Human Movement Science Dynamic Networks of Physiologic Interactions of Brain Waves and Rhythms in Muscle Activity --Manuscript Draft--

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Abstract:	The brain plays a central role in facilitating vital body functions and in regulating physiological and organ systems, including the skeleto-muscular and locomotor system. While neural control is essential to synchronize and coordinate activation of various muscle groups and muscle fibers within muscle groups in relation to body movements and distinct physiologic states, the dynamic networks of brain-muscle interactions have not been explored and the complex regulatory mechanism of brain-muscle control remains unknown. Here we present a first study of network interactions between brain waves at different cortical locations and peripheral muscle activity across key physiologic states – wake, sleep and distinct sleep stages. Utilizing a novel approach based on the Network Physiology framework and the concept of time delay stability, we find that for each physiologic state the network of cortico-muscular interactions is characterized by a specific hierarchical organization of network topology and network links strength, where particular brain waves are main mediators of interaction and control of muscular activity. Further, we uncover that with transition from one physiological state to another, the brain-muscle interaction network undergoes marked reorganization in the profile of network links strength, indicating a direct association between network structure and physiological state and function. The pronounced stratification in brain-muscle network characteristics across sleep stages is consistent for chin and leg muscle groups and persists across subjects, indicating a remarkable universality and a previously unrecognized basic physiologic mechanism that regulates muscle activity even during rest and in the absence targeted direct movement. Our findings demonstrate previously unrecognized coordination between brain waves and activation of different muscle fiber types within muscle groups, laws of brain-muscle cross-communication and principles of network-based biomarkers for classification of distinct physiolo
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Highlights

- Network Physiology approach to brain-muscle interactions reveals complex networks.
- Time delay stability measure identifies coupling of brain waves with muscle rhythms.
- Hierarchical organization in brain-muscle networks with distinct profiles of links strength.
- Distinct profiles for network links strength during wake, sleep and sleep stages.
- Brain-muscle network universality for different muscle groups and subjects.

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Abstract

26 The brain plays a central role in facilitating vital body functions and in regulating 27 physiological and organ systems, including the skeleto-muscular and locomotor system. 28 While neural control is essential to synchronize and coordinate activation of various muscle 29 groups and muscle fibers within muscle groups in relation to body movements and distinct 30 physiologic states, the dynamic networks of brain-muscle interactions have not been explored 31 and the complex regulatory mechanism of brain-muscle control remains unknown. Here we 32 present a first study of network interactions between brain waves at different cortical 33 locations and peripheral muscle activity across key physiologic states – wake, sleep and 34 distinct sleep stages. Utilizing a novel approach based on the Network Physiology 35 framework and the concept of time delay stability, we find that for each physiologic state 36 the network of cortico-muscular interactions is characterized by a specific hierarchical 37 organization of network topology and network links strength, where particular brain waves 38 are main mediators of interaction and control of muscular activity. Further, we uncover that 39 with transition from one physiological state to another, the brain-muscle interaction 40 network undergoes marked reorganization in the profile of network links strength, 41 indicating a direct association between network structure and physiological state and 42 function. The pronounced stratification in brain-muscle network characteristics across sleep 43 stages is consistent for chin and leg muscle groups and persists across subjects, indicating 44 a remarkable universality and a previously unrecognized basic physiologic mechanism that 45 regulates muscle activity even during rest and in the absence targeted direct movement. Our 46 findings demonstrate previously unrecognized coordination between brain waves and 47 activation of different muscle fiber types within muscle groups, laws of brain-muscle cross-48 communication and principles of network integration and control. These investigations

49	demonstrate the potential of network-based biomarkers for classification of distinct
50	physiological states and conditions, for the diagnosis and prognosis of neurodegenerative,
51	movement and sleep disorders, and for developing efficient treatment strategies.
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53	Keywords: Network physiology, Dynamic networks, Time delay stability, Bursts, Brain
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Dynamic Networks of Physiologic Interactions of Brain Waves and Rhythms in Muscle Activity

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1. Introduction

76 The human body comprises diverse organ systems, which continuously interact to 77 synchronize and coordinate their dynamics and to generate various physiological 78 functions. Mapping the network of interactions among physiological systems is thus of 79 primary importance to fully understand basic physiological states and functions, to 80 rigorously define and discriminate between healthy and pathological conditions. A new 81 field, Network Physiology (Ivanov, 2021; Ivanov, Liu & Bartsch, 2016), has been 82 established to address the fundamental question of how physiological states and functions 83 emerge at the organism level through integrated networks of interactions among diverse 84 systems and subsystems across spatiotemporal scales (Bashan et al., 2012; Bartsch et al., 85 2015; Ivanov & Bartsch, 2014). In this context, the brain-muscle communication network, 86 which is essential for locomotor control of posture and movements during distinct physio-87 logic states, remains not understood. Traditional approaches to cortico-muscular 88 coordination focus on associations between movement tasks or exercises and the 89 activation of particular brain waves at specific cortical areas (Ciria et al., 2019; Rendeiro 90 & Rhodes, 2018; Yokoyama et al., 2016). However, neural control of the muscular system 91 is continuously present even t rest. Recent studies have shown that network interactions 92 among brain waves are characterized by distinct coupling profiles and network plasticity 93 that are essential to generate physiological states and functions (Lin et al., 2020; Bartsch 94 & Ivanov, 2022; Liu et al., 2015a,b). We hypothesize that network interactions between

95 brain waves and rhythms embedded in muscle activity may also reflect changes in 96 physiologic regulation as a function of physiological states. We investigate the coupling 97 between physiologically relevant brain waves at distinct cortical locations with peripheral 98 EMGactivity in different frequency bands across four major, well defined physiological 99 states — Wake, REM, Light Sleep (LS), Deep Sleep (DS). We aim to map the default 100 brain-muscle interaction network corresponding to low level of physical activity in the 101 absence of directed and targeting movements during sleep and quiet restful wake. 102 Importantly, we find that cortico-muscular interaction profiles of network links strength 103 and related network topology uniquely identify each physiological state and hierarchically 104 reorganize with transition from one state to another - wake to sleep and across sleep 105 stages.

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2. Methods

107 **2.1. Data**

108 We analyze cortical EEG and surface leg and chin muscle EMG signals from 36 109 healthy young subjects (ages 20-40, M = 29 years old), synchronously and continuously 110 recorded during nocturnal sleep (ave. record duration 7.8h) from EU SIESTA databases 111 (Klosh et al., 2001). Data were divided in 30 s epochs and scored as Wake, REM, LS and 112 DS (Klosh et al., 2001). Analyzed data include EEG from six scalp locations (Fp1, Fp2, 113 C3, C4, O1, O2) and the EMG of left leg muscle (anterior tibialis) and chin muscle 114 (mentalis). Data were visually inspected to remove noisy segments, usually located at the 115 beginning and the end of the recordings. Power-line interferences were removed using a 116 50 Hz notch filter, and signals were bandpass filtered in the range [0.5 98.5] Hz. The 117 spectral power of seven frequency bands of the EEG and EMG signals was parallelly 118 extracted in moving windows of 2s with a 1s overlap: δ [0.5–3.5] Hz, θ [4–7.5] Hz, α [8–

120 time series have the same time resolution of 1s before the analysis.

121 **2.2. Time Delay Stability**

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122 We use the Time Delay Stability (TDS) method (Bashan et al., 2012) to quantify 123 periods of synchronous activity in two systems, where bursts in one system are followed 124 with constant time delay by bursts in the other system, as a measure of degree of 125 coupling. TDS quantifies the stability of the time delay with which bursts in the output 126 dynamics of a given system are consistently followed by corresponding bursts in the signal 127 output of another system. Longer periods of TDS between the output signals of two 128 systems reflectmore stable interaction and stronger coupling. Strong coupling (high TDS) 129 between two systems corresponds to a strong link in the interaction network between brain waves and muscle activity rhythms. Network links strength is defined as %TDS, i.e., the 130 131 fraction of segments with TDS (constant time delay) in the entire recording. To test the 132 statistical significance and physiological relevance of the network interactions identified by 133 the TDS method, we perform a surrogate test: for eachlink in a given sleep stage, 200 134 surrogates are generated considering signals from two randomly chosen subjects, and a 135 surrogate average link strength (%TDS) is obtained. The procedure is repeated for each 136 network link to obtain a distribution of surrogate link strengths for each sleep stage. 137 For each distribution the mean μ_{surr} and standard deviation σ_{surr} are estimated. Thus, 138 the significance threshold at 95% confidence level for the network links strength is 139 defined as $\mu_{surr} + 2\sigma_{surr}$ corresponding to %TDS = 2.3%, and is represented by 140 horizontal green lines in all figure panels showing bar plots of average links strength.





143 **Figure 1**

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145 **2.3.** Averaging procedure for estimating links strength in physiological networks

For each physiologic state, we calculate a group-average %TDS representing the coupling of each leg/chin EMG frequency band with the brain waves (cortical rhythms) embedded in each EEG channel across all subjects. To avoid coupling artifacts related to noisy data in the calculation of the group-average TDS for each network link, we remove outlier subjects for which the strength of the link is outside +/-2 standard deviation of the group-average TDS, and we recalculate the new updated group-average link strength. In the cortico-muscular network (Fig. 1), group-average TDS link strength is 154 representing the interaction of a brain wave from a given brain area withall EMG rhythms 155 (integrated EMG activity), we calculate the average coupling strength (%TDS) of a given 156 brain wave from given EEG channel with all EMG bands of the leg (or chin) muscle (Fig. 157 2). Similarly, to obtain information on the relative contribution in the brain-muscle 158 communication network of each muscle activity rhythm (EMG frequency band) with the 159 integrated EEG activity, we consider the average coupling strength of a given EMG 160 frequency band with all brain waves at a given EEG channel location (Fig. 3). We refer 161 to these two types of coarse-grained networks as 'brain waves to integrated muscle activity' 162

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3. Results

164 **3.1.** Brain-muscle network and its dynamical reorganization across physiologic states

and 'muscle rhythms to integrated brain activity' interaction networks.

165 We identify and characterize the brain-muscle interactions network across four 166 major physiologic states: Wake, REM, LS and DS. We consider brain activity from six 167 major cortical areas — Fp1, Fp2, C3, C4,O1, and O2 —, leg muscle tone, and chin 168 muscle tone, simultaneously recorded overnight-sleep using EEG and EMG. We then 169 quantify couplings and network interactions by means of the TDS method [1]. The 170 cortico-muscular network in Fig. 1 reorganizes across physiologic states. Specifically, the 171 network is denser and exhibits stronger links during Wake, and sparser during LS and DS. Brain-chin network links are generally stronger than brain-leg links, in particular during 172 173 Wake and REM. Particular cortical rhythms and EMG frequency bands emerge as main 174 mediators of the brain-muscle interaction. During Wake and REM the strongest links 175 correspond to interactions mediated by the high frequency cortical rhythms, in particular 176 γ_2 (red links). We observe that the network markedly reorganizes with transition to LS, 177 and strong links related to slower cortical rhythms appear in the network (dark and light

blue links), in particular between the chin and the frontal region of the brain. Finally, thelink number and strength abruptly decline during DS (Fig. 1).

180 3.2. Coarse-grained interaction networks of cortical rhythms with integrated leg 181 muscle tone

182 To identify the role of different brain rhythms in muscle control across cortical lo-183 cations, we coarse-grain the TDS links strength across EMG rhythms (Sect. II), and 184 represent in coarse-grained networks and corresponding bar charts (Fig. 2). The brain-leg 185 interaction network significantly changes with transition across sleep, with strong 186 interactions during Wake, intermediate during REM and LS, and weak during DS (One-187 Way ANOVA rank test for each brain location shows a statistically significant 188 difference between sleep stages; p < .001). No significant differences in network links 189 strength are found between the left and right hemisphere for each sleep stage (MW 190 test, p > .67), nor among different brain areas within a brain hemisphere for a given sleep 191 stage (One-Way ANOVA rank test; p > .44). The frequency profile of network links 192 remains stable for all brain areas in a given sleep stage, and reorganizes with transitions 193 across sleep stages. During Wake, brain-to-leg interaction is characterized by strongest 194 links for the high-frequency bands γ_1 and γ_2 and a gradual decrease in links strength for 195 the lower-frequency bands, followed by a slight kink up in link strength for the δ band 196 (One-Way ANOVA rank test and multiple pairwise comparison performed for Fp1 show 197 p < .005). Differences between links involving different cortical rhythms gradually 198 decrease in REM, LS and DS, although remaining significant. Similar patterns in the 199 network structure and frequency profile reorganization are observed for brain-chin 200 interactions.



3.3. Coarse-grained interaction networks of integrated brain activity at cortical locations and leg EMG frequency bands

208 We next consider the average coupling strength of a given EMG frequency 209 band with all brain waves derived from an EEG channel (Fig. 3). The leg-brain network 210 reorganizes across states, with uniform distribution of links over cortical areas, and a 211 symmetry between left and right hemispheres. Incontrast to brain-to-leg network, we do 212 not observe dominantlinks in the interactions of leg EMG bands with integrated cortical 213 areas for all sleep stages (One-way ANOVA p > .21). Links are stronger during Wake, 214 with decreasing strength for REM, LS and DS. Chin-to-brain networks exhibit similar 215 stratification and reorganization across sleep stages.



218 **Figure 3**

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4. Discussion

We systematically study brain-muscles interaction networks and their associations with physiologic states. We find that neuromuscular control is mediated through complex network interactions between brain waves and rhythms in muscle activity, with hierarchical organization of network links strength ashallmark of physiologic state. The reported results are robust and consistent across subjects indicating universal laws inbrainmuscle network communication. Furthermore, we uncover preferred pathways in network communication between brain waves and rhythms in the skeletal muscles activity that 228 uniquely identify sleep stages through a previously unrecognized hierarchical network 229 organization. The reported findings open new perspectives on the regulatory mechanisms 230 of brain dynamics and neuromuscular activity in the absence of directed and targeted 231 movement during quiet wake and sleep. They show that in addition to neural synchrony 232 within the motor system (Wijk, Beek, & Daffertshofer), synergy among muscle groups 233 (Bach, Daffertshofer, & Dominici; Kerkman et al., 2020) and activation of brain waves 234 across cortical areas during active movement (Ciria et al., 2019), there is a previously 235 unrecognized physiologic mechanism regulating network interactions between brain 236 waves and rhythms in muscle activity. These investigations raise new questions of how 237 cortical waves and spectral rhythms in muscle activity representing different types of 238 muscle fibers (Garcia-Retortillo et al., 2020; Garcia-Retortillo, Rizzo & Ivanov, 2021) 239 synchronize and integrate as a network during exercise and breakdown with fatigue 240 (Balagué et al., 2020). Further, our study shows that different brain waves play role as the 241 main mediators of brain-muscle network interactions compared to the brain-heart 242 regulatory network (Lin et al., 2016), which demonstrates that markedly different dynamic 243 networks with distinct structure and dynamics mediate autonomic regulation and brain 244 control of different organ systems (Ivanov et al., 2017). The reported empirical 245 observations of hierarchical reorganization in brain-muscle networks with transitions 246 across states, where distinct profiles of network links strength represent each physiologic 247 state, indicate the potential utility of the Network Physiology framework and derived 248 network-based novel biomarkers with implications for neurodegenerative, locomotor and 249 sleep disorders (Moorman, Lake & Ivanov, 2016).

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Figure captions

352 Figure 1. Dynamic networks of cortico-muscular interactions across physiological states. 353 Network maps represent physiological interactions during wake, REM, LS and DS. Brain 354 areas are represented by two Frontal, two Central and two Occipital EEG channels, where 355 nodes with different color in each brain area represent distinct brain waves. Peripheral 356 nodes indicate EMG frequency bands of leg and chin muscle tone shown in the same color 357 as the brain waves. Network links show the coupling strength of each cortical rhythm 358 across cortical areas with each EMG frequency band as quantified by the TDS measure. 359 Links strength is marked by line width: thin lines for 3% < % TDS < 12%; thick lines 360 for %TDS > 12%. TDS = Time Delay Stability.

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362 Figure 2. Dynamic network interactions between cortical rhythms and integrated leg-363 muscle activity across physiological states. (A) Radar-chart representation of group-364 averaged TDS coupling strength of the interactions between each brain rhythm at a given 365 cortical location and the leg muscle tone, after averaging over all leg EMG frequency 366 bands. Brain areas are represented by six EEG channels, where network nodes with 367 different colors mark different cortical waves. A centered hexagon represents the 368 integrated leg muscle tone. Links strength is indicated by line thickness; links color 369 corresponds to the color of brain rhythms involved. Networks include only links with 370 strength above the significance threshold % TDS = 2.3%. The length of each colored 371 segment along each radius in the radar-charts represents the TDS coupling strength 372 between each cortical rhythm at each EEG location and the leg muscletone averaged 373 over all EMG bands. Segments in the radar-charts are shown with same color as the

374 corresponding brain rhythms. (B) Characteristic profiles of network links strength for
375 cortical rhythms interactions with integrated leg-muscle tone for right brain hemisphere.
376 Link strengths are grouped by brain areas, and are ordered from low- to high-frequency
377 cortical rhythms. Error bars represent the standard error; horizontal green lines are the
378 significance threshold.

379

380 *Figure 3.* Dynamic networks of leg EMG frequency bands and integrated brain activity 381 at cortical areas for different physiological states. (A) Links in network maps represent 382 group-averaged TDS coupling strength between each frequency band of leg muscle tone 383 and a given cortical area, after averaging network links to all brain waves at the cortical 384 area. Cortical areas are represented by EEG channel locations, while peripheral network 385 nodes with different colors represent leg EMG frequency bands. Line thickness 386 indicates link strength (weak links with 3% < TDS < 5%, intermediate links with 5% < 5%) 387 TDS < 7.5% and strong links with TDS > 7.5%); links color corresponds to the color of 388 the leg EMG frequency bands. (B) Characteristic profiles of network links strength 389 representing interactions between integrated brain activity at distinct cortical areas in the 390 right brain hemisphere and individual leg EMG frequency bands. Links are grouped by 391 cortical areas, and are ordered from low- to high-frequency leg EMG bands. Error bars 392 represent standard error for all subjects; horizontal green lines mark the physiologically 393 relevant significance threshold % TDS = 2.3%.

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