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# Dual proteotoxic stress accelerates liver injury via activation of p62-Nrf2

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## **Abstract**

Protein accumulation is the hallmark of various neuronal, muscular, and other human disorders. It is also often seen in the liver as a major protein–secretory organ. For example, aggregation of mutated alpha1–antitrypsin (AAT), referred to as PiZ, is a characteristic feature of AAT deficiency, whereas retention of hepatitis B surface protein (HBs) is found in chronic hepatitis B (CHB) infection. We investigated the interaction of both proteotoxic stresses in humans and mice. Animals overexpressing both PiZ and HBs (HBs–PiZ mice) had greater liver injury, steatosis, and fibrosis. Later they exhibited higher hepatocellular carcinoma load and a more aggressive tumor subtype. Although PiZ and HBs displayed differing solubility properties and distinct distribution patterns, HBs–PiZ animals manifested retention of AAT/HBs in the degradatory pathway and a marked accumulation of the autophagy adaptor p62. Isolation of p62–containing particles revealed retained HBs/AAT and the lipophagy adapter perilipin–2. p62 build–up led to activation of the p62–Nrf2 axis and emergence of reactive oxygen species. Our results demonstrate that the simultaneous presence of two prevalent proteotoxic stresses promotes the development of liver injury due to protein retention and activation of the p62–Nrf2 axis. In humans, the PiZ variant was over–represented in CHB patients with advanced liver fibrosis (unadjusted odds ratio = 9.92 [1.15–85.39]). Current siRNA approaches targeting HBs/AAT should be considered for these individuals. © 2021 The Authors. The Journal of Pathology published by John Wiley & Sons, Ltd. on behalf of The Pathological Society of Great Britain and Ireland.

Keywords: aggregate; inclusion; lipophagy; SERPINA1; oxidative stress; cirrhosis

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## Introduction

Accumulation of damaged proteins is a characteristic hallmark of various human diseases, including neurodegenerative, muscular, and other disorders [1]. Hepatocytes are among the most proteostatically challenged cells, as they produce the majority of serum proteins [2,3]. Serum proteins are synthesized in the ER and processed by the Golgi apparatus before being secreted into the bloodstream. Protein folding and quality control in the ER is facilitated by chaperones such as the calnexin-calreticulin system or BiP [4]. Proteins that cannot be folded properly are degraded by the proteasome or the autophagic machinery [5]. An inability to cope with the tremendous protein flow-through leads to the emergence of protein aggregates that constitute a hallmark of multiple chronic liver diseases [2]. The best-known examples include an inherited disorder, alpha1-antitrypsin (AAT) deficiency [6,7], as well as chronic hepatitis B (CHB) infection [2,3]. The former is caused by mutations in the AAT (SERPINA1) gene that lead to a rapid polymerization and retention of the mutant protein in hepatocytes. It results in characteristic roundish aggregates that are detected by PAS-D staining [2,8]. For the latter, an unbalanced production of the large isoform of the hepatitis B surface protein (HBs) leads to its accumulation in the ER, giving rise to ground glass hepatocytes [2].

AAT deficiency constitutes the third most common lethal genetic disorder [2,9–11]. In northern Europe, up to 1:2000 is homozygous for the characteristic, diseasecausing variant termed PiZ [9]. While the presence of the homozygous PiZ mutation (referred to as PiZZ) precipitates the development of liver disease [12], the heterozygous PiZ carriage (PiMZ), which has a prevalence of up to 20% in northern Europe, is a wellestablished disease modifier [13]. For example, the heterozygous PiZ carriage predisposes patients with cystic fibrosis to the development of severe liver disease [14] and a large genome-wide study identified PiZ as the variant conferring the highest odds for alcoholic liver disease/non-alcoholic fatty liver disease (ALD/ NAFLD)-associated liver cirrhosis [15]. Although the importance of PiZ for the progression of ALD/ NAFLD-related liver disease has been firmly established [15,16], only cohorts with limited size have addressed its relevance in other disorders such as hemochromatosis or chronic viral hepatitis [2]. Accordingly, a small study reported a high prevalence of hepatitis B infection among patients with heterozygous PiZ mutations, and the infected PiZ carriers often had advanced liver disease [17]. Beyond human association studies, transgenic mice overexpressing PiZ are used as an experimental tool to study the biological consequences of PiZ production. These animals form the characteristic AAT globules and display chronic liver injury that is proportional to the amount of overexpressed protein [18,19]. Similar to patients with AAT deficiency, PiZ mice may also develop liver tumors during aging [2,8].

CHB infection is the main risk for the development of hepatocellular carcinoma (HCC) [20]. Although treatment with nucleoside/nucleotide analogs effectively suppresses viral replication, it does not completely prevent the progression of the disease, thereby pointing to a direct damaging role of viral DNA that is integrated into the genome of the host and leads to production of viral proteins [21]. Among them, HBs has received particular attention. The hepatitis B S-gene (HBs) gives rise to three surface (envelope) proteins, i.e. the small (S), the middle (pre-S2 and S), and the large surface protein (pre-S1, pre-S2, and S). The excess formation of large surface protein as caused by mutations in the HBs gene leads to the formation of filamentous particles that are confined in the ER [2]. Several studies have shown that subjects with high HBs serum levels display an increased risk of HCC [22,23]. To directly address the impact of this protein on the development of liver damage, mice overexpressing the large surface protein (HBs mice) were generated [24], and were shown to develop chronic liver injury, as well as liver tumors later in their lives [25,26].

Despite the undisputed importance of the proteostatic challenge in the development of liver disease, the crosstalk between the different stresses and the exact molecular mechanisms leading to liver injury remain largely unknown. This is remarkable as siRNA drugs silencing both HBs and AAT are entering clinical trials. Therefore, to study the clinical relevance of dual proteotoxic stress, we screened a large cohort of patients with CHB for the presence of the PiZ variant. Moreover, we crossbred animals overexpressing PiZ with HBs-overexpressing animals. In both patients and transgenic animals, the simultaneous production of both proteins promoted the development of liver disease, and in mice, an accelerated hepatocarcinogenesis was noted. We observed a retention of proteins with subsequent activation of the p62-Nrf2 axis as a probable underlying mechanism.

### Materials and methods

### Human subjects

Tissue samples for molecular analyses were obtained from 12 patients who underwent a liver biopsy at the universities of Aachen, Bonn, Innsbruck, and Salzburg (see supplementary material, Table S1). Tissues were cut in half and either used for histological staining or were frozen for immunofluorescence/biochemical analysis. Patients were excluded if they: (1) had evidence of co-infection with either hepatitis C virus, hepatitis delta virus or human immunodeficiency virus, (2) were diagnosed with or suspected to have HCC or alphafetoprotein >100 ng/ml, or (3) had evidence of other liver diseases by standard tests. Patients with hepatic decompensation defined as: (1) ascites (overt or by ultrasound), (2) hepatic encephalopathy, (3) gastroesophageal variceal bleeding, (4) jaundice or (5) hepatorenal syndrome were excluded. Liver histopathology was scored by expert pathologists according to METAVIR [27].

Fibrosis was staged from F0 (no cirrhosis) to F4 (cirrhosis).

Patients with CHB (830) of self-reported Caucasian ancestry were analyzed prior to initiation of antiviral therapy (see supplementary material, Table S2). All participants were positive for HBs antigen for at least 6 months, and all underwent a liver biopsy that was used to determine the fibrosis stage. Subsequently, we compared the occurrence of PiZ variant (rs28929474) in patients with no/mild (F0–F2; n = 542) and advanced liver fibrosis (F3–F4; n = 281). Genotyping was performed using DNA obtained from peripheral blood samples and the TaqMan SNP genotyping allelic discrimination assay (Applied Biosystems, Foster City, CA, USA) and was carried out without knowledge of clinical parameters. Exclusion of comorbidities was carried out as described above. All participant sites had ethics approval from their respective ethics committees. Written informed consent to participate in the study was obtained from all patients, and the analysis was in compliance with the 1975 Declaration of Helsinki. The study was approved by the Human Subjects Committees of the participating centers.

### Animal studies

Previously described transgenic mice overexpressing PiZ [18] and HBs (Tg Alb-1HBV) Bri 44 [24] had been crossbred to obtain animals overexpressing both proteins (Z-HBs mice). The mice were sacrificed at the age of 2, 10, and 14 months. The tumors arising in HBs and Z-HBs mice were compared with the tumors obtained in mice treated with diethylnitrosamine (DEN) and carbon tetrachloride (CCl<sub>4</sub>), an established model of well-differentiated HCC. For tumor initiation, a single injection of DEN (i.p. injection of 26 μg/g body weight into 14-day-old mice) was administered, followed by repeated tumor growth promotion by dosing with CCl<sub>4</sub> (i.p. injection of 0.5 µl/g body weight once every week, dissolved in seed oil, starting 2 weeks after the DEN treatment) for 22 weeks. The mice were sacrificed at the age of 26 weeks, 2 weeks after the last CCl<sub>4</sub> dose. All animals were kept in 12-h dark-light cycles in the RWTH's animal housing with standard feed (Ssniff, Soest, Germany) and were fasted for 10-12 h prior to sacrifice via overdose of isoflurane (Abbvie, Maidenhead, UK). Serum collection and liver tissue dissection were as described previously [28]. Serum levels of liver enzymes, AAT (immunonephelometric assay; BNII/BN Prospec System, Siemens, Marburg, Germany) and HBs levels (Elecsys HBs Ag II, Roche Diagnostics, Mannheim, Germany) were measured by the Clinical Chemistry Department, University Hospital Aachen. Macroscopically visible tumors were cut into pieces and placed in 10% formaldehyde (for histological staining), snap frozen in liquid nitrogen (for biochemical analyses) or submerged in RNAlater stabilization reagent (Ambion, Life Technologies, Darmstadt, Germany). Animal experiments were approved by the responsible authority of the state

North-Rhine-Westphalia (LANUV) and were carried out in accordance with the German Law for Welfare of Laboratory Animals.

# Statistical analyses

All statistical analyses were performed using GraphPad Prism 5 software (GraphPad, San Diego, CA, USA). The Kolmogorov–Smirnoff test was used to determine the normality of distribution. For normally distributed samples, the data are presented as mean  $\pm$  SEM, and a parametric one-way ANOVA analysis was applied. For samples that were not normally distributed, a non-parametric ANOVA with Kruskal–Wallis *post hoc* test was carried out. The frequency of PiZ variants in patients with mild versus advanced liver fibrosis was assessed using a chi-squared test. Binary logistic regression was used to calculate odds ratios. Two-sided P values below 0.05 were considered statistically significant.

Details for tissue staining, electron microscopy, RT-qPCR analysis, protein analysis, biochemical assays and LC3 flux assays are presented in supplementary material, Supplementary materials and methods.

#### Results

PiZ and HBs display differing distribution and solubility properties

To determine the relationship between the retention of PiZ and HBs, we analyzed CHB patients with/without a simultaneous presence of PiZ variant as well as noninfected individuals harboring PiZ (Figure 1A, supplementary material, Table S1). Immunohistochemical and immunofluorescence staining revealed a differing protein distribution pattern: more evenly distributed, cloudy staining in the case of HBs and well-demarcated, roundish, clustered, and unequally dispersed bodies in the case of AAT. The accumulation of both proteins was not markedly altered in individuals harboring both PiZ and HBs (Figure 1B). Biochemical analysis revealed varying solubility properties: PiZ is largely insoluble in non-ionic detergents, whereas HBs and the non-mutated AAT are located almost exclusively in the soluble fraction (Figure 1C).

Two-month-old PiZ-HBs mice demonstrate stronger liver injury, smaller AAT globules, and a shift of HBs from the soluble to insoluble pool

To evaluate the consequences of simultaneous retention of both proteins, we crossed PiZ mice with mice overexpressing the large isoform of HBs. All transgenic mice were viable and developed normally (see supplementary material, Figure S1A). At 2 months of age, Z-HBs mice exhibited mildly elevated levels of transaminases and alkaline phosphatase that were, however, significantly higher than the levels seen in the other genotypes

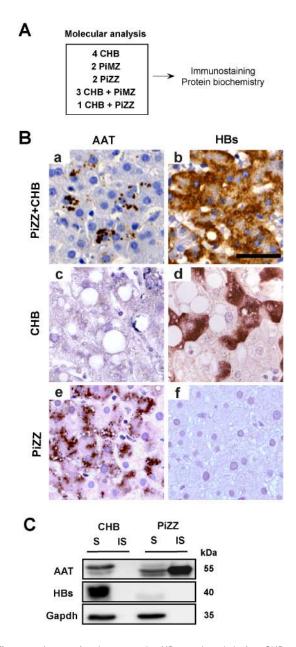


Figure 1. Interaction between the HBs produced during CHB and the mutant PiZ variant of AAT. (A) A schematic of the cohort of 12 patients used for the molecular analysis. PiMZ/PiZZ refers to the presence of heterozygous/homozygous PiZ mutation. (B) Immunohistochemistry reveals PiZ and HBs signals in a patient with CHB only (CHB), a patient with homozygous PiZ mutation (PiZZ) only and a CHB patient carrying a homozygous PiZ mutation (patient PiZZ+CHB). Scale bar, 50  $\mu m$  (B, b). (C) Immunoblotting demonstrates the solubility of PiZ and HBs in 1% Triton X buffer. Fractionation into a soluble (S) and insoluble pool (IS) is shown for a PiZZ patient without CHB (PiZZ) and in an individual with CHB without the presence of PiZ (CHB). GAPDH, a well–established soluble protein, was used as the loading control.

(Figure 2A). Histological changes were rather modest with discrete inflammation (Figure 2B; supplementary material, Table S3). In addition, a more pronounced accumulation of lipid droplets (Figure 2B,C), as well as an increase in hepatic triglyceride content (Figure 2D), was noted in Z-HBs mice. As a potential mechanistic explanation for the steatosis phenotype, double transgenic animals displayed markedly increased

levels of perilipin-2 (Plin2), which is known to protect lipid droplets from lipolysis (Figure 2E,F) [29,30]. Notably, Plin2 accumulation occurred on a post-transcriptional level, because its mRNA levels were similar in all genotypes (see supplementary material, Figure S1B). No significant changes in serum lipid parameters were observed, suggesting that the steatosis was not due to impaired lipid secretion (see supplementary material, Figure S1C).

The simultaneous expression of HBs and AAT affected neither the hepatic levels of both proteins (see supplementary material, Figure S2A,B) nor their secretion, as demonstrated by comparable serum levels (see supplementary material, Figure S2C). On the other hand, HBs mRNA expression was somewhat lower in Z-HBs mice compared with HBs animals, whereas no changes were observed in AAT mRNA level (see supplementary material, Figure S2D). In line with human findings, AAT was insoluble in non-ionic detergents, whereas HBs was predominantly soluble (see supplementary material, Figure S2E,F). Immunofluorescence revealed a distinct, non-overlapping pattern of AAT and HBs signals (see supplementary material, Figure S3A). Of note, PiZ inclusions in Z-HBs mice were markedly smaller but more frequent (see supplementary material, Figure S3B,C). Ultrastructural analysis revealed similar, irregularly shaped PiZ bodies in mice of both genotypes (see supplementary material, Figure S3D), and the inclusions were easily distinguished from smooth, roundshaped lipid vesicles (not shown). To further define the localization of both proteins, we carried out co-staining with the classic ER resident protein calnexin. Calnexin signals displayed a strong co-localization with HBs but with (see supplementary AAT material, Figure S3E,F). The overlap between HBs and calnexin was less pronounced in Z-HBs mice compared with the single transgenes (see supplementary material, Figure S3Eg,Eh). In line with this, the additional presence of AAT led to a translocation of HBs into the Triton X-insoluble pool, suggesting a retention of misfolded protein (see supplementary material, Figure S2F,G), which was further supported by the discrepancy between mRNA and protein levels.

Ten-month-old double transgenics display accelerated proliferation, liver fibrosis, and stronger dysplastic changes

Compared with 2-month-old animals, 10-month-old double transgenic animals demonstrated higher levels of liver transaminases and alkaline phosphatase (see supplementary material, Figure S4A) and a modest increase in liverto-body weight ratios (see supplementary material, Figure S4B). The chronic proteotoxic stress induced the development of liver fibrosis that was particularly prominent in Z-HBs mice (Figure 3A–C). In agreement with these findings, Z-HBs mice showed a significant increase in hepatic hydroxyproline content (see supplementary material, Figure S4C). Furthermore, more pronounced dysplastic changes (Figure 3A,D) and a significantly higher

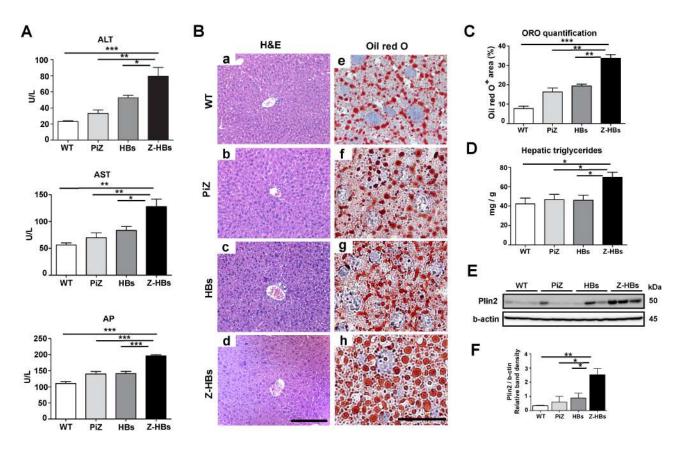


Figure 2. Two-month-old double transgenic animals display significantly greater liver injury and hepatic lipid accumulation. (A) Serum levels of alanine transaminase (ALT), aspartate transaminase (AST) and alkaline phosphatase (AP) were determined in non-transgenic animals (WT) and mice overexpressing HBs, the PiZ variant of AAT (PiZ) or both proteins (Z-HBs). Results are shown as mean  $\pm$  SEM (n=5). (B) H&E staining (a–d) reveals the overall liver architecture, whereas Oil-red O (ORO) staining (e–h) with (C) morphometric analysis (mean  $\pm$  SEM; n=5) and (D) hepatic triglyceride content (mean  $\pm$  SEM; n=10) express the extent of lipid accumulation. Scale bar, 200  $\mu$ m (d), 25  $\mu$ m (h). (E) Plin2 protein levels in total liver lysates were determined by immunoblotting. (F) The band density was quantified in relation to b-actin, which was used as a loading control (mean  $\pm$  SEM; n=3). \* $p \le 0.05$ , \*\* $p \le 0.001$ .

hepatocellular proliferation (Figure 3A,E) were detected by CD44V6 and proliferating cell nuclear antigen (PCNA) immunohistochemical staining, respectively.

# Fourteen-month-old mice exhibit increased HCC development

In 14-month-old mice, combined accumulation of both proteins resulted in an increased tumorigenesis that probably contributed to a higher liver-to-body weight ratio (Figure 4A,B; see supplementary material, Figure S5A) and to markedly elevated serum liver injury markers (see supplementary material, Figure S5B). The nodules were significantly larger and more abundant in double transgenic animals (Figure 4B). Although HCC has been consistently observed in aged HBs and Z-HBs mice, PiZ mice showed only sporadic cases (Figure 4B). The tumors dissected from HBs and **Z-HBs** mice resembled differentiated (Figure 4C). In line with that, they displayed a preserved or even elevated expression of the hepatocellular genes (see supplementary material, Figure S6A), whereas the cholangiocellular/progenitor lineage markers remained low (see supplementary material, Figure S6B). Notably, a comparable gene expression pattern was seen in

tumors induced by a combined treatment with DEN and CCl<sub>4</sub> that constitute an established model of well-differentiated HCCs (see supplementary material, Figure S6C, [31]). Histopathologic examination revealed a trend towards lower apoptosis rates and a significantly lower portal inflammation in tumors dissected from double transgenics (see supplementary material, Table S4). The latter also displayed a higher number of proliferating cells, as illustrated by PCNA staining (Figure 4D,E), and more pronounced DNA damage demonstrated by pγH2AX staining (Figure 4D,E). Gene expression analysis revealed a more aggressive, less differentiated tumor subtype in double transgenic mice, as indicated by downregulation of *Cyp2e1*, *Aqp9*, *Apoc4*, *C1s1*, *Hnf4a*, *Myc*, and *C3* (Figure 4F).

# Double transgenic mice harbor an activation of the p62-Nrf2 axis and protein retention in the degradatory pathway

To elucidate the molecular mechanisms leading to a more pronounced liver disease in Z-HBs mice, we concentrated on 2-month-old animals. Despite a trend towards increased IRE1 and CHOP levels (see supplementary material, Figure S7A,B), we did not observe

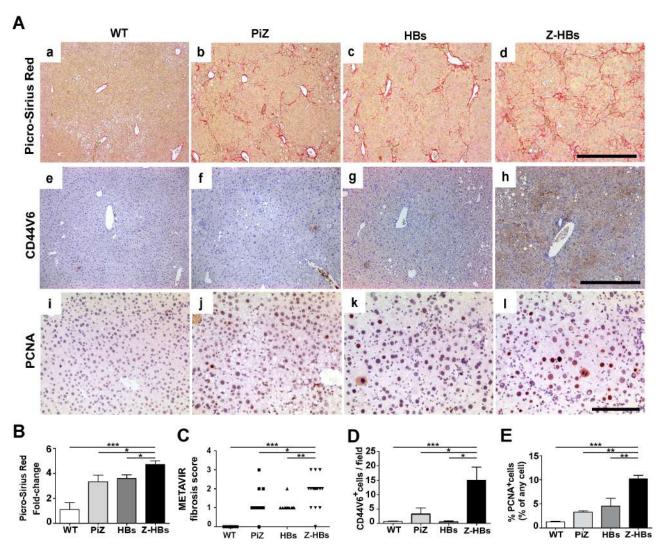


Figure 3. The simultaneous presence of AAT and HBs promotes the development of liver fibrosis in 10-month-old double transgenic mice. (A–C) Picro-Sirius Red staining of liver sections from non-transgenic animals (WT) (a), animals overexpressing PiZ (b), HBs (c) or both proteins together (Z–HBs) (d) with morphometric quantification (B) and evaluation of fibrosis stages with METAVIR score (n=6) (mean  $\pm$  SEM; n=6). Scale bar, 500  $\mu$ m (C). For the morphometric evaluation, the average Picro-Sirius Red-positive area in non-transgenic animals was normalized and the areas in other genotypes represent a ratio. (A,D,E) Immunohistochemical staining of liver sections for CD44V6 (A; e–h) and PCNA (A; i–I) with subsequent quantification (D,E). Scale bar, 500  $\mu$ m (d), 200  $\mu$ m (h). Results are expressed as mean  $\pm$  SEM (n=4-6). \* $p \le 0.05$ , \*\* $p \le 0.01$ , \*\*\* $p \le 0.001$ .

an obvious activation of an unfolded protein response. In particular, BiP or ATF4 values were not increased, nor were there any changes seen in Xbp1 splicing or eIF2α phosphorylation (see supplementary material, Figure S7). In contrast, there was a strong accumulation of the autophagy adaptor p62/SQSTM1 (hereafter referred to as p62) in double transgenic animals (Figure 5A) and a significant increase in PiZ mice compared with the non-transgenic ones (see supplementary material, Figure S8A,B). Immunostaining revealed a strong p62 signal in the cells accumulating both PiZ and HBs (Figure 5B,C). The p62 signal was particularly abundant in the vicinity of small AAT aggregates that are more common in the double transgenics (see supplementary material, Figure S8C). Double transgenic mice also displayed a significant increase in the autophagosomal membrane-associated form of LC3 (LC3-II) as well as in the LC3-II/LC3-I ratio, indicating an accumulation

of autophagic vesicles (see supplementary material, Figure S8D,E). On the other hand, neither the LC3-II/b-actin ratio (not shown) nor LC3-II turnover assessed via a flux assay (see supplementary material, Figure S8F,G) was significantly altered among analyzed groups, suggesting that there is no obvious autophagic impairment. Despite that, the animals displayed signs of insufficient protein degradation. In particular, Z-HBs mice exhibited an accumulation of insoluble ubiquitinated proteins (see supplementary material, Figure S8H).

These findings prompted us to further evaluate the retention of both proteins in the autophagosomal/lysosomal compartment via gradient centrifugation. Z-HBs mice displayed a prominent AAT accumulation in both lysosomal and autophagosomal compartments, whereas HBs accumulated in the autophagosomal, but not in the lysosomal fraction of the double transgenic animals (Figure 5D). The purity of the analyzed fractions was

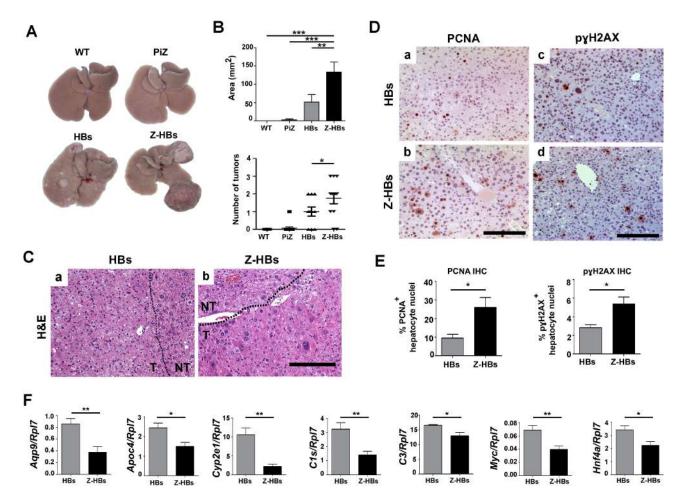


Figure 4. Dual proteotoxic stress leads to a higher tumor load and a more aggressive tumor subtype in Z-HBs mice. (A) Macroscopic appearance of livers from 14-month-old non-transgenics (WT), animals overexpressing PiZ variant of AAT (PiZ), HBs or both proteins together (Z-HBs). (B) Overall tumor load and tumor number are displayed as mean  $\pm$  SEM (n=11-12). (C) H&E staining (a,b) was carried out on representative liver sections, including tumor (T) and non-tumor (NT) areas, which are divided by dotted lines. Scale bar, 200 µm. (D) Proliferating hepatocytes and dysplastic cells were visualized in liver tumor sections by immunohistochemistry for PCNA (a,b) and p $\gamma$ H2AX (c,d) and the number of positive nuclei was quantified (mean  $\pm$  SEM; n=5) (E). Scale bar, 200 µm (Db,Dd). (F) Hepatic expression of the indicated genes in tumor tissues was examined by RT-qPCR (mean  $\pm$  SEM; n=7-10). Aqp9, Aquaporin; Apoc4, apolipoprotein 4; Cyp2e1, cytochrome P450, family 2, subfamily E, polypeptide 1; C1s, complement component 1, s subcomponent 1; C3, complement component 3; Myc, avian myeloblastosis virus oncogene cellular homolog; Hnf4a, hepatocyte nuclear factor 4 alpha. \* $p \le 0.05$ , \*\* $p \le 0.01$ , \*\*\* $p \le 0.001$ .

confirmed by immunoblotting (see supplementary material, Figure S9). To characterize the proteins interacting with p62, p62-containing particles were isolated with magnetic activated cell sorting separation (MACS). Immunoblotting demonstrated an accumulation of AAT, HBs, and Plin2 in double transgenic, but not in single transgenic littermates, thereby suggesting an overwhelmed aggrephagy (the degradation of misfolded proteins) and lipophagy (the degradation of lipids) in the Z-HBs animals (Figure 5E; supplementary material, Figure S10). Of note, immunoblotting of total lysates with characteristic ER and mitochondrial housekeeping genes did not show significant differences between the groups (see supplementary material, Figure S8I).

As p62 was described to promote tumor development due to activation of the Nrf2 pathway [32,33], Nrf2 activation was analyzed in 2-month-old animals. Z-HBs mice harbored higher Nrf2 levels in their nuclear fractions (Figure 6A,B) and an upregulation of the Nrf2 targets Nqo1, Abcc4/Mrp4, and Hmox4 was noted (Figure 6C).

As Nrf2 activation promotes survival of oxidatively damaged cells, we performed dihydroethidium (DHE) staining, which revealed significantly more DHE-positive cells in 10-month-old Z-HBs mice (Figure 6D,E).

Collectively, these data suggest that dual proteotoxic stress leads to a retention of proteins and activation of the p62–Nrf2 axis that promotes the development of liver disease and HCC (Figure 6F).

# The presence of the PiZ variant predisposes CHB individuals to advanced liver fibrosis

To test whether the experimental findings suggesting the detrimental effects of dual proteotoxic stress translate to humans, we screened a large cohort of 830 patients with CHB for the presence of the PiZ variant (see supplementary material, Table S2). All patients gave a liver biopsy, which in 281 (34%) revealed advanced liver fibrosis (i.e. fibrosis stage 3/4). A heterozygous PiZ variant (PiMZ) was found in six individuals (allele frequency 0.4%); five of them

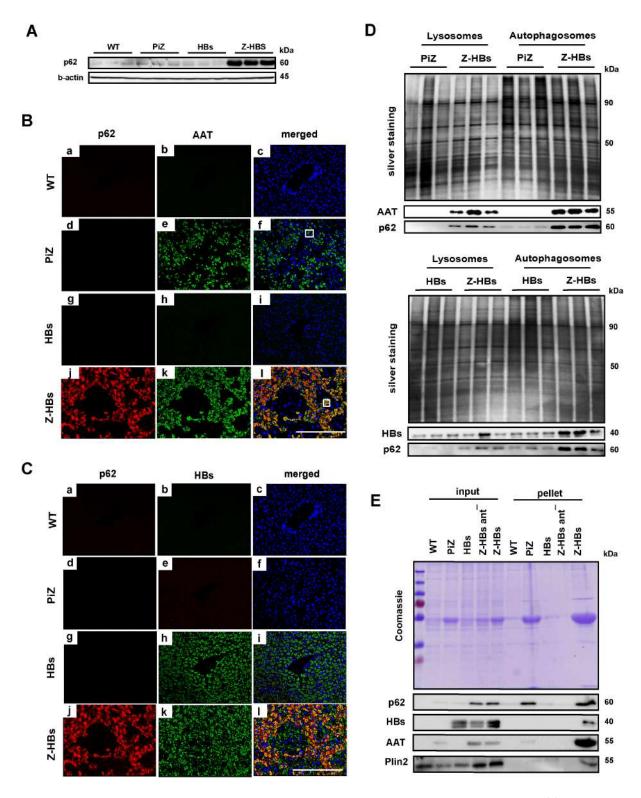


Figure 5. Dual proteotoxic stress leads to an accumulation of p62 and the other proteins in the degradatory pathway. (A) p62 protein levels were determined in total liver lysates by immunoblotting. b-actin was used as a loading control. Non-transgenic animals (WT), single transgenic mice overexpressing HBs (HBs) or PiZ variant of AAT (PiZ) were compared with the double transgenic Z-HBs mice. (B,C) Immunofluorescence staining for AAT (green), HBs (green) and p62 (red) was carried out on liver sections from the indicated mice. Nuclei were counterstained with DAPI (blue) and are visualized only in the merged images. The results were presented in two different panels to better capture the overlap between p62 (red) and AAT (green) (B) as well as p62 (red) and HBs (green) (C). The boxes in (Bf) and (BI) highlight areas that are shown at greater magnification in supplementary material, Figure S8C. Scale bar, 200 μm (BI) (CI). (D) Lysosomal and autophagosomal fractions isolated from PiZ/Z-HBs (top) and from HBs/Z-HBs mice (bottom) were analyzed by immunoblotting. Silver staining was used as a loading control. (E) Immunoblots of p62-containing particles isolated from livers of the indicated experimental groups. The pull-down with beads only (ant-) served as a specificity control. Coomassie staining was used to visualize the isolated proteins.

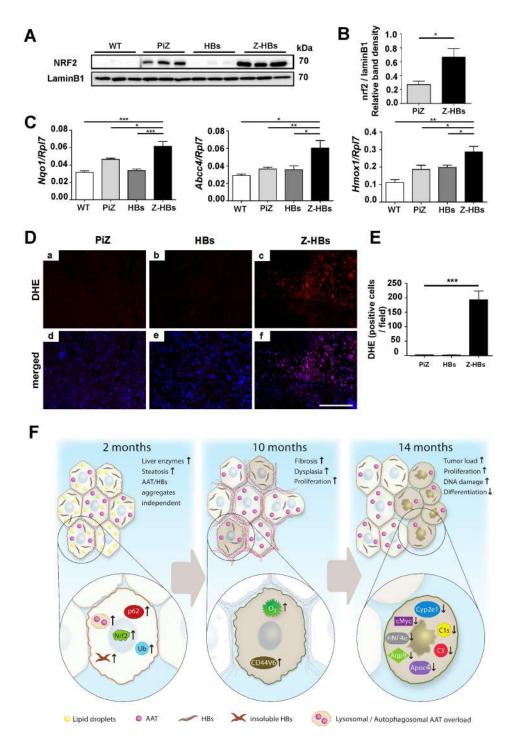


Figure 6. Double transgenic animals exhibit an activation of the Nrf2 pathway. (A) Immunoblotting of nuclear liver lysates from 2-month-old non-transgenic animals (WT), animals overexpressing PiZ variant of AAT (PiZ), HBs or both proteins (Z-HBs). Lamin B1 was used as a loading control. (B) Relative band density was quantified using ImageJ (mean  $\pm$  SEM; n=3). (C) Hepatic expression of various Nrf2 target genes was examined by RT-qPCR. Results are shown as mean  $\pm$  SEM (n=5-6) (D) DHE staining (red) of liver sections visualizes the level of reactive oxygen species in 10-month-old animals. Nuclei were stained with DAPI (blue). Scale bar, 200  $\mu$ m. (E) Morphometric quantification of DHE-positive nuclei per field (mean  $\pm$  SEM,  $n \ge 5$ ). \* $p \le 0.05$ , \*\* $p \le 0.05$ , \*\* $p \le 0.001$ . (F) A schematic that summarizes the molecular alterations detected in Z-HBs mice. Only positive findings are depicted. Note that the highlighted molecular alterations were not studied in all age groups.

displayed advanced liver fibrosis. Accordingly, the presence of the PiZ variant was significantly associated with advanced liver fibrosis in the analyzed CHB cohort (odds ratio = 9.92 [1.15-85.39], p = 0.04 for F3–F4 versus F0–F2). Further studies are needed to delineate the impact of CHB on PiMZ individuals.

# Discussion

In the present study, we analyzed the crosstalk between two paradigmatic hepatic proteotoxic conditions, i.e. HBs retention occurring in CHB and PiZ accumulation seen in AAT deficiency. The clinical relevance of this dual

proteotoxic stress was indicated by analysis of a large CHB cohort that revealed an over-representation of PiZ carriers among individuals with advanced liver fibrosis. These data support previous small-scale human studies implicating the PiZ variant as a disease-accelerating factor in CHB [17,34]; however, confirmatory studies are needed. Accordingly, we demonstrated that mice simultaneously overexpressing PiZ and HBs suffer from a more severe liver injury and an accelerated HCC development. This is in line with the current view that a dynamic interaction between the virus and the host shapes the development of CHB [35].

At first glance, both proteotoxic challenges seem to be fairly distinct, given that both proteins display an unrelated accumulation pattern and a different solubility in non-ionic detergents. The fact that HBs, but not AAT, strongly overlaps with calnexin suggests that the former is retained mainly in the corrective folding pathway, whereas PiZ accumulates in the downstream degradatory pathway. Although both HBs [36,37] and PiZ [38,39] undergo the calnexin–calreticulin folding attempt after their synthesis, our data suggest that PiZ needs more time to be digested after it is offloaded from the reparatory apparatus. This is not surprising, as polymerized, insoluble proteins such as PiZ are known to be difficult to degrade [2,40].

As detailed above, a greater production of aggregation-prone proteins challenges both the reparatory and degradatory pathways and may lead to their overload. Importantly, Z-HBs mice do not exhibit an unfolded protein response (which is activated via misfolded proteins with exposed hydrophobic residues) and are able to secrete a similar amount of both proteins as the single transgenes. In contrast, the degradatory capacity of the livers seems to be overwhelmed, as suggested by: (1) less pronounced co-localization of HBs with the calnexin and its translocation from the soluble to insoluble pool; (2) an overall increase in insoluble, ubiquitinated proteins; (3) retention of HBs/PiZ in the autophagosomal/lysosomal compartment coupled with an elevated LC3-II/LC3-I ratio; and (4) a greater amount of the autophagy adaptor p62. The dual proteotoxic stress in Z-HBs animals also results in markedly reduced PiZ inclusion size. In that respect, several authors have suggested that smaller aggregates rather than the mature inclusions probably constitute the toxic species. For example, a previous study demonstrated that an inability to form PiZ inclusion bodies leads to cell shrinkage and cell toxicity [38]. The same holds true for Huntington's disease, where the cells with smaller huntingtin assemblies were at higher risk of neural cell death [41–43].

To delineate the consequences of the overloaded degradatory pathway, we isolated p62-containing particles using MACS. Enrichment of AAT and HBs further substantiated an incomplete degradation of the surplus proteins, whereas retention of Plin2 may account for a reduced disposal of lipid droplets [30]. To that end, Plin2 is an established autophagy substrate and its degradation is a prerequisite for lipolysis [29,44]. Given the fact that hepatic steatosis is an important co-factor in the progression of liver disease and the development of HCC [45], future studies should explore the interaction between

lipid metabolism and proteotoxic stress. Additional analyses are also needed to clearly delineate the impact of chronic proteotoxic stress on the different autophagic functions, such as mitophagy or ERphagy, as well as its impact on the ER.

With regard to other factors driving the development of liver injury/tumors in Z-HBs mice, the observed p62 accumulation probably plays a pivotal role, as p62 constitutes an important signaling hub promoting malignant transformation [33,46]. A critical mediator p62-induced tumorigenesis detected in our double transgenic animals is the activation of Nrf2. Several studies report that p62 accumulation leads to the release of Nrf2 from Keap1, with its subsequent translocation into the nucleus [47,48]. Although Nrf2 is important in protection from oxidative stress, it also enables survival and malignant transformation of chronically stressed, oxidatively damaged cells [33,49,50]. In line with this, we observed an accumulation of these cells as well as decreased apoptosis rates in Z-HBs animals. Moreover, Nrf2 activation might be responsible for the higher proliferative rates in tumors from Z-HBs mice, as it regulates the metabolic response of cells, thereby favoring their proliferation [51,52]. Importantly, further studies are needed to delineate the precise mode of Nrf2 activation in our animals. Collectively, Z-HBs mice represent a novel tool to study the consequences of chronic ER protein overload in the liver and highlight the important role of p62 and Nrf2 in proteotoxic liver injury. Our data also demonstrate the need for novel treatment strategies targeting proteotoxic stress in patients who display progressive disease despite the treatment with nucleoside/nucleotide analogs. This is particularly relevant, as both PiZ and HBs retention can be easily targeted by RNA silencing approaches, and the corresponding clinical trials are entering clinical trials [53,54].

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# **Author contributions statement**

DK and PS were responsible for the study concept and design, and drafted the manuscript. DK, KW, LS, AG,

GKE, YL, NGS, KH, RL, JH, JG, MM, ME, PL, AS, PN, SP, AM, TB, AB, WPB, MLA, AL. BS, HZ, CP and EA were responsible for the acquisition of data. DK, KW, GKE and JH analyzed and interpretated the data. DK and ME carried out the statistical analyses. PS obtained funding and supervised the study. DK, KW, LS, AG, GKE, YL, KK, NGS, KH, RL, JH, CT, JG, MM, ME, PL, SP, AM, TB, AB, WPB, MLA, AL, BS, HZ and EA provided technical or material support. All authors had access to the study data, critically reviewed the manuscript for important intellectual content, and approved the final manuscript.

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References 55-58 are cited only in the supplementary material.

# SUPPLEMENTARY MATERIAL ONLINE

# Supplementary materials and methods

- Figure S1. Two-month-old Z-HBs mice display no significant changes in body or liver weight or in lipid serum parameters
- Figure S2. Double transgenic animals display a translocation of HBs into an insoluble pool
- Figure S3. Differing accumulation patterns of AAT and HBs in Z-HBs mice
- Figure S4. Ten-month-old double transgenics showed elevated liver transaminases and an increased liver-to-body weight ratio
- Figure S5. Fourteen-month-old double transgenic animals display a marked elevation of liver injury parameters and an increased liver-to-body weight ratio
- Figure S6. The tumors arising in animals overexpressing HBs and animals overexpressing both the PiZ variant of AAT and HBs (Z-HBs) resemble well-differentiated HCC
- Figure S7. The simultaneous presence of AAT and HBs does not lead to ER stress
- Figure S8. Z-HBs mice display signs of an overloaded degradatory pathway
- Figure S9. Gradient centrifugation results in pure subcellular fractionation
- Figure S10. Z-HBs mice display a protein retention in the degradatory pathway
- Table S1. Characteristics of the cohort of patients used for molecular analysis
- Table S2. Characteristics of the cohort of patients with CHB screened for the presence of the PiZ variant in the AAT gene
- Table S3. Histopathological evaluation of H&E-stained liver sections from 2-month-old mice
- Table S4. Histopathological evaluation of tumors dissected from HBs and Z-HBs mice
- Table S5. Antibodies used for immunoblotting and immunohistochemistry
- Table S6. Primers for genotyping and RT-qPCR