1 The role of larval transport on recruitment dynamics of red mullet (*Mullus barbatus*) in the Central 2 Mediterranean Sea

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16 Abstract

17 Recruitment success depends on external forcing mechanisms such as ocean currents that affect the 18 transport of eggs and larvae to favorable habitats. In this study, we investigated the role of larval transport 19 in the recruitment of Mullus barbatus in the Central Mediterranean Sea by modeling the recruits' 20 abundance as a function of both spawning stock size and dispersal rates of the species' early life stages. 21 Our analysis involved twenty years of data on recruits and spawners abundance obtained from scientific 22 trawl surveys, and data on larval dispersal rates derived from a combination of actualized published sources 23 and original data. By calculating in the Sicilian nurseries, the estimates of retention, import and uniformity 24 of the contribution of the spawning areas distributed among different Geographical Sub Areas (GSAs), we 25 assessed their contribution to recruitment using modified Ricker stock size-recruits models. In particular, 26 our results show that a higher uniform contribution from spawning areas within GSA16, mainly related to 27 the oceanographic patterns promoting larval retention, together with spawners abundance, significantly 28 reduced the variability of red mullet recruitment. We further highlighted that when switching from a higher 29 to a lower level of evenness of contribution to the recruit population from different spawning areas in the 30 GSA16, the expected spawning stock abundance per recruit for a given fishing pattern can suffer a rapid 31 short-term decline, which is likely to have negative consequences for stock assessment and management 32 decisions. Our results suggest that larval transport plays a crucial role in explaining the interannual 33 variability of recruitment, thereby contributing to a better understanding of stock size variation. 34 Additionally, our study enhances the understanding of the spatial dynamics involved in the recruitment of 35 this species, which is of increasing interest within fisheries management frameworks.

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37 Keywords: fish recruitment; ocean connectivity; larval transport; larval retention; stock-recruitment

38 **1. INTRODUCTION**

39 The recruitment (i.e. the number of new young fish entering a population) and its rate is a crucial process in 40 determining the evolution in size of fish populations (e.g., King, 2013; Cadrin et. al 2020 and references 41 therein). Since the beginning of the last century, fluctuations in the size of fished stocks have captured the 42 interest of many marine scientists (Hjort, 1914), leading to extensive research to identify, evaluate, and 43 quantify the causes of the recruitment variability. Even today, significant effort is directed towards 44 understanding the relationship between the spawners population size (S) and the recruitment (R) due to its critical role for management strategies of sustainable fisheries (Walters and Martell, 2004; Barbieri et al. 45 46 2017). Despite the spawning stock-recruitment relationship is fundamental in marine population 47 management, relying solely on adult abundance as a predictor of recruitment, as classically done in S-R 48 relationships, may not always be a reliable approach (Barbieri et al. 2017; Cury et al. 2014). This is because 49 in most exploited marine species, the effective breeding population often diverges from the number of 50 reproducing individuals due to reproductive strategies (Barbieri et al. 2017). In fact, external fertilization is 51 the main reproductive strategy of most exploited marine fish, involving the release of a large number of eggs 52 into the water, which are inevitably subject to fluctuation of environmental factors (e.g. Barbieri et al. 2017) 53 and physical dispersion due to oceanic current patterns (Cowen and Sponaugle 2009; Houde, 2016). 54 However, attempts to incorporate external factors to reduce the degree of unexplained variation in the 55 spawning stock-recruitment relationship have sometimes yielded inadequate results, because S-R are 56 basically linear approximations of non-linear environmental effects (Subbey et al. 2014). Thus, understanding 57 and assessing the factors regulating the recruitment dynamics remains one of the most complex issues in 58 fishery science (Szuwalski et al. 2015). Various environmental factors can modulate the recruitment success, 59 especially for broadcast spawning species, by influencing the survival of their pelagic early life stages (ELS) 60 (Cushing, 1990; Agostini and Bakun, 2002). To improve the understanding of the interannual recruitment 61 variability, some studies have explored various predictors, in addition to spawning stock size, to assess the 62 abundance of recruits. These predictors include sea water temperature and its anomalies, either separately 63 or together with zooplankton abundance (Levi et al., 2003; Planque et al., 2003; Olsen et al., 2011; Perretti 64 et al., 2017), salinity values (Akimova et al., 2016), and proxies for changes in the patterns of temperature,

65 wind, and precipitation, expressed in terms of climatic indices such as the North Atlantic oscillation index 66 (Brander and Mohn, 2004; Perretti et al., 2017). All of these factors, although with different effects depending 67 on the species, proved to be important in reducing unexplained interannual variability when relating parental 68 stock size to recruits. Concurrently with these ecological factors, physical oceanographic processes 69 associated with the advection, retention, or transport of eggs and larvae to favorable or unfavorable habitats 70 undoubtedly contribute to variability in ELS survival and thus to variation in recruitment (Huwer et al., 2014, 71 2016; Houde, 2016 and references therein). Therefore, spatial structure and related connectivity between 72 spawners and recruits mediated by the larval dispersal, from the areas of eggs release to nurseries, cannot 73 be neglected when investigating factors influencing recruitment dynamics (Cadrin, 2020). In other words, 74 identifying self-sustained populations requires understanding the space-time patterns of larval dispersal, as 75 well as the migration of juveniles and adults. Based on the hypothesis that the dispersal and retention 76 patterns of ELS contribute to the recruitment variability, we expect that, by modeling recruitment as a 77 function of larval transport estimates and spawners abundance, it is possible to achieve a more 78 comprehensive understanding of the renewal dynamics of marine populations.

79 A better understanding of eggs and larval transport is crucial for fishery management because it aims to 80 maintain the persistence of the population of a harvested species, by defining a sustainable yield that 81 assumes a self-sustained stock. This property mainly consists of the replacement of one adult with at least 82 one offspring that must reach the favorable habitat to survive and subsequently reproduce (Burgess et al., 83 2014). So, assessing the role of both parental stock size and larval dispersal pattern in recruitment success is 84 essential for the conservation and management of fished populations (Fogarty and Botsford, 2007). Wellestablished tools for exploring dispersal patterns and connectivity are the Lagrangian transport models, 85 86 which are able to simulate eggs and larvae drifting as passive particles in a modeled biophysical environment 87 (Miller, 2007). The estimated number of particles that arrive or are retained in the examined area can be 88 related to recruitment to quantitatively assess the role of the pelagic transport, also offering the possibility 89 to discern the effects of local factors from regional ones on the renewal of the population. Recently, 90 estimates of larval transport across transnational boundaries have been explicitly applied to model fish population fluctuations in the Northwestern Mediterranean Sea (Ospina-Álvarez et al. 2015, Hidalgo et al.
2019) and the Strait of Sicily (Patti et al.2020), as well as in the North Sea (Romagnoni et al. 2020).

93 Based on this premise, we focused on the role that the larval drift has on the recruitment of the red mullet 94 (Mullus barbatus, L., 1758) in the southern coast of Sicily (Geographical Sub Area GSA 16; FAO 2009) within 95 the Strait of Sicily (SoS). M. barbatus is one of the main target species for coastal bottom trawl and small-96 scale fisheries in the Mediterranean Sea (Tserpes et al., 2019) reaching in the GSA16 an average value of 97 about 550 ± 171 tons of landing in 2006-2018 (Scannella et al., 2019). As measures of larval transport, we 98 used the annual upgraded estimates, adopting the revised projection of 'Copernicus Monitoring Environment 99 Marine Service (CMEMS)' velocity fields (Escudier et al 2020), of the Lagrangian dispersal simulations from 100 1999 to 2012 reported in Gargano et al. (2017) and to extend the time series until 2019, we employed the 101 same Lagrangian model and its parametrization. Gargano et al. (2017) simulated and quantified particles 102 passive drifting from the known red mullet spawning areas (sources) located on the northern (Sicilian-103 Maltese) and southern (African) shelves of the Strait of Sicily to the potential nursery areas (sinks) identified 104 off the Sicilian, Maltese and African coasts. The authors found a low exchange of particles between the 105 Sicilian–Maltese and the African sides of the SoS and a high degree of retention of larvae in all the respective 106 shelves. In the present study, we were interested in the recruitment processes occurring in the GSA 16, i.e. 107 the fraction of particles released in different spawning areas across the entire SoS that reach the nurseries 108 off the Sicilian coasts. Starting from the annual Lagrangian estimates of particle arrivals in GSA 16 from the 109 different release areas in the SoS, we calculated estimates of retention within the GSA16, and estimates of 110 eggs and larvae import from the African and Maltese Shelf. Furthermore, we analyzed the degree of 111 uniformity in terms of eggs and larvae provenience from the different spawning zones in each continental shelf considered. The resulting interannual patterns of variability, discriminated in terms of the magnitude 112 113 of egg and larval input and spatially in terms of equal or inhomogeneous contribution from the different 114 zones, reflects the ocean current dynamics that influence larval dispersal and could ultimately serve as a 115 proxy for the oceanographic conditions favouring larval settlement and recruitment on the shelf within the 116 studied GSA.. Then, by using a fishery-independent dataset of red mullet abundance in the GSA16 from 2000 117 to 2019, we modeled the abundance of recruits as function of the spawning population size using traditional

118 parametric stock-recruitment model formulation, and compared this formulation with models including 119 estimates of retention within GSA16, import from the two different continental shelves, and the related 120 homogeneity of retention/import eggs and larvae from the different areas. Overall, our primary objective 121 was to assess whether larval transport patterns contribute to explaining the temporal dynamics of red mullet 122 recruitment within GSA 16. Additionally, we explored the effects of larval transport variability on the 123 interannual variation of red mullet recruitment and the subsequent spawner abundance (i.e., the replacement line; Goodyear, 1993) in GSA16, and its implications for stock assessment and fishery 124 125 management.

126 MATERIAL AND METHODS

127 2.1 Study area

128 The study area is the GSA 16 which corresponds to the North sector of the Strait of Sicily, a large and dynamically active area that connects the western and eastern Mediterranean Sea. The GSA 16 is 129 130 characterized by a narrow shelf along the central part of the Southern coast of Sicily and by a wide and 131 shallow bank extending in the western part, the Adventure Bank (Fig. 1). In the middle of the area, there are 132 deep canyons, trenches, and steep slopes. In the southernmost part, the shallower bottoms North East of 133 the island of Lampedusa belong to the northern edge of the African platform. Both the northern (Sicilian-134 Maltese) and southern (African) continental shelves of the SoS host discrete and persistent spawning and 135 nursery areas of red mullet (Fig. 1a; Garofalo et al., 2004, 2008, 2011; Colloca et al., 2013). The predominant 136 surface current in the region is the Atlantic Ionian Stream (AIS), formed by the Modified Atlantic Water 137 (MAW) entering the SoS and flowing eastwards. The main path of the AIS crosses the Adventure Bank, 138 circulating around a semi-permanent cyclonic vortex, and follows the shelf along the central part of the 139 southern coast of Sicily (Beranger et al., 2004). The AIS is more intense during the spring-summer season, which coincides with the red mullet spawning period, thus influencing the transport and dispersal of the 140 141 larval stages of the species (Gargano et al., 2017).





Figure 1. Study area (GSA16) and the positions of the hauls (gray circles) used to calculate the density index (N/km²) of red mullet spawners and recruits. The spawning areas (Zm1-Zm14) where Lagrangian particles were released in the study by Gargano et al. 2017 are indicated, color-coded based on their location on the Sicilian (orange), Maltese (purple), or African (green) shelf.

146 2.2 Data sources

147 2.2.1 Red mullet data

Fishery-independent data of red mullet spatial distribution, abundance, and length structure were obtained 148 149 from the bottom trawl surveys carried out annually in the GSA16 from 2000 to 2019, during spring/summer, 150 within the International Bottom Trawl-Surveys in the Mediterranean (MEDITS; Bertrand et al., 2002; Spedicato et al., 2019). The MEDITS survey is based on a depth-stratified sampling design with five depth 151 strata, i.e. 10-50, 51-100, 101-200, 201-500, and 501-800 m, where the number of hauls per stratum is 152 153 proportional to the area of each stratum. According to literature red mullets in the SoS spawn in spring and 154 recruit in August-September of the same year, reaching full maturity by the following spring at the age of 1 155 year (Levi 1991, Levi et al. 2003). Therefore, we used the 1-year-old individuals caught at time t as a proxy of 156 recruitment, while as spawners we considered all the individuals from 1 year onwards caught at time t-1. 157 Firstly, the age distribution by year and depth stratum was estimated by the length distribution through the inverse of the von Bertalanffy's growth curve (VBGC), using the deterministic approach, i.e. the "age slicing", 158 159 described by Kell and Kell (2011). Specifically, we used the parameters of the VBGC for combined sexes as 160 set in the General Fishery Commission for the Mediterranean (GFCM) stock assessment for the GSA16 161 (Scannella et al., 2019), being the asymptotic mean length L_{∞} = 24.1, the growth rate coefficient K = 0.42, and the time when the average length is zero $t_0 = -0.8$. Then, annual abundance estimates of recruits in terms of the number of individuals per km² were obtained as the total abundances observed in the sampling stations located on the Sicilian shelf and Adventure Bank until a depth of 200 m. These estimates were standardized with respect to the yearly total swept area, considering that from settlement to one year of age, M. barbatus extends its distribution across the entire shelf. The standardized Density index (N/ km²) was used for the spawners abundance.

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169 2.2.2 Oceanographic-dependent driver

Dispersion rates of red mullet eggs and larvae in the SoS have been calculated by adopting the Lagrangian model and associated parametrization published by Gargano et al. (2017),and on the updated CMEMS velocity field projections (Escudier et al. 2020) for the period 2000-2019.

173 Here, we provide a brief description of the simulations and refer the reader to the original paper for further 174 details. The model adopted by Gargano et al. (2017) is based on the hypothesis that eggs and larvae are 175 particles passively transported. The simulations of transport were performed using the quasi-Lagrangian 176 model proposed by Palatella et al. (2014). This model restores the 3D vertical mixing and the unresolved sub-177 mesoscale motions by superimposing suitable extra velocity fields on the real main large scale 3D current 178 field. In our new analysis the 3D current field time series was obtained, for the spawning period, from the 179 MyOcean Project (https://marine.copernicus.eu/). Specifically, we acquired the daily means of the 180 northward and eastward current velocity components at 72 vertical levels (from 1.4 to 5000 m depth), with 181 a horizontal resolution of $1/24^{\circ} \times 1/24^{\circ}$ from the MEDREA system (Escudier et al. 2020). The initial setup for 182 Lagrangian particles is the same as outlined by Gargano et al. (2017), and is based on previously identified 183 spawning and nursery areas. Specifically, the particles were equally distributed within the Sicilian (Zm1 to 184 Zm3, Zm5), Maltese (Zm4, Zm6, Zm7), and African (Zm8 to Zm14) spawning areas to examine connectivity 185 between the northern and southern continental shelves of the SoS in terms of larval supply to the potential 186 nurseries in the investigated area (Fig 1). These nurseries are the Sicilian (SN), Maltese (MN), and African 187 coastal areas (AN), defined by extracting the 60-m bathymetric contour levels. In each year, the simulations

were performed from May 1 to July 31. In June, a total of 800 drifting particles per day were equally 188 distributed among the spawning areas, while in May and July, the number of particles was decreased 189 according to N=N₀e^{-(d/15)^2}, where d is the time lapse in days between a day in May and June 1, or a day in July 190 and June 30. The total number of particles for the entire series from 2000 to 2019 is 912,120, and the 191 192 trajectories were followed up to 45 days from the initial release of particles. The depth of the particles' 193 release was randomly chosen between 3 and 10 meters. In red mullet, the pre-settlement and settlement stages occur at 30 and 45 days, respectively. Accordingly, the transport success (i.e., the fraction of larvae 194 195 arriving in the nursery areas) was evaluated by considering the particles staying within the nurseries during 196 this time range.. From the dispersion model outputs, we built a multivariate dataset of the total number of 197 Lagrangian particles passively transported per years from the different spawning areas across the SoS to the 198 GSA16 nursery area. Since no particles from GSA14 (Zm13) reached the nurseries of the GSA16, it was 199 excluded from further analyses (Fig. 1). The total number of particles arriving from the various areas reflects 200 the oceanographic conditions conducive to the transport of eggs and larvae toward the GSA16 red mullet 201 nursery area. By considering the annual arrivals from the release areas situated in the different shelves of 202 the SOS, we can differentiate between oceanographic spatial patterns that promote larval retention and 203 immigration. This allows us to infer the relative contribution of various larval sources to population 204 replenishment in GSA16. Specifically, we calculated the annual local retention as the mean number of eggs 205 and larvae spawned and retained in GSA 16 (RES), while the import from the African (IAF) and Maltese (IMA) 206 shelves was calculated as the mean number of arrivals from the different spawning areas situated within the 207 two continental shelves. Furthermore, to evaluate whether differences in the contribution of each spawning 208 zone influenced recruitment success, we assessed the inhomogeneity of contributions from each zone of the 209 different shelves using Camargo's index of evenness (Camargo, 1995) : IndEv = 1 - 1 $\left[\sum_{i=1}^{s} \sum_{j=i+1}^{s} \left(\frac{|p_{i-}p_{j}|}{s} \right) \right]$ 210

where p_i is the proportion of particles coming from the spawning zone i; p_j is the proportion coming from the spawning zone j; and s is the total number of spawning zones of the considered shelf (inRES, inIAF and inIMA, for the Sicilian, African and Maltese shelf respectively). Index values range from 0 (inhomogeneous) to 1 (even). An index of 1 indicates that the contributions are homogeneous across spawning zones, suggesting that favorable oceanographic conditions promote either the retention or the import of eggs and larvae from all spawning areas, depending on the shelf considered. Conversely, a lower index indicates greater inequality among the contributions from the different spawning zones of the considered continental shelf.

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220 2.2.3 Data analysis

221 We used the Ricker model to describe the relationship between spawning stock and recruitment (S-R)

- 222 (Ricker, 1954), as this formulation has already been successfully used to describe the red mullet spawning
- stock-recruits relationship in the SoS (Levi *et al.* 2003).
- 224 The Ricker model describes a dome-shaped relationship, where the peak of recruitment (Rt) occurs at
- 225 intermediate spawning stock size SS_{t-1}. One of the parametrizations of this model is:

 $226 \qquad R_t = aSS_{t-1}e^{-bSS_{t-1}}$

227 *a* is the density-independent parameter and *b* is the density-dependent parameter. R_t is the abundance of 228 red mullet of age class 1 at the year *t*, while SS_{t-1} is the spawning stock abundance of the year *t*-1. A 229 multiplicative error structure was used as suggested by Hilborn and Walters (2001) and the significance of 230 the parameters was obtained deriving the 95% confidence intervals. The comparison of Ricker model with 231 the assumption of a linear relationship between R and SS, i.e. $R_t = aSS_{t-1}$ was assessed using the extra 232 sum of squares F-test (Ritz and Streibigg, 2008).

233

To test the contribution of larval dispersal pattern to S-R relationship, we introduced the different
estimates of retention, import and evenness -which capture the oceanographic conditions for eggs and
larval transport- in the Ricker model, once at time, as follows:

237 $R_t = aSS_{t-1}e^{-bSS_{t-1}+cX_{t-1}}$

Where X_{t-1} represents the estimate of larval transport (i.e. one of RES, IAF, IMA, inRES, inIAF and inIMA) and c is the parameter to be estimated summarizing the relationship between R_t and X_{t-1} . The significance of the parameters was obtained extracting their confidence intervals. When the parameter resulted significant the extra sum of squares was instead used to compare the model with the classical Richer model.

243

244 To investigate whether changes in oceanographic conditions can affect not only recruitment but also the 245 population under study—specifically, the adult population size—and consequently the assessment of 246 fishing mortality for sustainable exploitation, we superimposed the curve of the best model explaining 247 recruitment variability with the replacement line. This line, calculated according to Goodyear (1993), is 248 characterized by a slope directly dependent on fishing and natural mortality and describes the potential 249 contribution of the recruitment to the mature fish population size. For each annual spawner abundance, 250 the amount of recruitment required to replace the spawners is determined by a given specific fishing (F) 251 and natural mortality (M) and proportion of mature at age (pq), assuming that the replacement occurs in a 252 given year rather than over the lifespan of the cohort (Gabriel et al. 1989). Specifically, we calculated the 253 spawning stock abundance per recruits (SPR), using vectors of pa, M and F at age (considering the last year 254 of the studied series, i.e., 2019) obtained by the more recent available stock assessment of red mullet in 255 GSA 16 (Scannella et al., 2021) (Tab 1) as follows:

256 SPR_j = $\sum_{i} (MF_i * pa_i)$

where SPR_{*j*} is an estimate of the annual replacement, and pa_i the proportion of mature at age respectively, and MF_i is the cumulative product of the survival rate for all the age classes (*i*) computed as $MF_i = \prod^i e^{-(F+M)}$

Being the spawning stock abundance per recruit for a given fishing and natural mortality designated by
 SPR = Spawner /Recruits , in number, then the slope of the replacement line passing through the origin is
 1/SPR (Gabriel et al. 1989). By examining a simulated evolution of recruitment and replacement, this

- approach highlights how transitions from favorable to adverse oceanographic conditions, and vice versa,
- 264 can impact on replacement levels at specific levels of fishing mortality and biological characteristics of the
- stock. All the analyses were performed using the R statistical software (R Core Team, 2019).

Table 1. Parameters used to calculate the replacement line. *The* gray columns show the parameters by age obtained from the
 GFCM – SAF report (Scannella et al. 2021): pa= proportion of mature *individuals* at ages, M= natural mortality, F = fishing mortality

age	ра	М	F
1	1	0.9	0.07
2	1	0.67	0.34
3	1	0.57	0.21
4	1	0.5	0.41
5	1	0.47	0.41

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270 **2. Results**

271

The density index of Recruits_t and Spawners_{t-1} increased during the years, peaking in 2014 and in 2015 respectively (Fig. 2). There was a clear increasing pattern until the 2014, after which both the abundance series experienced wide fluctuations (Fig. 2).



275

276 Figure 2. Temporal series of Recruits and Spawner Densities (N/Km²).

277

278 The highest values of eggs and larvae were those produced and retained on the GSA16 shelf (mean = 242.125

279 ± sd 266.68) Fig 3, Fig. S1). Retention in GSA 16 fluctuated throughout the considered period, with the highest

value observed in 2012. Evenness remained close to its mean (0.48), with lower values during the first part of the series and a peak in 2016, when the mean number of retained eggs and larvae was slightly below the long-term average. This indicates an equal contribution from the different zones to total retention within GSA 16. In contrast, the lowest evenness coincided with the lowest retention values, indicating that low retention was unevenly distributed among the various spawning areas within GSA 16 (Fig. 3, Fig S1).

Arrivals from the Maltese Shelf had a mean percentage value lower than 0.001, and evenness remained low, regardless of arrival values (Fig. 3, Fig S1). The lowest evenness was observed for particles originating from the African Shelf, suggesting that in certain years, only one or two spawning zones contributed to the arrivals from the African Shelf (Fig. 3, Fig S1).

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Figure 3. Temporal series of the mean number of eggs and larvae arriving in the GSA16 nurseries differentiated based on the continental shelf of origin, and the associated evenness index.

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The best model selected based on significance of coefficient was the one including spawner abundance and inRES (adjusted R2 from the linearized form of Ricker model = 0.39) which reduced significantly the Residual sum of square compared to the classical Richer model (adjusted R2 from the linearized form of Ricker model = 0.24) (Fig 4, Tab 2). This model highlights the synergistic influence of spawner abundance and oceanographic conditions, which affect the contribution of larval transport from each spawning zone in GSA16, in explaining recruitment dynamics (Fig 4, Tab 2). Specifically, a positive linear relationship was

300 observed between recruits abundance and evenness, suggesting that a more homogeneous contribution to

301 retention across different spawning zones favors recruitment (fig 4).

Table 2. Richer models with the different covariates (a,b and c are the parameter estimates of the Ricker models together with
 their 95% confidence intervals).

304

	Coefficient (CI)			F-test	R2 from linear transformed Ricker model
	а	b	С		
	51,76741	0,05367			0.24
Ricker	(29,95-85,05)	(0,018-0,09)		vs Ind model F=7,05 p=0,01 *	
	0.23	0.0012	3.635		0.39
Extended Ricker inRES	(0,095-0.89,22)	(0,005-0,0017)	(0,88-5,85)	vs Ricker F=5,52 p=0,03 *	
	0.72	0.001	0.002		0.26
Extended Ricker RES	(0,26-1.98)	(0,0003-0,0017) NS	(-0,001-0,0065) NS		
	1.24	0,53	-0,02		0.17
Extended Ricker IMA	(0.52-2.99)	(0,0001-0,0017)	(-0.20-0.158) NS		
	1.84	0,0005	-1,52		0.20
Extended Ricker inIMA	(0.67 -5.01)	(-0,0001-0,001)	(-3.35-0.29) NS		
	1.17	0,0009	-0,02		0.19
Extended Ricker IAF	(0.55-2.51)	(0,0001-0,0017)	(-0.39-0.034) NS		
	1.035	0,0009	0.35		0.19
Extended Ricker inIAF	(0.32 -3.29)	(0,0001-0,002)	(-2.89-3.30) NS		

305

306	The best and statistically significant model, which included the spawner abundance and iRES, was used to
307	illustrate the impact of transitioning between conditions of high to low evenness on the replacement line.
308	The parameters listed in Table 1 were employed for this purpose (see Figure 4). The positive effect of the
309	interaction between spawner abundance and oceanographic conditions, which promote higher evenness
310	of larvae born along the spawning areas of the Sicilian coast, leads to a greater number of recruits. These
311	recruits contribute to replenish the spawning stock at a given level of fishing mortality (refer to Figure 4,
312	numbers 1 to 4). However, when transitioning from higher to lower evenness, recruitment can significantly
313	decrease, resulting in a decline in the expected abundance of spawners per recruit for red mullet for a
314	given level of fishing mortality (refer to points 4 and 5 in Figure 4).

315



Figure 4. Results of the best model including spawner abundance (left panel) and inRES (evenness of the retained eggs and larvae in the Sicilian shelf coming from the different spawning zones of the GSA16) in the central panel. Point size are the average retained particles in the GSA16. Right Panel: Replacement line superimposed on the predictions of the best model, demonstrating the shift in abundance when the transition occurs from favorable conditions promoting high evenness to not-favorable conditions.

322

323 Discussion

324 In this study, we combined twenty years of indices of spawner and recruit abundance of red mullet with 325 estimates of larval transport by ocean currents across the SoS, to demonstrate the synergistic effect they have on recruitment in GSA 16. Our results showed that more uniform contributions of larval arrivals from 326 327 the different spawning areas within the GSA16 play a critical role in shaping recruitment success and acts 328 synergistically with spawner abundance in years characterized by a high evenness level. Additionally, by enlarging the examined time series, we confirmed the results obtained by Gargano et al. (2017) indicating 329 330 that larval arrivals to GSA 16 from adjacent areas are negligible. These findings provide new insights into the 331 complex interplay between spawner abundance, larval transport, local retention, and recruitment dynamics in GSA 16. 332

Since the first half of the twentieth century, Hjort (1914; 1926) proposed the transport of eggs and larvae in favorable or unfavorable areas as a key mechanism generating interannual variability of recruitment success. So, various investigations have been carried out with the aim to test the effects of environmental factors often used as proxies for ELS transport on recruitment. Recruitment success has been related to wind speed (e.g. Hinrichsen *et al.*, 2001; Köster *et al.*, 2003), or large scales environmental processes such as gyres or 338 coastal currents (e.g. Trenkel et al., 2014; Zimmermann et al., 2019) directly involved on the pre-recruits 339 survival for the Atlantic stocks. Similarly, in the Mediterranean Sea climatic indexes associated with changes 340 in wind speed and mesoscale circulation have been linked to the population dynamic of demersal resources 341 (e.g. Lloret et al. 2001, Levi et al., 2003; Massutí et al., 2008; Ligas et al., 2011). Although not as numerous as 342 studies based on environmental parameters and climate indices, there have been studies based on the 343 hypothesis that ELS transport could be one of the factors influencing the unclear relationship between spawner stock size and recruitment (Baumann et al. 2006; Zimmermann, Claireaux, & Enberg, 2019). Some 344 345 of these studies use proxies for larval transport, while only a few studies utilize direct estimates of larval 346 transport (e.g. Hidalgo et al. 2019; Romagnoni et al 2020). In particular, in the Atlantic Ocean, the estimates 347 of retention processes were used to weight the spawning stock biomass of the North Sea cod enhancing the 348 explained variability of the stock recruitment relationships (Romagnoni et al. 2020). In the Western 349 Mediterranean Sea, an improvement in the stock recruitment relationship for European hake has been 350 achieved by explicitly introducing both immigrants and local recruits into the analytical relationship (Hidalgo 351 et al, 2019). In the SoS, Patti et al. 2020 have shown the crucial role of eggs and larvae retention in promoting 352 anchovy recruitment.

353 By analyzing the spawning stock-recruitment relationship, we found that recruitment of red mullet in GSA 16 354 was significantly related to parental stock size. However, the variation in recruits abundance explained by 355 the model including only the parental stock size expressed as goodness of fit (R²) was only 0.24, indicating 356 limited usefulness for management purposes. This result aligns with the findings of Cury et al. (2014), who 357 examined 211 marine stocks worldwide. They reported that parental stock size is a predictor that only accounts for between 5% (for demersal species) and 15% (for small pelagic species) of the recruitment 358 359 variability. No significant increase in explained recruits' variation was observed when the estimates of import 360 and retention of egg and larvae transport from the different spawning zones within and outside the GSA16 361 were incorporated into the model formulation together with the spawners' stock size. Instead, we found 362 that by incorporating the evenness index of the eggs and larvae contribution of the spawning zones from 363 the GSA16 (inRES), enhanced the degree of explained variation in recruitment. This indicates a stronger link 364 between recruitment of red mullet in GSA 16 and spawning stock size when oceanographic currents force

365 the retention of eggs and larvae spawned from different areas of the GSA16 shelf. In other words, for the 366 same abundance of spawners, the relationship with recruits can change depending on the evenness of the 367 contribution of the different spawning zones, regulated by oceanographic currents. Specifically, by modeling 368 recruitment as a function of both spawner abundance and the variation in oceanographic current patterns 369 driving retention of eggs and larvae from all the spawning areas within the GSA16, the explained variability 370 in recruitment was enhanced by 64%, compared to using spawning stock abundance alone (R2 of classical 371 Ricker model 0.24, R2 of Ricker model including Evenness =0.39). The evenness index (inRES), which indicates 372 the oceanographic conditions that favor the retention of eggs and larvae from different spawning areas in 373 GSA16, reflects the AIS jet, which represents a semi-permanent and partially stable barrier between the 374 southern and northern parts of the SOS (Quattrocchi et al. 2019). Years with high inRER reflect conditions in 375 which this current retains a fraction of eggs and larvae in the vicinity of the nursery area, regardless of the 376 spawning zone considered. At the same time, this current could increase and concentrate primary 377 productivity on the continental shelf, as described by Patti et al. 2018. Considering the highly oligotrophic 378 waters surrounding the Sicilian shelf, this could increase the survival of early life stages (Patti et al. 2018) and 379 consequently favor recruitment. Conversely, the presence of a high average retention of eggs and larvae may 380 represent conditions favouring the arrival from one of the spawning areas, while preventing the arrival from 381 the other areas, thus representing a condition where the barrier created by AIS is not stable and also far from 382 the one favoring high primary productivity. These results are in line with those obtained by Levi et al. (2003), 383 who found that at a given level of spawning stock size of red mullet off the Southern Sicilian coasts the 384 recruitment success was higher when the water's temperature in the pre-recruitment period (July-August) 385 was warmer than the average SST. The Authors associated these results with a weaker upwelling of deep 386 waters in coastal waters off southern Sicily and a consequent lower offshore transport of early life stages. 387 Our results highlight that oceanographic currents, which contribute to larval dispersal, along with spawner

abundance, can enhance the explained variation in red mullet recruitment compared to the model that includes only the spawner stock size. Focusing on the metrics of larval transport from adjacent areas, the results indicated that the larval arrivals from other GSAs were extremely low, never exceeding 1% of the released particles, in the examined time series. This suggests that they are unlikely to significantly influence 392 recruitment within GSA 16. On the contrary, its fluctuations appear to be driven by both the spawner 393 abundance and the changing currents patterns, which affect the homogeneous arrivals of eggs and larvae 394 from the different spawning zones of the GSA16. Additionally, it is noteworthy that the Lagrangian 395 simulations in Gargano et al. 2017 assume that larvae are particles passively transported during the dispersal 396 phase, which generally overestimate dispersal distance while underestimating the local retention (e.g. 397 Shanks, 2009; Faillettaz et al., 2018; Corell and Nissling, 2019; Pires et al. 2021) considering also the capacity 398 of larvae of *Mullus barbatus* of displacing in areas with a high productivity compared to the neighborhood 399 areas (Sabates et al. 2015) therefore able to perform active behavior. Consequently, it is likely that the 400 synergic effect oceanographic condition and parental stock abundance on recruitment could be much greater 401 than that shown by our results if Lagrangian experiments simulated the active behavior of larvae and pre-402 recruits, while the contribution to recruitment from sources external to the GSA 16 would be even lower.

403 According to the origin of the recruits, marine populations can be classified as ranging from entirely closed 404 to fully open, and one of the processes underlying this distinction is the interaction of various biophysical 405 mechanisms (e.g. currents and coastal topography) that can counteract or enhance the dispersion of eggs 406 and larvae, favoring, in the first case, their retention in the natal area and the maintenance of the spatial 407 structure of the populations (e.g. Cowen et al., 2000; Sponaugle et al., 2002). In our study, we observed that 408 red mullet recruitment within GSA16 is not significantly dependent upon larval import from external 409 spawning areas. This result suggests that within GSA 16, where the red mullet reproduces in the summer 410 season characterized by intense AIS (Sorgente et al. 2003), the mesoscale circulation associated with the 411 coastal bathymetry represents a powerful larval retention mechanism (Garcia La Fuente et al., 2002; Falcini 412 et al. 2015; Quattrocchi et al., 2019; Patti et al., 2020). In summary, our results are consistent with the stock 413 unit assumption for assessment and management purposes of red mullet in the GSA 16 adopted the GFCM, 414 being a quite self-sustained population with a low degree of demographic exchanges with the adjacent GSAs 415 covering the waters around the Maltese Islands and the African shelves.

However, the current study is based on the current distribution of spawning and nursery areas in the region.
Considering the possible shifts in species distribution due to climate change (Cheung et al. 2013; Pinsky et al.
2013), solutions for addressing such shifts (e.g., re-evaluating spatial patterns of nursery and spawning areas

and the stock unit boundaries) should be taken into account to avoid mismatches between biological
populations and management units (Kerr et al., 2017; Cadrin, 2020).

421 The multidimensionality of the recruitment process, with numerous biotic and abiotic factors involved in its 422 variability, is well recognized (Jakobsen et al., 2016 and references therein; Sharma et al., 2019). 423 Environmental processes, such as the oceanographic conditions involved in the ELS drift, can rapidly cause 424 changes in recruitment rates and, consequently, stock size, affecting the dynamics of exploited populations 425 (e.g., Fogarty et al. 1991). Here we showed that since the parental stock size is a measure of the cumulative 426 contribution of each year class, the expected spawners per recruit, calculated according to the classical 427 replacement line for a given fishing mortality, varies when the evenness level of larval transport from 428 different areas change. Specifically, we showed that for a given fishing mortality, when there is a switch from 429 high to low evenness on the GSA16 shelf, recruitment can be inadequate to maintain the spawning stock 430 size, leading to a short-term stock decline. These concerns are particularly relevant in relation to future 431 changes of ocean conditions predicted in the Mediterranean Sea, i.e. an increase in mixing and a decrease of 432 boundary strength between regions, with potential effects on drifting organisms such as plankton, eggs, and 433 larvae (Ser-Giacomi et al., 2020). Numerical ocean simulations in the Mediterranean are refined enough to 434 explore the spatiotemporal variability of larval transport over several years (e.g. Gargano et al. 2017; 435 Quattrocchi et al. 2019; Palmas et al., 2017; Celentano et al., 2020; Clavel-Henry et al., 2021; Patti et al., 436 2020; Melaku Canu et al., 2021). However, results of dispersal patterns are rarely incorporated into fisheries 437 assessment and management (Hidalgo et al. 2019; Romagnoni et al. 2020). Understanding and predicting 438 recruitment is an important part of the stock assessment mainly in those cases such as the Mediterranean 439 fisheries in which most of the catch is based on youngest year classes (Levi et al., 2003). The number of 440 recruits entering the fishery and their prediction are needful for understanding future biomass and catch and 441 defining a reference points framework based on the relationship between parental stock size and 442 recruitment (Gabriel & Mace, 1999; Sharma et al. 2019). A model framework which accounts for the 443 interdependence of parental stock size and larval transport estimates has proved to improve the 444 understanding of the recruitment dynamics and support the stock units identification for management

purposes, which is still a main scientific challenge for sustainable management of fisheries (e.g. Cadrin et al.,
2020).

447

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