)}, \quad \boldsymbol{\varepsilon}^{(-,+)} = \mathbf{C} \mathbf{N} \mathbf{U}^{(-,+)} = \mathbf{B} \mathbf{U}^{(-,+)} \quad (18)$$

276 where \mathbf{N} is the shape functions matrix and \mathbf{C} is the kinematic compati-
 277 bility matrix for plane problems.

278 The PVD for the two finite elements Ω^+ and Ω^- reads

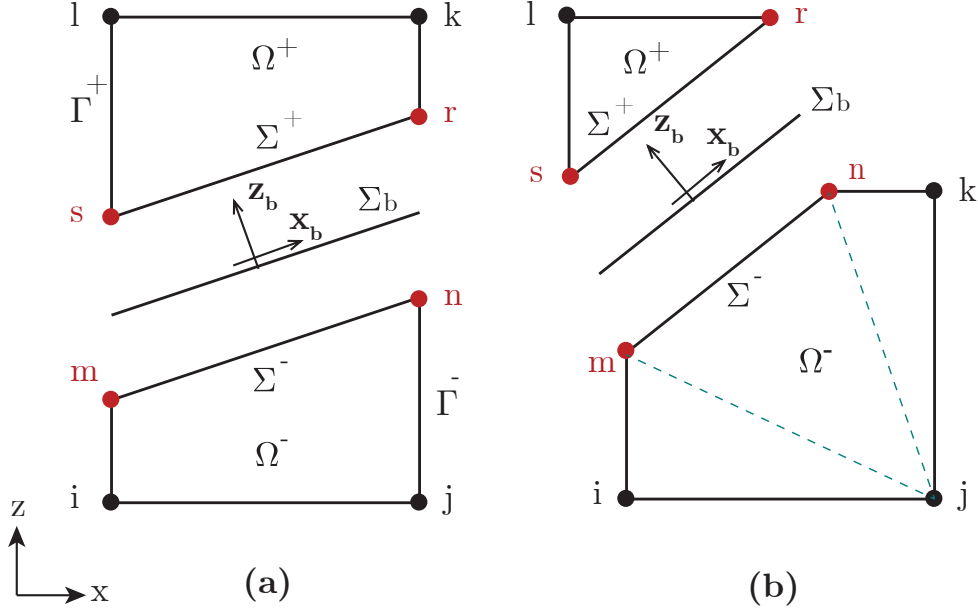


Figure 4: Sub-elements and additional nodes: two quadrilateral sub-elements (a) and a triangular and a pentagonal sub-elements (b) cases.

$$\delta \mathbf{U}^{(-,+)^T} \left(\int_{\Omega^{(-,+)}} \mathbf{N}^T \mathbf{f} \, d\Omega + \int_{\Gamma^{(-,+)}} \mathbf{N}^T \mathbf{t}^{(-,+)} \, d\Gamma + \int_{\Sigma^{(-,+)}} \mathbf{N}^T \mathbf{q}^{(-,+)} \, d\Sigma - \int_{\Omega^{(-,+)}} \mathbf{B}^T \boldsymbol{\sigma}^{(-,+)} \, d\Omega \right) = 0. \quad (19)$$

279 Solving the integrals by using the Gauss quadrature rule and considering
 280 that the equality (19) is valid for any virtual displacement field, we obtain
 281 the equilibrium equations of the two sub-elements:

$$\mathbf{F}_e^{(-,+)} + \mathbf{F}_i^{(-,+)} = \mathbf{K}^{(-,+)} \mathbf{U}^{(-,+)}, \quad (20)$$

where

$$\mathbf{F}_e^{(-,+)} = \int_{\Omega^{(-,+)}} \mathbf{N}^T \mathbf{f} \, d\Omega + \int_{\Gamma^{(-,+)}} \mathbf{N}^T \mathbf{t}^{(-,+)} \, d\Gamma \quad (21)$$

$$\mathbf{F}_i^{(-,+)} = \int_{\Sigma^{(-,+)}} \mathbf{N}^T \mathbf{q}^{(-,+)} \, d\Sigma \quad (22)$$

$$\mathbf{K}^{(-,+)} = \int_{\Omega^{(-,+)}} \mathbf{B}^T \mathbf{E}_t \mathbf{B} \, d\Omega. \quad (23)$$

282 \mathbf{F}_e represents the nodal force array originated by external forces and
 283 tractions. \mathbf{F}_i contains the nodal internal forces originated by tractions due
 284 to the discontinuity. \mathbf{K} is the element tangent stiffness matrix, dependent on
 285 the elastic tangent operator \mathbf{E}_t .

286 Let us recall the stress-strain relation (13). \mathbf{E}_t is defined as:

$$\mathbf{E}_t = \frac{\partial \boldsymbol{\sigma}}{\partial \boldsymbol{\varepsilon}} = (1 - D) \mathbf{E} - \mathbf{E} \boldsymbol{\varepsilon} \frac{\partial D}{\partial \boldsymbol{\varepsilon}} \quad (24)$$

287 where

$$\frac{\partial D}{\partial \boldsymbol{\varepsilon}} = \frac{\partial D}{\partial \kappa} \frac{\partial \kappa}{\partial \tilde{\boldsymbol{\varepsilon}}} \frac{\partial \tilde{\boldsymbol{\varepsilon}}}{\partial \boldsymbol{\varepsilon}}. \quad (25)$$

288 With reference to the damage law (17), the first term in (25) is

$$\frac{\partial D}{\partial \kappa} = - \frac{\exp\left(\frac{\kappa - \varepsilon_0}{\varepsilon_0 - \varepsilon_f}\right) \varepsilon_0 (\kappa - \varepsilon_0 + \varepsilon_f)}{\kappa^2 (\varepsilon_0 - \varepsilon_f)}. \quad (26)$$

289 The second term can be easily evaluated as

$$\frac{\partial \kappa}{\partial \tilde{\boldsymbol{\varepsilon}}} = \begin{cases} 0 & \text{if } \tilde{\boldsymbol{\varepsilon}} < \kappa \\ 1 & \text{if } \tilde{\boldsymbol{\varepsilon}} = \kappa \end{cases}. \quad (27)$$

290 Last term is calculated recalling Mazars' definition of the equivalent strain
 291 (16). In the plane stress case it results

$$\frac{\partial \tilde{\boldsymbol{\varepsilon}}}{\partial \boldsymbol{\varepsilon}} = \frac{1}{2\tilde{\boldsymbol{\varepsilon}}} (\mathbf{P} \langle \boldsymbol{\varepsilon}^p \rangle)^T \quad (28)$$

292 being

$$\mathbf{P} = \begin{bmatrix} 1 + \cos(2\alpha) & 1 - \cos(2\alpha) & -\frac{2\nu}{1-\nu} \\ 1 - \cos(2\alpha) & 1 + \cos(2\alpha) & -\frac{2\nu}{1-\nu} \\ \sin(2\alpha) & -\sin(2\alpha) & 0 \end{bmatrix} \quad (29)$$

293 with α the angle between the principal and the reference directions and
 294 ν the Poisson's ratio. $\boldsymbol{\varepsilon}^p$ is the 3 by 1 vector collecting the principal strains.
 295 It is remarkable that A-FEM permits different elastic tangent operators for
 296 the two sub-elements.

297 Regarding the interphase element, we adopt the 4-nodes element proposed
 298 in [30]. The kinematic and static quantities are referred to the rotated (x_b, z_b)
 299 reference system. The displacement fields in Σ^+ and Σ^- are expressed as
 300 linear interpolation of the displacements of nodes lying in the same Σ^+ and
 301 Σ^- :

$$\bar{\mathbf{u}}_b^+ = \mathbf{N}_b^+ \bar{\mathbf{U}}_b^+, \quad \bar{\mathbf{u}}_b^- = \mathbf{N}_b^- \bar{\mathbf{U}}_b^-, \quad (30)$$

302 where $\bar{\mathbf{U}}_b^+$ and $\bar{\mathbf{U}}_b^-$ are defined as:

$$\bar{\mathbf{U}}_b^+ = \begin{bmatrix} \bar{\mathbf{U}}_r \\ \bar{\mathbf{U}}_s \end{bmatrix}, \quad \bar{\mathbf{U}}_b^- = \begin{bmatrix} \bar{\mathbf{U}}_m \\ \bar{\mathbf{U}}_n \end{bmatrix}. \quad (31)$$

303 The symbol $(\bar{\cdot})$ means that the relative quantity is referred to the inter-
 304 phase coordinate system. Shape functions matrices are expressed as

$$\mathbf{N}_b^+ = \begin{bmatrix} N_2 & 0 & N_1 & 0 \\ 0 & N_2 & 0 & N_1 \end{bmatrix}, \quad \mathbf{N}_b^- = \begin{bmatrix} N_1 & 0 & N_2 & 0 \\ 0 & N_1 & 0 & N_2 \end{bmatrix}, \quad (32)$$

305 with

$$N_1 = \frac{1}{2}(1 - \xi), \quad N_2 = \frac{1}{2}(1 + \xi), \quad (33)$$

306 being $\xi \in [-1, 1]$ the natural interphase coordinate.

307 According to Eq. (2), the interphase strain vector is composed by the contact
 308 and internal strains:

$$\boldsymbol{\varepsilon}_b = \frac{1}{w_b} \mathbf{C}_{b_1} (\mathbf{u}_b^+ - \mathbf{u}_b^-) + \frac{1}{2} \mathbf{C}_{b_2} (\mathbf{u}_b^+ + \mathbf{u}_b^-) \quad (34)$$

309 where

$$\boldsymbol{\varepsilon}_b = [\varepsilon_{b_x} \quad \varepsilon_{b_z} \quad \gamma_{b_{xz}}]^T, \quad \mathbf{C}_{b_1} = \begin{bmatrix} 0 & 0 \\ 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad \mathbf{C}_{b_2} = \begin{bmatrix} \frac{\partial}{\partial x_b} & 0 \\ 0 & 0 \\ 0 & \frac{\partial}{\partial x_b} \end{bmatrix}. \quad (35)$$

310 Substituting the approximate expression of the displacements (30) we obtain

$$\boldsymbol{\varepsilon}_b = \mathbf{B}_b^+ \bar{\mathbf{U}}_b^+ + \mathbf{B}_b^- \bar{\mathbf{U}}_b^- \quad (36)$$

311 with

$$\mathbf{B}_b^+ = \left(\frac{1}{w_b} \mathbf{C}_{b_1} + \frac{1}{2} \mathbf{C}_{b_2} \right) \mathbf{N}_b^+, \quad \mathbf{B}_b^- = - \left(\frac{1}{w_b} \mathbf{C}_{b_1} - \frac{1}{2} \mathbf{C}_{b_2} \right) \mathbf{N}_b^-. \quad (37)$$

312 The weak form of equilibrium for the interphase element can be derived
 313 from (4) considering the kinematic equations (30) and (36). Neglecting the
 314 external traction applied on the thickness side ($\mathbf{t} = \mathbf{0}$ in Γ_b) we have:

$$\begin{aligned} & \delta \bar{\mathbf{U}}_b^{+T} \int_{\Sigma} \left(w_b \mathbf{B}_b^{+T} \mathbf{E}_t \mathbf{B}_b^+ \bar{\mathbf{U}}_b^+ + w_b \mathbf{B}_b^{+T} \mathbf{E}_t \mathbf{B}_b^- \bar{\mathbf{U}}_b^- - \mathbf{N}_b^{+T} \bar{\mathbf{q}}^+ \right) d\Gamma + \\ & \delta \bar{\mathbf{U}}_b^{-T} \int_{\Sigma} \left(w_b \mathbf{B}_b^{b-T} \mathbf{E}_t \mathbf{B}_b^+ \bar{\mathbf{U}}_b^+ + w_b \mathbf{B}_b^{-T} \mathbf{E}_t \mathbf{B}_b^- \bar{\mathbf{U}}_b^- - \mathbf{N}_b^{-T} \bar{\mathbf{q}}^- \right) d\Gamma = 0 \end{aligned} \quad (38)$$

which, being satisfied for any value of virtual displacements, gives

$$\bar{\mathbf{K}}_b^{++} \bar{\mathbf{U}}_b^+ + \bar{\mathbf{K}}_b^{+-} \bar{\mathbf{U}}_b^- = \bar{\mathbf{F}}_b^+ \quad (39)$$

$$\bar{\mathbf{K}}_b^{-+} \bar{\mathbf{U}}_b^+ + \bar{\mathbf{K}}_b^{--} \bar{\mathbf{U}}_b^- = \bar{\mathbf{F}}_b^- \quad (40)$$

where

$$\bar{\mathbf{K}}_b^{++} = \int_{\Sigma} w_b \mathbf{B}_b^{+T} \mathbf{E}_t \mathbf{B}_b^+ d\Gamma, \quad \bar{\mathbf{K}}_b^{+-} = \int_{\Sigma} w_b \mathbf{B}_b^{+T} \mathbf{E}_t \mathbf{B}_b^- d\Gamma, \quad (41)$$

$$\bar{\mathbf{K}}_b^{-+} = \int_{\Sigma} w_b \mathbf{B}_b^{-T} \mathbf{E}_t \mathbf{B}_b^+ d\Gamma, \quad \bar{\mathbf{K}}_b^{--} = \int_{\Sigma} w_b \mathbf{B}_b^{-T} \mathbf{E}_t \mathbf{B}_b^- d\Gamma. \quad (42)$$

315 Note that the same expression (24) of the elastic tangent operator for
 316 bulk material is adopted.

317 *3.2. Assembling procedure*

318 In order to assembly the three finite elements we have to refer the kine-
 319 matic and static quantities to the global reference system. Since the orien-
 320 tation of the band is individuated by the unit vector $\mathbf{n}_b = [n_x \ n_z]^T$, we can
 321 proceed with a reference system rotation in a classical way:

$$\bar{\mathbf{U}}_b^{(-,+)} = \mathbf{R}\mathbf{U}_b^{(-,+)} \quad \mathbf{F}_b^{(-,+)} = \mathbf{R}^T\bar{\mathbf{F}}_b^{(-,+)} \quad (43)$$

322 where

$$\mathbf{R} = \begin{bmatrix} n_z & -n_x & 0 & 0 \\ n_x & n_z & 0 & 0 \\ 0 & 0 & n_z & -n_x \\ 0 & 0 & n_x & n_z \end{bmatrix}. \quad (44)$$

323 The substitution of Eqs. (43) in Eqs. (41) provides the equilibrium equations
 324 of the interphase element written in the global reference system.

325 Partitioning the displacement vectors \mathbf{U}^+ and \mathbf{U}^- of the sub-elements into
 326 external (*e*) and internal (*i*) components, in relation to the Ω_b domain, the
 327 following partitioned Eq (20) is derived:

$$\begin{bmatrix} \mathbf{F}_{ee}^{(-,+)} \\ \mathbf{F}_{ei}^{(-,+)} \end{bmatrix} + \begin{bmatrix} \mathbf{0} \\ \mathbf{F}_{ii}^{(-,+)} \end{bmatrix} = \begin{bmatrix} \mathbf{K}_{ee}^{(-,+)} & \mathbf{K}_{ei}^{(-,+)} \\ \mathbf{K}_{ie}^{(-,+)} & \mathbf{K}_{ii}^{(-,+)} \end{bmatrix} \begin{bmatrix} \mathbf{U}_e^{(-,+)} \\ \mathbf{U}_i^{(-,+)} \end{bmatrix}. \quad (45)$$

328 It is easy to verify that:

$$\mathbf{U}_b^+ = \mathbf{A}\mathbf{U}_i^+, \quad \mathbf{U}_b^- = \mathbf{A}\mathbf{U}_i^-, \quad (46)$$

329 being \mathbf{A} an operator defined as

$$\mathbf{A} = \begin{bmatrix} \mathbf{0} & \mathbf{I} \\ \mathbf{I} & \mathbf{0} \end{bmatrix}, \quad (47)$$

330 with $\mathbf{0}$ and \mathbf{I} 2×2 null and identity blocks, respectively.

331 Substituting Eqs. (46) in the interphase equilibrium equations (39)-(40) and
 332 pre-multiplying the right and left sides of the same equations by the order
 333 operator \mathbf{A} , finally we have

$$\mathbf{K}_b^{++}\mathbf{U}_i^+ + \mathbf{K}_b^{+-}\mathbf{U}_i^- = \mathbf{F}_{ii}^+, \quad (48)$$

$$\mathbf{K}_b^{-+}\mathbf{U}_i^+ + \mathbf{K}_b^{--}\mathbf{U}_i^- = \mathbf{F}_{ii}^-, \quad (49)$$

334 where

$$\mathbf{K}_b^{\iota\kappa} = \mathbf{L}^T \bar{\mathbf{K}}_b^{\iota\kappa} \mathbf{L}, \quad \mathbf{F}_{ii}^\iota = \mathbf{L}^T \bar{\mathbf{F}}_b^\iota \quad \text{with } \iota, \kappa \in \{+, -\} \quad (50)$$

335 and $\mathbf{L} = \mathbf{R}\mathbf{A}$.

336 We can substitute the expression of the internal forces (48)-(49) in the equi-
337 librium equations of the sub-elements (45) in order to evaluate the internal
338 displacements:

$$\mathbf{U}_i^+ = \mathbf{H}^+ [\mathbf{F}_{ei}^+ - \mathbf{K}_{ie}^+ \mathbf{U}_e^+ + \mathbf{M}^+ (\mathbf{F}_{ei}^- - \mathbf{K}_{ie}^- \mathbf{U}_e^-)], \quad (51)$$

$$\mathbf{U}_i^- = \mathbf{H}^- [\mathbf{F}_{ei}^- - \mathbf{K}_{ie}^- \mathbf{U}_e^- + \mathbf{M}^- (\mathbf{F}_{ei}^+ - \mathbf{K}_{ie}^+ \mathbf{U}_e^+)], \quad (52)$$

with

$$\mathbf{H}^+ = [\mathbf{K}_{ii}^+ - \mathbf{K}_b^{++} - \mathbf{K}_b^{+-} (\mathbf{K}_{ii}^- - \mathbf{K}_b^{--})^{-1} \mathbf{K}_b^{-+}]^{-1} \quad (53)$$

$$\mathbf{H}^- = [\mathbf{K}_{ii}^- - \mathbf{K}_b^{--} - \mathbf{K}_b^{-+} (\mathbf{K}_{ii}^+ - \mathbf{K}_b^{++})^{-1} \mathbf{K}_b^{+-}]^{-1} \quad (54)$$

$$\mathbf{M}^+ = \mathbf{K}_b^{+-} (\mathbf{K}_{ii}^- - \mathbf{K}_b^{--})^{-1} \quad (55)$$

$$\mathbf{M}^- = \mathbf{K}_b^{-+} (\mathbf{K}_{ii}^+ - \mathbf{K}_b^{++})^{-1}. \quad (56)$$

339 Finally, the equilibrium equations of the single quadrilateral element with
340 embedded interphase are obtained:

$$\begin{bmatrix} \mathbf{F}_{ee}^- - \mathbf{K}_{ei}^- \mathbf{H}^- (\mathbf{F}_{ei}^- + \mathbf{M}^- \mathbf{F}_{ei}^+) \\ \mathbf{F}_{ee}^+ - \mathbf{K}_{ei}^+ \mathbf{H}^+ (\mathbf{F}_{ei}^+ + \mathbf{M}^+ \mathbf{F}_{ei}^-) \end{bmatrix} = \begin{bmatrix} \mathbf{K}_{ee}^- - \mathbf{K}_{ei}^- \mathbf{H}^- \mathbf{K}_{ie}^- & -\mathbf{K}_{ei}^- \mathbf{H}^- \mathbf{M}^- \mathbf{K}_{ie}^+ \\ -\mathbf{K}_{ei}^+ \mathbf{H}^+ \mathbf{M}^+ \mathbf{K}_{ie}^- & \mathbf{K}_{ee}^+ - \mathbf{K}_{ei}^+ \mathbf{H}^+ \mathbf{K}_{ie}^+ \end{bmatrix} \begin{bmatrix} \mathbf{U}_e^- \\ \mathbf{U}_e^+ \end{bmatrix}. \quad (57)$$

341 The above-presented formulation of the finite element with the embedded
342 localization zone has the peculiar feature that the two sub-elements and the
343 interphase in which the initial element is split share the same constitutive
344 model. Other than band thickness, no additional material parameters and
345 evolution laws are needed. As compared to similar approaches using the
346 zero-thickness interface for localization and fracture simulation, this repre-
347 sents an important advantage. In the ZTI model, an additional cohesive law
348 must be introduced, with the difficulty of evaluating the additional material
349 parameters in some way related to those of the continuum model.

350 4. Numerical procedure at the structural model level

351 In the present section the macroscale (or structural-scale) problem is fo-
352 cused. It requires the formulation of an algorithm capable of predicting the
353 formation and propagation of the band/fracture among the finite elements
354 of the numerical model.

355 This issue has been treated in several papers, with the principal aim to make
356 the fracture pattern independent of the finite elements density and orienta-
357 tion.

358 When the strain localization band is not known a priori, the principal issues
359 include:

- 360 • the strain localization band formation;
- 361 • location and orientation of the localization band;
- 362 • the intra-element propagation of the fracture;
- 363 • identification of the crack pattern and the coexistence of multiple cracks.

364 The first two aspects are strongly related to the constitutive model adopted
365 for the quasi-brittle material. The remaining ones deal with finite element
366 mesh processing. They require a specific crack tracking algorithm in order
367 to define the discontinuity surface during the loading process. In addition,
368 they require the introduction of additional degrees of freedom to describe the
369 kinematics of the weak or strong discontinuity.

370 The entire numerical procedure has been implemented in a MatLab© code.
371 Nonlinear equations are solved using the Newton-Raphson iterative proce-
372 dure. The time integration scheme is based on a backward Euler method.
373 For the sake of completeness, the pseudo-code for the generic time step n is
374 reported in Algorithm 1.

375 4.1. Band formation and orientation

376 As mentioned in the introduction, the onset of localized deformations is
377 considered as the result of an instability in the macroscopic constitutive de-
378 scription of inelastic deformation. It corresponds to a bifurcation problem
379 [1, 2], i.e. the incremental equations governing the equilibrium show a loss
380 of uniqueness and an alternative deformation mode of the evolution of the
381 localization band is admitted. Commonly, the discontinuous bifurcation con-
382 dition is determined by the negative value of the determinant of the acoustic

383 tensor [34]. A spectral analysis of the same tensor also provides band orien-
 384 tation and relative localization mode.

385 In the case of isotropic damage, where the material stiffness degradation
 386 simply coincides with the progressive reduction of the Young's modulus, the
 387 above reported classical procedure under some circumstances does not return
 388 the expected band characteristics. In particular, band directions that don't
 389 match the loading conditions and kinematic constraints may be observed
 390 [35]. In several works adopting the continuous-discontinuous models a spe-
 391 cific transition criterion is formulated in terms of principal stresses, principal
 392 strains, or damage values locally attained. If the state of stress or strain is
 393 considered as a strain localization indicator, the Rankine or the De Saint
 394 Venant - Grashof criteria are adopted, respectively. When the maximum
 395 principal stress or strain reaches the tensile strength or the limit deformation
 396 of the material, the condition of diffuse damage is switched to the condition
 397 of localized damage.

398 In the present work the continuous-discontinuous transition is triggered if
 399 simultaneously the minimum eigenvalue of the element tangent stiffness ma-
 400 trix becomes null or negative and if the damage variable attains a critical
 401 value D_{crit} , as proposed in [36]. This last criterion has been adopted in recent
 402 papers dealing with localization in structures made up of damaging material
 403 [37, 38].

404 In particular, if the volume average of the damage variable exceeds the criti-
 405 cal damage of the material a new interphase is inserted in the element. The
 406 transition condition reads

$$\hat{D} = \frac{1}{V_e} \int_{\Omega_e} D \, d\Omega > D_{crit}, \quad (58)$$

407 where V_e is the volume of the element.

408 In order to locate the interphase middle plane inside the finite element, we
 409 define the balance point of damage \mathbf{x}_{bp}

$$\mathbf{x}_{bp} = \frac{\sum_{i=1}^{ngp} D_i \mathbf{x}_i}{\sum_{i=1}^{ngp} D_i} \quad (59)$$

410 and the average localization direction \mathbf{n}_b , which coincides with the eigenvector
 411 associated with the maximum eigenvalue of the following tensor:

$$\mathbf{L}_b = \frac{\sum_{i=1}^{ngp} D_i \mathbf{n}_i \otimes \mathbf{n}_i}{\sum_{i=1}^{ngp} D_i}, \quad (60)$$

412 where ngp is the number of the Gauss points and \mathbf{x}_i and D_i are the coor-
 413 dinates and the values of damage variable at the same points, respectively.
 414 In Eq. (60) \mathbf{n}_i is the direction of the maximum principal strain evaluated at
 415 the Gauss point.

416 Once the first two localization conditions are verified, a third check is per-
 417 formed. This check verifies if the localization direction \mathbf{n}_b is stabilized be-
 418 tween two subsequent time steps [39]. When the difference in \mathbf{n}_b is below a
 419 fixed tolerance, the finite element is fragmented into two sub-elements Ω^+
 420 and Ω^- , and the interposed interphase Ω_b . Depending on the topology of
 421 the two sub-elements, strain and damage values can be initialized at Gauss
 422 points of Ω^+ , Ω^- , and Ω_b , exploiting the same shape functions as the original
 423 element. Damage in the sub-elements Ω^+ and Ω^- is kept frozen and the re-
 424 sponse is linear and elastic, with the stiffness attained at the fragmentation
 425 time. Damage, instead, is free to evolve in the interphase element Ω_b .

426

427 4.2. Crack tracking algorithm

428 At the end of the strain localization stage in Algorithm 1, some of the
 429 elements of the FE mesh could be localized. For these elements the three
 430 aforementioned localization checks are all verified and the band in each ele-
 431 ment is identified through its balance point and orientation.

432 The number of newly localized elements in a load step is dependent on the
 433 load step size. As is common in nonlinear FE, in order to avoid inaccurate so-
 434 lutions in terms of crack-path and mechanical response, the load step should
 435 be in some way calibrated to the element size and should not be too large. It
 436 could happen to see clouds of localized elements, usually having sub-parallel
 437 localization bands, as a consequence of the diffuse damage formulation. In
 438 these cases not all the localized elements can be crossed by the crack and the
 439 clouds need to be in a certain sense 'cleaned'.

440 An efficient crack tracking algorithm is therefore necessary to correctly trans-
 441 form the bands inside localized elements into macroscopic continuous cracks.
 442 The proposed crack tracking algorithm operates in a three-stage process.

Algorithm 1 Pseudo-Code at step n

```

1:                                     ▷ Update BCs and/or ext. forces
   ► ELASTIC PREDICTION
2:  $j \leftarrow 1$                                      ▷ Initialize iterations
3:  $\mathbf{U}_n \leftarrow \mathbf{U}_{n-1}$                              ▷ Initialize  $\mathbf{U}_n$ 
4:  $\mathbf{F}_n^{ext} \leftarrow \mathbf{F}_{n-1}^{ext} + \Delta \mathbf{F}_n^{ext}$              ▷ Update ext. forces
5:  $\mathbf{K}_n^{(j)} \leftarrow \mathbf{A}_{e=1}^{e_{no-loc}}(\mathbf{K}_{n-1}^e) + \mathbf{A}_{s=1}^{subs}(\mathbf{K}_{n-1}^s)$    ▷ Assemble global stiffness matrix
6:  $\mathbf{K}_n^{(j)} \Delta \mathbf{U}_n^{(j)} = \Delta \mathbf{F}_n^{ext}$                  ▷ Solve equilibrium equations
7:  $\mathbf{U}_n \leftarrow \mathbf{U}_n + \Delta \mathbf{U}_n^{(j)}$                  ▷ Update mech. & kin. variables
8: for  $s = 1 : subs$  do                                     ▷ Loop over all substructures
9:    $\mathbf{U}_n^s \leftarrow S(\mathbf{U}_n)$                                ▷ Extract displ. at subs boundary nodes
10:   $\mathbf{F}_n^{int,s} \leftarrow \text{CALL SOLVE-SUBS}(\mathbf{U}_n^s)$        ▷ Solve NL problem for subs imposing  $\mathbf{U}_n^s$ 
11: end for
12:  $\mathbf{F}_n^{int} \leftarrow \mathbf{A}_{e=1}^{e_{no-loc}}(\mathbf{F}_n^{int,e}) + \mathbf{A}_{s=1}^{subs}(\mathbf{F}_n^{int,s})$    ▷ Assemble int force vector
13: if  $|\mathbf{Err}_n^{(j)}| = |\mathbf{F}_n^{ext} - \mathbf{F}_n^{int}| \geq tol$  then   ▷ check convergence
   ► NONLINEAR CORRECTION
14:   $j \leftarrow j + 1$ 
15:   $\mathbf{K}_n^{(j)} \leftarrow \mathbf{A}_{e=1}^{e_{no-loc}}(\mathbf{K}_n^e) + \mathbf{A}_{s=1}^{subs}(\mathbf{K}_n^s)$    ▷ Assemble global stiffness matrix
16:   $\mathbf{K}_n^{(j)} \Delta \mathbf{U}_n^{(j)} = \mathbf{Err}_n^{(j)}$                  ▷ Solve equilibrium equations
17:  go to 7
18: end if
   ► STRAIN LOCALIZATION
19: for  $e = 1 : e_{no-loc}$  do                                     ▷ Loop over not localized elements
20:   $\lambda^e \leftarrow eig[\mathbf{K}^e]$                                ▷ Find tangent stiffness eigenvalues
21:   $\hat{D}^e \leftarrow \frac{1}{V_e} \int_{\Omega_e} D \, d\Omega$              ▷ Calculate volume average of damage
22:  if  $(\lambda^e \leq 0)$  .and.  $(\hat{D}^e \geq D_{crit})$  then           ▷ Check localization
23:     $\mathbf{x}_{bp}, \mathbf{L}_b \leftarrow \text{Use Eqs. (59-60)}$            ▷ Find balance point & loc. tensor
24:     $\mathbf{n}_b \leftarrow eig[\mathbf{L}_b]$                                ▷ Evaluate band orientation
25:    if  $|\mathbf{n}_b^n - \mathbf{n}_b^{n-1}| \leq tol$  then                 ▷ Check band stabilization
26:       $\mathbf{e}_{loc} \leftarrow [\mathbf{e}_{loc} \, e]$                    ▷ Insert  $e$  among new localized elements
27:    end if
28:  end if
29: end for
   ► CRACK TRACKING ALGORITHM
30: clusters  $\leftarrow \text{CALL NO-BINARY-SEARCH}(\mathbf{e}_{loc})$        ▷ Partition of  $\mathbf{e}_{loc}$  into clusters
31: subs  $\leftarrow \text{CALL UPDATE-SUBS}(\text{clusters})$            ▷ Update existing subs
   or create new ones
32: CALL ALIGN(subs)                                         ▷ Align bands in new localized elements

```

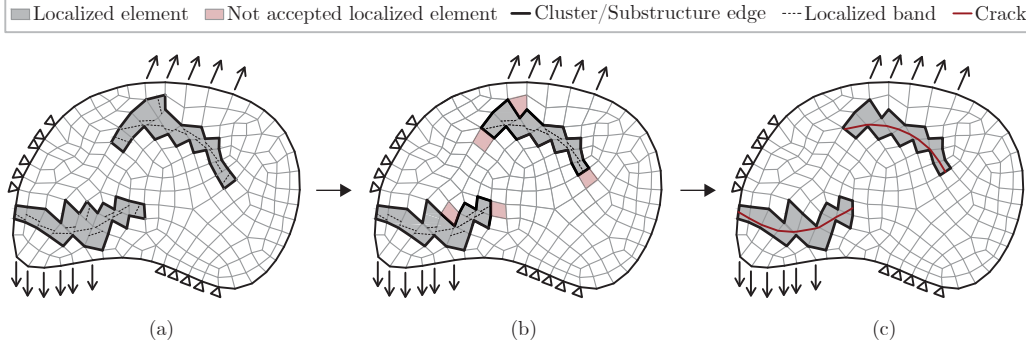


Figure 5: Three-stage process of the crack tracking algorithm. a) Clusters identification. b) Substructures identification. c) Alignment of bands in substructures.

443 This process is depicted in a simplified manner in Figure 5. The first stage
 444 groups the newly localized elements into clusters of elements that are at least
 445 two-by-two in contact (Fig. 5a). The associated numerical procedure is in-
 446 spired by the No Binary Search contact detection algorithm [40].

447 The second stage transforms each cluster into a substructure (Fig. 5b). At
 448 this stage, only the localized elements required to maintain a continuous
 449 crack are retained, converting the remaining elements back into not localized
 450 elements again. The selection is carried out on the basis of specific checks
 451 that will be introduced in the next sub-paragraph.

452 The third stage deals with crack propagation among elements and performs
 453 the alignment of bands in order to have continuous cracks (Fig. 5c).

454 The crack tracking algorithm has been numerically structured into three cor-
 455 respondent in-series modules, namely the NO-BINARY-SEARCH MODULE (Algo-
 456 rithm 1, line 30), the UPDATE-SUBS MODULE (Algorithm 1, line 31), and the
 457 ALIGN MODULE (Algorithm 1, line 32).

458 4.2.1. Substructures of localized elements

459 In the second stage of the crack tracking algorithm clusters are converted
 460 into substructures. These substructures can be defined as portions of the
 461 whole model containing those elements intersected by a single crack. It fol-
 462 lows that under specific circumstances not all the elements of a cluster can
 463 be part of a substructure and they need to be opportunely shortlisted.

464 Selection is made on the basis of a total of four checks. Some of these are
 465 consolidated in the literature, while others are specifically designed according
 466 to the adopted localization criteria. Two of these checks are always invoked,

467 and two are recalled if the new localized elements extend an existing crack.
468 All the four checks are clearly explained in Fig. 6 and listed below:

- the scalar product between the vector \mathbf{v} linking the crack tip and the centroid of the new localized element and the outgoing versor \mathbf{n} normal to the edge of the element containing the crack tip must be positive, in order to avoid coming back fractures (Fig. 6a):

$$\mathbf{v} \cdot \mathbf{n} > 0;$$

- 469 • band orientations in two adjacent localized elements must not differ
470 more than a prescribed limit (Fig. 6b);
- 471 • in the presence of parallel bands in adjacent elements only one crack
472 is generated. The accepted elements to be part of a substructure are
473 those whose band extremes share the same edge (Fig. 6c). In the case
474 of a crack involving a single element, the element showing the highest
475 value of the damage variable \hat{D} is retained (Fig. 6d).

476 No 'a priori' initialization of a crack is required, and multiple cracks are
477 admitted.

478 *4.2.2. Crack propagation*

479 The last part of the crack tracking algorithm is devoted to the alignment
480 of bands in elements constituting the substructure, in order to guarantee
481 crack-path continuity. Three possibilities arise:

- 482 1. extension of existing cracks;
- 483 2. merging of existing cracks;
- 484 3. formation of a new crack.

485 In general, new elements are usually added to an existing crack. In this
486 circumstance, the constraint that the band should pass through the balance
487 point is relaxed, while the localization direction is maintained (Fig. 7a). The
488 balance point is replaced by the previous crack tip position, and the band
489 results shifted inside the element. If more than one element is added, the
490 procedure continues with the next element on the list, until the entire list is
491 completed. In order to ensure continuity of deformation between the element
492 containing the new crack tip and the adjacent not localized element sharing
493 the same edge, the new internal nodes placed on the crack tip must move

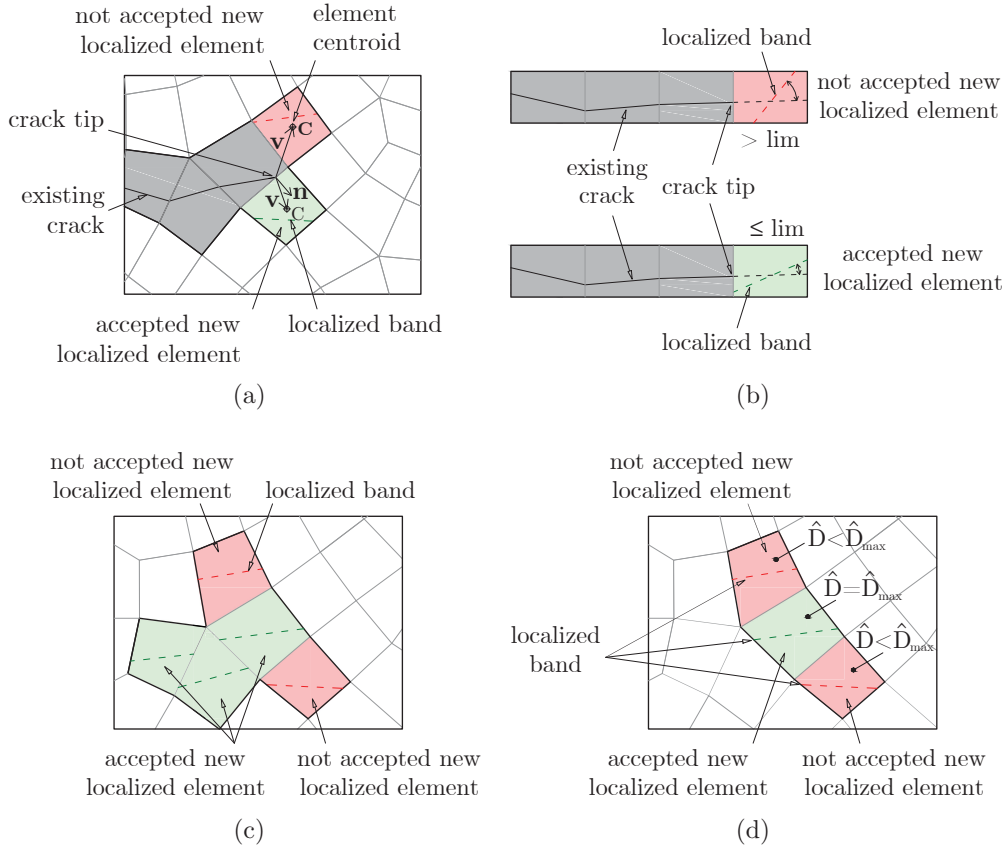


Figure 6: Substructures of localized elements. a) element centroid position check; b) band slope check; c) new crack length check; d) \hat{D} check.

494 together and lie on the same edge. This constraint is removed once a new
 495 element is added to the substructure and the crack tip moves ahead.

496 If the new substructure comes from the fusion of two substructures, old cracks
 497 are initially extended following the same procedure as in point 1. Occasion-
 498 ally, two existing cracks should be extended with the same element, which
 499 is referred to as an enclosed element (Fig. 7b). The previous crack tips are
 500 directly connected in this case. Additionally, nodes constrained to coincide
 501 with the two old crack tips are released.

502 Once the existing cracks have been scanned over, the remaining new cracks
 503 are inserted starting from the middle element towards the extremes. For
 504 the first element the band is inserted as localized, since both the balance

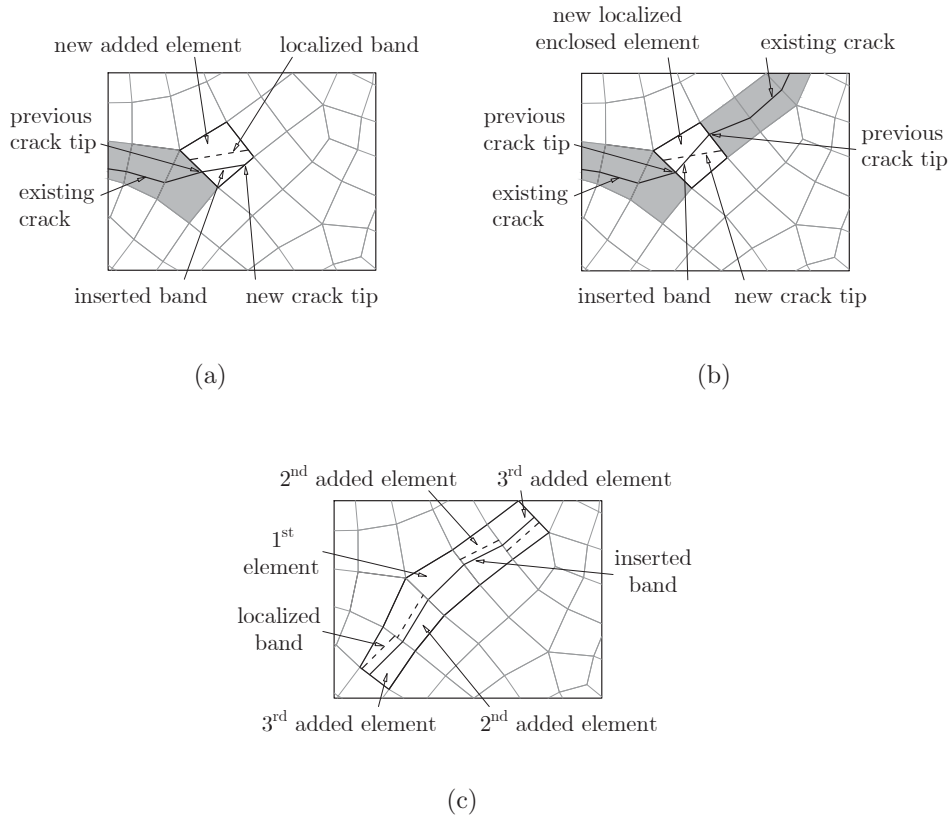


Figure 7: Crack propagation. a) addition of a new element; b) addition of an enclosed element; c) insertion of a new crack with more than one element.

505 point and localization band orientation are maintained. For the subsequent
 506 elements the balance point is substituted by the crack tip, while the band
 507 orientation remains unchanged as for the previous point 1 (Fig. 7c).

508

509 The key point of the numerical procedure is to split at the generic time step
 510 the solution of the substructures from the solution of the remaining part of
 511 the finite element model. In this sense the procedure contemplates two nested
 512 nonlinear iterative procedures. One procedure is at the substructure level,
 513 where the nodal displacements act as boundary essential conditions, and the
 514 other is at the model level. At the substructure level, the Newton-Raphson it-
 515 erative procedure leads to the correspondent boundary nodal forces together

Table 1: Example 1 - Material parameters

E [MPa]	ν	ε_0	$\frac{\varepsilon_f}{\varepsilon_0}$	w_b [mm]	D_{crit}
1000	0.2	$1.0E - 3$	100	1	0.1

516 with the updated substructure stiffness matrix. The stiffness matrix and the
 517 nodal forces contribute to in turn updating the stiffness matrix and internal
 518 force vector of the whole structure.

519 5. Numerical applications

520 In order to show the effectiveness of the proposed numerical method, in
 521 this section the results of four applications ranging from mode I and com-
 522 bined mode I-mode II loading stress states are reported. Each application is
 523 performed under plane stress and under displacement control.

524 In Example 1 a single edge notched specimen is loaded in order to create a
 525 mixed mode I-mode II stress state. This example shows the capability of the
 526 crack tracking algorithm to follow the correct crack pattern in the absence
 527 of mesh dependency. The same example is also run with three different load
 528 step sizes in order to analyze the influence of load step size on the results
 529 and on the convergence of the iterative solution.

530 A classical three-point bending test is examined in Example 2. In order to
 531 strengthen mesh independence and investigate the interphase thickness pa-
 532 rameter, two simple meshes are used to discretize the fracture propagating
 533 zone.

534 In Example 3 a mode I test on a double edge notched specimen is run. The
 535 peculiarity of this example resides in the double crack propagation and the
 536 comparison of the overall response with the analogous test run by Benvenuti
 537 et al. [41] who used the regularized X-FEM method.

538 Finally, Example 4 illustrates the ability of the code to reproduce the exper-
 539 imental results for a double edge notched specimen under a combination of
 540 mode I - mode II stress states. The same example is exploited to show a
 541 comparison between the IPH model and the ZTI model.

542 5.1. Example 1: single edge notched specimen under mixed mode

543 A 100×100 mm specimen with a unitary thickness and a non-symmetric
 544 notch is analyzed (Fig. 8). Adopted material parameters are reported in

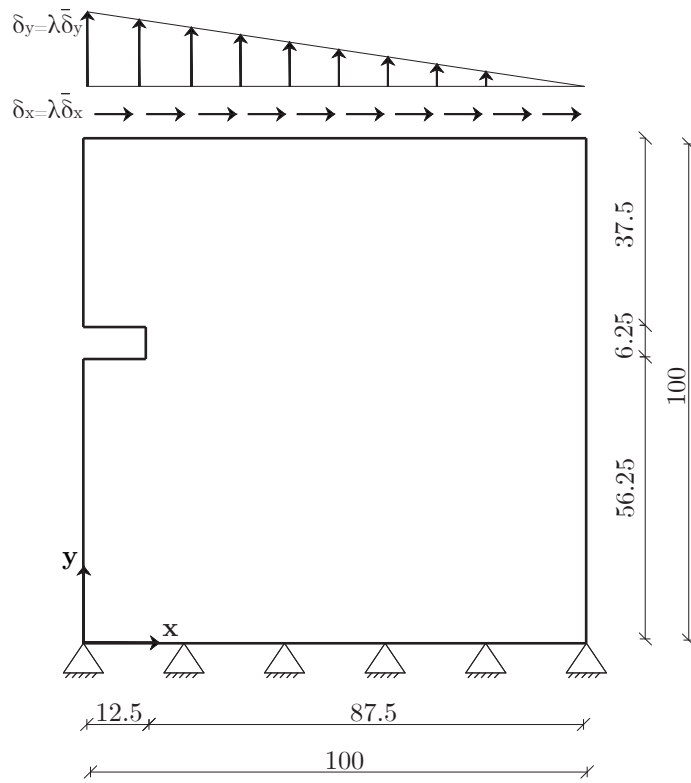


Figure 8: Example 1 - Geometry and boundary conditions. Measures are expressed in mm.

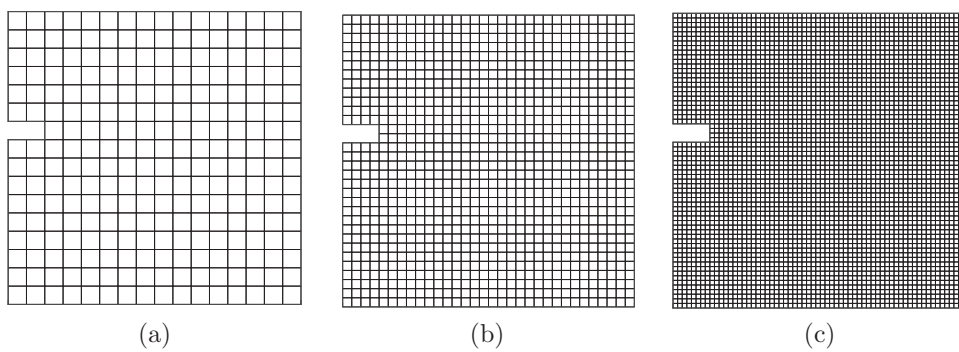


Figure 9: Example 1. Different employed RM: a) 16×16 , b) 32×32 , c) 64×64 .

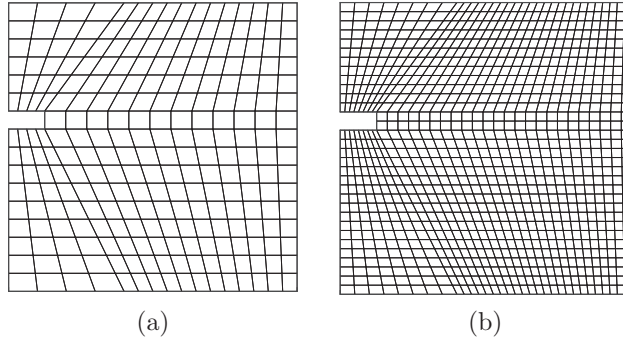


Figure 10: Example 1. Different employed SM: a) 16×16 , b) 32×32 .

545 Table 1. The specimen is constrained on the bottom side and the upper edge
 546 is subjected to uniform horizontal displacements together with linear vertical
 547 displacements. These displacements are maximum on the left node and zero
 548 on the right node.

549 The final values of horizontal and maximum vertical displacements are fixed
 550 to $\bar{\delta}_x = 0.85 \text{ mm}$ and $\bar{\delta}_y = 1 \text{ mm}$ respectively. In order to investigate mesh
 551 size and orientation, the example is run using five different meshes, consisting
 552 of three regular meshes (RM) and two skewed meshes (SM). The three RM
 553 are reported in Figure 9a-c where, respecting a classical h-refinement rule,
 554 the three meshes are composed of 16×16 , 32×32 , and 64×64 quadrilateral
 555 linear elements. The two SM are instead depicted in Figure 10 using 16×16
 556 (Fig. 10a) or 32×32 (Fig. 10b) quadrilateral linear elements respectively.

557 Each crack originates in the notch and propagates in the direction of the right
 558 edge of the specimen, maintaining the same inclination throughout. Final
 559 fracture patterns are reported in Figure 11b. Cracks are nearly overlapped,
 560 with a slight difference due to mesh size. No influence is observed due to mesh
 561 orientation. This is a remarkable result, since it is known from the literature
 562 [42] how much the application of a bias factor to the mesh influences the
 563 response. Load-displacement curves are in good agreement between each
 564 other, confirming the almost absence of mesh-dependence of the response
 565 (see Fig. 11a).

566 The implemented constitutive model returns nonlinear behavior with soft-
 567 ening. After the initial elastic phase, the highest principal strains nearby the
 568 notch lead to strain localization and crack propagation. The nonlinear phase
 569 reaches its peak at around 45 N , beyond which cracks develop faster dividing

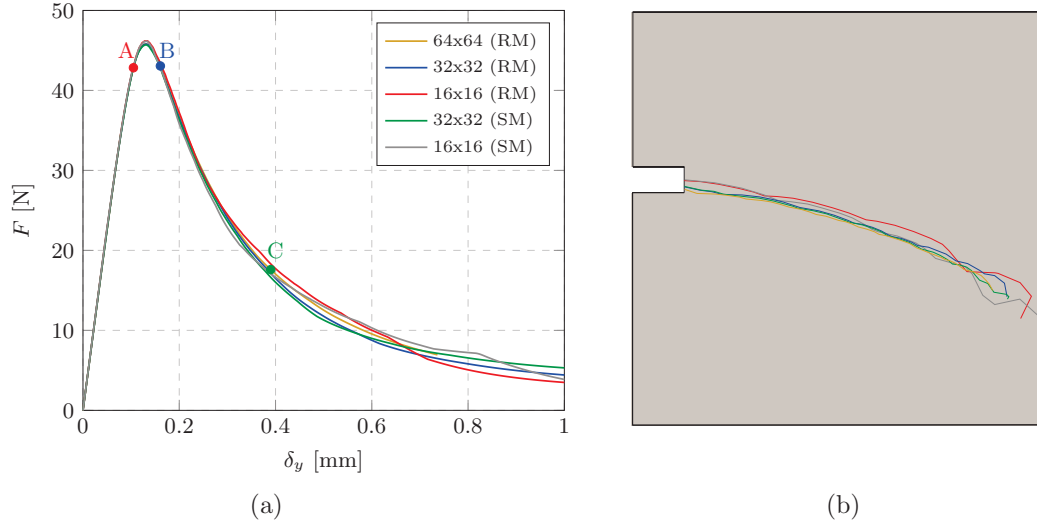


Figure 11: Example 1 - (a) Load-displacement curves; (b) Crack patterns. Markers A, B, C indicate three states for which the deformed shapes are plotted in Figure (12).

570 the specimen into an upper and a lower block.
 571 In Figure 12 the deformed shape and crack pattern for the 64×64 RM
 572 are reported at the three marked points of Figure 11a, corresponding to a $\bar{\delta}_y$
 573 multiplier λ equal to 0.105 (point A), 0.161 (point B), 0.390 (point C) respec-
 574 tively. The crack extends from the lower part of the notch to the opposite
 575 edge of the specimen in a curved path. At point C crack mouth opening
 576 displacement reaches a value of 0.42 mm .

577 The same test is run on the 32×32 SM in order to show the convergence
 578 behavior of the numerical code. Three different load step sizes are consid-
 579 ered, so that the influence of load step size can be highlighted. In the first
 580 test the amplitude of the imposed vertical displacement in the step is equal
 581 to $\Delta\delta_y = 2 \cdot 10^{-4} \text{ mm}$, for a total of 5000 steps. In the second and third tests
 582 the step size is 10 times and 15 times larger than the first test, respectively.
 583 The results in terms of load-displacement curves are shown in Figure (13a).
 584 As expected from the literature, a loading increment influences the peak force
 585 value for a fixed grid spacing. Additionally, the crack patterns exhibit dif-
 586 ferences in their final parts (Fig. 13b), resulting in different residual loads.
 587 The difference in the residual loads could be explained considering the dif-
 588 ferent amount of elements remaining between the crack and the right edge

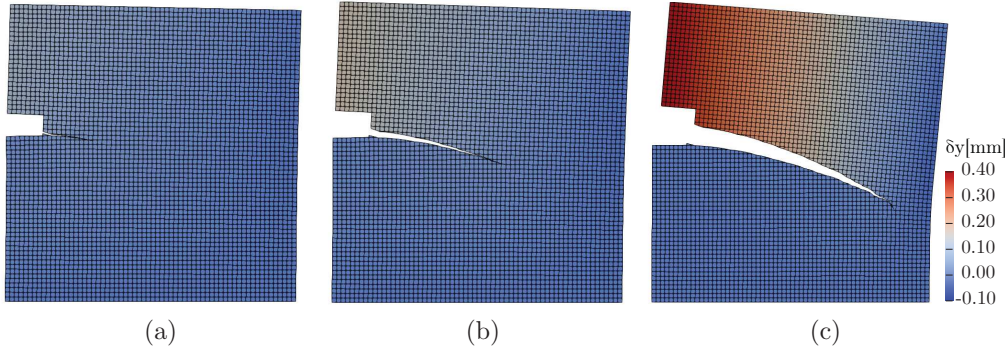


Figure 12: Example 1 - Deformed shape at points (a) A, (b) B, and (c) C indicated in Figure (11)a.

589 of the specimen. This constitutes a sort of rotational hinge with a different
 590 stiffness.

591 Convergence data are reported in Tables (2)-(3) and (4) for the same mul-
 592 tipliers λ (A, B, C) and for each load step size respectively. In each table
 593 the errors at the end of the global time steps are reported, together with
 594 the number of iterations used to reach convergence at the substructure level.
 595 As the load step size is increased, quadratic convergence is assured and the
 596 number of iterations increases.

Table 2: Example 1 - Convergence data with $\Delta\delta_y = 2 \cdot 10^{-4} \text{ mm}$.

Glob. n_{it}	A (Step 525)		B (Step 805)		C (Step 1950)	
	Error	Total n. loc. iter.	Error	Total n. loc. iter.	Error	Total n. loc. iter.
1	1.11E-4	3	5.48E-5	3	3.61E-6	2
2	5.13E-10	3	3.49E-10	3	2.53E-13	2
3	1.05E-14	3	2.92E-14	3	-	-

597 5.2. Example 2: three-point bending test

598 In this example, the embedded interphase model is tested on a classical
 599 three-point bending test, for which it is known that crack formation occurs
 600 in pure mode I.

601 The specimen has unitary thickness. Geometry and boundary conditions are

Table 3: Example 1 - Convergence data with $10\Delta\delta_y$.

Glob. n_{it}	A (Step 52)		B (Step 80)		C (Step 195)	
	Error	Total n. loc. iter.	Error	Total n. loc. iter.	Error	Total n. loc. iter.
1	2.22E-2	4	8.12E-3	3	1.60E-3	3
2	2.05E-3	4	4.35E-6	3	1.36E-7	3
3	1.85E-8	4	9.76E-13	3	1.12E-13	3
4	9.12E-15	4	-	-	-	-

Table 4: Example 1 - Convergence data with $15\Delta\delta_y$.

Glob. n_{it}	A (Step 34)		B (Step 54)		C (Step 130)	
	Error	Total n. loc. iter.	Error	Total n. loc. iter.	Error	Total n. loc. iter.
1	3.11E-1	5	3.30E-1	4	9.89E-4	3
2	3.83E-2	4	1.38E-2	4	2.22E-8	3
3	1.59E-4	4	2.49E-3	4	1.15E-13	3
4	6.05E-9	4	4.20E-8	4	-	-
5	1.01E-14	4	1.91E-14	4	-	-

602 reported in Figure 14. Material parameters are given in Table 5.
603 Due to the simple crack evolution in this case (only vertical fracture), the real
604 purpose of the test is to examine the effect of interphase thickness. Moreover,
605 this example gives us the chance to highlight the advantages of this model
606 with respect to the classic diffused crack model. In fact, it is known that a
607 diffused crack model suffers mesh dependency since damage is spread over
608 the element. For example, let us consider the two different meshes adopted
609 for the strip above the notch, as shown in Figure 15. In particular, the mesh
610 in Figure 15a (M1) has one vertical row of elements, with the same width as
611 the notch width (5 mm). In contrast, the mesh in Figure 15b (M2) has three
612 vertical rows of elements, each with a width equal to one third of the notch
613 width ($5/3\text{ mm}$). The mesh outside the localization zone is left unchanged.
614 All the elements are quadrilateral and linear.

615 Initially two tests are run employing the diffused approach with the two
616 M1 and M2 meshes, till a final displacement $\bar{\delta}_y = 0.5\text{ mm}$. The resulting
617 nonlinear behavior is represented by the load-displacement curves plotted as

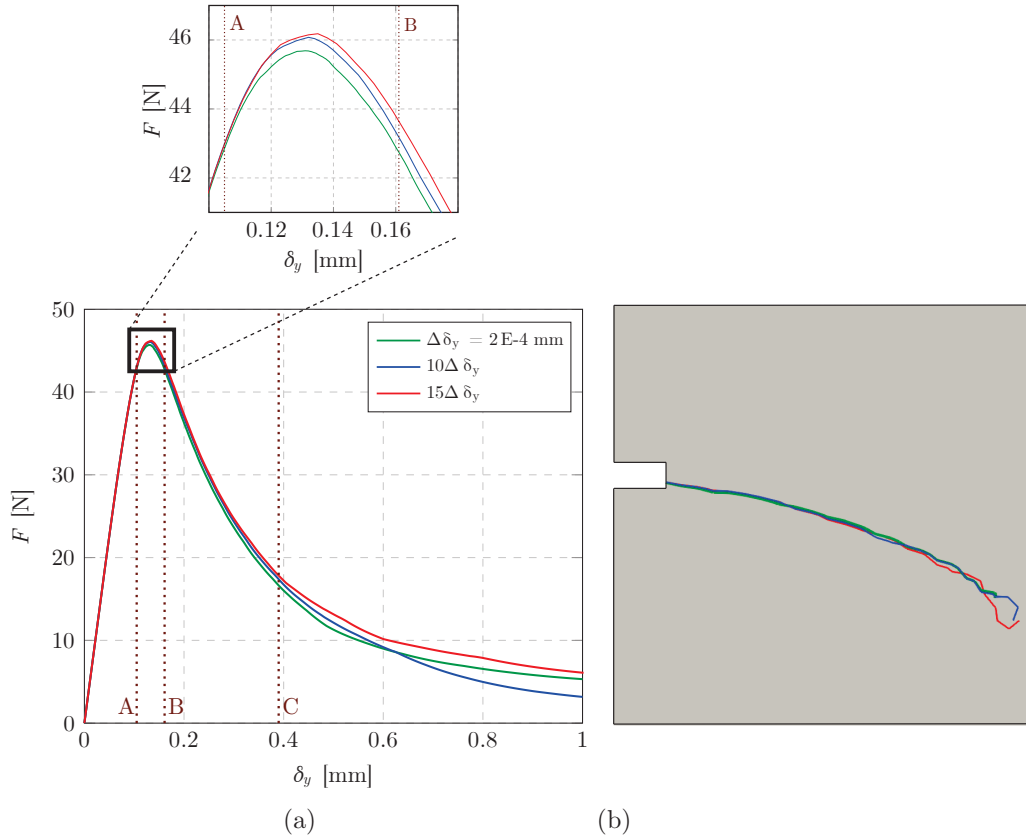


Figure 13: Example 1 - Influence of the load step size: a) Load-displacement curves; b) crack patterns.

618 dotted lines in Figure 16. Since damage localizes in elements of different
 619 widths and is more concentrated when thinner elements are adopted, a lower
 620 load-displacement curve is obtained when the M2 mesh is used. Considering
 621 that the same material parameters are used for both meshes, the different
 622 results are due to mesh size.

623 Now, the same test is run on M1 mesh using the proposed model, varying
 624 the interphase thickness from 0.5 mm to 5 mm. As it is shown in Figure
 625 16, a wider thickness leads to a higher load-displacement curve, as expected.
 626 Moreover, when the interphase thickness equals the element width of M1
 627 or M2 mesh, the load-displacement curve overlaps the corresponding dotted

Table 5: Example 2 - Material parameters

E [MPa]	ν	ε_0	$\frac{\varepsilon_f}{\varepsilon_0}$	D_{crit}
20000	0.2	$1.2E - 4$	58	0.5

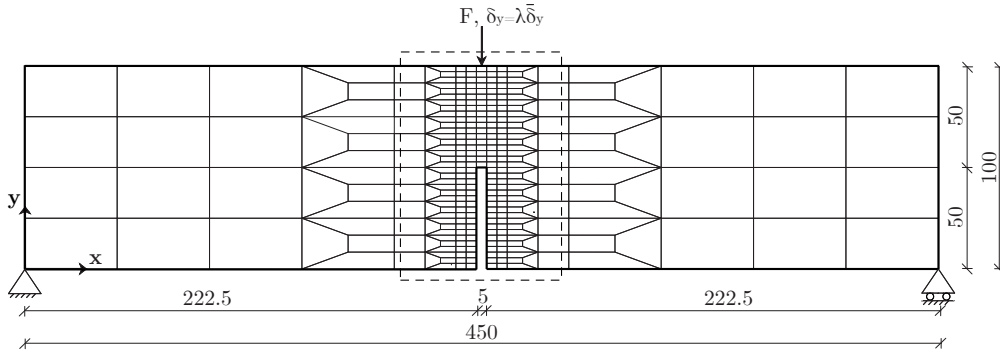


Figure 14: Example 2 - Geometry and boundary conditions. Measures are expressed in mm.

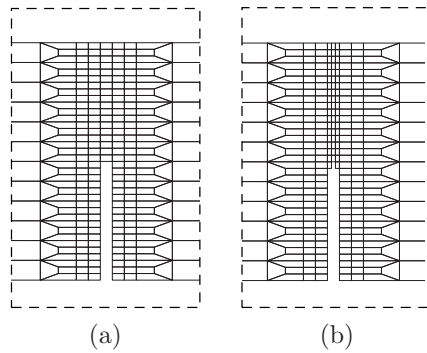


Figure 15: Example 2 - a) Mesh 1 (M1); b) Mesh 2 (M2).

628 line, making the outcome independent of mesh.
629 In Figure 17 a comparison between diffused (on the left) and proposed ap-
630 proach (on the right) is reported in terms of damage distribution and de-
631 formed shape at a multiplier $\lambda = 0.24$ of the final imposed displacement.
632 Figure 17a refers to points A of Figure 16, while Figure 17b to points B.
633 Since the proposed model freezes damage outside the interphase (not visible
634 in Figure 17) after element localization, the damage maps look less intense
635 with respect to the diffused approach, where damage is distributed over the
636 elements.

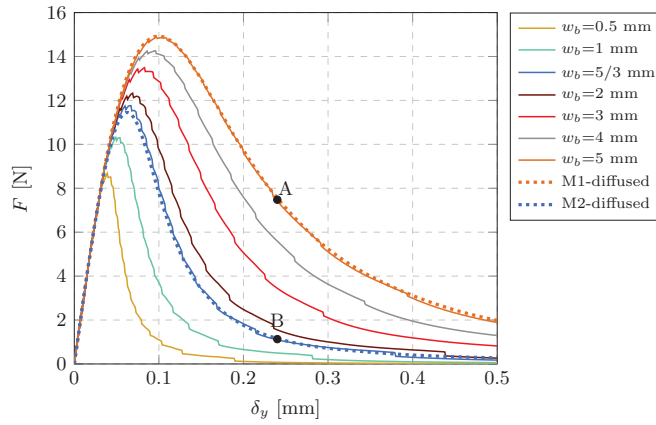


Figure 16: Example 2 - Load-displacement curves. Markers A and B indicate two states for which the deformed shapes are plotted in Figure 17.

637 5.3. Example 3: double edge notched specimen under tensile load

638 Another common test where crack opens in mode I is the tensile test on a
639 double edge notched specimen. The peculiarity of this test lies in the propa-
640 gation of two cracks which finally merge into one. The test is also chosen to
641 compare our results with others available in the literature, in this case those
642 obtained by Benvenuti et al. [41]. To this end, same geometry and material
643 parameters as in [41] are adopted. Dimensions of the specimen are reported
644 in Figure 18a, where the coarser mesh, external constraints and loading con-
645 ditions are also visible. Figure 18b shows instead a second adopted denser
646 mesh. The thickness of the specimen is 10 mm. Material parameters are in
647 Table 6. All elements are quadrilateral and linear. The specimen is fixed at
648 its base and loaded by imposing vertical incremental displacements until the

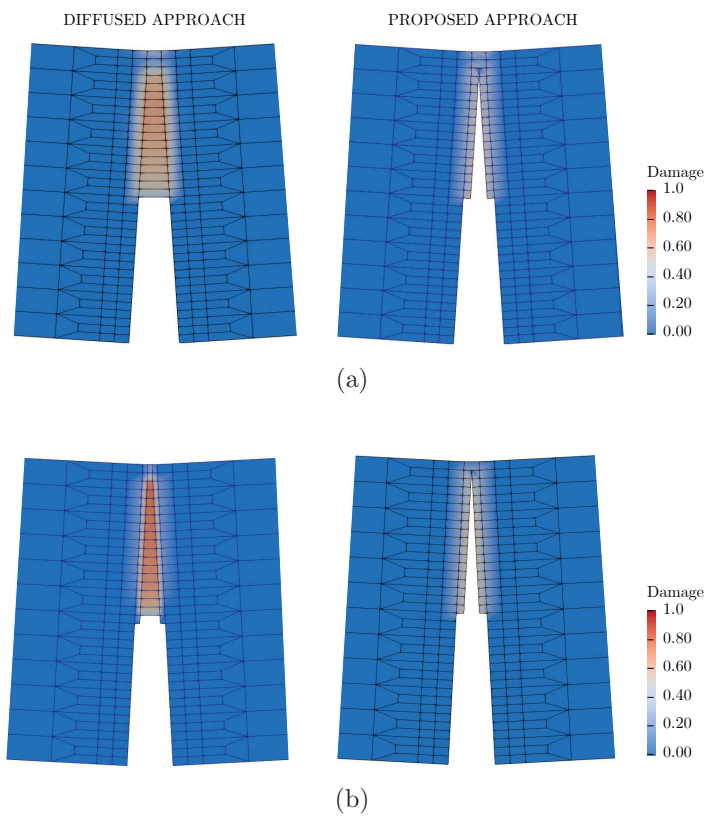


Figure 17: Example 2 - Damage maps and deformed shape comparison between the proposed approach (on the right) applied on M1 mesh and diffused approach for (a) M1 and (b) M2 meshes (on the left).

Table 6: Example 3 - Material parameters

E [MPa]	ν	ε_0	$\frac{\varepsilon_f}{\varepsilon_0}$	w_b [mm]	D_{crit}
2000	0.2	$5E-4$	500	1	0.55

649 final value $\bar{\delta}_y = 1$ mm.

650 The load-displacement curves for the two meshes are plotted in Figure 19
651 together with the numerical result of the regularized X-FEM model in [41].
652 Since the interphase thickness is fixed to 1 mm and crack is perfectly horizon-
653 tal, both coarse and dense meshes give the same mesh independent response.
654 This response is also in very good agreement with ref. [41]. Strain localization
655 takes place when the load-displacement curve attains its peak value. Two
656 cracks form symmetrically at the two notches, propagate during the soften-
657 ing branch and meet halfway merging on the symmetry axis. Crack openings
658 and damage patterns are reported, for the denser mesh, on the deformed
659 shapes of Figure 20, corresponding to an imposed displacement multiplier of
660 0.104 (Fig. 20a), 0.116 (Fig. 20b), and 0.134 (Fig. 20c), respectively.

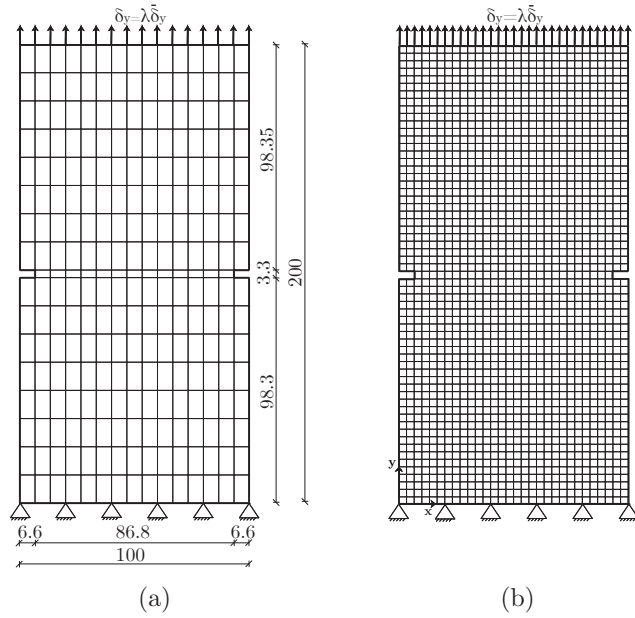


Figure 18: Example 3. Meshes and boundary conditions, with measures expressed in *mm*. (a) Coarse mesh, (b) Dense mesh.

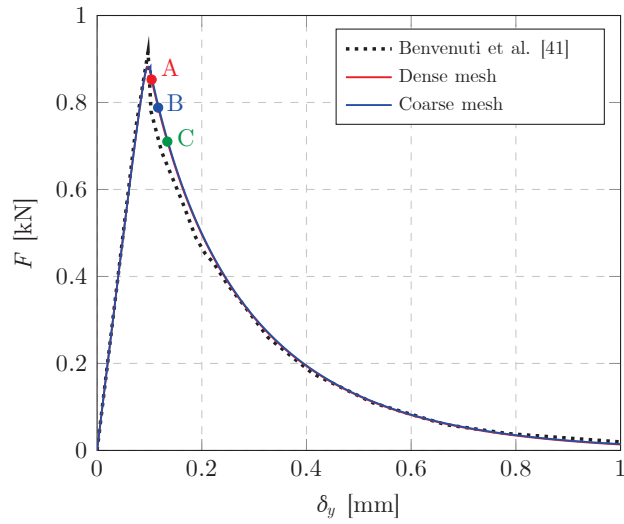


Figure 19: Example 3 - Load-displacement curves. Markers A, B, C indicate three states for which the deformed shapes are plotted in Figure 20.

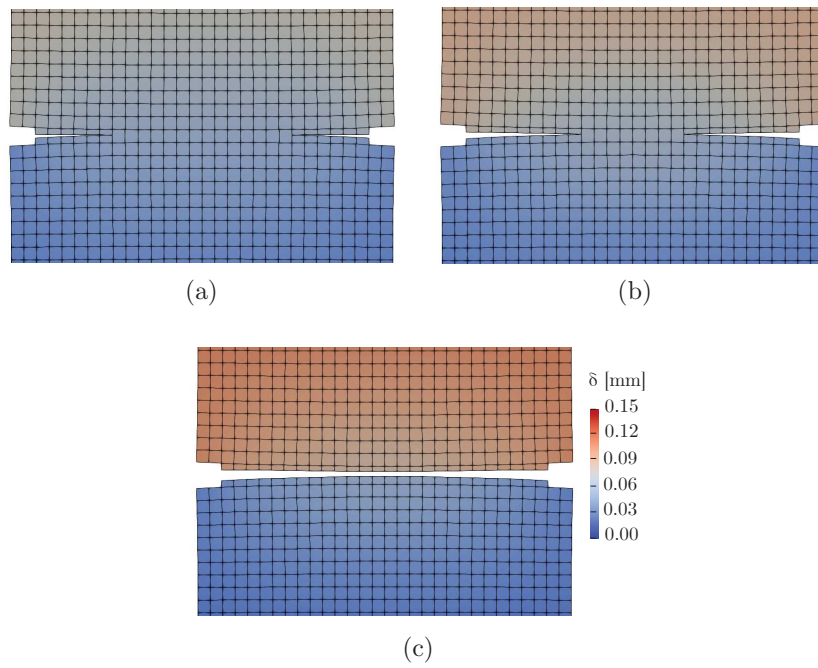


Figure 20: Example 3 - Crack evolution on deformed shape at steps (a) A, (b) B, (c) C of Figure 19.

Table 7: Example 4 - Material parameters

E [MPa]	ν	ε_0	$\frac{\varepsilon_f}{\varepsilon_0}$	w_b [mm]	D_{crit}
20000	0.2	$1.38E - 4$	140	1	0.1

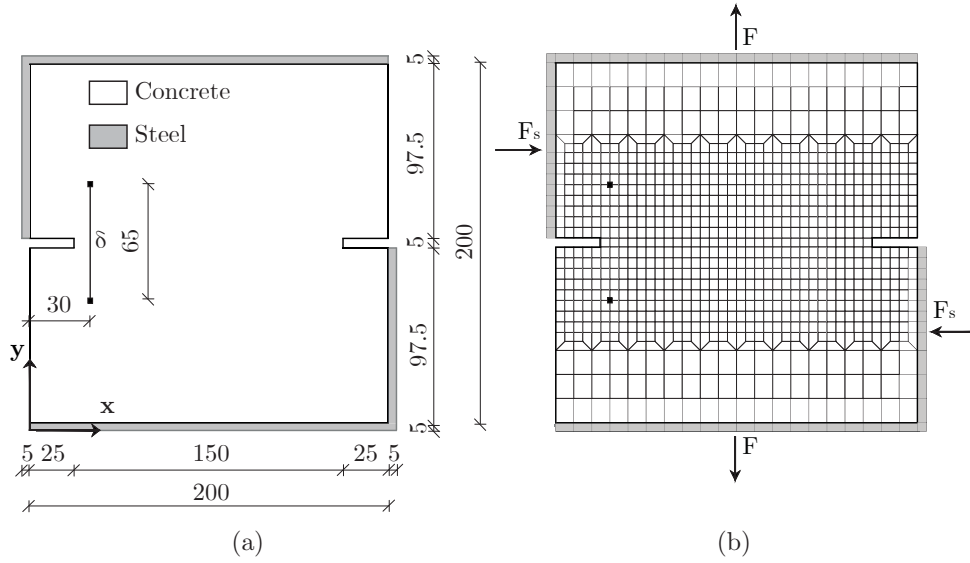


Figure 21: Example 4 - (a) Geometry and boundary conditions; (b) Numerical mesh. Measures are expressed in mm.

661 *5.4. Example 4: double edge notched specimen under mixed mode*

662 This new example is mainly guided by the intention of comparing numer-
663 ical results with experimental data. The double edge notched concrete spec-
664 imen analyzed by Nooru-Mohamed in 1993 [43], using a mixed-mode loading
665 machine, is considered as a reference. This experimental machine consists
666 of two independent stiff frames able to induce a combination of shear and
667 tensile (or compressive) stress on the specimen. Among the different experi-
668 mental tests conducted in [43], we simulate the loading paths '4a' and '4b',
669 regarding a 200 mm square specimen with two symmetrical notches, as in
670 Figure 21a. The two loading steel frames are experimentally glued to the
671 specimen at its entire depth, equal to 50 mm.

672 Experimental load was applied in two phases. In the first phase the speci-
673 men was laterally pushed in displacement control until the resultant force F_s

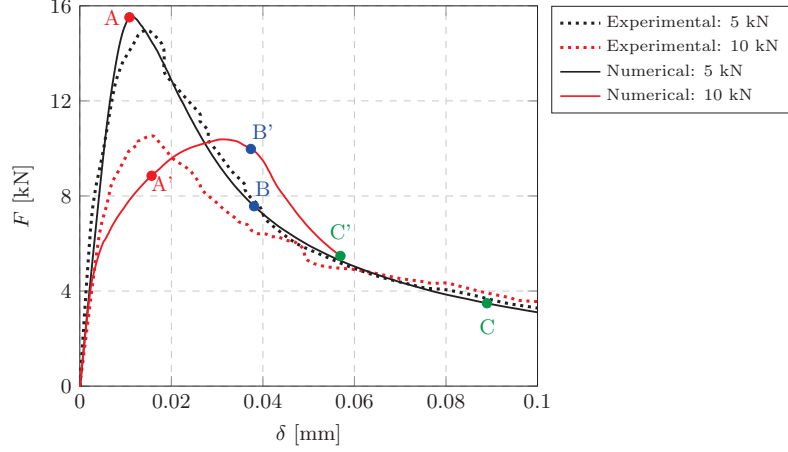


Figure 22: Example 4 - Load-displacement curves. Markers A, B, C indicate three states for which the deformed shapes are plotted in Figure 23. Markers A', B', C' indicate three states for which the deformed shapes are plotted in Figure 24.

674 reached the values of 5 kN and 10 kN for the paths 4a and 4b respectively.
 675 In the second phase, the horizontal F_s force was kept constant, while the test
 676 continued under incremental vertical displacement.

677 In [43] the experimental load-displacement curves are reported in terms of
 678 vertical resultant force F versus the relative vertical displacement δ between
 679 the two control points of Figure 21a. The resulting experimental curves are
 680 plotted in dotted lines in Figure 22. The observed damage pattern consisted
 681 of two curved cracks starting from the two notches with a steeper inclination
 682 the higher the F_s lateral force, and propagating in parallel. Nooru-Mohamed
 683 affirmed that, although the experimental machine was precise and sophisti-
 684 cated, undesirable eccentricities associated with the skew-symmetry of the
 685 test were observed. Such effects might result in experimental cracks that are
 686 not perfectly symmetric and could affect the load-displacement curves.

687 Numerical tests are run on the mesh showed in Figure 21b. This is com-
 688 posed of 910 initially quadrilateral linear elements, with a denser tessellation
 689 in the crack propagating area. In order to accurately reproduce the exper-
 690 imental loading phases, the elements representing the steel frames are first
 691 horizontally pushed under displacement control. The imposed displacements
 692 are calibrated so that F_s is exactly 5 kN or 10 kN . Once F_s attains its
 693 prescribed value, horizontal constraints are converted into external applied
 694 forces which are maintained constant throughout the rest of the test. During

695 this, the specimen is vertically stretched under displacement control. The
 696 vertical displacement δ reported in Figure 22 is the relative one between the
 697 two evaluation points marked in Figure 21b. Material parameters are those
 698 furnished by [44] and reported in Table 7. The localization and final strains
 699 reported in Table 7 are calibrated on the $F_s = 5 \text{ kN}$ case for an interphase
 700 thickness equal to 1 mm .

701 The experimental-numerical comparison in terms of load-displacement
 702 curves in Figure 22 shows a very close match in the 5 kN case, for which nu-
 703 merical parameters are calibrated. The 10 kN numerical curve, in addition,

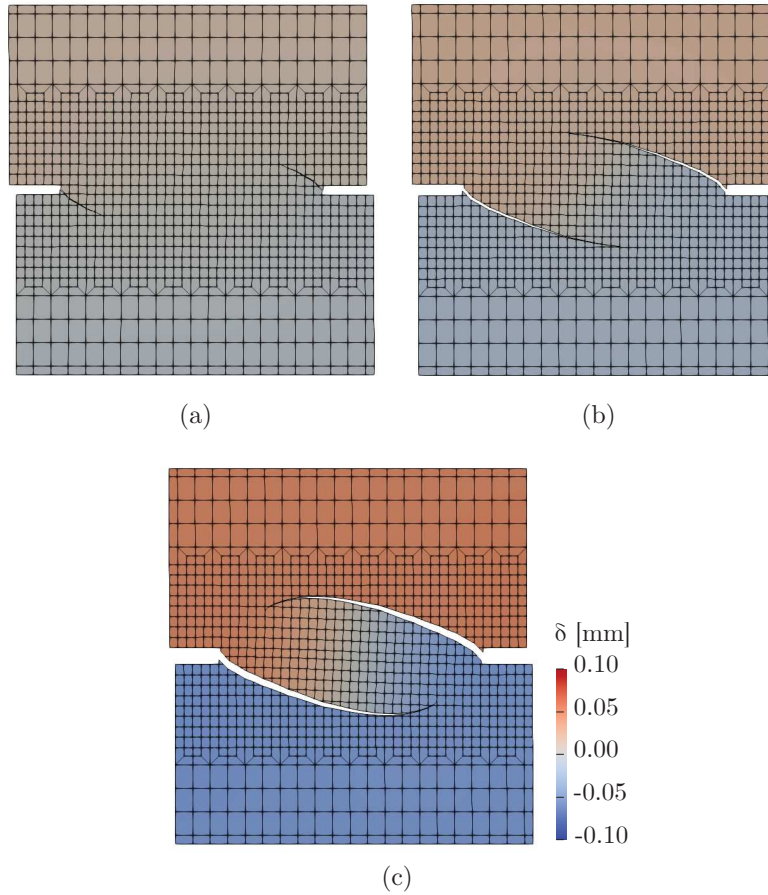


Figure 23: Example 4 - Crack evolution at steps (a) A, (b) B, (c) C of Figure 22, when $F = 5 \text{ kN}$.

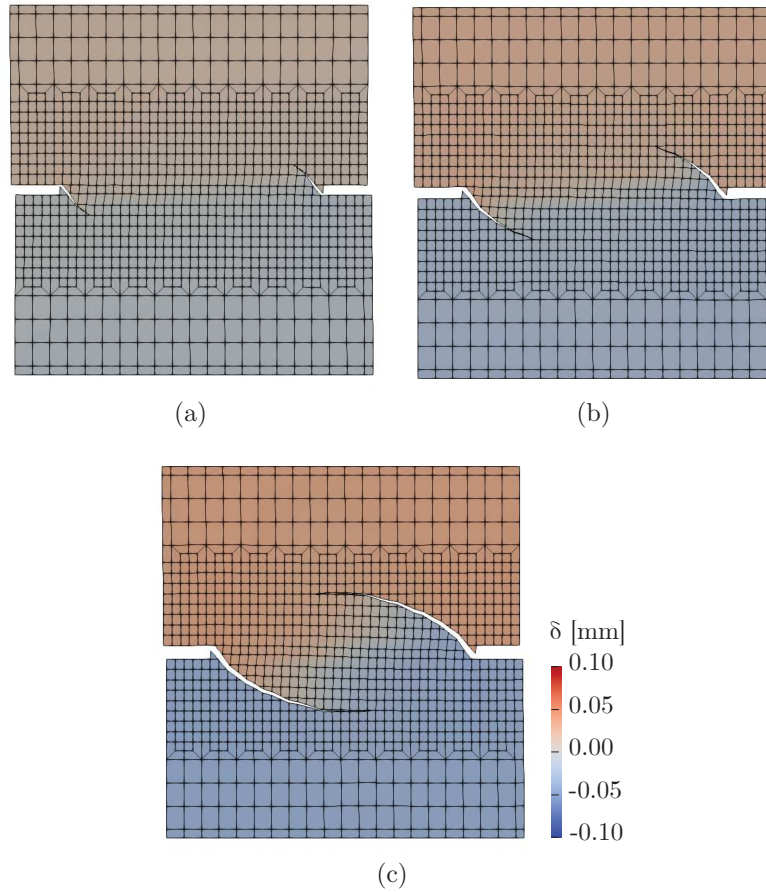


Figure 24: Example 4 - Crack evolution at steps (a) A', (b) B', (c) C' of Figure 22, when $F = 10 \text{ kN}$.

704 shows a peak equal to the experimental one, the same initial stiffness and a
 705 similar nonlinear trend. In contrast, when cracks begin to propagate, non-
 706 linear behavior becomes more deformable. Regarding the numerical curve,
 707 this discrepancy could be possibly related to the value of interphase thickness
 708 adopted for both cases; regarding the experimental curve, it could be related
 709 to the declared undesired eccentricities.

710 Crack extension at A, B, and C marked points in Figure 22 is reported in
 711 Figure 23 for the $F_s = 5 \text{ kN}$ case. In an analogous fashion, Figure 24 shows
 712 the crack evolution for A', B', and C' marked points in Figure 22. Cracks

713 form and propagate symmetrically, as expected. As found experimentally,
 714 cracks evolving in the 5 kN case are more flattened than in the 10 kN case.
 715 Overall, a fairly good match is obtained when comparing numerical and ex-
 716 perimental results.

717 In order to show the influence of internal stresses and strains on the over-
 718 all mechanical response of the FE model, the $F_s = 5\text{ kN}$ case is run ne-
 719 glecting the components of the stiffness matrix connected to the internal
 720 strains. Although the fracture process is governed by tensile tractions, the
 721 load-displacement response in the post-peak stage is lower (Fig. 25a). Not
 722 much difference is apparent in Figure 25b.

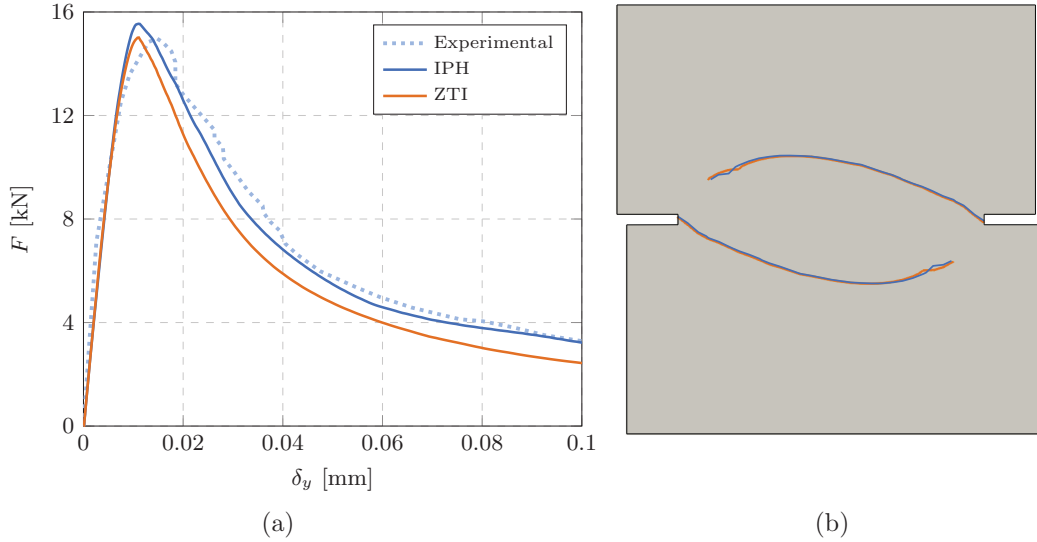


Figure 25: Example 4 - IPH/ZTI comparison: a) Load-displacement curves; b) crack patterns.

723 6. Conclusions

724 The present work deals with simulation of strain localization and fracture
 725 in structures made up of quasi-brittle material modelled in the framework of
 726 isotropic damage mechanics.

727 To simulate strain localization and its evolution in a pure crack, a numerical
 728 strategy integrated within the Finite Element Method combines the discrete
 729 crack approach and a crack tracking algorithm.

730 An advanced augmented finite element method (AAFEM) has been used to
731 model the continuous-discontinuous transition, which differs from the clas-
732 sical formulation by introducing an interphase element instead of a zero-
733 thickness interface. This advancement produces the following advantages:

- 734 • when strain localization occurs, according to localization theory, the
735 interphase simulates weak discontinuity since the strain state in the thin
736 layer is separated into regular and irregular parts (internal and contact
737 strains), the latter of which suffers discontinuity. The ZTI models
738 contact strain components only. When the damage value reaches the
739 unit value, the interphase simulates the crack since there is no stress
740 transfer and the displacement within the finite element is discontinuous.
741 Therefore, the advantage of the method is the ability to follow the whole
742 process from weak to strong discontinuities;
- 743 • it is no longer necessary to introduce a specific traction-discontinuous
744 displacement constitutive law for the localization band, because the
745 interphase is a solid with simplified kinematics which has the same
746 constitutive behavior as the bulk material. Furthermore, the number
747 of constitutive parameters is also reduced. Only the band thickness w_b
748 needs to be added to the bulk material parameters.

749 In relation to the second point, it is noteworthy that two questions arise
750 when using classical AFEM. The first is: what constitutive model and what
751 related mechanical parameters should be assigned to the interface in some
752 way consistent with the constitutive material model that governs the process
753 of diffuse damage prior to localization? The second question is: What are the
754 values of the internal variables that characterize the response of the interface
755 when the continuous-discontinuous transition is activated?

756 The proposed approach overcomes the aforementioned issues because the
757 quasi-brittle material pre- and post- strain localization have the same con-
758 stitutive model. Moreover, at the transition stage, the values of the internal
759 variables are evaluated on the basis of the values attained by the same vari-
760 ables in the material surrounding the band.

761 The localization band or crack tracking procedure is another important as-
762 pect of the study. It includes the evaluation of the position and direction of
763 each discontinuity in the finite element and the definition of its propagation
764 from one element to another.

765 The algorithm here proposed presents some original aspects:

- 766 • the localization band is inserted into the finite element on the basis
767 of a double criterion, namely when the minimum eigenvalue of the
768 tangential stiffness matrix of the element becomes zero or negative and
769 at the same time the damage variable reaches the critical value. The
770 critical damage is an additional constitutive parameter whose value
771 should not necessarily be close to unity, as is the case in other works
772 in the literature. This is because it serves to trigger the localization
773 before the pure crack and not the crack itself;
- 774 • the interphase line is positioned in the element using a point and a di-
775 rection. The point is the balance point of the damage values evaluated
776 at the Gauss sample points. The direction is obtained by spectral de-
777 composition of the localization tensor of the element. The localization
778 tensor, introduced here, weighs the directions of the maximum princi-
779 pal strain at the Gauss points by the damage values associated with
780 the same points;
- 781 • a clustering technique groups the elements of the model potentially
782 crossed by the localization band or fracture. Each group is converted
783 into substructures that are numerically analyzed separately to find the
784 nonlinear response at each time step. The transformation of clusters
785 into substructures consists in selecting the elements to which the lo-
786 calization band actually belongs. This selection is based on simple
787 heuristic criteria.

788 The proposed numerical strategy was applied to some two-dimensional tests,
789 and the results were compared with the same tests whose solution is reported
790 in the literature and with available experimental results. These results are
791 encouraging, since:

- 792 • the numerical responses, in terms of load-displacement curves and frac-
793 ture patterns, agree with the results reported in the literature and they
794 are independent of mesh size and mesh bias;
- 795 • the convergence at the sub-structure level and at the overall level is
796 acceptable (mostly quadratic).

797 Currently, the numerical strategy does not take into account crack branch-
798 ing in the element and crack propagation between two adjacent elements
799 (interelement crack). Therefore, these two aspects are the subject of future

800 work.

801 Since the method presented is general, the authors assume that it can be
802 readily applied to elastoplasticity for materials that exhibit strain-softening
803 and are particularly subjected to strain localization.

804 For instance, in soil mechanics, collapse mechanisms are dominated by the
805 formation of shear bands. The method can be used to simulate relevant
806 engineering problems such as soil-foundation interaction and slope stability.

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